

# PROFESSIONAL SERVICES AGREEMENT CONTRACT NUMBER PS1547

WITH **PERKINS + WILL, INC.** 

TO PROVIDE ARCHITECT OF RECORD SERVICES

FOR
WILLIAM JONES COLLEGE PREPARATORY HIGH SCHOOL
VICINITY OF SOUTH STATE AND WEST POLK STREETS
CHICAGO, ILLINOIS
PROJECT NUMBER 05260

# Mayor Richard M. Daley Chairman

Erin Lavin Cabonargi Executive Director

Richard J. Daley Center, Room 200 50 West Washington Street Chicago, Illinois 60602 www.pbcchicago.com

# **EXECUTION PAGE**

# ARCHITECT OF RECORD SERVICES WILLIAM JONES COLLEGE PREPARATORY HIGH SCHOOL PS1547

THIS AGREEMENT effective as of April 13, 2010, but actually executed on the date witnessed, is entered into by and between the Public Building Commission of Chicago, a municipal corporation of the State of Illinois, having its principal office at Room 200, Richard J. Daley Center, 50 West Washington Street, Chicago, Illinois 60602, (the "Commission"), and Perkins + Will, Inc. with offices at 330 North Wabash Avenue, Suite 3600, (the "Architect"), at Chicago, Illinois 60611.

# **Background Information – Recitals:**

Whereas, The Commission on behalf of the Board of Education of the City of Chicago (referred to in this Agreement as the "User Agency"), intends to undertake the construction and/or improvement of the following facility or facilities in Chicago, Illinois based on the scope in Schedule A attached to the Agreement (the "Project").

Whereas, the Commission requires certain professional services described in the Agreement, in connection with the Project and desires to retain the Architect on the terms and conditions set forth in the Agreement to perform such Services. The Architect desires to be so retained by the Commission and has represented to the Commission that the Architect has the knowledge, skill, experience and other resources necessary to perform the Services in the manner provided by the Agreement.

Whereas, the Architect has consulted with the Commission and the User Agency, made site inspections, and taken such other actions as the Architect deemed necessary or advisable to make itself fully acquainted with the scope and requirements of the Project and the Services. The Architect represents that it is qualified and competent by education, training and experience to prepare drawings, specifications and construction documents necessary to complete the Project in accordance with standards of reasonable professional skill and diligence.

Whereas, the Construction Budget for the Project will be established by the Commission after completion of Schematic Design based upon the requirements of the Project and allowances for cost escalation and Project contingencies.

Whereas, the Commission has relied upon the Architect's representations in selecting the Architect.

# PROFESSIONAL SERVICES AGREEMENT NO. PS1547

NOW THEREFORE, the parties agree on the terms and conditions that follow:
SIGNED by:
PUBLIC BUILDING COMMISSION OF CHICAGO by:
Richard M. Daley Chairman  Date:
Attest:  Edgrick Johnson Secretary  Date: 8-12-2010
ARCHITECT, Perkins + Will, Inc.:
J. Michael Palmer Principal  County of: Cook State of: T//10015
Subscribed and sworn to before me by Michae / falmand on behalf of Architect this day of (SEAL OF NOTARY) on behalf on behalf of Architect this day of (SEAL OF NOTARY)
Approved as to form and legality  Date: 7-27-10  Page 3 of 70  Q:Unes College Prep\AOR - William Jones College Prep HS\PS\1547 Agreement\frac{1}{1} page 3 of 70  Q:Unes College Prep\AOR - William Jones College Prep Standard_AOR_ProServ_Agrit_20100722.doc  LAST DATE PRINTED 7/29/2010

Article I. INCORPORATION OF RECITALS

Section 1.01 The matters recited above, the "Background Information," are incorporated in and made a part of the Agreement.

Article II. **DEFINITIONS AND USAGE** 

Section 2.01 Definitions. The following phrases have the following meanings for purposes of the Agreement:

- Agreement. This Agreement for Architect-of-Record Services, between the Commission and the Architect, including all attached exhibits, schedules and documents and all such exhibits, schedules and documents incorporated by reference, all component parts and all amendments, modifications, or revisions made in accordance with its terms.
- Architect. The company or other entity identified in the Agreement, and such successors or assigns, if any, as may be authorized by the terms and conditions of the Agreement.
- AOR's Estimate of Probable Construction Cost. The Architect's professional opinion of the cost to necessary construct the Project and furnish all items required to complete the Project as described in the corresponding design phase Deliverables prepared by the Architect in accordance with the Agreement.
- Authorized Commission Representatives. One or more persons designated in writing by the Executive Director for the purposes of assisting the Commission in managing the Project. As specifically directed by the Commission, the Authorized Commission Representative will act on behalf of the Commission
- Commission. The Public Building Commission of Chicago, a municipal corporation, acting by and through its Chairman, Secretary, Assistant Secretary, Executive Director, including the Commission's Authorized Representative, as designated by the Executive Director in writing.
- Construction Budget. The total funds budgeted by the Commission for constructing the Project and furnishing all items necessitated by the Project which must be shown or described in the Contract Documents to be prepared by the Architect in accordance with this Agreement. The Construction Budget does not include any payments made to the Architect or Commission Consultants or reimbursable expenses pursuant to Schedule D.
- Additional Services. Additional services to be provided by the Architect for the Project pursuant to the provisions of Schedule A.
- Contract Documents. All of the Contract documents for the construction and improvement of the Project including the Bidding Instructions, Standard Terms and Conditions for Construction Contracts, Technical Specifications, Drawings, Addenda, Bulletins and Modifications to those parts.
- Day. Unless otherwise indicated, the word "day" means calendar day. The phrase "business day" refers to Monday (i) through Friday, except for national holidays.
- (j) Deliverables. The documents, in any format (electronic or hard copy) requested by the Commission, including technical specifications, designs, drawings, plans, reports, forms, recommendations, analyses, and interpretations, the Architect is required, under this Agreement, to provide to the Commission.
- Key Personnel. Those job titles and individuals identified in Schedule F. (k)
- (1) Project. William Jones College Preparatory High School
- Project Schedule. The Project Schedule will be provided to the Architect in CPM and/or summary bar chart form. The Project Schedule will represent the information in Book 1 of the Contract Documents approved by the Commission for the Project. The Project Schedule will clearly identify major activities within the Project, including each phase of planning, design and construction. The Architect must provide details of their pre-construction activities and will promptly notify the Authorized Commission Representative whenever there is an actual or projected variance to the Project Schedule.
- Record Documents. Drawings prepared by the Architect in an electronic editable format approved by the Commission showing significant changes in the work made during construction, based on marked-up prints, drawings, shop drawings and other data furnished by the Project's building Construction Manager.
- Services. Collectively, the duties, responsibilities and tasks that are necessary to allow the Architect to provide the Scope of Services required by the Commission under this Agreement.

- (p) Subconsultant or Subcontractor. Any person or entity hired or engaged by the Architect to provide any part of the Services required under the terms of this Agreement.
- (q) User Agency. The governmental agency or agencies identified in the Background Information that requested the Commission to undertake the construction and/or improvement of the Project.

# Section 2.02 Usage and Conventions

- (a) Captions and Headings. The captions and headings of the various sections of the Agreement are used solely for reference purposes and do not construe, nor will they be deemed or used to construe, interpret, limit, or extend the meaning or scope of any work, clause, paragraph, or provision of the Agreement.
- (b) The term "include," in all its forms, means "include, without limitation" unless stated otherwise.
- (c) Terms of one gender imply the other gender(s) unless the context clearly indicates otherwise. Use of the singular includes the plural and vice versa.

# Article III. INCORPORATION OF DOCUMENTS

The following documents are incorporated in and made a part of the Agreement. By executing the Agreement, the Architect acknowledges that Architect is familiar with the contents of each of such documents and will comply fully with all applicable portions of them in performing the Services.

Section 3.01 Policies Concerning MBE and WBE. The Commission's policies concerning utilization of minority business enterprises ("MBE") and women business enterprises ("WBE"), as the same may be revised from time to time.

# Article IV. ENGAGEMENT AND STANDARDS FOR PERFORMING SERVICES

Section 4.01 Engagement. The Commission engages the Architect, and the Architect accepts the engagement, to provide the Services described in this Agreement, as those Services may be amended by an Amendment to the Agreement as provided below in Section 4.13.

Section 4.02 Key Personnel. The Architect must not reassign or replace Key Personnel without the written consent of the Commission. The Commission may at any time in writing notify Architect that the Commission will no longer accept performance of Services under this Agreement by one or more Key Personnel listed in the Agreement in Schedule F. Upon that notice Architect must immediately suspend the Key Person or Key Persons from performing Services under this Agreement and must replace him or them with a person or persons with comparable professional credentials and experience. Such replacements are subject to approval by the Commission.

Section 4.03 Adequate Staffing. The Architect must, upon receiving a fully executed copy of this Agreement, assign and maintain for the duration of the Agreement an adequate staff of competent personnel that is fully equipped, licensed as appropriate, available as needed, qualified and assigned exclusively to perform the Services. The Architect must include among its staff the Key Personnel and positions as identified in the Agreement and specified in Schedule F. The level of staffing may be revised from time to time by notice in writing from Architect to the Commission and with prior written consent of the Commission.

Section 4.04 Nondiscrimination. In performing under this Agreement the Architect will not discriminate against any worker, employee, applicant for employment, or any member of the public, because of race, color, creed, national origin, gender, age, or disability, or otherwise commit an unfair labor practice. The Architect certifies that he/she is familiar with, and will comply with, all applicable provisions of the Civil Rights Act of 1964, 28 U.S.C. § 1447, 42 U.S.C. §§ 1971, 1975a-1975d, 2000a to 2000h-6 (1992); the Age Discrimination in Employment Act of 1967, 29 U.S.C. §§ 623-634 (1992); the Americans with Disabilities Act of 1990, 29 U.S.C. § 706, 42 U.S.C. §§ 12101-12213, 47 U.S.C. §§ 152, 221, 225, 611 (1992); 41 C.F.R. § 60 (1992); 41 C.F.R. § 60 (1992); reprinted in 42 U.S.C. 2000(e) note, as amended by Executive Order No. 11,375 32 Fed. Reg. 14,303 (1967) and by Executive Order No. 12,086, 43 Fed. Reg. 46,501 (1978); the Age Discrimination Act, 43 U.S.C. Sec. 6101-6106 (1981); P.L. 101-336; 41 C.F.R. part 60 et seq. (1990); the Illinois Human Rights Act, 775 ILCS 5/1-101 et seq. (1990), as amended; the Discrimination in Public Contracts Act, 775 ILCS 10/0.01 et seq. (1990), as amended; the Environmental Barriers Act., 410 ILCS 25/1 et seq; and the Chicago Human Rights Ordinance, Chapter 2-160, Section 2-160-010 et seq. of the Municipal Code (1990), as amended. The Architect will further furnish such reports and information as may be requested by the Commission, the Illinois Department of Human Relations, or any other administrative or governmental entity overseeing the enforcement, or administration of, or compliance with, the above mentioned laws and regulations.

Section 4.05 <u>Employment Procedures; Preferences and Compliance</u>. Salaries of employees of the Architect, performing work under this Agreement, will be paid unconditionally, and not less often than once a month, without deduction or rebate on any account except such payroll deductions as are mandatory or permitted by applicable law or regulations. The Architect certifies that

he/she is familiar with, and will comply with, all applicable provisions of 820 ILCS 130/0.01 through 130/12 (Prevailing Wage Act), 30 ILCS 570/1 through 570/7 (Employment of Illinois Workers on Public Works Act) and 30 ILCS 560/0.01 through 560/7 (Public Works Preference Act). The Architect will also comply with all applicable "Anti-Kickback" laws and regulations, including the "Anti-Kickback" Act of 1986, 41 U.S.C. §§ 51-58 (1992); 18 U.S.C. § 874 (1992); 40 U.S.C. § 276c (1986) and the Illinois Criminal Code of 1961 720 ILCS 5/33E-1 et. seq. If, in the performance of this Agreement, any direct or indirect "kick-back" is made, as defined in any of the above mentioned laws and regulations, the Commission may withhold from the Architect, out of payments due to the Architect, an amount sufficient to pay any underpaid employees the difference between the salaries required to be paid under the law and this Agreement and the salaries actually paid such employees for the total number of hours worked. The amounts withheld may be disbursed by the Commission for and on account of the Architect to the respective employees to whom they are due, as determined by the Commission in its sole discretion.

- Section 4.06 Compliance with Policies Concerning MBE and WBE. Without limiting the generality of the requirements of the policies of the Commission referred to in Section 3.01 above, the Architect will use every reasonable effort to utilize minority business enterprises for not less than 25% and women business enterprises for not less than 5% of the value of the Services, in accordance with the Resolution passed by the Board of Commissioners of the Commission on October 1, 2009, concerning participation of minority business enterprises and women business enterprises on contracts, other than construction contracts, awarded by the Commission and to furnish to the Commission, such reports and other information concerning compliance with such Resolution as may be requested by the Commission from time to time.
- Section 4.07 Records. The Architect must maintain accurate and complete records of expenditures, costs and time incurred by the Architect and by consultants engaged by the Architect in connection with the Project and the Services. Such records will be maintained in accordance with recognized commercial accounting practices. The Commission may examine such records at the Architect's offices upon reasonable notice during normal business hours. The Architect must retain all such records for a period of not less than five calendar years after the termination of the Agreement. However, if there is a disagreement over fees, then five years or until a final resolution of the matter whichever occurs later.
- Section 4.08 Compliance with Laws. In performing its engagement under the Agreement, the Architect must comply with all applicable federal, state and local laws, rules, and regulations.
- Section 4.09 <u>Weekly Meetings.</u> Weekly meetings for the Project and project Team will be scheduled upon the Commission's request for the duration of the Services. The Architect will cause such meetings to be attended by appropriate personnel of the Design Team engaged in performing or knowledgeable of the Services.
- Section 4.10 <u>Defects in Project.</u> The Architect must notify the Commission immediately if the Architect obtains knowledge of an issue or circumstances which could result in a delay in the performance of Services or significant problem in connection with the Project, including construction defects, cost overruns or scheduling delays.

#### Section 4.11 Performance Standard.

- (a) The Architect represents that the Services performed under the Agreement will proceed with efficiency, promptness and diligence and will be executed in a competent and thorough manner, in accordance with reasonable professional standards in the field consistent with that degree of skill and care ordinarily exercised by practicing design professionals performing services of a scope, purpose, and magnitude comparable with the Services to be provided under this Agreement. The Architect further promises that it will assign at all times during the term of the Agreement the number of experienced, appropriately trained employees necessary for the Architect to perform the Services in the manner required by the Agreement.
- (b) The Architect must ensure that all Services that require the exercise of professional skills or judgment are accomplished by professionals qualified and competent in the applicable discipline and appropriately licensed, if required by law. The Architect must maintain current copies of any such licenses and provide these copies upon request by the Commission. The Architect remains responsible for the professional and technical accuracy of all Services furnished, whether by the Architect or others on its behalf. All deliverables will be prepared in a form and content satisfactory to the Commission and delivered in a timely manner consistent with the requirements of the Agreement.
- (c) The Architect must not use any business or individual who is disqualified by the Commission or debarred under any other governmental agency's procedures to provide the Services under the Agreement.
- (d) If the Architect fails to comply with the obligations under the standards of the Agreement, the Architect must perform again at its own expense, all Services required to be re-performed as a direct or indirect result of that failure. Any review, approval, acceptance or payment for any of the Services by the Commission does not relieve the Architect of its responsibility to render the Services and deliverables with the professional skill and care and technical accuracy required

- by the Agreement. This provision in no way limits the Commission's rights against the Architect either under the Agreement, at law or equity.
- (e) Evaluations of the Commission's budget for the Project, the preliminary estimate of the Cost of the Work and updated estimates of the Cost of the Work prepared by the Architect represent the Architect's judgment as a design professional familiar with the construction industry. It is recognized, however, that neither the Architect nor the Commission has control over the cost of labor, materials or equipment, over the Construction Manager's methods of determining bid prices, or over competitive bidding, market or negotiating conditions.
- Section 4.12 <u>Errors and Omissions.</u> As directed by the Commission's Authorized Representative, the Architect will, without additional compensation, prepare addenda, change orders and/or bulletins required to correct or clarify negligent errors, omissions or ambiguities. The Commission has a committee that reviews the project for alleged errors and omissions by the Architect. The Committee will, as appropriate, conduct an internal review of the alleged error and omission, provide a written statement of claim regarding the alleged error and omission to the Architect, allow the Architect to respond in writing, and meet with the Architect to attempt to settle the claim when the Commission concludes an error or omission has occurred. The Architect will attend such meetings without additional compensation. Upon notice or discovery, and as directed by the Commission, the Architect will perform, without additional compensation, the required professional services to issue an addenda to the bidding documents, or change orders to the contract documents, to correct or clarify errors, omissions, or ambiguities. The Commission reserves the right to recover, from the Architect, damages incurred by the Commission resulting from errors or omissions in the construction documents prepared by the Architect. The Commission may withhold payments, in whole or in part, for a material breach of the Agreement, including but not limited, to the Architect's failure to perform services or meet the schedule, design errors or omissions and failure to adhere to terms of this Agreement.

If the Commission and the Architect disagree with regard to the Architect's fault or as to whether the Architect is entitled to Additional Services for the work required by the Commission in this paragraph, then the Architect may assert a dispute pursuant to the provisions of this Agreement. However, the Architect must provide Services as directed by the Commission during the pendency of any dispute.

- Section 4.13 Amendments to this Agreement. The Commission may from time to time request changes to the terms and Services of the Agreement. Such changes, including any increase or decrease in the amount of compensation and revisions to the duration of the Services, which are mutually agreed upon by and between the Commission and Architect, will be incorporated in a written amendment to the Agreement. The Commission will not be liable for any additional payment absent such written amendment.
- Section 4.14 Representation and Covenant by Consultant. Neither the Architect nor any affiliate of the Architect is listed on any of the following lists maintained by the Office Foreign Assets Control of the U.S. Department of the Treasury, the Bureau of Industry and Security of the U.S. Department of Commerce or their successors, or on any other list of persons or entities with which the User Agency or the Commission may not do business under any applicable law, rule, regulation, order or judgment: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List. For purposes of this subparagraph only, the term "affiliate," when used to indicate a relationship with a specified person or entity, means a person or entity that, directly or indirectly, through one or more intermediaries, controls, is controlled by or is under common control with such specified person or entity, and a person or entity shall be deemed to be controlled by another person or entity, if controlled in any manner whatsoever that results in control in fact by that other person or entity (or that other person or entity and any persons or entities with whom that other person or entity is acting jointly or in concert), whether directly or indirectly and whether through share ownership, a trust, a contract or otherwise.
- Section 4.15 Subcontract Terms and Conditions. Architect shall include a provision in any and all subcontracts that Architect may enter into for the performance of the Services that states that the subcontractor shall comply with the terms and conditions of this Agreement in its performance of its portion of the Services. In addition, each subcontract for the performance of the Services shall provide that the Commission is a third-party beneficiary to the subcontract, and may enforce any of the subcontract terms including, but not limited to, those pertaining to standard of performance, indemnity and insurance. Nothing in this Agreement, nor any subcontract to this Agreement, shall state, imply or be construed to state or imply that the Commission or its User Agency are indemnitors or insurers of the Architect or Architect's subcontractors. Each subcontract shall further require that by executing the subcontract, the subcontractor consents to an assignment of the subcontract by the Architect to the Commission upon the request of the Commission for such assignment.

#### Article V. TERM

Section 5.01 <u>Duration.</u> The term of the Agreement begins on the Commencement Date of Services specified in Schedule A, and subject to the provisions in this section, expires upon completion of the Services and acceptance of the Deliverables by the Commission.

Section 5.02 <u>Termination by the Commission</u>. The Commission has the right, at any time, to terminate this Agreement in whole or in part, with or without cause, by written notice given to the Architect at least 30 days before the effective date of termination. So long as the Architect is not in default under this Agreement at the time of termination, the Commission will pay the Architect, in accordance with the terms of this Agreement, all compensation and reimbursements due to the Architect for periods up to the effective date of termination. The Commission may exercise any right of set off regarding Architect's failure to properly perform Services from payments that are due to Architect.

Section 5.03 Suspension by the Commission. The Commission also has the right, at any time and from time to time, with or without cause, to suspend the performance of the Architect hereunder with respect to all or any part of the Services, by written notice given to the Architect at least 5 days before the effective date of suspension. During the notice period the Architect must wind down its Services. So long as the Architect is not in default under this Agreement at the time of suspension, the Commission will pay the Architect, in accordance with the terms of this Agreement, all compensation and reimbursements due to the Architect for periods up to the effective date of suspension.

- (a) During the period the Architect's performance is suspended, the Architect is not entitled to incur fees or bill the Commission, except for Architect's time for participating in substantive meetings concerning the Project (but not for meetings to discuss Architect's invoices or claims). The Architect may bill such time spent during a suspension only if the Architect's participation is requested by the Commission and only for the time of one individual per meeting. Commission will pay for such time at the applicable hourly billing rate set forth in Schedule D. Participation in meetings at the request of the Commission is not considered to be resumption of the Architect's Services.
- (b) If the Architect is required to resume its Services under this Agreement, the Commission will notify Architect in writing, giving Architect a reasonable period not to exceed 10 days to remobilize itself. The Architect may bill such time spent on remobilization. The Commission will pay for such remobilization as is reasonable and billed at the hourly rate for one Senior Project Manager or less at the hourly billing rate set forth in Schedule D. The number of days during which the suspension period lasted, including any remobilization time, will be added to the Completion Date of Services as determined in accordance with the provisions of Schedule C, establishing a revised Completion Date of Services, and Architect will re-commence its Services at the point they were suspended and may resume billing in accordance with the terms of the Agreement.

Section 5.04 Effect of Termination or Suspension. Termination or suspension of this Agreement in whole or in part does not relieve the Architect from liability for its performance of any obligation under this Agreement that was performed or was to have been performed by the Architect on or before the effective date of termination or suspension. In no event will the Commission be liable to the Architect for any loss, cost or damage, including lost profits, which the Architect or any other party may sustain by reason of the Commission terminating or suspending this Agreement as provided in it.

Section 5.05 Force Majeure. Neither of the parties will be liable to the other for any delay or failure in performance hereunder due to causes which are beyond the control of the party unable to perform. If a force majeure occurs, the party delayed or unable to perform will give prompt notice to the other party, and the Commission may, at any time during the continuation of the force majeure event, elect to suspend the performance of the Architect under the Agreement for the duration of the force majeure. The Commission will not be obligated to pay for the Services to the extent and for the duration that performance of the Services is delayed or prevented by force majeure, but, provided the Architect is not in default of any obligation of the Architect under the Agreement, the Commission will pay to the Architect, according to the terms of the Agreement, all compensation and reimbursements due to the Architect for periods up to the effective date of suspension. The term "force majeure" means an extraordinary event or effect that the parties could not have anticipated or controlled and that renders performance impossible or impracticable for the duration of the event or effect. Such events or effects include but are not limited to: extraordinary acts of nature, such as tornadoes; or of people, such as acts of terrorism; or of governments, such as imposition of martial law.

# Article VI. COMPENSATION OF ARCHITECT; REIMBURSEMENT FOR EXPENSES

The Commission will compensate the Architect for the Services in the amount and manner set forth on Schedule D.

#### Article VII. RIGHTS AND OBLIGATIONS OF COMMISSION

Section 7.01 <u>General and Specific.</u> In connection with the administration of the Project by the Commission and the performance of the Agreement by the Architect, the Commission has the following rights and obligations, in addition to those provided elsewhere in the Agreement:

- (a) Information. The Commission will provide the Architect all information reasonably required concerning the Commission's requirements for the Project and the Services.
- (b) Review of Documents. Subject to the provisions of the Agreement, the Commission will make reasonable efforts to examine documents submitted by the Architect and render decisions pertaining to them with reasonable promptness.
- (c) Site Data. To the extent the Commission determines to be necessary for the Architect to perform the Services, the Commission may furnish, or may authorize the Architect to obtain from a company or companies approved by the Commission as Reimbursable Expenses:
  - (i) A certified survey of the site or sites providing, as required, all grades and lines of streets, alleys, pavements and adjoining property, rights-of-way, encroachments, boundaries and contours of the building site.
  - (ii) A certified title.
  - (iii) Information concerning locations, dimensions and data pertaining to existing buildings and other improvements
  - (iv) Title information as to restrictions, easements, zoning and deed restrictions.
  - (v) Information concerning availability of both public and service and utility lines. See Schedule A for more details.
  - (vi) If the Architect does procure these or any other services at the request of the Commission, the Architect shall not be liable for the substantive accuracy or completeness of such services, nor shall the Architect be vicariously liable for the procured services.
- (d) Tests and Reports. To the extent required for the Architect to perform the Services, the Commission may furnish structural, civil, chemical, mechanical, results of test borings and pits for determining soil and subsoil conditions and/or other tests and reports or may authorize the Architect to procure such tests and reports from a consultant or consultants approved in writing by the Commission. See Schedule A for more details. The Commission will pay for such tests and reports, however, the Commission may direct the Architect to procure such professional services as Reimbursable Expenses and submit invoices to the Commission for payment as provided in Schedule D.
- (e) Architect's Rights and Obligations with Respect to Commission-Provided Information ("CPI"). Architect may rely upon the CPI provided by the Commission as described in this Section 7.01, provided, however, that the Commission expects the Architect to review such CPI in detail and verify such CPI to the extent it may be reasonable and prudent for the Architect to do so for the proper performance of the Services under this Agreement. The Commission makes no warranties and representations with respect to the accuracy of the information provided. Architect must promptly report any errors, omissions, inconsistencies or ambiguities in the CPI to the Authorized Commission Representative. In the event that Architect believes that additional compensation is due to the Architect from the Commission because of errors, omissions, inconsistencies or ambiguities in the CPI, the Commission will consider a request for additional compensation if, and only if, Architect furnishes reasonable and appropriate evidence that Architect has met its obligation to review and verify the CPI.

**Section 7.02** Audits. The Commission has the right to abstract and audit the books of the Architect and its subcontractors on all subjects relating to the Project and/or the Services.

Section 7.03 Legal, Auditing and other Services. The Commission will arrange and pay for such legal, auditing, insurance counseling and other services as the Commission, in its sole discretion, may determine to be required for the Project. Such payments will not include legal or auditing expenses arising out of or relating to any errors or omissions, or claimed errors or omissions, of the Architect.

Section 7.04 Ownership of Documents. All designs, drawings, documents, data, studies and reports prepared by the Architect or any party engaged by the Architect, pertaining to the Project and/or the Services will be the property of the Commission. Architect shall provide the Commission with opportunity to review all such documents and shall provide copies to the Commission upon written request. The Architect may reuse standard details and specifications on other projects.

- (a) The parties intend that, to the extent permitted by law, the drawings, specifications and other design documents to be produced by the Architect and its subcontractors pursuant to this Agreement (the "Work") will conclusively be deemed "works made for hire" within the meaning and purview of Section 101 of the United States Copyright Act, 17 U.S.C. § 101 et seq., and that the Commission, the User Agency and their successors and assigns, will be the copyright owner of all aspects, elements and components of them in which copyrights can subsist. To the extent that any of the foregoing does not qualify as a "work made for hire," the Architect hereby irrevocably grants, conveys, bargains, sells, assigns, transfers and delivers to the Commission, the User Agency and their successors and assigns, all right, title, and interest in and to the copyrights and all U.S. and foreign copyright registrations, copyright applications and copyright renewals for them, and all other intangible, intellectual property embodied in or pertaining to the Work contracted for under the Agreement, free and clear of any liens, claims or other encumbrances, to the fullest extent permitted by law.
- (b) The Architect will execute all documents and, at the expense of the Commission, perform all acts that the Commission may reasonably request in order to assist the Commission, the User Agency and their successors and assigns, in perfecting their rights in and to the copyrights relating to the Work.
- (c) The Architect represents to the Commission, the User Agency and their successors and assigns, that (1) the Work constitutes a work of authorship; (2) on the date of this Agreement the Architect is the lawful owner of good and marketable title in and to the copyrights for the Work (including the copyrights on designs and plans relating to the Work); (3) the Architect has the legal right to fully assign any such copyright with respect to the Work; (4) the Architect has not assigned any copyrights nor granted any licenses, exclusive or non-exclusive, to any other party; and (5) the Architect is not a party to any other agreement or subject to any other restrictions with respect to the Work.
- (d) In addition, the Architect represents that the plans and designs for the Work will, upon completion of the Services be complete, entire and comprehensive in accordance with the typical practices and performance standard of this Agreement. The Architect will provide the Commission the final plans and specifications for the project in an editable, electronic form. Further, the Architect will not restrict or otherwise interfere with the Commission's and/or the User Agency's future actions in authorizing the use, adaptation, revision, or modification or destruction of the Work provided that the Architect is indemnified by the Commission for any damages resulting from any such future re-use or adaptation of the Work by having the Executive Director and Architect execute an Electronic File Transfer Agreement in the form attached to this Agreement as Exhibit D.

#### Article VIII. INDEMNIFICATION

Section 8.01 Indemnification. The Architect must indemnify, defend, keep and save harmless the Commission and the User Agency and their respective commissioners, board members, officers, officials and employees, from and against all claims, demands, suits, losses, costs and expenses, including the fees and expenses of attorneys, (including court costs and expert's fees) that may arise out of or be based on any injury to persons or property that is, or is claimed to be, the result of the Architect's negligent performance or non-performance of the agreement or of any error or omission or negligent or willfully wrongful act of the Architect, or and any person employed by the Architect, or and any Subcontractor retained by the Architect in connection with this Project.

No official, employee or agent of the Commission shall be charged personally by Architect, or by any subcontractor or assignee of Architect, with any liability or expenses of defense, or be held personally liable to them under any term or provision of this Agreement, or because of the Commission's execution or attempted execution of the Agreement, or because of any breach of the Agreement.

To the extent permissible by law, Architect waives any limits to the amount of its obligations to indemnify, defend or contribute to any sums due pursuant to Architect's obligations under this Article VIII, including any claim by any employee of Architect that may be subject to the Workers' Compensation Act, 820 ILCS 305/1 et seq., or any other law or judicial decision (such as Kotecki v. Cyclops Welding Corporation, 146 III. 2d 155 (1991)). The Commission, however, does not waive any limitations it may have on its liability under the Illinois Workers' Compensation Act, the Illinois Local Government and Governmental Employees Tort Immunity Act, the Illinois Pension Code, or any other statute.

# Article IX. INSURANCE MAINTAINED BY THE ARCHITECT

The Architect will purchase and maintain at all times during the performance of Services, for the benefit of the Commission, the User Agency and the Architect, insurance coverage which will insure the Commission, the User Agency and the Architect against claims and liabilities which could arise out of the performance of such Services, including the insurance coverages set forth in Schedule E to this Agreement.

# Article X. DEFAULT

**Section 10.01** Events of Default. Each of the following occurrences constitutes an Event of Default by the Architect under the Agreement:

- (a) Failure or refusal on the part of the Architect to duly observe or perform any obligation or agreement on the part of the Architect contained in the Agreement, which failure or refusal continues for a period of 10 days (or such longer period as the Commission, in its sole discretion, may determine if such failure is not capable of being cured within such 10-day period) after the date on which written notice of it has been given to the Architect by the Commission;
- (b) Any representation or warranty of the Architect set forth in this Agreement or otherwise delivered pursuant to the Agreement will have been false in any material respect when so made or furnished;
- (c) The Architect becomes insolvent or ceases doing business as a going concern, or makes an assignment for the benefit of creditors, or generally fails to pay, or admits in writing its inability to pay, its debts as they become due, or files a voluntary petition in bankruptcy, or is adjudicated a bankrupt or an insolvent, or files a petition seeking for itself any reorganization, arrangement, composition, readjustment, liquidation, dissolution, or similar arrangement under any present or future statute, law or regulation relating to bankruptcy or insolvency, or files an answer admitting the material allegations of a petition filed against it in any such proceeding, or applies for, consents to or acquiesces in the appointment of a trustee, receiver, liquidator or other custodian of it or of all or any substantial part of its assets or properties, or if it or its principals will take any action in furtherance of any of the foregoing;
- (d) Any proceeding is commenced against the Architect seeking reorganization, arrangement, readjustment, liquidation, dissolution or similar relief under any present or future statute, law or regulation relating to bankruptcy which is not vacated, stayed, discharged, bonded or dismissed within 60 days following commencement of the proceeding, or appointment of, without the Architect's consent or acquiescence, any trustee, receiver, liquidator or other custodian of Custodian or of all or any substantial part of the Architect's assets and properties, and such appointment will not have been vacated, stayed, discharged, bonded or otherwise dismissed within 60 days of the appointment.
- (e) The Architect's material failure to perform any of its obligations under the Agreement, including any of the following:
  - (i) Failure due to a reason or circumstance within the Architect's reasonable control to perform the Services with sufficient personnel, and equipment or with sufficient material to ensure the performance of the Services according to Schedule C in this Agreement:
  - (ii) Failure to properly perform the Services or inability to perform the Services as a result of insolvency, filing for bankruptcy or assignment for the benefit of creditors:
  - (iii) Failure to promptly re-perform within a reasonable time the Services that were rejected as erroneous or unsatisfactory per the Terms of this Agreement;
  - (iv) Discontinuance of the Services for reasons within the Architect's reasonable control; or
  - (v) Failure to comply with a material term of the Agreement, including the provisions concerning insurance and nondiscrimination.
  - (vi) The Architect shall have a ten day period to cure following written notice for the events of default listed here.
- (f) Any change in ownership or control of the Architect (as defined in Article XIII) without prior written approval of the Executive Director which approval the Executive Director will not unreasonably withhold.
- (g) The Architect's default under any other agreement it presently may have or may enter into with the Commission, the User Agency, the City of Chicago, the Chicago Public Schools or the Chicago Park District. Architect acknowledges that in event of a default under the Agreement the Commission may also declare a default under any such other agreements.

Section 10.02 If an Event of Default occurs and continues, then the Commission may exercise any right, power or remedy permitted to it by law or in equity and has, in particular, without limiting the generality of the foregoing, the right to terminate the Agreement upon written notice to the Architect, in which event the Commission has no further obligations hereunder or liability to the Architect except as to payment for Services actually received and accepted by the Commission through the effective date of termination, subject to set off of any claims of the Commission against the Architect for failure to properly perform its services. No courses of dealing on the part of the Commission or delay or failure on the part of the Commission to exercise any right will operate as a waiver of such right or otherwise prejudice the Commission's rights, powers or remedies. The Commissioner's decision to

terminate the Agreement is not subject to claim or dispute under Article XI.

Section 10.03 Remedies Not Exclusive. No right or remedy in the Agreement conferred upon or reserved to the Commission is exclusive of any right or remedy provided or permitted under this Agreement or by law or equity, but each is cumulative of every other right or remedy given in the Agreement or now or hereafter existing at law or in equity or by statute or otherwise, and may be enforced concurrently or from time to time.

# Article XI. CLAIMS AND DISPUTES

Section 11.01 General. All Claims arising under, related to or in connection with the terms of this Agreement or its interpretation, whether involving law or fact or both, including questions concerning allowability of compensation, and all claims for alleged breach of contract will first be presented to the Authorized Commission Representative. The Architect will present all disputes which can not be resolved, by discussion with the Authorized Commission Representative, to the Executive Director for final determination, subject to Section 11.04 below.

Section 11.02 <u>Claim Procedure.</u> The Architect will make all requests for determination of claims in writing, specifically referencing this Section, and will include: 1) the issue(s) presented for resolution; 2) a statement of the position of the Architect; 3) the facts underlying the dispute; 4) reference to the applicable provisions of the Agreement by page and section; 5) identification of any other parties believed to be necessary to the resolution; and 6) all documentation which describes and relates to the dispute. The Authorized Commission Representative will have 30 business days to respond in writing to the Claim by supplementing the submission or providing its own submission. The Authorized Commission Representative will attempt to negotiate a resolution of the claim by agreement, but if a negotiated resolution is not achieved, the Authorized Commission Representative must provide a written ruling within 60 days of receipt of the Claim. However, if the Architect agrees in writing, an extension not to exceed sixty (60) days may be granted by the Executive Director. The Dispute must be filed within thirty (30) days of the receipt of the ruling by the Authorized Commission Representative.

Section 11.03 <u>Dispute Procedure.</u> In the event that the Authorized Commission Representative and Architect can not resolve the Claim, the Architect may file a Dispute to the Executive Director. The Dispute submission must be in writing and contain the information required in Section 11.02 above and be copied to the Authorized Commission Representative. The Authorized Commission Representative shall file a response within thirty (30) days.

Section 11.04 Executive Director's Determination. The Executive Director's final decision will be rendered in writing no more than 45 business days after receipt of the response by the Commission Representative was filed or was due unless the Executive Director notifies the Architect that additional time for the decision is necessary. The Architect must follow the procedures set out in this Section to receive the Executive Director's final decision. In the event the Architect disagrees with the Executive Director's final decision, the Architect may file a common law writ of certiorari in the Circuit Court of Cook County which shall be the sole and exclusive judicial remedy of the Architect. However, the Architect must have followed the procedures in this section as a condition precedent to filing a common law writ of certiorari. The Architect shall not withhold performance of any Services required by the Commission under this Agreement during the dispute resolution period.

Section 11.05 Architect Self-Help Prohibited. The Architect must never withhold performance of its Services by, for example, refusing to review and approve appropriately submitted invoices or pay applications, timely to make recommendations on Construction Manager claims, or promptly to issue other appropriate approvals needed by others where doing so would potentially harm third parties, such as subconsultants, the Construction Manager, or its subcontractors. Doing so to gain potential leverage in negotiating or settling the Architect's claims against the Commission or User Agency will constitute bad faith on the Architect's part. This provision is not intended to prohibit the Architect from exercising its well-considered professional judgment, however, in carrying out its duties and responsibilities under the Agreement.

# Article XII. CONFIDENTIALITY

All of the reports, information, or data prepared or assembled by the Architect under the Agreement are confidential, and except as may be necessary to perform its services the Architect must not make such reports, information or data must available to any party without the prior written approval of the Commission. In addition, the Architect must not, without the prior written consent of the Commission, prepare or distribute any news releases, articles, brochures, advertisements or other materials concerning the Agreement, the Project or the Services. If the Architect is served with a subpoena requiring the production of documents or information which is deemed confidential, the Architect will immediately notify the Commission in writing and provide a copy of the subpoena to the Commission in sufficient time for the Commission to attempt to quash, or take other action in relation to, the subpoena.

Architect acknowledges and understands that the Deliverables required by the Commission pursuant to this Agreement include the documents that the Commission will use to solicit bids for the construction of [name of project]. It is of the utmost importance

to the Commission that any and all information pertinent to such bids not be divulged to <u>any</u> third parties prior to the opening of bids for the Project. Accordingly, Architect and its subcontractors, of any tier, are expressly prohibited from divulging any information that might materially impact a bid for the Project to any person or individual that is not a party to this Agreement. Architect acknowledges and agrees that its obligations to the Commission with respect to information pertinent to bidding on the Project are those of a fiduciary, and that the Commission will hold Architect to the standard of care of a fiduciary in this respect.

#### Article XIII. ASSIGNMENT

The Architect acknowledges that the Commission is induced to enter into this Agreement by the personal qualifications of the principals, staff and employees of the Architect and, therefore, that neither the Agreement nor any right or obligation in the Agreement may be assigned by the Architect, in whole or in part, without the prior written approval of the Commission. For purposes of this paragraph, if the Architect undergoes a change in control, the change in control is deemed an assignment of the Agreement; a change in control is defined as a transfer of more than 50% of the equity ownership of the Architect during any 12-month period. In the event of an assignment by the Architect without the prior written approval of the Commission, the Commission will have the right to immediately terminate the Agreement without fault or responsibility. The Architect further acknowledges that the Architect represented to the Commission the availability of certain members of the Architect's staff who will be assigned to Project; therefore, in the event of the unavailability of such members for any reason, the Architect must so notify the Commission in writing, and must assign other qualified members of the Architect's staff, as approved by the Commission, to the Project.

# Article XIV. RELATIONSHIP OF PARTIES

Under the Agreement, the relationship of the Architect to the Commission is that of an independent contractor, and the Architect will have no right or authority to make contracts or commitments for or on behalf of the Commission, to sign or endorse on behalf of the Commission any instruments of any nature or to enter into any obligation binding upon the Commission. The Agreement will not be construed as an agreement of partnership, joint venture, or agency.

#### Article XV. GENERAL

Section 15.01 Architect's Authority. The Architect represents that its execution of the Agreement is authorized by a resolution of its Board of Directors, if a corporation, or similar governing document if a partnership or a joint venture, and the signatures(s) of each person signing on behalf of the Architect have been made with complete and full authority to commit the Architect to all terms and conditions of the Agreement, including each and every representation, certification and warranty contained or incorporated by reference in it.

Section 15.02 <u>Counterparts.</u> The Agreement may be executed in any number of counterparts, any of which will be deemed an original.

Section 15.03 Entire Agreement. The Agreement constitutes the entire understanding and agreement between the parties to this Agreement and supersedes any and all prior or contemporaneous oral or written representations or communications with respect to the subject matter hereof, all of which communications are merged in this Agreement. The Agreement must not be modified, amended or in any way altered except by an instrument in writing signed by both of the parties.

Section 15.04 Governing Law. The Agreement has been negotiated and executed in the State of Illinois and will be construed under and in accordance with the laws of the State of Illinois.

Section 15.05 No Waiver. The waiver by either party of any breach of the Agreement will not constitute a waiver as to any succeeding breach.

Section 15.06 Notices. All notices required to be given under this Agreement must be given in writing and must be hand delivered or sent by United States certified or registered mail, postage prepaid, addressed to the Commission or to the Architect at their respective addresses set forth above, as appropriate. If given as provided in this Agreement, such notice is deemed to have been given on the date of delivery, if delivered by hand, and on the second business day after mailing, if given by mail. The Commission or the Architect may, from time to time, change the address to which notices will be sent by giving notice to the other party in the manner provided in this subparagraph.

Section 15.07 Non-liability of Public Officials. No Commission Board member, employee, agent, officer, or official is personally liable to Architect or its subcontractors, and Architect and its subcontractors are not entitled to, and must not attempt to, charge any of them with liability or expense or hold them personally liable to Architect or its subcontractors under this Agreement.

Section 15.08 Severability. If any provision of the Agreement is held to be invalid or unenforceable by a court of competent jurisdiction, such provision will be severed from the Agreement and such invalidity or unenforceability will not affect any other provision of the Agreement, the balance of which will remain in full force and effect; provided, however, that if such provision is

deemed invalid or unenforceable as a matter of law, such provision will be deemed to have been modified so as to be valid and enforceable to the maximum extent permitted by law.

**Section 15.09** Successors and Assigns. Except as otherwise provided in the Agreement, the Agreement is binding upon and inures to the benefit of each of the parties to the Agreement and their respective successors and assigns.

**Section 15.10** Non-appropriation of Funds. If funds have not been appropriated in full or in part, the Commission has the right to terminate the Agreement. The Commission will not authorize the Architect to provide services under this Agreement unless sufficient funds are appropriated to pay for the services.

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# SCHEDULE A SCOPE OF SERVICES

# ARCHITECT OF RECORD SERVICES WILLIAM JONES COLLEGE PREPARATORY HIGH SCHOOL PS1547

# A. General Requirements

# I. Design of the Project

The Architect of Record for the Project will provide all Services required to complete the coordinated design of the Project. The use of design standards, developed by the Commission, in conjunction with the User Agency, is integral to a number of the goals of the Commission and the User Agency, including the implementation of a sustainable design in order to control future operations and maintenance costs.

The Commission has also designated the Authorized Commission Representative to assist the Commission in managing the Project and to have the authority, as specifically directed by the Executive Director, to act on its behalf. The Architect shall cooperate at all times with the Commission and its Authorized Commission Representative in the performance of the Services. Although it is anticipated that the Architect will interface and cooperate with representatives of the User Agency during the course of the Project, the Architect will take direction with respect to the Services solely from the Authorized Commission Representative.

As the Architect of Record, the Architect will (i) develop a comprehensive and responsible design for the Project in accordance with the Program Statement, (ii) prepare and stamp the construction documents that will be issued for all permits and necessary regulatory approvals, and for bids by the Commission, and (iii) assist the Commission in the oversight of the construction of the Project. The Architect is solely and completely responsible for the completion of the design of the Project, resulting in a complete and usable facility.

The Commission will provide the Architect with the following documents: 1) a Program Statement, provided by the User Agency, 2) the Design Management Manual and 3) the Design Standards, developed by the Commission and the User Agency.

# II. <u>Project Site and Program Components</u>

The site is bounded by South State Street to the east, West Polk Street to the south, a public alley to the west, and the existing William Jones College Preparatory High School to the north. The building is intended to serve a population of 1,210 students with the commensurate level of faculty and staff, and will serve as a replacement for the existing school to the north. Major programmatic components include a gymnasium and a natatorium with accessory locker rooms, fitness room, restrooms, offices and storage; a theatre with accessory dressing rooms, preparation areas, rigging, control rooms, audio/visual systems, ticket booth and storage; a library; a cafeteria with full-service kitchen; classrooms, including science and computer labs, art studios and performing arts rooms; administrative and student services offices; a green roof and roof garden; an underground parking garage; storage and mechanical/electrical rooms. The extent of sitework is defined as within the lines of the existing curbs and utility connections, and work in the existing public alley. The Commission intends to have the project built under a Construction Management At-Risk method.

The Commission and the User Agency have established the following as the preliminary Construction Budget: \$75,260,841.00.. The total gross building area is proposed to be approximately 268,000 square feet.

The Architect shall consult with the Commission, the User Agency, and others, as appropriate, regarding the goals and requirements of the Project, as well as required regulatory and client reviews.

# III. Terms of this Agreement

Commencement Date of Services: April 21, 2010

The term of this Agreement will terminate when all Services required have been completed to the reasonable satisfaction of the Commission.

IV. Organization of the Services

The Services will be divided into 7 phases: Conceptual Design Phase; Schematic Design Phase; Design Development Phase; Construction Documents Phase; Bidding Phase; Contract Administration Phase and Close-Out Phase. The Deliverables (and any other work product) of each of the phases must be approved by the Authorized Commission Representative in writing before commencement of the subsequent or dependent phase.

# V. Responsibilities of the Architect in Performing the Services

- A. The Architect shall use the Commission's project management software, as designated by the Authorized Commission Representative for all communications with the Commission, and the Authorized Commission Representative.
- B. The Architect is responsible for compiling their lessons learned during this Project, as well as reviewing the listing of lessons learned on previous projects provided by the Commission, and implementing such in the design and construction of the Project. The Architect shall not be relieved of its obligation to obtain the written approval of the Commission and User Agency in the event that the implementation of any lesson learned requires a material change to the Conceptual Design Package.
- C. The Architect will identify long lead items in the construction documents so as to enable the Construction Managers to order such items in a manner that maintains the Commission's Project Schedule, attached as Schedule C hereto.
- D. The Architect will certify its compliance with the Commission's Design Checklist for each phase of the Services. Such certification shall be a Deliverable for each phase of the Services.
- E. The Architect will perform its Services promptly, with sufficient staffing to achieve the dates in Schedule C, Project Schedule.
- F. Read and become completely familiar with and knowledgeable of both the form and substance of the Commission's bid documents, including Book 1, Requirements for Bidders, Book 2, General Conditions, Book 2A, General Conditions User Manual, and Book 3, Technical Specifications.
- G. All parts and phases of this Project are required to be designed in accordance with USGBC standards to achieve a minimum Leadership in Energy and Environmental Design (LEED) rating of Silver, or such other level as the Commission may designate; the requirements for the LEED rating designated by the Commission are set forth in the US Green Building Council LEED Reference Guide. LEED requirements are to be fully integrated into the bid documents, including drawings and specifications, and are included in the scope of the Architect's responsibilities with respect to contract administration.
- H. The Architect will retain a roofing consultant, and require the roofing expert to perform the following Services, as appropriate, during the phases identified in Section B, "Requirements by Phase," below: 1) review the roofing design, and any portions of the design that must be coordinated with the roof, at each phase of design completion, including, but not limited to, the review of shop drawings; 2) develop a field observation program for the Commission's review, coordinating site visits with critical installation activities 3) review all Construction Manager submittals, including shop drawings, with respect to the roof; 4) attend any and all pre-installation meetings pertaining to the roof; 5) perform field observation Services during the installation of the roof per the observation program schedule, to be submitted to, and approved by the Commission; 6) promptly alert the Authorized Commission Representative with respect to any issues during the installation, verify that the installation was performed pursuant to the manufacturer's instructions, and affirm to the Commission that the warranty has been provided to the Commission and is in full force and effect.

# II. PROJECT REQUIREMENTS BY PHASE

# A. Conceptual Design Phase

During the Conceptual Design phase, the Architect shall provide the following services:

- Analysis of the requirements of the Project, including confirmation of the conditions of the site and the survey, and consultation with the Commission to establish the design, and the functionality and financial feasibility of the Project.
- 2. Preparation of documents necessary to illustrate any required amendments to the public right-of-way.
- 3. Preparation and presentation of Conceptual Design options for the Project for review by the Commission and the User Agency. Preparation of Conceptual Design drawings and design studies, including materials, based upon analysis of Project requirements. Preparation of a general description of the scope of the Project and a preliminary estimate of probable construction costs.
- 4. Preparation of plans, sections, elevations, and narrative descriptions, as required, to describe the architectural, structural, mechanical, plumbing and electrical aspects of the selected design option, for use

in preparation of the preliminary estimate of probable construction costs by the Commission.

- 5. At the completion of the Conceptual Design services, transmit multiple hard copies, at the direction of the Authorized Commission Representative, and editable electronic copies of the final documents to the Authorized Commission Representative for review and transmittal to the User Agency. Prepare a written and oral report of the Conceptual Design phase for presentation to the User Agency. The presentation is to be made, as directed in writing by the Authorized Commission Representative.
- Prepare and issue hard copies of the Conceptual Design drawings, and narrative descriptions, as required, to the Authorized Commission Representative for the Conceptual Design milestone review.

# B. Schematic Design Phase

During the Schematic Design phase, the Architect shall provide the following Services:

- 1. Consultation with the Commission, the User Agency and others, as appropriate, regarding the goals and requirements of the Project, including the total Project Budget.
- 2. Continued analysis of the requirements of the Project, including confirmation of the conditions of the site and the survey, and consultation with the Commission to establish the conceptual design, and the functionality and financial feasibility of the Project.
- 3. Facilitate and document a sustainable design charette and follow up sessions with all subconsultants and such other participants as directed by the Authorized Commission Representative. The purpose of the charette is to confirm that the Project's target LEED™¹ rating of Silver is achievable and to develop the appropriate design strategies, for all project phases, so that this rating can be achieved or to make alternative plans if it is determined that the desired rating is not feasible.
- Preparation of documents necessary to illustrate any required amendments to the public right of way.
- 5. As required, prepare Request for Clarification submittals for PBC or User Agency questions.
- 6. Preparation and presentation of Schematic Design options, based upon analysis of Project requirements, for review by the Commission and the User Agency. Preparation of schematic drawings and design studies (including materials) Preparation and issuance of hard copies of the Schematic Design Drawings, Outline Specifications and Narratives to various stakeholders for the Schematic Design Milestone Review.
- 7. Preparation of plans, elevations, sections, outline specifications and narratives, as required, to describe the architectural, structural, mechanical, plumbing, fire protection and electrical aspects of the selected design option for preparation of an Estimate of Probable Construction Costs by the Commission.
- 8. In the event the Estimate of Probable Construction Costs exceeds the Construction Budget at the Schematic Design stage, the Architect will present one or more cost or scope reduction alternatives, as directed by the Authorized Commission Representative, which can be delivered within the Construction Budget.
- 9. As required, review the Schematic Design documents along with necessary value engineering items, if any, with the Authorized Commission Representative, in order to propose alternatives for aligning the Estimate of Probable Construction Costs with the Construction Budget for the Project.
- 10. Conduct and document preliminary reviews with required regulatory agencies, including, but not limited to, Bureau of Fire Prevention, Chicago Department of Transportation, Mayor's Office for People with Disabilities, and Office of Emergency Management and Communications.
- 11. Zoning: Preparation and revision of drawings/materials and attendance at various meetings required for the zoning process including, but not limited to, zoning analysis, zoning review, zoning intake, concept review by the City of Chicago Department of Zoning & Land Use Planning.
- 12. Conduct and prepare a code analysis package, including, but not limited to, the following components:
  - a) Occupancy classification
  - b) Construction type

- c) Occupant load by area and floor
- d) Travel distances
- e) Accessibility
- f) Exit types, units and widths
- g) Plumbing fixture counts
- h) Loading berths and parking requirements
- i) Fire resistance requirements
- 13. At the completion of Schematic Design Services, transmit two copies of the complete, and editable electronic version of the final milestone documents to the Authorized Commission Representative for review and for transmittal to the User Agency. Prepare a written and oral report of the Schematic Design phase for presentation to the User Agency. Presentation to be made as directed in writing by the Authorized Commission Representative.
- 14. Schematic Design Phase Deliverables include:
  - a) Certification of Compliance with the Commission's Design Checklist;
  - b) Building Construction Schematic Design Documents;
  - Sustainable Design Goals and target LEED checklist, including a detailed narrative describing project-specific strategies to achieve each credit;
  - d) Proposed Public Right of Way Amendment Plan;
  - e) Issuance of a zoning analysis package;
  - f) Issuance of a code analysis package;
  - g) Provide an initial utility coordination and public infrastructure plan;
  - h) Provide an initial energy simulation model using the DOE2 Modeling Software; and
- 15. Immediately upon the Authorized Commission Representative's review and written approval of the Deliverables of the Schematic Design Services phase, such written approval to be conveyed in a Notice to Proceed for the next phase of the Services, begin the next phase on the current and approved schedule.

# C. Design Development Phase

During the Design Development Phase, the Architect shall provide the following Services:

- 1. Consistent with the approved Schematic Design phase Deliverables (including drawings and design studies), Architect will prepare plans, elevations and other drawings and outline specifications necessary to illustrate the size and character of the Project, including kinds of materials, type of structure, mechanical and electrical systems and such other work as may be required (the "Design Development Documents").
- 2. Subject to the prior written consent of the Authorized Commission Representative, incorporate the Schematic Design Milestone Review comments into the Design Development Documents.
- Preparation and presentation of documents necessary for User Agency departmental approvals.
- 4. Develop a keyed furniture, fixture and equipment plan and schedule for review and approval. The plan must locate devices requiring any power, data, communication, low voltage wiring, security and life safety equipment for Commission and User Agency review and approval. The plan will also indicate any equipment requiring water supply, drainage, condensate lines and vents for each device or piece of equipment. Furniture product specifications will not be provided by Architect.
- 5. Develop a hardware and device location plan for Commission and User Agency review and approval.
- Develop a signage plan and specifications for Commission and User Agency review and approval.

- 7. Develop a Project Documentation Log based upon contract document requirements. A template for matrix development will be provided by the Authorized Commission Representative.
- 8. Work with the Authorized Commission Representative to review the Design Development Documents along with the necessary cost and/or scope reduction items, if any, with the Authorized Commission Representative and incorporate modifications and revisions into the Design Development Documents as required to align the Estimate of Probable Construction Costs with the Construction Budget for the Project.
- 9. At completion of the Design Development phase, transmit one complete set of the final Design Development Documents to the Authorized Commission Representative for review and for transmittal to the User Agency. Prepare a written and oral report of the Design Development phase for presentation to the User Agency. Presentation to be made as directed in writing by the Authorized Commission Representative. Upon written direction of the Authorized Commission Representative, incorporate User Agency comments into the Construction Documents.
- 10. Conduct and document preliminary reviews with required regulatory agencies, including, but not limited to, Bureau of Fire Prevention, Chicago Department of Transportation, Mayor's Office for People with Disabilities, and Office of Emergency Management and Communications.
- 11. Conduct and prepare a code analysis package, including, but not limited to, the following components:
  - 1. Occupancy classification
  - 2. Construction type
  - 3. Occupant load by area and floor
  - 4. Travel distances
  - Accessibility
  - Exit types, units and widths
  - 7. Plumbing fixture counts
  - 8. Loading berths and parking requirements
  - Fire resistance requirements
- 12. Prepare and issue hard copies of the Design Development Drawings, Outline Specifications, and Narratives to various stakeholders designated by the Authorized Commission Representative for the Design Development Milestone Review. Upon receipt of the review comments, the Architect will be required to respond in writing on the review form furnished by the Authorized Commission Representative.
- 13. Upon review of the Commission's Environmental Consultant's findings, develop a proposed Foundations/Underground/Site Preparation scope of work coordinated with the geotechnical consultant findings and the proposed utility service connections into the new building. The Foundations/Underground/Site Preparation design will include all work necessary to abate and demolish existing structures on the site, as well as to prepare the site both environmentally and geotechnically in order to implement the building construction and site development scope of work, including, but not limited to, the development of soil management strategies, foundations and underground structures that will be subject to the review and approval of the Commission. The Foundation/Underground/Site Preparation scope of work will also require the design of all utilities to be brought within 5 feet of the building perimeter. This proposed scope of work will be submitted to the Authorized Commission Representative for review and approval.
- 14. If the updated Estimate of Probable Construction Costs exceeds the Construction Budget then, upon written request of the Authorized Commission Representative, Architect must continue to revise, modify or correct any or all of the Project design, drawings and specifications, as necessary, in a manner satisfactory to the Commission until the correspondingly revised Estimate of Probable Construction Costs is within the Construction Budget. Such revisions, modifications and corrections in the plans, specifications and drawings will be submitted for review and approval to the Authorized Commission Representative within a reasonable time (not to exceed 30 days) after notice and direction by the Authorized Commission Representative. These Services shall be provided by the Architect without additional compensation or an extension to the Project

#### Schedule.

- 15. If the Authorized Commission Representative requests a change in scope of the Project, Architect shall revise or modify any or all of the Project design, drawings and specifications, as necessary, in a manner satisfactory to the Commission. If requested by the Authorized Commission Representative, and subject to the execution of a written Amendment in accordance with Section 4.13 of this Agreement, Architect will be compensated for the Additional Services on either a negotiated Lump Sum basis or in accordance with the Billing Rates established in accordance with the requirements of Schedule D.
- 16. Design Development Phase Deliverables include:
  - Certification of Compliance with Commission's Design Development Checklist;
  - 2. Building Construction Design Development Documents (including specifications):
  - Documentation for User Agency Departmental Approvals including, but not limited to:
    - a. Issuance of approved Furniture, Fixture and Equipment Plan and Schedule;
    - Issuance of approved Hardware and Device Location Plan and Schedule;
  - Issuance of Submittal and Closeout Matrix;
  - 5. Updated LEED checklist and detailed narrative; indicating all changes from prior submittal;
  - 6. Updated Stormwater Analysis and Management Proposal;
  - 7. Proposed Public Right of Way Amendment Plan;
  - 8. Provide an updated energy simulation model;
  - 9. Issuance of initial MEP coordination documents;
  - Issuance of compilation of issued Meeting Minutes, through Commission's file management software;
  - 11. Issuance of code analysis package;
  - 12. Provide a complete utility coordination and public infrastructure plan;
  - 13. Issuance of milestone packages for review:
  - Response to milestone review comments;
  - 15. Immediately upon the Authorized Commission Representative's review and written approval of the deliverables of the Design Development phase, begin the next phase on the current and approved schedule.

# D. Construction Documents Phase

During the Construction Documents phase, the Architect shall provide the following Services:

- 1. Consistent with the approved Design Development Documents, Architect will prepare all Construction Documents as necessary to obtain bids for the construction of the project. Milestone reviews will be performed at 60%, 90% and 100% completion on the dates listed in Schedule C Project Schedule, including architectural and engineering working drawings, designs, plans, calculations and specifications set forth in detailed construction industry standard elements, as required for the architectural, structural, civil, mechanical, electrical, plumbing, heating, ventilation, air conditioning, fire protection, service-connected equipment and site work. At every milestone of completion, provide the Commission with editable electronic drawing files in the most current version of CAD as well as multiple hard copies at the direction of the Authorized Commission Representative.
- 2. Prepare and deliver 60%, 90% and 100% Construction Documents including modifications and revisions, as directed by the Authorized Commission Representative.
- Using a 60% complete set of Construction Documents, reflecting all improvements described for the

Project provide a current Estimate of Probable Construction Cost containing (AOR's Estimate):

- a) A narrative overview of the updated AOR's Estimate of Probable Construction Cost compared to the Construction Budgets (or the Revised Construction Budgets established during Design Development).
- b) AOR's Estimate of Probable Construction Cost must include a summary and division breakdown. The summary must include a concise description of the basis for the estimate, including source of pricing information, estimating methods, and descriptions for any mark-ups, factors, and allowances included for items such as escalation, Construction Manager overhead and profit, and market conditions.
- c) A detailed comparison and reconciliation of the AOR's Estimate of Probable Construction Cost with the current and previous Estimate of Probable Construction Cost prepared by the Commission. An explanation of any variance by component organized by CSI format or other appropriate format as directed by the Authorized Commission Representative must be included with the comparison and reconciliation.
- A summary of all approved Construction Budget revisions.
- 4. If the AOR's Estimate of Probable Construction Costs exceeds the Construction Budget, then, upon written request of the Authorized Commission Representative, Architect must continue to revise, modify or correct any or all of the Project design, drawings and specifications as necessary in a manner satisfactory to the Commission until the correspondingly revised AOR's Estimate of Probable Construction Costs is within the Construction Budget. Such revisions, modifications and corrections in the plans, specifications and drawings will be submitted to the Authorized Commission Representative within a reasonable time (not to exceed 30 days) after notice and direction by the Authorized Commission Representative. These Services shall be provided by the Architect without additional compensation or any extension of time for the performance of the Services.
- 5. If the Authorized Commission Representative requests a change in scope of the Project, Architect shall revise or modify any or all of the Project design, drawings and specifications as necessary in a manner satisfactory to the Commission. If requested by the Authorize Commission Representative, and subject to the execution of a written Amendment in accordance with Section 4.13 of this Agreement, Architect will be compensated for the Additional Services on either a negotiated Lump Sum basis or in accordance with the Billing Rates established in accordance with the requirements of Schedule D.
- 6. Prepare an Inspection and Testing Plan as part of the 90% complete construction documents. The plan must be in spreadsheet format, following the specification section numbering system. Each inspection, test and required certificate will be identified by specification section number. The Authorized Commission Representative will identify the testing firm(s) that will be used on the Project, and provide a sample inspection and Testing Plan for use of the Architect. The Inspection and Testing Plan must provide for:
  - Verification of responsibilities for providing inspections, tests and certificates.
  - b) Scope of services for the testing and inspection services RFQ.
  - c) A scorecard to monitor the completion of required inspections and tests, and the submittal of required certificates.
- 7. At the completion of each Construction Document milestone (60%, 90% and 100%), transmit hard copies of the milestone documents to the Authorized Commission Representative for review and transmittal to the User Agency. Prepare a written and oral report of the Construction Document phase for presentation to the User Agency. Presentation to be made as directed in writing by the Authorized Commission Representative. Subject to the prior written direction of the Authorized Commission Representative, incorporate User Agency comments into the subsequent phase of the Construction Documents.
- 8. Commission's Performance Evaluation of Construction Documents: The Commission will review the Architect's performance in providing Construction Documents at 60% completion of the construction

- documents and after the project has been bid. The Architect may be required to attend a meeting to discuss its performance review.
- Conduct and document any reviews with required regulatory agencies, including, but not limited to, Bureau of Fire Prevention, Chicago Department of Transportation, Mayor's Office for People with Disabilities, and Office of Emergency Management and Communications.
- 10. Update the code analysis package, including, but not limited to, the following components:
  - a) Occupancy classification;
  - b) Construction type;
  - c) Occupant load by area and floor;
  - d) Travel distances;
  - e) Accessibility;
  - f) Exit types, units and widths;
  - g) Plumbing fixture counts;
  - h) Loading berths and parking requirements;
  - i) Fire resistance requirements.
- 11. Prepare and issue hard copies of the Construction Document Drawings, Outline Specifications, and Narratives to various stakeholders designated by the Authorized Commission Representative for the Construction Document Milestone Reviews. Upon receipt of the review comments, the Architect will be required to respond in writing on the review form furnished by the Authorized Commission Representative.
- 12. At the 60% Construction Document milestone, prepare and issue hard copies of the Foundation/Underground/Site Preparation Bid Package defining the scope of the Foundation/Underground/Site Preparation Bid Package inclusive of necessary demolition, excavation or geotechnical activities; foundations and underground structures; site utility service termination, rerouting or connection scope of work; coordination of environmental scope of work with the Commission's environmental consultant.
- On or before the date identified in Schedule C, Project Schedule, prepare and issue a superstructure/steel mill order/long lead item bid package illustrating the scope of work for the superstructure and suitable for bidding and placing a mill order for structural steel and including long lead items as agreed to by the Commission and Architect in coordination with the Construction Manager.
- 14. Construction Document Deliverables for each milestone (60%, 90% & 100%) include:
  - Certification of Compliance with Commission's Design Checklist;
  - Issue updated Submittal and Closeout Matrix;
  - Building Construction Documents (including specifications);
  - Estimate of Probable Construction Cost in the format provided by the Commission, at 60% Construction Documentation milestone
  - e) Updated LEED checklist and detailed narrative, indicating all changes from prior submittal;
  - f) Updated Stormwater Analysis and Management Proposal;
  - g) Compilation of issued meeting minutes, through Commission's file management software;
  - h) Issuance of updated zoning analysis package and required rezoning documentation as required;

- i) Provide an updated energy simulation model in DOE2 software;
- j) Issuance of updated code analysis package;
- k) Issuance of updated MEP coordination documentation;
- Issuance of milestone packages for review.
- Submittal package for second Commissioning Authority review at 90% CD, including all systems and equipment to be commissioned. Specific requirements are as indicated in the Commission's Design Management Manual.
- 16. Immediately upon the Commission's review and written approval of the deliverables of each Construction Documents phase (60%, 90% and 100%, begin the next phase on the current and approved schedule.
- Using a 60% complete set of construction documents, Architect shall prepare coordination documents to confirm that the various elements of the Architect's Construction Documents are sufficiently coordinated to support an accurate bid process and minimize the potential for change orders during the construction phase of the project. The Architect will resolve any known conflicts prior to issuing the Bid Set of documents. Coordination documents shall address the following, at a minimum:
  - a. Limited available space for installation or service. Architect shall overlay plans of each design discipline and verify space requirements and conflicts between trades and/or disciplines. Architect shall make revisions to the design drawings to resolve conflicts between various disciplines.
  - b. Incompatibility between items provided under different disciplines (such as difference in voltage between equipment specified under Division 15 and electrical power provided under Division 16).
  - c. Inconsistencies between drawings and specifications (between disciplines and within each discipline).
  - d. As required to manage discipline coordination, the Architect must prepare multi layered, color-coded CAD drawings to manage discipline coordination, resolve conflicts, and present the findings of coordination process to the PBC's design review team. The Architect will provide reproducible and CAD drawing files of these documents to the PBC.
- At a minimum, the Architect must prepare a combination of elevation and plan detail sections in areas where large services and/or a significant concentration of smaller services share adjacent space. As part of the 60% Design Review, the Architect will propose for the Commission's concurrence, the locations where these coordination details will be prepared. These details will typically be prepared for the following areas:
  - a) Above ceilings in corridors to confirm that the services, fixtures, and other devices can fit between the designed ceiling height and the bottom of any structural members or other obstructions. The horizontal spacing of these items will also be reviewed to confirm that desired locations of lighting fixtures and other devices can be achieved.
  - b) Slabs where services would logically be installed within the slab on grade or on deck. The Architect will confirm that these services can fit within the slab cross section without compromising the structural integrity of the slab. Any limitations on embedded services will be noted on the construction documents.
  - c) Areas and/or rooms where a significant number of services converge. This includes mechanical rooms, MDF rooms, IDF rooms, electrical closets, fire pump rooms, and any other areas or rooms where the coordination of individual or multiple services are required with multiple disciplines. Where a significant number of services penetrate a wall, floor, ceiling, or roof in close proximity, the Architect will design and detail an appropriate chase with respect to structural elements, code issues, and proper installation of the services.
  - d) Within mechanical, equipment, and other specialty rooms to confirm that the required

equipment, panels, racks, fixtures, ventilation, and other equipment, along with the services entering these rooms will fit within the designed space and layout. Checks will be made for door swings, as well as, equipment accessibility into and within the room.

- e) Locations on the site or under the building where major existing or new utilities come in close proximity to each other and/or other new or existing structures. This would include locations where these services enter the building or penetrate the foundations.
- 19. The Architect will prepare documents that confirm that the appropriate power, communication, and other low voltage services are shown running to and from each required device/fixture and back to the appropriate originating or receiving location are included in the design. This coordination may be a represented by a composite device/service schedule that cross references the appropriate interface points.
- 20. The Architect will prepare documents that confirm that water supply, drainage, condensate lines, and vents for each required device, fixture, and piece of equipment are included in the design.
- 21. The Architect will be responsible for the overall coordination review. As each coordination document is completed, the Architect will review and resolve significant conflicts. The Architect must resolve all known conflicts prior to issuing the bid documents. Any items where the Architect recommends leaving coordination to the Construction Manager must be specifically reviewed by the Architect with the Commission's design review team.
- 22. Attend the Commission's internal Bid Package Review Conference where the Commission and User Agency will verify that the construction documents, including the coordination documents, prepared by the Architect are ready to issue for bids.
- 23. The earth retention system design shall be the responsibility of the Construction Manager or its Subcontractor, with general overview by the Architect's structural engineer.

# E. Bidding Phase

During the Bidding Phase, the Architect shall provide the following services:

- Assemble and review Bid Documents for up to three (3) bid packages as required by the Commission. Bid Documents for each bid package shall include without limitation, all drawings, technical specifications, Commissioning Authority Design Intent and Commissioning Plan as applicable.
  - a) The Commission anticipates the three bid packages to be the following: (1) a Foundation/Underground/Site Preparation bid package; (2) superstructure or steel mill order bid package (may also include long lead-time items), and (3) a final Issue for Bid package. These bid packages shall be in addition to the construction document milestone drawing issuances identified in the previous section.
  - b) The final Issue for Bid package shall be based on the 100% Construction Documents.
- Attend bid package review meetings with the Commission and Construction Manager for each of the three bid packages identified in item 1 above. Architect shall not be required to attend individual pre-bid meetings for trade contractor bid packages..
- In consultation with the Commission and the Construction Manager, prepare addenda and respond to bidders
  written questions through the Construction Manager for bid packages or trades as necessary.
- 4. Upon written direction from the Commission, Architect shall incorporate value engineering and/or Construction Manager input regarding design, scope, quality, drawings, specifications, deletions and substitutions as necessary into the project documents as necessary to ensure that the lowest responsive responsible bidder for each trade and the overall Cost of the Work and GMP are within the Construction Budget. The right of the commission to require any such revisions(s) shall not be exhausted by a single revision or re-bid, but will be a continuing right until the lowest responsible responsive bidder is within the construction budget.
- 5. Upon written direction from the Commission, assist the Construction Manager in the solicitation of new bids as necessary.
- 6. If the Authorized Commission Representative requests a change in scope of the Project, Architect shall revise or modify any and all of the Project design, drawings and specifications as necessary in a manner satisfactory to the Commission. Any and all design and engineering services required to meet the established preliminary

Construction Budget, Program Standards or Design Standards shall not be deemed as a change in scope of the Project and as such will not be subject to additional compensation, with the exception of those services that may be required pursuant to Paragraph II.E.7 below. If requested by the Authorized Commission Representative, and subject to the execution of a written Amendment in accordance with Section 4.13 of this Agreement, Architect will be compensated for the Additional Services on either a negotiated Lump Sum basis or in accordance with the Billing Rates established in accordance with the requirements of Schedule D.

7. If the Architect is required to perform revisions or provide re-design or re-engineering services due failure of the Commission's Cost estimator or Construction Manager to meet its professional standard of care in developing its Estimate of Probable Construction Costs, subject to the execution of a written Amendment in accordance with Section 4.13 of this Agreement, the Architect will be compensated for the re-design or re-engineering as Additional Services on either a negotiated Lump Sum basis or in accordance with the Billing Rates established in accordance with the requirements of Schedule D.

### F. Contract Administration Phase

During the Construction Administration Phase, the Architect shall provide the following Services:

- i. Attend and participate in regularly scheduled: (i) weekly Project meetings, and (ii) monthly pay applications meetings for approval of Construction Manager pay requests. Provide no less than twelve (12) hours of field observation of the construction per week in order to monitor the progress and conformance of the permanent features of the work to the requirements of the Contract Documents. The Architect's on-site representative shall not be removed or replaced before final completion of the Project without the prior written approval of the Authorized Commission Representative. The Architect's on-site representative will be removed immediately upon written request of the Authorized Commission Representative.
- ii. If necessary during construction, interpret the meaning and intent of the Contract Documents, and with the Authorized Commission Representative's concurrence, transmit such information to the Construction Manager. If requested by the Authorized Commission Representative, make recommendations on any claims between the Commission and any Construction Manager with whom the Commission has a contract relating to the Project and any other matters relating to the execution and progress of the work or the interpretation of the Contract Documents.
- iii. Unless the Commission specifies, in writing, a shorter or longer time period, within 5 business days following receipt, the Architect must comment upon and submit to the Authorized Commission Representative Architect's responses to requests for approval of subcontractors, delivery schedules, material lists, shop drawings, samples, and other submittals. However, the parties acknowledge that the Architect's internal costs and efficiencies during the construction phase are dependent on the Construction Manager's submittals and inquiries conforming to pre-approved schedules and deadlines. Any time limits for the Architect's review of shop drawings or other submittals is conditioned upon the Construction Manager's preparing and obtaining the Architect's approval of a master schedule of submittals and subsequently transmitting the submittals to the Architect in accordance with this schedule. Additionally, if after commencement of construction, the Commission requests Architect to review and analyze a requested product or material substitution, the Architect shall undertake such review only as an Additional Service and after obtaining the Commission's approval to do so.
- iv. Provide and distribute Construction Documents and explanatory sketches as required during construction. Review and approve samples, shop drawings, product data, as-built drawings, and other submissions for compliance with the design concept of the Project and fulfillment of the Construction Manager's obligations as set forth in the Contract Documents.
- v. Provide a certified roofing consultant on the Project Site throughout the construction and installation of the roof for the Project.
- vi. Implement the Commission's specifications and procedures for processing scope changes, including applications for extensions of time. Receive and review all proposals, revisions in drawings and change orders requested by the Construction Manager, Commission, User Agency, or as required by

- unforeseen conditions in the field, and make recommendations regarding practicality, costs, unit prices, time and material changes, effect on completion schedule and risk to the project.
- vii. Submit recommendations to the Authorized Commission Representative for approval before instituting any changes to the requirements of the Contract Documents. Process and prepare all bulletins, proposals, revisions in drawings and change orders approved by the Commission. Monitor all scope changes during construction to ensure compliance with approved revisions.
- viii. Identify instances of non-conformance of the Work, document such instances in a manner acceptable to the Authorized Commission Representative, and assist the Authorized Commission Representative in providing notice to Construction Manager of such instances of non-conformance as necessary.
- 9. Issue clarifications for proper execution of the Work required by the Contract Documents; provided, however, the Architect shall not have control or charge of and will not be responsible for construction means and methods, techniques, sequences or procedures, or for safety precautions and programs in connection with the Work or for the act or omissions of the Construction Manager, subcontractors or any other persons performing any of the work in accordance with the Contract Documents. Notwithstanding any contrary or potentially ambiguous description of the Architect's Services, it is intended that the Architect shall have no responsibility for jobsite safety on the Project. The Construction Manager and Subcontractors shall have full and sole authority for all safety programs and precautions in connection with the Work. When the Architect is present at the site, such presence shall be only for the purpose of reviewing the Work for deviations from the Construction Documents or defects, and the Architect shall have no authority to take any action whatsoever on the site regarding safety precautions or procedures.
- 10. Maintain RFI and Bulletin logs in a format acceptable to the Authorized Commission Representative.
- 11. Review the Work to establish preliminary acceptance of the Project.

#### G. Close-Out Phase

During the Project Close-Out Phase, the Architect shall provide the following Services:

- Conduct a comprehensive final walk-through of the Project with the Authorized Commission Representative and User Agency to verify that the materials furnished and the work performed are substantially compliant with the contract documents.
- The AOR is responsible for facilitating a walkthrough on site with the Authorized Commission Representative, Commissioning Authority and User Agency to review punch list items identified in the Construction Manager prepared initial punch list. The AOR will consolidate and prepare punch lists indicating the items of work remaining to be accomplished before a Certificate of Final Acceptance will be issued. The Architect shall provide a reasonable subdivision of punchlists. One subsequent review for each punchlist will be provided. The Construction Manager shall be responsible for completing all punch-list items in accordance with its agreement with the Commission before requesting Architect's final review. Prepare certificates of preliminary and final completion in consultation with the Commission and the User Agency.
- Oversee the Construction Manager's efforts to assemble and deliver to the Commission all guarantees, warranties, operating and maintenance manuals required by the Contract Documents.
- 4. The User Agency requires a set of record drawings prepared and coordinated by the Architect. This set of record drawings must be provided in editable, CAD format. The Architect shall, accordingly, oversee the Construction Manager's efforts to expedite the preparation and delivery of the Construction Manager's own record, "as-built" drawings and operations and maintenance manuals of the Project in accordance with the specifications. The "as-built" documents will be subject to the approval of the Commission. Submit approved "as-built" documents to the Commission upon completion of the Project. The Construction Manager will remain responsible for determining as-built conditions and incorporating the same into the as-built documents.
- 5. Upon completion of the construction contract and all "punch list" items in accordance with the Contract Documents, issue a Certificate of Final Acceptance. A Certificate must not be issued by the Architect until, to the best of its knowledge, information and belief, all work has been completed in accordance with the Contract Documents.

- 6. Post Construction Review. The Commission will review Architect's performance in providing services during construction after the project punch list is complete. The Architect will be required to attend a meeting to discuss the performance review.
- 7. Project Close-Out Approval Form. The Architect shall draft and complete the Project Closeout Approval Form for the Project. A sample form is attached to the Scope as Exhibit 1.
- Recalibrate the design phase energy model to incorporate actual operational, utility and weather information collected during the first 11 months that the building has been occupied and any changes made to the design during construction.

# III. ADDITIONAL RESPONSIBILITIES AND REPRESENTATIONS WITHIN THE ARCHITECT'S BASE SCOPE OF SERVICES

#### Architect shall:

- A. The Architect is solely responsible for the development of the Project specifications. Specifications must comply with the following criteria: On projects where template specifications are furnished by the Commission, the Architect is responsible to amend any template specifications sections which do not adhere to the following criteria.
  - Specifications will follow performance criteria outline format.
  - 2. Specifications will identify acceptable manufacturers.
  - 3. No proprietary specifications will be permitted without written authorization from the Authorized Commission Representative.
  - 4. On projects where template specifications have been provided, the Architect is responsible for the development of any specifications which have not been provided. The Architect is responsible for the verification of all manufacturer names and model numbers as well as the compatibility with other systems and materials specified. Further, the Architect is responsible for verifying that each cited acceptable manufacturer is capable of providing the product as documented in the performance criteria. Deviations from major systems, materials or specialty items must be approved in writing on projects where template specifications have been provided.
- B. Facilitate and document the value engineering process. Evaluate proposed building systems as to quality, first cost and life cycle cost, impact on LEED certification, constructability, material and product availability. Propose alternate materials and system assemblies as well as the resultant cost savings opportunities.
- C. Provide assistance in coordinating and securing all necessary and applicable orders, ordinances, permits, licenses, fees, or other approvals, that are required by local, state and federal agencies to permit construction of the Project. Such assistance will include conferences with and presentations to appropriate regulatory agencies, including the Department of Buildings and the Fire Prevention Bureau of the City of Chicago and other governmental bodies. Coordinate all aspects of the Project with any quasi-public agencies or utility companies involved in the Project.
- D. Oversee the Construction Manager's procurement and assembly of all required permits, licenses, and certificates from the Construction Manager and arrange delivery of same to the Commission.
- E. Energy Simulation Modeling Using Department of Energy DOE 2 Software. Using the DOE2 Energy Modeling Software, model the energy use of the building and provide both a hard copy and electronic version on a compact disk of the input and the output. The information provided regarding the input and output will become the property of the Public Building Commission. An updated model must be provided with each milestone submittal during the design of the Project.
- F. The Architect will be responsible for infrastructure coordination and design integration of any owner-furnished furniture, fixture and equipment (e.g., furniture, communication equipment, sound systems, security/surveillance cameras, photovoltaic panels or geothermal panels, public art).
- G. The Architect will be responsible for assisting the Commission with any documentation and coordination necessary to facilitate amendments to the public right of way.
- H. Administer the Project's LEED compliance and submittal program, including providing all submittals to the USGBC. Administer LEED compliance as part of Architect's construction administration for the project.

- 1. Register the project as a LEED project in the Schematic Design Phase.
- Coordinate, assemble and submit the design phase package to the LEED Authority during the Bid/Award phase.
- Review construction phase LEED submittals for completeness and compliance with LEED credit
  requirements. Provide comments, in Architect's role as project LEED administrator. Expedite review
  and submittal of construction phase submittals to the LEED Authority at the completion of
  construction.
- Coordinate responses to LEED Authority review: compile reviewer comments, assign responsibilities
  to required responders, establish schedule for responses to ensure timely re-submittal, review
  responses for compliance with credit and comment requirements, and re-submit to LEED Authority.
- 5. Submit Credit Interpretation Requests (CIR) at the direction of the Authorized Commission Representative.
- I. The Architect will be responsible for the utility coordination and public infrastructure aspect of the Project including, but not necessarily limited to, the following:
  - 1. Present the Project to the Commission's Utility Roundtable Meeting attended by each public utility and coordinated by the Commission's Deputy Director of Utility Coordination. The Architect will assist the Deputy Director of Utility Coordination as necessary.
  - Meet with the engineers from Commonwealth Edison to determine if infrastructure relocations will be required. Provide all necessary assistance and coordination for the relocations.
  - Provide Commonwealth Edison with the electrical service requirements for the new facility. Provide
    necessary assistance and coordination for the new service. Assist and monitor the transition to
    permanent power for the facility.
  - Meet with the engineers from AT&T to determine if infrastructure relocations will be required. Provide the necessary assistance and coordination for the relocation(s).
  - Provide AT&T with voice and data service requirements for the new facility. Provide the necessary
    assistance and coordination for the new service.
  - 6. Meet with the engineers from People's Energy to determine if infrastructure relocations will be required. Provide the necessary assistance and coordination for the relocation(s).
  - 7. Provide People's Energy with gas service requirements for the new facility. Provide the necessary assistance and coordination for the new service.
  - 8. Meet with the Department of Water Management to review and gain approval for water service and sewer design. Provide the necessary assistance and coordination for the new service.
  - Meet with the engineers from the City of Chicago Department of Streets and Sanitation, Bureau of
    Electricity to determine if infrastructure relocations or new street lighting will be required. Provide the
    necessary assistance and coordination for the relocations and new lighting.
  - Meet with the Fire Prevention Bureau to determine whether infrastructure relocations or new hydrants will be required. Provide the necessary assistance and coordination for the relocations and the new hydrants.
  - Meet with the Office of Emergency Management and Communications to determine whether infrastructure relocations or new infrastructure will be required. Provide the necessary assistance and coordination for the relocations and new infrastructure.
  - Meet with the Chicago Department of Transportation, including the Office of Underground Coordination and the Chicago Transit Authority, to determine whether infrastructure relocations or new infrastructure will be required. Provide the necessary assistance and coordination for the relocations and new infrastructure.
- J. The Architect shall participate and document all "lessons learned" throughout the design and construction phases of the Project. The intent of this exercise is to conduct a comprehensive design review, thereby documenting

ways in which future projects may be improved during this and future implementations of the school designs.

- K. Assist the Commission with warranty inspection at 11 months following Substantial Completion of the Project.
- L. If the Architect takes any photographs of the Project for any purpose, Architect shall provide a complete set of such photographs, in digital format, to the Commission.
- M. The Commission and Architect will establish regular meetings for coordination and to review design progress. Meetings will occur weekly, or as needed, depending on the design phase and its requirements. Meetings will be attended by Architect's Principal or Project Manager(s) and other key architectural personnel on an as-needed basis appropriate to the stage of design. Architect's consultants will attend on an as-needed basis.
- N. Architect will attend periodic design meetings with the Commission, which will include the User Agency to review plans and sections showing functional adjacencies and the in-progress general architectural design.
- O. Renderings and presentation-quality models, which may be requested by the Commission, will be included as an allowance under "Reimbursable Consultant Allowances."
- P. The Architect will produce drawings using Building Information Modeling (BIM) software. Architect will use this "building modeling" software to produce deliverables required by the Commission. Should any Construction Managers want to obtain the Architect's electronic drawings, any costs associated with formatting and transfers to the Construction Managers will be paid by the Construction Managers to the Architect. Use of BIM will be for architectural, structural and MEP drawings, but not other consulting disciplines.
- Q. Architect's services associated with public presentations shall include the following:
  - Architect will supply to Commission any drawings which are required deliverables described elsewhere in the Scope of Services. The Commission shall prepare all presentation materials including any required use of PowerPoint software.
  - Public presentations are defined as those to neighborhood groups, City agencies not directly involved with permit approvals as described elsewhere in this Agreement, non-associated groups such as the Parks and Open Space Committee and presentations to Chicago Public Schools (other than presentations during the Periodic Design Meetings described previously). Architect's attendance at such meetings are limited to two (2) under Basic Services. An allowance for additional meetings and presentations is included under "Allowances/Special Considerations".

#### IV ADDITIONAL SERVICES

The following Additional Services may be authorized in writing by the Commission. If requested by the Authorized Commission Representative, and subject to the execution of a written Amendment in accordance with Section 4.13 of this Agreement, Architect will be compensated for the Additional Services on either a negotiated Lump Sum basis or in accordance with the Billing Rates established in accordance with the requirements of Schedule D:

- A. Architect may be required to provide detailed specifications and coordinate the bidding and installation of Fixtures, Furnishings and Equipment (FF&E) not covered in the Project, sculpture, murals and other related features and special equipment not included in the construction contract.
- B. Architect may be required to provide consultation concerning replacement of any work damaged or destroyed by fire or other cause during construction and furnish additional services as may be required in connection with the replacement of the work.
- C. Architect may be required to provide additional services made necessary by the default of the Construction Manager in the performance of the construction contract.

# SCHEDULE B PROJECT DOCUMENTS

# ARCHITECT OF RECORD SERVICES WILLIAM JONES COLLEGE PREPARATORY HIGH SCHOOL PS1547

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# SCHEDULE C PROJECT SCHEDULE

# ARCHITECT OF RECORD SERVICES WILLIAM JONES COLLEGE PREPARATORY HIGH SCHOOL PS1547

# A. Foundations/Underground/Site Preparation:

Foundation/Underground/Site Preparation Bid Package shall be completed not later than December 21, 2010:

# B. Superstructure/Steel Mill Order:

Superstructure/Steel Mill Order Bid Package shall be completed not later than March 08, 2011..

# C. Building: Conceptual Design Phase:

Conceptual Design: Conceptual Design documents shall be completed not later than June 16, 2010.

# D. Building: Schematic Design Phase:

Schematic Design: Schematic Design documents shall be completed not later than August 24, 2010.

# E. Building: Design Development Phase:

Design Development Documents shall be completed not later than October 26, 2010.

# F. Building: Construction Documents Phase:

- 1. 60% Construction Documents: 60% Construction Documents shall be completed not later than January 11, 2011.
- 2. 90% Construction Documents. 90% Construction Documents shall be completed not later than March 08, 2011.
- 3. 100% Construction Documents. 100% Construction Documents shall be completed not later than April 05, 2011.

#### G. Building: Construction Phase Services:

Construction of the Project shall be considered to commence upon a date set forth in a Notice to Proceed on Construction Services to the Construction Manager or upon the date the first bid package is issued by the Commission to the Construction Manager — which date of commencement of Construction Services is anticipated to be in late 2010 or early 2011 for Foundations/Underground/Site Preparation work. The Construction Manager shall achieve Substantial Completion of the Project no later than July 1, 2013 and shall complete all punch list work by August 15, 2013 in order to allow for a September 3, 2013 facility opening with all Work complete pursuant to the Commission's Agreement with the Construction Manager ("CM Agreement"). The Date of Final Completion (as defined in the CM Agreement) shall be no later than 90 days after the date of Substantial Completion.

#### H. Building: Time of Completion

Time of completion for the Schematic Design, Design Development 60%, 90%, and 100% Construction Documents, Bid and Award, and Construction Phase Services to be provided shall be as stated above. Any time adjustments to the above phases shall be authorized in writing by the Commission.

#### I. The Architect

The Architect shall perform the requested services based on the terms and conditions stated in this Agreement.

# SCHEDULE D COMPENSATION OF THE ARCHITECT

# ARCHITECT OF RECORD SERVICES WILLIAM JONES COLLEGE PREPARATORY HIGH SCHOOL PS1547

# I. ARCHITECT'S FEE

A. The Commission shall pay the Architect for the satisfactory performance of the Services a Fixed Fee of \$5,431,930.00. The Fee will be allocated and payments made on a monthly on percent complete basis as follows:

#### Allocation of Fee:

Foundations/Underground/Site Preparation			\$ 366,500.00
Scope Development	35%	\$128,275.00	
Construction Documents	40%	\$146,600.00	
Bidding Phase Services	5%	\$ 18,325.00	
Construction Phase Services	20%	\$ 73,330.00	
Design/ Engineering of Building:			\$5,065,430.00
Conceptual Design	12%	\$ 607,851.60	
Schematic Design	10%	\$ 506,543.00	
Design Development	20%	\$1,013,086.00	
Construction Documents	33%	\$1,671,591.90	
Bidding Phase Services	3%	\$ 151,962.90	
Construction Phase Services	20%	\$1,013,086.00	
Project Close-out	2%	\$ 101,308.60	
Allowances/Special Considerations			
Public Presentations	100%	\$ 30,000.00	

- B. Architect's Fee will include consultant's profit, overhead, general conditions, and all items not specifically identified as Reimbursable Expenses.
- C. Allowances/Special Considerations are not included in the Architect's fee. The amount provided is an estimate of the cost of activities, which may be requested by the Commission, and for which an agreed upon amount has been established. If the Commission requests such services, Architect will be compensated for the Additional Services on either a negotiated Lump Sum basis or an hourly not to exceed basis.

# II. BILLING RATES AND COMPENSATION FOR ADDITIONAL SERVICES

A. The Commission shall compensate the Architect for Additional Services on either a negotiated Lump Sum Fee basis or a Time Card Not-to-Exceed Fee basis as agreed to by the Architect and approved by the Commission in the form of an Amendment issued in accordance with Section 4.13 of this Agreement. In the case of Time Card billings, rates of reimbursement for the Architect's employees (and employees of any Subconsultant performing Additional Services) will be the actual base salaries paid to the specific employee performing the services times a 2.5 multiplier.

The 2.5 multiplier will fully compensate the Architect for all direct and indirect costs associated with the Additional Services. Indirect costs included in the multiplier shall constitute full and complete compensation to the Architect for labor burden costs (including Workers' Compensation insurance, FICA, SUTA, health benefits, long term disability benefits, pensions and similar contribution and other statutory and non-statutory employee benefits), indirect administrative

expenses, general and administrative expenses, overhead, additional premium costs for insurance (including but not limited to general liability, professional liability, valuable papers and automobile, but excluding additional insurance premium costs for specialty subconsultants and Subcontractors), computer and related charges, postage and handling charges, parking and mileage charges, telephone service (including local calling charges), profit, and all items not specifically identified below as "Reimbursable Expenses."

# III. REIMBURSABLE EXPENSES

A. "Reimbursable Expenses" as referred to in this Agreement, are actual expenditures at cost without mark-up or surcharge, incurred by the Architect, and required for the Services. Reimbursable Expenses must be supported with proper documentation in the form of itemized invoices which include a notation stating the Project-related purpose of the expenditure.

The following will be considered Reimbursable Expenses:

 Plotting, printing, reproduction and distribution of drawings specifications, and presentation materials requested by the Commission, or required for scheduled reviews of the progress of the work by the Commission and/or the User Agency, public or city agency meetings and hearings, and as required for professional peer reviews of documents as directed by the Commission.

The following are NOT Reimbursable Expenses:

- 1. Plotting, printing and distribution of drawings and specifications for the purpose of coordination between members of the Architect's team, or otherwise incidental to the Architect's Services are not Reimbursable Expenses.
- 2. Office and administrative expenses, including telephone system expenses, photocopying, duplicating costs, postage, office & drafting supplies, fax and delivery services (except as noted above in A. 1. and A. 2. are not Reimbursable Expenses.
- B. The following shall be Reimbursable Expenses provided that the Architect has obtained the prior written approval by the Authorized Commission Representative:
  - 1. Expense of transportation and living of principals and employees traveling in connection with the Project, but not including travel and expense to and from the job site or within a 50-mile radius of downtown Chicago. Travel expenses include coach air fare, hotel and per diem costs, auto rental, fuel and insurance, and must be supported with proper documentation in the form of itemized invoices.
  - Fees and costs of special consulting services required for the Architect to perform its services under this
    Agreement and as requested by the Commission will be paid as a reimbursable expense. The extent and cost
    of these reimbursable consultants shall be confirmed by the submittals of detailed proposals from the
    Architect's subconsultants for Commission review.
  - Costs for rental or purchase of special items or equipment requested by the Commission.
  - Fees and costs to secure necessary permits or civil agency approvals, including permit fees and expenditure fees.
  - 5. Costs of surveys, geotechnical and environmental technical testing and reports.
  - 6. Renderings and presentation-quality models which may be requested of the Architect are included as an allowance under "Reimbursable Consultants Allowances">
  - 7. Other direct costs of the Project may be approved as a Reimbursable Expense by Commission's Authorized Representative provided that written approval is obtained in advance of incurring the expense and provided that the expense is to be reimbursed on a Lump Sum basis.
- C. Reimbursable Expenses shall not exceed \$708,685.00 except as approved by the Commission in the form of an Amendment issued in accordance with Section 4.13 of this Agreement.

# IV. METHOD OF PAYMENT

1. Invoices. Once each month, the Architect will submit an invoice to the Commission for Services performed during the preceding month.

Each invoice must reference the contract number and be supported with such reasonable detail and data as the Commission may require, including detail and data related to Subconsultant costs. In accordance with the terms of the Agreement, the Architect must maintain complete documentation of all costs incurred for review and audit by the Commission or its designated audit representative(s). Each invoice must be submitted in the format directed by the Commission. Invoices must be accompanied by a progress report in a format acceptable to the Commission. Such progress report must identify any variances from budget or schedule and explain and the reasons for such variances.

- 2. Payment will be processed within 30 days after Commission receives an acceptable invoice from the Architect.
- Invoice Disputes. If the Commission disputes certain items in the Architect's invoices, the amount not disputed will be
  paid in full. The amount in question must be resolved in accordance with the Claim and Disputes provisions of this
  Agreement.

# VI. INVOICING

The Architect will submit one original of its monthly invoice to the Commission's Accounts Payable Department clearly noting the contract numbers for approval.

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# SCHEDULE E INSURANCE REQUIREMENTS

# ARCHITECT OF RECORD SERVICES WILLIAM JONES COLLEGE PREPARATORY HIGH SCHOOL PS1547

The Architect of Record (Architect) must provide and maintain at Architect's own expense, until expiration or termination of the agreement and during the time period following expiration if Architect is required to return and perform any additional work, the insurance coverage and requirements specified below, insuring all operations related to the Agreement.

### E.1. INSURANCE TO BE PROVIDED:

# E.1.1. Workers' Compensation and Employers Liability

Workers' Compensation Insurance, as prescribed by applicable law covering all employees who are to provide a service under the Agreement and Employers Liability coverage with limits of not less than \$500,000 each accident, illness, or disease.

# E.1.2. Commercial General Liability (Primary and Umbrella)

Commercial General Liability Insurance or equivalent with limits of not less than \$5,000,000 per occurrence for bodily injury, personal injury, and property damage liability. Coverage must include, but are not limited to the following: All premises and operations, products/completed operations, separation of insureds, defense, and contractual liability. The Commission, Board of Education of the City of Chicago and City of Chicago must be named as additional insureds on a primary, non-contributory basis for any liability arising directly or indirectly from the work.

Subcontractors performing work for Architect must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein.

# E.1.3. Automobile Liability (Primary and Umbrella)

When any motor vehicles (owned, non-owned and hired) are used in connection with work to be performed, the Architect must provide Automobile Liability Insurance, with limits of not less than \$2,000,000 per occurrence for bodily injury and property damage. The Commission, Board of Education of the City of Chicago and City of Chicago must be named as additional insureds on a primary, non-contributory basis.

Subcontractors performing work for the Architect must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein.

# E.1.4. Professional Liability

When Architect performs work in connection with the Agreement, Professional Liability Insurance must be maintained with limits of not less than \$5,000,000 covering acts, errors, or omissions. When policies are renewed or replaced, the policy retroactive date must coincide with, or precede the, start of work on the Agreement. A claims-made policy, which is not renewed or replaced, must have an extended reporting period of two (2) years.

Subcontractors performing work for Architect must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein.

# E.1.5. Property

The Architect is responsible for all loss or damage to Commission, Board and/or City property at full replacement cost. The Architect is responsible for all loss or damage to personal property (including but not limited to materials, equipment, tools and supplies) owned, rented, or used by Architect

# E.1.6. Valuable Papers

When any plans, designs, drawings, specifications, data, media, and documents are produced or used by Architect under the Agreement, Valuable Papers Insurance will be maintained in an amount to insure against loss, and will have limits sufficient to pay for the re-creation and reconstruction of such records.

# E.1.7 Contractors Pollution Liability

Contractors Pollution coverage is required with limits of not less than \$2,000,000 per occurrence for any portion of the services, which may entail, exposure to any pollutants, whether in the course of sampling, remedial work or any other activity under this contract. Coverage must include bodily injury, property damage and other losses caused by pollution conditions that arise from the Contract scope of services, completed operations, contractual liability, defense, excavation, environmental cleanup, remediation

and disposal. When policies are renewed or replaced, the policy retroactive date must coincide with or precede, start of work on the Contract. A claims-made policy, which is not renewed or replaced, must have an extended reporting period of two (2) years. The Public Building Commission, the Board of Education of the City of Chicago and the City of Chicago are to be named as additional insureds on a primary, non-contributory basis.

Subcontractors performing work for Consultant must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein.

# E.1.8 Railroad Protective Liability

When any work is to be done adjacent to or on railroad or transit property, Architect must provide or cause to be provided, with respect to the operations that Architect or subcontractors perform, Railroad Protective Liability Insurance in the name of railroad or transit entity as required by such entity. The policy must have limits of not less than the requirement of the operating railroad/transit entity for losses arising out of injuries to or death of all persons, and for damage to or destruction of property, including the loss of use thereof.

# **ADDITIONAL REQUIREMENTS**

The Architect must furnish the Public Building Commission Procurement Department, Richard J. Daley Center, Room 200, Chicago, IL 60602, original Certificates of Insurance, or such similar evidence, to be in force on the date of this Agreement, and Renewal Certificates of Insurance, or such similar evidence, if any insurance policy has an expiration or renewal date occurring during the term of this Agreement. The Architect must submit evidence of insurance to the Commission prior to Agreement award. The receipt of any certificate does not constitute agreement by the Commission that the insurance requirements in the Agreement have been fully met or that the insurance policies indicated on the certificate are in compliance with all Agreement requirements. The failure of the Commission to obtain certificates or other insurance evidence from Architect is not a waiver by the Commission of any requirements for the Architect to obtain and maintain the specified insurance. The Architect will advise all insurers of the Agreement provisions regarding insurance. Non-conforming insurance does not relieve Architect of the obligation to provide protections as specified in this Agreement. Nonfulfillment of the insurance conditions may constitute a breach of the Agreement, and the Commission retains the right to stop work until proper evidence of insurance is provided, or the Agreement may be terminated.

Except for professional liability insurance, the Commission reserves the right to obtain copies of insurance policies and records from the Architect and/or its subcontractors at any time upon written request.

The insurance must provide for 30 days prior written notice to be given to the Commission if coverage is canceled, or non-renewed (10 days notice for cancellation due to non-payment of premium).

Any deductibles or self-insured retentions on referenced insurance coverage must be borne by Architect.

Except for professional liability insurance, the Architect hereby waives and agrees that their insurers waive their rights of subrogation against the Commission, Board of Education of the City of Chicago and the City of Chicago, their respective Board members, employees, elected officials, or representatives.

If Architect is a joint venture or limited liability company, the insurance policies must name the joint venture or limited liability company as a named insured.

The insurance coverage and limits provided by Architect in no way limit the Architect's liabilities and responsibilities specified within the Agreement or by law.

Any insurance or self-insurance programs maintained by the Commission, Board of Education of the City of Chicago and the City of Chicago do not contribute with insurance provided by the Architect under the Agreement.

The required insurance to be carried is not limited by any limitations expressed in the indemnification language in this Agreement or any limitation placed on the indemnity in the Agreement given as a matter of law.

The Architect must require all its subcontractors to provide the insurance required in this Agreement, or Architect may provide the coverage for its subcontractors. All subcontractors are subject to the same insurance requirements of Architect unless otherwise specified in this Agreement.

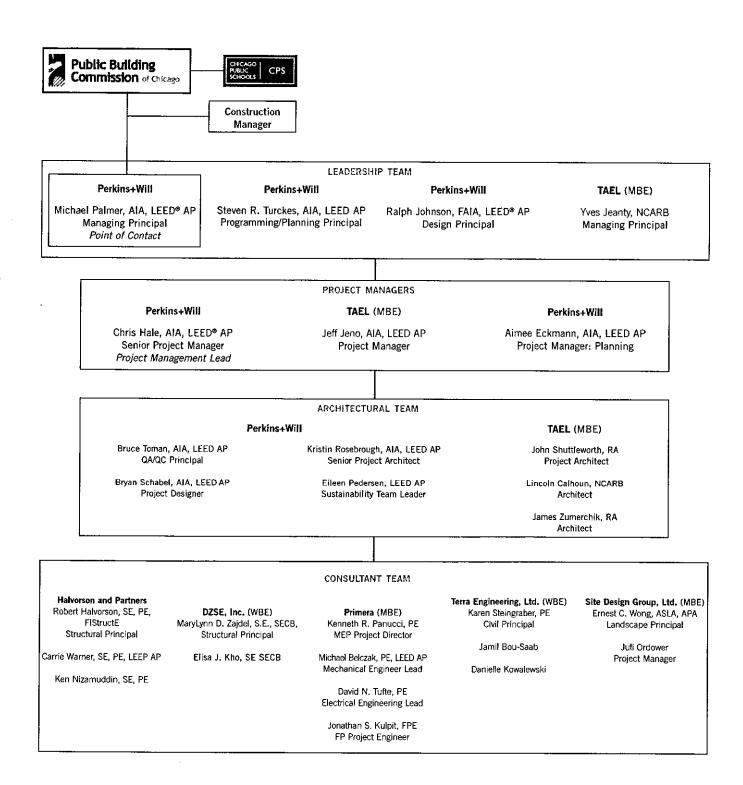
If Architect or its subcontractors desires additional coverage, the party desiring the additional coverage is responsible for the acquisition and cost.

The Commission's Risk Management Department maintains the rights to reasonably modify, delete, alter or change these requirements.

### PUBLIC BUILDING COMMISSION OF CHICAGO

## SCHEDULE F KEY PERSONNEL

# ARCHITECT OF RECORD SERVICES WILLIAM JONES COLLEGE PREPARATORY HIGH SCHOOL PS1547



### WILLIAM JONES COLLEGE PREPARATORY HIGH SCHOOL

### **ARCHTECT & CONSULTANT HOURLY RATES (2010)**

The following rates are for Additional Services on Time Card basis, as described in Schedule D.

All rates are for 2010 unless otherwise noted. Yearly increases shall be in accordance with normal employment practices.

### Perkins+Will

Title `	Hourly Billing Rate
Principal	\$85
Senior Project Manager	\$56
Project Manager	\$48
Project Designer	\$56
Senior Project Architect	\$46
Project Architect	\$40
Arch III	\$32
Arch II	\$28
Arch I	\$24
Designer III	\$32
Designer II	\$28
Designer I	\$24
Clerical	\$22

Rates will be 2.5 times employees' actual base salary. Rates shown are not-to-exceed for 2010. Hourly rates are subject to adjustment on January 1 of each calendar year.

### The Architects Enterprise, Ltd.

Title	Hourly Billing Rate	
Principa!	\$85	
Project Manager	\$48	
Senior Project Architect	\$46	
Project Architect	\$40	
Arch III	\$30	
Arch II	\$28	
Arch I	\$24	
Designer III	\$30	
Designer If	\$28	
Designer I	\$24	
Clerical	\$22	

Rates will be 2.5 times employees' actual base salary. Rates shown are not-to-exceed for 2010. Hourly rates are subject to adjustment on January 1 of each calendar year.

### **Halvorson and Partners**

Title	Hourly Billing Rate
Principal	\$72
Associate Principal/Director	\$51
Senior Project Engineer	\$48
Project Engineer	\$34
Staff Engineer	\$28
Draftsperson	\$38
Tech/Admin	\$36

### **Drucker Zajdel Structural Engineers**

Title	Hourly Billing Rate
Principal / Project Manager	\$72
Senior Project Structural Engineer	\$40
Staff Structural Engineer	\$30

Rates are effective through December 31, 2010 and are subject to annual increase.

### Primera Engineers Ltd.

<u>Title</u>				Hourly Billing Rate
	2010	2011	2012	2013
Principal	\$84	\$87	\$90	\$93
Senior Project Manager	\$67	\$69	\$71	\$73
Project Manager	\$62	\$64	\$66	\$68
Technology Manager/Engineer V	\$59	\$61	\$63	<b>\$</b> 65
Engineer IV	\$56	\$58	\$60	\$62
Designer IV	\$45	\$46	\$47	\$48
Construction Engineer IV	\$47	\$48	\$49	\$50
Field Tech IV	\$40	\$41	\$42	\$43
Engineer III	\$45	\$46	\$47	\$48
Designer III	\$42	\$43	\$44	\$45
Construction Engineer III	\$41	\$42	\$43	\$44
Field Tech III	\$37	\$38	\$39	\$40
Engineer II	\$42	\$43	\$44	\$45
Designer II	\$37	\$38	\$39	\$40
Construction Engineer II	\$39	\$40	\$41	\$42
Field Tech II	\$35	\$36	\$37	\$38
Project Coordinator II	\$33	\$34	\$35	\$36
Engineer I	\$34	\$35	\$36	\$37
Designer I	\$30	\$31	\$32	\$33
Construction Engineer I	\$30	\$31	\$32	\$33

### Primera Engineers Ltd. cont.

Title	Hourly Billing Rate			e	
	2010	2011	2012	2013	
CAD Supervisor	\$34	\$35	\$36	\$37	
CAD Drafter I!	\$30	\$31	\$32	\$33	
CAD Drafter 1	\$29	\$30	\$31	\$32	
Administrative Supervisor	\$37	\$38	\$39	\$40	
Administrative Assistant	\$27	\$28	\$29	\$30	

All rates are subject to change annually on March 1st.

### Terra Engineering Ltd.

Title	Hourly Billing Rate
Principal	\$70
Senior Project Manager	\$70
Project Manager	\$66
Senior Project Engineer	\$66
Project Engineer	\$46
Pianner	\$28
Cad Manager	\$36
Cad Technician	\$34
Senior Technical	\$34
On-Site Representative	\$34
Clerical	\$30

Hourly rates will increase on an annual basis.

### Site Design Group. Ltd.

Title	Hourly Billing Rate
Design Principal	\$72
Project Manager/ Architect/ Engineer	\$50
Project Designer/ Associate	\$38
Draftsperson	\$28
Technical Aide / Administrative	\$24

Reimbursable Consultants: Rates not included herewith

### PUBLIC BUILDING COMMISSION OF CHICAGO

### **SCHEDULE G OTHER CONDITIONS**

### ARCHITECT OF RECORD SERVICES WILLIAM JONES COLLEGE PREPARATORY HIGH SCHOOL PS1547

NONE

### EXHIBIT A DISCLOSURE OF RETAINED PARTIES

# ARCHITECT OF RECORD SERVICES WILLIAM JONES HIGH SCHOOL PS1547

A. <u>Definitions and Disclosure Requirem</u>	rents
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- 1. As used herein, "Consultant" means a person or entity who has any contract or lease with the Public Building Commission of Chicago ("Commission").
- Commission bids, leases, contracts, and/or qualification submittals must be accompanied by a disclosure statement providing certain information about lobbyists whom the Consultant has retained or expects to retain with respect to the contract or lease. In particular, the Consultant must disclose the name of each such person, his or her business address, the name of the relationship, and the amount of fees paid or estimated to be paid. The Consultant is not required to disclose employees who are paid solely through the Consultant's regular payroll.
- 3. "Lobbyists" means any person (a) who for compensation or on behalf of any person other than himself undertake to influence any legislative or administrative action, or (b) any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

D,	Certification			
	Consultant heret	oy certifies as follows:		<u>.</u>
1.	This Disclosure r	relates to the following trans	saction: William	Jones Colleg.
	Description or go	ods or services to be provi	ded under Contract:	
	Archi-	techeral se	nices	
2.	Name of Consult	lectural se ant: Pencins	+ Will	
3.	EACH AND EVE to or in connection	ERY lobbyist retained or ar on with the contract or lease	nticipated to be retained by the is listed below. Attach addition	ne Consultant with respect ional pages if necessary.
Retaine	ed Parties:			
	Name	Business Address	Relationship (Attorney, Lobbyist, etc.)	Fees (indicate whether paid or estimated)

Check Here If No Such Persons Have been Retained or Are Anticipated To Be Retained:

### Attachment to Exhibit A **Disclosure of Retained Parties**

Name	Business Address	Relationship	N/A Fees
The Architects Enterprise, Ltd.	230 West Monroe Street Suite 2205 Chicago, 1L 60606	Associate Architect	N/A
Halvorson and Partners	600 West Chicago Avenue Suite 650 Chicago, Illinois 60654	Structural Engineers	N/A
Drucker Zajdel Structural Engineers, Inc.	55 South Main Street Suite 277 Naperville, Illinois 60540	Structural Engineers	N/A
Primera	100 South Wacker Drive Suite 700 Chicago, Illinois 60606	MEP/FP Engineers	N/A
Terra Engineering, Ltd.	225 West Ohio St. 4th Floor Chicago, Illinois 60610	Civil Engineers	N/A
Site Design Group, Ltd.	888 South Michigan Avenue Suite 1000 Chicago, Illinois 60605	Landscape Architect	N/A

- 4. The Consultant understands and agrees as follows:
  - a. The information provided herein is a material inducement to the Commission execution of the contract or other action with respect to which this Disclosure of Retained Parties form is being executed, and the Commission may rely on the information provided herein. Furthermore, if the Commission determines that any information provided herein is false, incomplete, or inaccurate, the Commission may terminate the contract or other transaction, terminate the Consultant's participation in the contract or other transactions with the Commission.
  - b. If the Consultant is uncertain whether a disclosure is required, the Consultant must either ask the Commission's Representative or his or her manager whether disclosure is required or make the disclosure.
  - c. This Disclosure of Retained Parties form, some or all of the information provided herein, and any attachments may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. The Consultant waives and releases any possible rights or claims it may have against the Commission in connection with the public release of information contained in the completed Disclosure of Retained Parties form and any attachments.

Under penalty of perjury. I certify that I am authorized to execute this Disclosure of Retained Parties on behalf of the Consultant and that the information disclosed herein is true and complete.

3 FEB 2010
Signature Date

Brodie Stephons Secretary
Name (Type or Print)

Subscribed and sworn to before me

Rublic

this \_\_\_\_\_\_\_ day of \_\_\_\_\_\_\_ 2010\_\_\_\_\_

MEGHAN J. PEDDICORD
Commission # 1681261
Notary Public - California
San Francisco County
My Comm. Expires Jul 15, 2010

### EXHIBIT B DISCLOSURE AFFIDAVIT

### ARCHITECT OF RECORD SERVICES WILLIAM JONES HIGH SCHOOL PS1547

Name: Perkins + Will
Address: 330 N. Walash, Ste, 3600, chicago, 166611
Telephone No.: 312 - 755-0770
Federal Employer I.D. #.:36-269038 Social Security #:
Nature of Transaction:
[ ] Sale or purchase of land [ ] Construction Contract
Instructions: FOR USE WITH ANY OF THE ABOVE TRANSACTIONS. Any firm proposing one of the above transactions with the Public Building Commission of Chicago must complete this Disclosure Affidavit. Please note that in the event the Contractor is a joint venture, the joint venture and each of the joint venture partners must submit a completed Disclosure Affidavit.
The undersigned J. Michael, Falmer Principal  (Name) Contractor (Title)
and on behalf of(Name) Contractor (Title)
("Bidder/ Proposer" or "Contractor") having been duly sworn under oath certifies that:
I. DISCLOSURE OF OWNERSHIP INTERESTS
Pursuant to Resolution No. 5371 of the Board of Commissioners of the Public Building Commission of Chicago, all bidders/proposers shall provide the following information with their bid/proposal. If the question is not applicable, answer "NA". If the answer is none, please answer "none".
Bidder/Proposer/Contractor is a: Corporation [ ] LLC

SECTION 1. FOR PROFIT CORPORATION OR LIMITED LIABILITY COMPANY (LLC)

a.	State of Incorporation or organization	elaware	
b.	Authorized to do business in the State of Illino		
c.	Names of all officers of corporation or LLC (or attach list):		ors of corporation or LLC ttach list):
	me (Print or Type)  Lattacked  Title (Print or Type)		
 d.	Indicate here or attach a list of names and act or in excess of seven and one-half percentage interesting and indicate the percentage interesting.	ent (7.5%) of the proportio	
<u></u>	Name (Print or Type)	Address	Ownership Interest %
			%
e.	For LLC's, state whether member-managed o	· ·	or:
f.	Is the corporation or LLC owned partially or legal entities?  Yes No []	completely by one or more	e other corporations or
tha	If "yes" provide the above information, as ap		

If "yes" provide the above information, as applicable, for each such corporation or entity such that any person with a beneficial ownership interest of 7.5% or more in the corporation contracting in the PBC is disclosed. For example, if Corporation B owns 15% of Corporation A, and Corporation A is contracting with the PBC, then Corporation B must complete a Disclosure Affidavit. If Corporation B is owned by Corporations C and D, each of which owns 50% of Corporation B, then both Corporations C and D must complete Disclosure Affidavits.

### **SECTION 2. PARTNERSHIPS**

a.	If the bidder/proposer or Contractor is a partnership, indicate the name of each percentage of interest of each therein. Also indicate, if applicable, whether (GP) or limited partner (LP)				
	Name of Partners (Print or Type)	Percentage Interest			
	N/A				
	17.7	%			
		%			
		%			
SE	ECTION 3. SOLE PROPRIETORSHIP				
a.	a. The bidder/proposer or Contractor is a sole proprietorship and is not acting in any representative capacity on behalf of any beneficiary: Yes [ ] No [ ] If NO, complete items b. and c. of this Section 3.				
b.	If the sole proprietorship is held by an agent(s) or a nominee(s), indicate the principal(s) for whom the agent or nominee holds such interest.  Name(s) of Principal(s). (Print or Type)				
	N/A-				
C.	If the interest of a spouse or any other party is constructively controlled by a legal entity, state the name and address of such person or entity possessing the relationship under which such control is being or may exercised.  Name(s)  Address(es)				
	N/A	·			
,					

### SECTION 4. LAND TRUSTS, BUSINESS TRUSTS, ESTATES & OTHER ENTITIES

If the bidder/proposer or Contractor is a land trust, business trust, estate or other similar commercial or legal entity, identify any representative, person or entity holding legal title as well as each beneficiary in whose behalf title is held including the name, address and percentage of

interest of each beneficiary. Name(s)		Address(es)			
SECTION 5. NOT-FOR  a. State of incorporation	-PROFIT CORPORATION	NS			
b. Name of all officers	and directors of corporati	on (or attach list):			
Name (Print or Type)	Title (Print or Type)	Name (Print or Type)	Title (Print or Type)		

NOTE: The Public Building Commission of Chicago may require additional information from any entity or individual to achieve full disclosure relevant to the transaction. Further, any material change in the information required above must be provided by supplementing this statement at any time up to the time the Public Building Commission of Chicago takes action on the contract or other action requested of the Public Building Commission.

### II. CONTRACTOR CERTIFICATION

### A. CONTRACTOR

- The Contractor, or any affiliated entities of the Contractor, or any responsible official thereof, or any other official, agent or employee of the Contractor, any such affiliated entity, acting pursuant to the direction or authorization of a responsible official thereof has not, during a period of three years prior to the date of execution of this certification:
  - a. Bribed or attempted to bribe, or been convicted of bribery or attempting to bribe a public officer or employee of the City of Chicago, the State of Illinois, any agency

- of the federal government or any state or local government in the United States (if an officer or employee, in that officer's or employee's official capacity); or
- Agreed or colluded, or been convicted of agreement or collusion among bidders or prospective bidders in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. Made an admission of such conduct described in 1(a) or (b) above which is a matter of record but has not been prosecuted for such conduct.
- 2. The Contractor or agent, partner, employee or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rigging<sup>2</sup> in violation of Section 3 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-3), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rigging during a period of five years prior to the date of submittal of this bid, proposal or response.<sup>3</sup>
- 3. The Contractor or any agent, partner, employee, or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rotating<sup>4</sup> in violation of Section 4 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-4), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rotating.
- 4. The Contractor understands and will abide by all provisions of Chapter 2-56 of the Municipal Code entitled "Office of the Inspector General" and all provisions of the Public Building Commission Code of Ethics Resolution No.5339, as amended by Resolution No. 5371.
- The Contractor certifies to the best of its knowledge and belief, that it and its principals:
  - Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal, state or local department or agency.
  - b. Have not within a three-year period preceding this bid or proposal been convicted of or had a civil judgement rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;

- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (5)(b) above; and
- d. Have not within a three-year period preceding this bid or proposal had one or more public transactions (federal, state or local) terminated for cause or default.

### **B. SUBCONTRACTORS**

- 1. The Contractor has obtained from all subcontractors being used in the performance of this contract or agreement, known by the Contractor at this time, disclosures substantially in the form of Section 2, of this Disclosure Affidavit. Based on such disclosures and certification(s), and any other information known or obtained by the Contractor, is not aware of any such subcontractor or subcontractor's affiliated entity or any agent, partner, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct describe in Section II(A) (1)(a) or (b) of this certification; (b) bid-rigging, bid-rotating, or any similar offense of any state or the United States which contains the same elements as bid-rigging or bid-rotating, or having made an admission of guilt of the conduct described in Section II(A)(1)(a) or (b) which is matter of record but has/have not been prosecuted for such conduct.
- 2. The Contractor will, prior to using them as subcontractors, obtain from all subcontractors to be used in the performance of this contract or agreement, but not yet known by the Contractor at this time, certifications substantially in the form of this certification. The Contractor shall not, without the prior written permission of the Commission, use any of such subcontractors in the performance of this contract if the Contractor, based on such certifications or any other information known or obtained by Contractor, became aware of such subcontractor, subcontractor's affiliated entity or any agent, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct describe in Section II(A)(1)(a) or (b) of this certification or (b) bid-rigging, bid-rotating or any similar offenses of any state or the United States which contains the same elements as bidrigging or bid-rotating or having made an admission of guilt of the conduct described in Section II(A)(1)(a) or (b) which is a matter of record but has/have not been prosecuted for such conduct. The Contractor shall cause such subcontractors to certify as to Section II(A)(5). In the event any subcontractor is unable to certify to Section II(A)(5), such subcontractor shall attach an explanation to the certification.
- 3. For all subcontractors to be used in the performance of this contract or agreement, the Contractor shall maintain for the duration of the contract all subcontractors' certifications required by Section II(B)(1) and (2) above, and Contractor shall make such certifications promptly available to the Public Building Commission of Chicago upon request.
- 4. The Contractor will not, without the prior written consent of the Public Building Commission of Chicago, use as subcontractors any individual, firm, partnership,

corporation, joint venture or other entity from whom the Contractor is unable to obtain a certification substantially in the form of this certification.

5. The Contractor hereby agrees, if the Public Building Commission of Chicago so demands, to terminate its subcontractor with any subcontract if such subcontractor was ineligible at the time that the subcontract was entered into for award of such subcontract. The Contractor shall insert adequate provisions in all subcontracts to allow it to terminate such subcontract as required by this certification.

### C. STATE TAX DELINQUENCIES

- The Contractor is not delinquent in the payment of any tax administered by the Illinois Department of Revenue or, if delinquent, the Contractor is contesting, in accordance with the procedures established by the appropriate Revenue Act, its liability for the tax or amount of the tax.
- 2. Alternatively, the Contractor has entered into an agreement with the Illinois Department of Revenue for the payment of all such taxes that are due and is in compliance with such agreement.

3.	If the Contractor is unable to certify to any of the above statements [(Section II (C)], the Contractor shall explain below. Attach additional pages if necessary.			
	If the letters "NA" the word "None" or no response appears on the lines above it will			

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

4. If any subcontractors are to be used in the performance of this contract or agreement, the Contractor shall cause such subcontractors to certify as to paragraph (C)(1) or (C)(2) of this certification. In the event that any subcontractor is unable to certify to any of the statements in this certification, such subcontractor shall attach an explanation to this certification.

### D. OTHER TAXES/FEES

- 1. The Contractor is not delinquent in paying any fine, fee, tax or other charge owed to the City of Chicago.
- If Contractor is unable to certify to the above statement, Contractor shall explain below and attach additional sheets if necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

### E. PUNISHMENT

A Contractor who makes a false statement material to Section II(A)(2) of this certification commits a Class 3 felony. 720 ILCS 5/33E-11(b).

### F. JUDICIAL OR ADMINISTRATIVE PROCEEDINGS

- The Contractor is not a party to any pending lawsuits against the City of Chicago or the Public Building Commission of Chicago nor has Contractor been sued by the City of Chicago or the Public Building Commission of Chicago in any judicial or administrative proceeding.
- If the Contractor cannot certify to the above, provide the (1) case name; (2) docket number; (3) court in which the action is or was pending; and (4) a brief description of each such judicial or administrative proceeding. Attach additional sheets if necessary.

NA			٠

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

### CERTIFICATION OF ENVIRONMENTAL COMPLIANCE

A. Neither the Contractor nor any affiliated entity of the Contractor has, during a period of five years prior to the date of execution of this Affidavit: (1) violated or engaged in any conduct which violated federal, state or local Environmental Restriction<sup>5</sup>, (2) received notice of any claim, demand or action, including but not limited to citations and warrants, from any federal, state or local agency exercising executive, legislative, judicial, regulatory or administrative functions relating to a violation or alleged violation of any federal, state or local statute, regulation or other Environmental Restriction; or (3) been subject to any fine or penalty of any nature for failure to comply with any federal, state or local statute, regulation or other Environmental Restriction.

If the Contractor cannot make the certification contained in Paragraph A of Section III, identify any exceptions:

N/A

(Attach additional pages of explanation to this Disclosure Affidavit, if necessary.)

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

B. Without the prior written consent of the Public Building Commission of Chicago, Contractor will not employ any subcontractor in connection with the contract or proposal to which this Affidavit pertains without obtaining from such subcontractor a certification similar in form and substance to the certification contained in Paragraph A of this Section III prior to such subcontractor's performance of any work or services or furnishing any goods, supplies or materials of any kind under the proposal or the contract to which this Affidavit pertains.

C. Until completion of the Contract's performance under the proposal or contract to which this Affidavit pertains, the Contractor will not violate any federal, state or local statute, regulation or other Environmental Restriction, whether in the performance of such contract or otherwise.

### III. INCORPORATION INTO CONTRACT AND COMPLIANCE

The above certification shall become part of any contract awarded to the Contractor set forth on page 1 of this Disclosure Affidavit and are a material inducement to the Public Building Commission of Chicago's execution of the contract, contract modification or contract amendment with respect to which this Disclosure Affidavit is being executed and delivered on behalf of the Contractor. Furthermore, Contractor shall comply with these certifications during the term and/or performance of the contract.

### **VERIFICATION**

Under penalty or perjury, I certify that I am authorized to execute this Disclosure Affidavit on behalf of the Contractor set forth on page 1, that I have personal knowledge of all the certifications made herein and that the same are true.

The Contractor must report any change in any of the facts stated in this Affidavit to the Public Building Commission of Chicago within 14 days of the effective date of such change by completing and submitting a new Disclosure Affidavit. Failure to comply with this requirement is grounds for your firm to be deemed non-qualified to do business with the PBCC. Deliver any such new Disclosure Affidavit to: Public Building Commission of Chicago, Director of Procurement, 50 W. Washington, Room 200, Chicago, IL 60602.

Signature of Authorized Officer

Swalize Stephens
Name of Authorized Officer (Print or Type)

Title

510 - 856 - 8073

Telephone Number

State of California

County of Jan Francisco

Signed and sworn to before me on this 3rd day of Telma, 2010 by

Brodie Stephons (Name) as Secretary (Title) of

Propose = Perkins + Will (Bidder/Proposer or Consultant)

Notary Public Signature and Seal

MEGHAN J. PEDDICORD
Commission # 1681261
Notary Public - California
San Francisco County
My Comm. Expires Jul 15, 2010

## PERKINS WILL

**Entity Name:** 

Perkins+Will, Inc., 3/20/2006

Formerly:

Perkins & Will, Inc., 11/29/1995

Formerly: Formerly:

The Perkins & Will Group, Inc., 8/13/1974 Perkins & Will Service Co., Inc., 3/26/1970

State Incorporated In:

Delaware

Date Incorporated:

3/26/1970

### Ownership:

Perkins+Will is a U.S.-based firm with offices in Atlanta, Boston, Charlotte, Chicago, Dallas, Dubai, Hartford, Houston, London, Los Angeles, Miami, Minneapolis, New York, Orlando, Philadelphia, Research Triangle Park, San Diego, San Francisco, Seattle, Shanghai, Toronto, Vancouver, and Washington, DC. Perkins+Will is a full-service design firm with a reputation for working with clients to transform program goals into unique and meaningful design solutions. Beirut-based Dar Al-Handasah (Shair and Partners) owns approximately sixty percent of Perkins+Will stock, and Perkins+Will Principals own the remaining.

**Directors:** 

Philip Harrison, Chairman

Peter Busby, Principal Manuel Cadrecha, Principal Raymond Clark, Principal

Jean Mah, Principal

Thomas Reisenbichler, Principal

Talal Shair, Director

William Viehman, Principal

Officers:

**All Principals** 

Philip Harrison, CEO

Brodie Stephens, Secretary '

Joseph Dailey, CFO Benjamin Fisher, COO Richard Nitzche, CIO

Tax ID:

36-2690384

Duns #:

79-180-7977

. SIC Code:

8911

**Business Activity Code:** 

541310

### CERTIFICATE OF SECRETARY

### **OF**

### PERKINS+WILL, INC.

The undersigned, hereby certifies as follows:

- 1. The undersigned is the duly appointed Secretary of Perkins+Will, Inc., a Delaware corporation (the "Company") and;
- 2. Attached hereto as Exhibit A is a redacted true and correct copy of the Company's final Minutes of the annual meeting of the Board of Directors naming all officers of the Company, giving power and authority to its officers to execute contracts on behalf of the Company. No steps have been taken by the Board to amend or modify the Company's Minutes.

The undersigned has executed this Certificate as an officer of the Company this 4 day of July, 2010.

PERKINS+WILL, INC.

By Brodie Stephens,

Secretary, Vice President and General Counsel

### PERKINS + WILL, INC.

### Annual Meeting of the Board of Directors November 10, 2009 – 8:30a M.S.T. Arizona Biltmore Hotel, Phoenix, Az

Minutes of the annual meeting of the Board of Directors.

Members Present

Peter Busby

Jean Mah

Manuel Cadrecha

Tom Reisenbichler

Raymond S. Clark

William C. Viehman

Philip Harrison - Chairman

Members Absent

Talal Shair

Invitees

Ben Fisher - COO

Joseph Dailey - CFO

Brodie Stephens - Corporate Secretary

I. Call to Order - The meeting was called to order by the Chairman.



[RED ACTED]

f. Further resolved that that effective December 31, 2009, the following be, and hereby are, elected as officers of the Corporation, each to serve in the office or offices shown opposite their name, to hold office until the next annual meeting of the Board or until their successors are elected and duly qualified:

[REDACTED]

# [REDACTED]

Palmer, John M.

Principal



Philip L. Harrison Philip L. Harrison Joseph Dailey Brodie Stephens Ben Fisher

Chairman
President and Chief Executive Officer
Chief Financial Officer
Secretary
Chief Operating Officer

g. Further resolved that the Board authorized and directed each of the officers of the Corporation, subject to the direction of the President of the Corporation, in the name and on behalf of the Corporation or otherwise and under the seal of the Corporation or otherwise, to execute and deliver all such agreements, certificates, documents, instruments and notices and to take all other actions and to do all other things as each such officer in his sole discretion may deem necessary or appropriate in order to carry out and effect the foregoing actions and hereby ratifies and confirms any and all such actions.

[REDACTED]

The meeting was adjourned.

The foregoing minutes of the Annual Meeting of the Board of Directors have been prepared by me, and are a true and accurate reflection of the actions taken by the Board at such meeting.

Brodie Stephens Secretary

### **DISCLOSURE AFFIDAVIT**

Name: Dav - Al - +	fandasah!	Shair Partn	er, Lt2.
Address: 40 Perkins	-Will Lnc. 3.	30 N. Wasas	h. ste. 3600
Telephone No.: 312-75	5-0770		Chica
Nature of Transaction:			
[ ] Sale or purchase of land [ ] Construction Contract Professional Services Agreeme [ ] Other	nt		
Instructions: FOR USE WITH above transactions with the Public Please note that in the event the C partners must submit a completed	contractor is a joint venture	hicago must complete this	s Disclosure Affidavit.
The undersigned Brodie Ste	phenjas Genera	1 Coursel, Sec	return)
The undersigned Brodie Ste (Name) and on behalf of Perkus	+ Will. Lnc.	(Title)	4
("Bidder/ Proposer" or "Contractor")	) naving been duly sworn u	inder oath certifies that:	
I. DISCLOSURE OF OWNERSH	IIP INTERESTS		
Pursuant to Resolution No. 5371 Chicago, all bidders/proposers sha is not applicable, answer "NA". If the	Il provide the following info	rmation with their bid/pro	=
Bidder/Proposer/Contractor is a: W-Al-Handasah hair Partners, Ltd.	[ ] Corporation [ ] Partnership [ ] Joint Venture	[ ] LLC [ ] LLP [ ] Not-for-Profit Corpora	ation
· ····································	[ ] Sole Proprietorship	M Other (Jersey	Islands, U.K.)

### II. CONTRACTOR CERTIFICATION

### A. CONTRACTOR

1. The Contractor, or any affiliated entities of the Contractor, or any responsible official thereof, or any other official, agent or employee of the Contractor, any such affiliated entity, acting

pursuant to the direction or authorization of a responsible official thereof has not, during a period of three years prior to the date of execution of this certification:

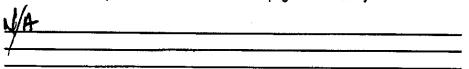
- a. Bribed or attempted to bribe, or been convicted of bribery or attempting to bribe a public officer or employee of the City of Chicago, the State of Illinois, any agency of the federal government or any state or local government in the United States (if an officer or employee, in that officer's or employee's official capacity); or
- Agreed or colluded, or been convicted of agreement or collusion among bidders or prospective bidders in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. Made an admission of such conduct described in 1(a) or (b) above which is a matter of record but has not been prosecuted for such conduct.
- 2. The Contractor or agent, partner, employee or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rigging<sup>2</sup> in violation of Section 3 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-3), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rigging during a period of five years prior to the date of submittal of this bid, proposal or response.<sup>3</sup>
- 3. The Contractor or any agent, partner, employee, or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rotating<sup>4</sup> in violation of Section 4 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-4), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rotating.
- 4. The Contractor understands and will abide by all provisions of Chapter 2-56 of the Municipal Code entitled "Office of the Inspector General" and all provisions of the Public Building Commission Code of Ethics Resolution No.5339, as amended by Resolution No. 5371.
- 5. The Contractor certifies to the best of its knowledge and belief, that it and its principals:
  - Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal, state or local department or agency.
  - b. Have not within a three-year period preceding this bid or proposal been convicted of or had a civil judgement rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
  - c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (5)(b) above; and
  - d. Have not within a three-year period preceding this bid or proposal had one or more public transactions (federal, state or local) terminated for cause or default.

### **B. SUBCONTRACTORS**

- 1. The Contractor has obtained from all subcontractors being used in the performance of this contract or agreement, known by the Contractor at this time, disclosures substantially in the form of Section 1, and certifications substantially in the form of Section 2, of this Disclosure Affidavit. Based on such disclosures and certification(s), and any other information known or obtained by the Contractor, is not aware of any such subcontractor or subcontractor's affiliated entity or any agent, partner, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct describe in Section II(A) (1)(a) or (b) of this certification; (b) bid-rigging, bid-rotating, or any similar offense of any state or the United States which contains the same elements as bid-rigging or bid-rotating, or having made an admission of guilt of the conduct described in Section II(A)(1)(a) or (b) which is matter of record but has/have not been prosecuted for such conduct.
- 2. The Contractor will, prior to using them as subcontractors, obtain from all subcontractors to be used in the performance of this contract or agreement, but not yet known by the Contractor at this time, certifications substantially in the form of this certification. The Contractor shall not, without the prior written permission of the Commission, use any of such subcontractors in the performance of this contract if the Contractor, based on such certifications or any other information known or obtained by Contractor, became aware of such subcontractor, subcontractor's affiliated entity or any agent, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct describe in Section II(A)(1)(a) or (b) of this certification or (b) bid-rigging, bid-rotating or any similar offenses of any state or the United States which contains the same elements as bid-rigging or bid-rotating or having made an admission of guilt of the conduct described in Section II(A)(1)(a) or (b) which is a matter of record but has/have not been prosecuted for such conduct. The Contractor shall cause such subcontractors to certify as to Section II(A)(5). In the event any subcontractor is unable to certify to Section II(A)(5), such subcontractor shall attach an explanation to the certification.
- 3. For all subcontractors to be used in the performance of this contract or agreement, the Contractor shall maintain for the duration of the contract all subcontractors' certifications required by Section II(B)(1) and (2) above, and Contractor shall make such certifications promptly available to the Public Building Commission of Chicago upon request.
- 4. The Contractor will not, without the prior written consent of the Public Building Commission of Chicago, use as subcontractors any individual, firm, partnership, corporation, joint venture or other entity from whom the Contractor is unable to obtain a certification substantially in the form of this certification.
- 5. The Contractor hereby agrees, if the Public Building Commission of Chicago so demands, to terminate its subcontractor with any subcontract if such subcontractor was ineligible at the time that the subcontract was entered into for award of such subcontract. The Contractor shall insert adequate provisions in all subcontracts to allow it to terminate such subcontract as required by this certification.

### C. STATE TAX DELINQUENCIES

- The Contractor is not delinquent in the payment of any tax administered by the Illinois Department of Revenue or, if delinquent, the Contractor is contesting, in accordance with the procedures established by the appropriate Revenue Act, its liability for the tax or amount of the tax.
- 2. Alternatively, the Contractor has entered into an agreement with the Illinois Department of Revenue for the payment of all such taxes that are due and is in compliance with such agreement.
- 3. If the Contractor is unable to certify to any of the above statements [(Section II (C)], the Contractor shall explain below. Attach additional pages if necessary.



If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

4. If any subcontractors are to be used in the performance of this contract or agreement, the Contractor shall cause such subcontractors to certify as to paragraph (C)(1) or (C)(2) of this certification. In the event that any subcontractor is unable to certify to any of the statements in this certification, such subcontractor shall attach an explanation to this certification.

### D. OTHER TAXES/FEES

- 1. The Contractor is not delinquent in paying any fine, fee, tax or other charge owed to the City of Chicago.
- 2. If Contractor is unable to certify to the above statement, Contractor shall explain below and attach additional sheets if necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

### E. PUNISHMENT

A Contractor who makes a false statement material to Section II(A)(2) of this certification commits a Class 3 felony. 720 ILCS 5/33E-11(b).

### F. JUDICIAL OR ADMINISTRATIVE PROCEEDINGS

- 1. The Contractor is not a party to any pending lawsuits against the City of Chicago or the Public Building Commission of Chicago nor has Contractor been sued by the City of Chicago or the Public Building Commission of Chicago in any judicial or administrative proceeding.
- 2. If the Contractor cannot certify to the above, provide the (1) case name; (2) docket number; (3) court in which the action is or was pending; and (4) a brief description of each such judicial or administrative proceeding. Attach additional sheets if necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

### III. CERTIFICATION OF ENVIRONMENTAL COMPLIANCE

A. Neither the Contractor nor any affiliated entity of the Contractor has, during a period of five years prior to the date of execution of this Affidavit: (1) violated or engaged in any conduct which violated federal, state or local Environmental Restriction<sup>5</sup>, (2) received notice of any claim, demand or action, including but not limited to citations and warrants, from any federal, state or local agency exercising executive, legislative, judicial, regulatory or administrative functions relating to a violation or alleged violation of any federal, state or local statute, regulation or other Environmental Restriction; or (3) been subject to any fine or penalty of any nature for failure to comply with any federal, state or local statute, regulation or other Environmental Restriction.

If the Contractor cannot make the certification contained in Paragraph A of Section III, identify any exceptions:

(Attach additional pages of explanation to this Disclosure Affidavit, if necessary.)

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

- B. Without the prior written consent of the Public Building Commission of Chicago, Contractor will not employ any subcontractor in connection with the contract or proposal to which this Affidavit pertains without obtaining from such subcontractor a certification similar in form and substance to the certification contained in Paragraph A of this Section III prior to such subcontractor's performance of any work or services or furnishing any goods, supplies or materials of any kind under the proposal or the contract to which this Affidavit pertains.
- C. Until completion of the Contract's performance under the proposal or contract to which this Affidavit pertains, the Contractor will not violate any federal, state or local statute, regulation or other Environmental Restriction, whether in the performance of such contract or otherwise.

### IV. INCORPORATION INTO CONTRACT AND COMPLIANCE

The above certification shall become part of any contract awarded to the Contractor set forth on page 1

of this Disclosure Affidavit and are a material inducement to the Public Building Commission of Chicago's execution of the contract, contract modification or contract amendment with respect to which this Disclosure Affidavit is being executed and delivered on behalf of the Contractor. Furthermore, Contractor shall comply with these certifications during the term and/or performance of the contract.

	Signature of General Counsel
	Name of General Counsel (Print or Type) Brodie Stephens General Cansel Title
	415-856-3073
	Telephone Number
State of	
County of	SEEMACHED
Signed and sworn to before me on this	day of, 20by
(Name) as	(Title) of
	(General Counsel)

### V. VERIFICATION

Under penalty or perjury, I certify that I am authorized to execute this Disclosure Affidavit on behalf of the Contractor set forth on page 1, that I have personal knowledge of all the certifications made herein and that the same are true.

The Contractor must report any change in any of the facts stated in this Affidavit to the Public Building Commission of Chicago within 14 days of the effective date of such change by completing and submitting a new Disclosure Affidavit. Failure to comply with this requirement is grounds for your firm to be deemed non-qualified to do business with the PBCC. Deliver any such new Disclosure Affidavit to: Public Building Commission of Chicago, Director of Procurement, 50 W. Washington, Room 200, Chicago, IL 60602.

### CALIFORNIA JURAT WITH AFFIANT STATEMENT

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### PUBLIC BUILDING COMMISSION OF CHICAGO

## EXHIBIT C SPECIAL CONDITIONS REGARDING THE UTILIZATION OF MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES

## ARCHITECT OF RECORD SERVICES WILLIAM JONES COLLEGE PREPARATORY HIGH SCHOOL PS1547

### 1. Policy Statement

- a. It is the policy of the Public Building Commission of Chicago ("PBC") to ensure competitive business opportunities for MBE and WBE firms in the performance of Contracts, to prohibit discrimination in the award of or participation in Contracts, and to abolish arbitrary barriers to full participation in Contracts by all persons, regardless of race, sex or ethnicity. Therefore, during the performance of this Contract, the Professional Service Provider must agree that it will not discriminate against any person or business on the basis of race, color, religion, ancestry, age, marital status, physical or mental handicap, unfavorable discharge from military service, parental status, sexual orientation, national origin or sex, in the solicitation or the purchase of goods and services or the subcontracting of work in the performance in this Contract.
- b. The Commission requires the Professional Service Provider also agree to take affirmative action to ensure that MBE and WBE firms have the maximum opportunity to compete for and perform subcontracts with respect to this Contract.
- c. The Commission requires the Professional Service Provider to notify MBE and WBE firms, utilized on this contract, about opportunities on contracts without affirmative action goals.

### 2. Aspirational Goals

- a. Upon the effective date of these Special Conditions, the bi-annual aspirational goals are to award 25% of the annual dollar value of all Commission contracts to certified MBEs and 5% of the annual dollar value of all Commission contracts to qualified WBEs.
- b. Further, the Professional Service Provider must agree to use its best efforts to include MBE and WBE firms in any Contract modification work that increases the Contract value. Where the proposed contract modification involves work which can be performed by MBEs and WBEs already performing work on the contract such MBEs and WBEs will participate in such work specified in the contract modification..
- c. Failure to carry out the commitments and policies set forth in this Program constitute a material breach of contract and may result in termination of the Professional Service Provider or such other remedy, as the Commission deems appropriate.

### 3. Definitions

- a. For purposes of this Special Condition, the following definitions applies:
  - (1) "Certified Minority Business Enterprise" means a person or entity granted certification by the City of Chicago, County of Cook, Metropolitan Water Reclamation District, Chicago Minority Business Development Council, Central Management Service of the State of Illinois, and Women's Business Development Center.
  - (2) "Certified Women's Business Enterprise" means a person or entity granted certification by the City of

### PUBLIC BUILDING COMMISSION OF CHICAGO

Chicago, County of Cook, Metropolitan Water Reclamation District, Chicago Minority Business Development Council, Central Management Service of the State of Illinois, and Women's Business Development Center.

- (3) "Professional Service Contract" means a contract for professional services of any type.
- (4) "Contract Specific Goals" means the subcontracting goals for MBE and WBE participation established for a particular contract based upon the availability of MBEs and WBEs to perform and anticipated scope of work of the contract and the Commission's progress towards meeting the aspirational goals.
- (5) "Professional Service Provider" means any person or business entity that seeks to enter into a Professional Service Contract with the Commission and includes all partners, affiliates and joint ventures of such person or entity.
- (6) "Executive Director" means the Executive Director of the Commission or his duly designated representative as appointed in writing.
- (7) "Good faith efforts" means actions undertaken by a Professional Service Provider to achieve a Contract Specific Goal that by their scope, intensity and appropriateness to the objective can reasonably be expected to fulfill the Program's requirements.
- (8) "Joint venture" means an association of two or more persons or entities or any combination of two or more business enterprises and persons numbering two or more, proposing to perform a single for-profit business enterprise, in which each joint venture partner contributes property, capital, efforts, skill and knowledge, and in which the MBE or WBE is responsible for a distinct, clearly-defined portion of the work of the contract and whose share in the capital contribution, control, management, risks and profits of the joint venture is equal to its ownership interest. Joint ventures must have an agreement in writing specifying the terms and conditions of the relationships between the parties and their relationship and responsibilities to the contract.

### (9) "Minority" means:

- a. Any individual in the following racial or ethnic groups, members of which are rebuttably presumed to be socially disadvantaged:
  - i. African-Americans or Blacks, which includes persons having origins in any of the Black racial groups of Africa;
  - ii. Hispanics, which includes persons of Spanish culture with origins in Mexico, South or Central America or the Caribbean Islands, regardless of race;
  - iii. Asian-Americans, which includes (persons whose origins are in any of the original peoples of the Far East, Southeast Asia, the islands of the Pacific or the Northern Marianas, or the Indian Subcontinent):
  - iv. American Indians, which includes persons having origins in any of the original peoples of North and South America (including Central America) and who maintain tribal affiliation or community attachment; and
- b. Individual members of other groups, including but not limited to Arab-Americans, found by the Commission to be socially disadvantaged by having suffered racial or ethnic prejudice or cultural

bias within American society, without regard to individual qualities, resulting in decreased opportunities to compete in Chicago area markets or to do business with the Commission.

- (10) "Minority-owned business enterprise" or "MBE" means a small local business enterprise which is at least 51% owned by one or more economically disadvantaged minority persons, or in the case of a publicly held corporation at least 51% of all classes of the stock of which is owned by one or more economically disadvantaged minority persons whose management, policies, major decisions and daily business operations are independently managed and controlled by one or more economically disadvantaged minority persons.
- (11) "Program" means the minority- and women-owned business enterprise construction procurement program established in this special condition.
- (12) "Women-owned business enterprise" or "WBE" means a small local business enterprise which is at least 51% owned by one or more economically disadvantaged women or in the case of a publicly owned business, at least 51% of all classes of the stock of which is owned by one or more economically disadvantaged women, whose management, policies, major decisions and daily business operations are independently managed and controlled by one or more economically disadvantaged women.

# Determining MBE/WBE Utilization

The methodology for determining MBE and WBE utilization will be determined for purposes of analysis with respect to this contract as follows:

- a. The total dollar value of the contract awarded to the certified MBE or WBE firm will be credited to such participation. Only minority business participation may be counted toward MBE participation and only women business participation may be counted toward WBE participation.
- b. The total dollar value of a contract with a firm owned and controlled by minority women is counted toward either the MBE or WBE goal, but not both. The Professional Service Provider employing the firm may choose the goal to which the contract value is applied. Various work done by one and the same subconsultant will be considered, for the purpose of this principle, as work effectively done under one subcontract only, which sub-consultant may be counted toward only one of the goals, not toward both.
- c. A Professional Service Provider may count toward its MBE or WBE goal the portion of the total dollar value of a contract with an eligible joint venture equal to the percentage of the ownership and control of the MBE or WBE partner in the joint venture. A joint venture seeking to be credited for MBE participation may be formed among certified MBE and WBE firms, or between certified MBE and WBE firms and a non-MBE/WBE firm. A joint venture satisfies the eligibility standards of this Program if the certified MBE or WBE participant of the joint venture:
  - (1) Shares in the ownership, control, management responsibilities, risks and profits of the joint venture; and
  - (2) Is responsible for a clearly defined portion of work to be performed in proportion to the MBE or WBE ownership percentage.
- d. A Professional Service Provider may count toward its MBE and WBE goals only expenditures to firms that perform a commercially useful function in the work of a contract. A firm is considered to perform a commercially-useful function when it is responsible for execution of a distinct element of the work of a contract and carries out its responsibilities by actually performing, managing, and supervising the work

- involved. To determine whether a firm is performing a commercially useful function, the Commission will evaluate the amount of work subcontracted, industry practices and other relevant factors.
- e. Consistent with normal industry practices, a MBE or WBE firm may enter into subcontracts. If a MBE or WBE Professional Service Provider subcontracts a significantly greater portion of the work of a contract than would be expected on the basis of normal industry practices, the MBE or WBE will be rebuttably presumed not to be performing a commercially-useful function.
- f. A Professional Service Provider may count toward its goals expenditures to MBE or WBE manufacturers (i.e., suppliers that produce goods from raw materials or substantially alters them before resale).
- g. A Professional Service Provider may count toward its goals expenditures to MBE or WBE suppliers provided that the supplier performs a commercially useful function in the supply process.

### 5. Submission of Proposals

- a. The following schedules and documents constitute the Proposer's MBE/WBE compliance proposal and must be submitted at the time of the proposal.
  - (1) Evidence of Certification: Affidavit of MBE/WBE. A copy of each proposed MBE and WBE firm's Letter of Certification from the City of Chicago, Department of Procurement Services or any other entity accepted by the Public Building Commission of Chicago must be submitted. The PBC certification by the City of Chicago, County of Cook, Metropolitan Water Reclamation District, Chicago Minority Business Development Council, Central Management Service of the State of Illinois, and Women's Business Development Center.
  - (2) Schedule B: Affidavit of MBE/Non-MBE or WBE/Non-WBE Joint Ventures. Where the Proposer's MBE/WBE compliance proposal includes participation of any MBE or WBE as a joint venture participant, the Proposer must submit a "Schedule B: Affidavit of MBE/Non-MBE or WBE/Non-WBE Joint Venture" with an attached copy of the joint venture agreement proposed among the parties. The Schedule B and the joint venture agreement must clearly evidence that the MBE or WBE participant will be responsible for a clearly defined portion of the work to be performed and that the MBE or WBE firm's responsibilities are in proportion with its ownership percentage.
  - (3) Schedule C: Letter of Intent to Perform as a sub-consultant, Subconsultant, or Material Supplier, Schedule C, executed by the MBE/WBE firm (or Joint Venture sub-consultant) must be submitted by the Proposer for each MBE/WBE included on the Schedule D. Schedule C must accurately detail the work to be performed by the MBE or WBE firm and the agreed rates and prices to be paid.
  - (4) Schedule D: Affidavit of Prime Professional Service Provider Regarding MBE or WBE Utilization. A completed Schedule D committing to the utilization of each listed MBE or WBE firm. Unless the Proposer has submitted a completed request for a waiver of participation by MBE/WBE firms (See Request for Waiver procedures in Section 7), the Proposer must include the specific dollar amount or percentage of participation of each MBE/WBE firm listed on its Schedule D. The total dollar commitment to proposed MBE firms must at least equal the MBE goal, and the total dollar commitment to proposed WBE firms must at least equal the WBE goal. Proposers are responsible for calculating the dollar equivalent of MBE or WBE utilization as percentages of their total proposal.
- b. The submittals must have all blank spaces on the Schedule pages applicable to the contract correctly filled in. Agreements between a Proposer and a MBE/WBE in which the MBE/WBE promises not to provide subcontracting quotations to other Proposers are prohibited.

### 6. Evaluation of Compliance Proposals

- a. The Proposer's MBE/WBE compliance proposal will be evaluated by the Commission. The Proposer agrees to provide, upon request, earnest and prompt cooperation to the Executive Director or his designee in submitting to interviews that may be necessary, in allowing entry to places of business, in providing further documentation, or in soliciting the cooperation of a proposed MBE or WBE firm in providing such assistance. A proposal may be treated as non-responsive by reason of the determination that the Proposer's proposal did not contain a sufficient level of Certified MBE or WBE participation, that the Proposer was unresponsive or uncooperative when asked for further information relative to the proposal, or that false statements were made in the Schedules.
- b. If the Commission's review of a Proposer's proposal concludes that the MBE or WBE proposal was deficient, the Commission will promptly notify the Proposer of the apparent deficiency and instruct the Proposer to submit (within 3 business days of such notice given by the Commission) a modification of the MBE or WBE Proposal, in proper format, which remedies the deficiencies cited. Failure to correct all deficiencies cited by the Commission will be cause for rejection of the Proposer's proposal as non-responsive.
- c. Proposers will not be permitted to modify their MBE/WBE compliance proposal except insofar as directed to do so by the Commission. Therefore, all terms and conditions stipulated for prospective MBE and WBE consultants or suppliers should be satisfactorily negotiated prior to the submission to the Commission of the Proposer's MBE/WBE compliance proposal. If circumstances should arise, however, where a proposed MBE/WBE is no longer available, the process described in Section 12 must be followed.

### 7. Request for Waiver

- a. If a Proposer is unable to identify qualified MBE and WBE firms to perform sufficient work to fulfill the MBE or WBE percentage goals for this Contract, the proposal must include a written request for waiver. A request for waiver must be sent to the Executive Director and must set forth the Proposer's inability to obtain sufficient MBE and WBE firms notwithstanding good faith attempts to achieve such participation.
- b. Good Faith efforts to achieve participation include but are not limited to:
  - (1) Attendance at the Pre-proposal conference:
  - (2) The Proposer's general affirmative action policies regarding the utilization of MBE and WBE firms, plus a description of the methods used to carry out those policies;
  - (3) Advertisement in trade association newsletters and minority and woman-oriented and general circulation media for specific sub-consultants;
  - (4) Timely notification of specific sub-consultants to minority and woman assistance agencies and associations;
  - (5) Description of direct negotiations with MBE and WBE firms for specific sub-consultants, including:
    - i. The name, address and telephone number of MBE and WBE firms contacted;
    - ii. A description of the information provided to MBE and WBE firms regarding the portions of the work to be performed; and
    - iii. The reasons why additional MBE and WBE firms were not obtained in spite of negotiations.

- (6) A statement of the efforts made to select portions of the work proposed to be performed by MBE and WBE firms (such as sub-supplier, transport, engineering, distribution, or any other roles contributing to production and delivery as specified in the contract) in order to increase the likelihood of achieving sub participation.
- (7) As to each MBE and WBE contacted which the Proposer considers to be not qualified, a detailed statement of the reasons for the Proposer's conclusion.
- (8) Efforts made by the Proposer to expand its search for MBE and/or WBE firms beyond usual geographic boundaries.
- General efforts made to assist MBE and WBE firms to overcome participation barriers.
- c. The Executive Director, after review and evaluation of the request provided by the Proposer, may grant a waiver request upon the determination that:
  - (1) Sufficient qualified MBE and/or WBE firms capable of providing the goods or services required by the contract are unavailable despite the good faith efforts of the Proposer;
  - (2) The price(s) quoted by potential MBE and/or WBE firms for goods or services is above competitive levels to an extent unwarranted by any increased cost of doing business attributable to the present effects of disadvantage or discrimination.

# 8. Failure To Achieve Goals

- a. If the Professional Service Provider cannot achieve the contract specific goals, as the Project proceeds, it must have documented its good faith efforts to do so. In determining whether the Professional Service Provider has made such good faith efforts, the performance of other Professional Service Providers in meeting the goals may be considered. The Executive Director or his designee shall consider, at a minimum, the Professional Service Provider's efforts to do the following:
  - (1) Soliciting through reasonable and available means the interest of MBEs or WBEs that Provide interested MBEs or WBEs with adequate information about the plans, specifications and requirements of the contract, including addenda, in a timely manner to assist them in responding to the solicitation.
  - (2) Provide interested MBEs or WBEs with adequate information about the plans, specifications and requirements of the contract, including addenda, in a timely manner to assist them in responding to the solicitation.
  - (3) Negotiating in good faith with interested MBEs or WBEs that have submitted proposals. Documentation of negotiation must include the names, addresses and telephone numbers of MBEs or WBEs that were solicited; the date of each such solicitation; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why agreements could not be reached with MBEs or WBEs to perform the work. That there may be some additional costs involved in solicitation and using MBEs and WBEs is not a sufficient reason for a Professional Service Provider's failure to meet the goals, as long as such costs are reasonable.
  - (4) Not rejecting MBEs or WBEs as being unqualified without sound reasons based on the thorough investigation of a their capabilities. The MBEs' or WBEs' standing within its industry, membership in

specific groups, organizations, or associations and political or social affiliations are not legitimate cases for rejecting or not soliciting proposals to meet the goals.

- (5) Making a portion of the work available to MBE or WBE sub=consultants and suppliers and to select those portions of the work or material consistent with the available MBE or WBE sub-consultants and suppliers, so as to facilitate meeting the goals.
- (6) Making good faith efforts despite the ability or desire of a Professional Service Provider to perform the work of a contract with its own organization. A Professional Service Provider that desires to self-perform the work of a contract must demonstrate good faith efforts unless the goals have been met.
- (7) Selecting portions of the work to be performed by MBEs or WBEs in order to increase the likelihood that the goals will be met. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MBE or WBE participation even when the Contract might otherwise prefer to perform these items with its own forces.
- (8) Making efforts to assist interested MBEs or WBEs in obtaining bonding lines of credit or insurance as required by the Commission or Professional Service Provider.
- (9) Making efforts to assist interested MBEs or WBEs in obtaining necessary equipment, supplies, materials or related assistance or services, including participation in a mentor-protégée program; and
- (10) Effectively using the services of the Commission; minority or women community organizations; minority or women groups; local, state and federal minority or women business assistance offices; and other organizations to provide assistance in the recruitment and placement of MBEs or WBEs.
- b. In the event the Public Building Commission Procurement Officer determines that the Professional Service Provider did not make a good faith effort to achieve the goals, the Professional Service Provider may file a Dispute to the Executive Director as provided in Article 11 of the Terms and Conditions herein.

# 9. Reporting and Record-Keeping Requirements

- a. The Professional Service Provider, within 5 working days of contract award, must execute a formal subcontract or purchase order in compliance with the terms of the Professional Service Provider's proposal and MBE/WBE assurances. Upon request by the PBC, the Professional Service Provider must provide copies of the contracts or purchase orders executed between it and the MBE and WBE firms. During the performance of the contract, the Professional Service Provider will submit partial and final waivers of lien from MBE and WBE sub-consultant and suppliers indicating the current payment amount and the cumulative dollar amount of payments made to date.
- b. The Professional Service Provider must maintain records of all relevant data with respect to the utilization of MBE and WBE firms, including without limitation payroll records, tax returns and records, and books of account in such detail as the Commission requires, and retain such records for a period of at least 3 years after final acceptance of the work. Full access to such records will be granted to the Commission and/or its designees, on 5 business days' notice in order for the Commission to determine the Professional Service Provider's compliance with its MBE and WBE commitments and the status of any MBE or WBE firm performing any portion of the contract.
- c. The Professional Service Provider will file regular MBE and WBE utilization reports on the form entitled

"Status Report of MBE and WBE Sub-Contract Payments", at the time of submitting each monthly invoice. The report should indicate the current and cumulative payments to t MBE and WBE sub-contractors.

#### 10. Disqualification of MBE or WBE

The Contract may be terminated by the Executive Director upon the disqualification of the Professional Service Provider as an MBE or WBE if the sub-consultants status as an MBE or WBE was a factor in the award and such status was misrepresented by the Professional Service Provider.

a. The Contract may be terminated by the Executive Director upon the disqualification of any MBE or WBE if the sub-consultants or supplier's status as an MBE or WBE was a factor in the award of the contract and the status of the sub-consultant or supplier was misrepresented by the Professional Service Provider. If the Professional Service Provider is determined not to have been involved in any misrepresentation of the status of the disqualified sub-consultant or supplier, the Professional Service Provider shall make good faith efforts to engage a qualified MBE or WBE replacement.

### 11. Prohibition On Changes To MBE/WBE Commitments

The Professional Service Provider must not make changes to its contractual MBE and WBE commitments or substitute such MBE or WBE sub-consultants without the prior written approval of the Executive Director. Unauthorized changes or substitutions, including performing the work designated for a sub-consultant with the Professional Service Provider's own forces, is a violation of this section and a breach of the contract with the Commission, and may cause termination of the contract for breach, and/or subject the Professional Service Provider to contract remedies or other sanctions. The facts supporting the request must not have been known nor reasonably should have been known by the parties prior to entering into the subcontract.

# 12. MBE/WBE Substitution Requirements and Procedures

- a. Arbitrary changes by the Professional Service Provider of the commitments earlier certified in the Schedule D are prohibited. Further, after once entering into each approved MBE and WBE sub-contract agreement, the Professional Service Provider shall thereafter neither terminate the subcontract, nor reduce the scope of the work to be performed by the MBE or WBE, nor decrease the price to the MBE or WBE, without in each instance receiving the prior written approval of the Executive Director. In some cases, however, it may become necessary to substitute a new MBE or WBE in order to actually fulfill the MBE or WBE requirements. In such cases, the Executive Director must be given reasons justifying the release by the Professional Service Provider of prior specific MBE or WBE commitments established in the contract, and will need to review the eligibility of the MBE or WBE presented as a substitute. The substitution procedure will be as follows:
  - (1) The Professional Service Provider must notify the Executive Director immediately in writing of an apparent necessity to reduce or terminate a MBE or WBE subcontract and to propose a substitute firm for some phase of work, if needed in order to sustain the fulfillment of the MBE/WBE contract requirements.
  - (2) The Professional Service Provider's notification should include the specific reasons for the proposed substitution. Stated reasons which would be acceptable include any of the following reasons: a) Unavailability after receipt of reasonable notice to proceed; b) failure of performance; c)financial incapacity; d) refusal by the sub-consultant to honor the proposal price or scope; e) mistake of fact or law about the elements of the scope of work of a solicitation where a reasonable price cannot be agreed; f) failure of the sub-consultant to meet insurance, licensing or bonding requirements; g) the sub-consultant's withdrawal of its proposal; or h) decertification of the sub-consultant as MBE or WBE.

(3) The Professional Service Provider's position must be fully explained and supported with adequate documentation. Stated reasons which will not be acceptable include: replacement firm has been recruited to perform the same work under terms more advantageous to the Professional Service Provider; issues about performance by the committed MBE or WBE were disputed (unless every reasonable effort has already been taken to have the issues resolved or mediated satisfactorily); an MBE or WBE has requested reasonable price escalation which may be justified due to unforeseen circumstances.

The Profession Service Provider's notification should include the names, address and principal official of any proposed substitute MBE or WBE and the dollar value and scope of work of the proposed subcontract. Attached should be all the same MBE/WBE affidavits, documents and Letters of Intent which are required of the proposed MBE or WBE firms.

- (4) The Executive Director will evaluate the submitted documentation, and respond within fifteen (15) working days to the request for approval of a substitution. The response may be in the form of requesting more information, or requesting an interview to clarify or mediate the problem. In the case of an expressed emergency need to receive the necessary decision for the sake of job progress, the Executive Director will instead respond as soon as practicable.
- (5) Actual substitution of a replacement MBE or WBE to fulfill contract requirements must not be made before the Executive Director's approval is given of the acceptability of the substitute MBE or WBE. This subcontract must be executed within five (5) working days, and a copy of the MBE WBE subcontract with signatures of both parties to the agreement should be submitted immediately to the Executive Director.
- b. The Executive Director will not approve extra payment for escalated costs incurred by the Professional Service Provider when a substitution of sub-consultants becomes necessary for the Professional Service Provider in order to comply with MBE/WBE contract requirements.
- c. No relief of the MBE/WBE requirements will be granted by the Executive Director except in exceptional circumstances. Requests for complete or partial waiver of the MBE/WBE requirements of this contract must be made in writing, stating all details of the request, the circumstances, and any additional relevant information. The request must be accompanied by a record of all efforts taken by the Professional Service Provider to locate specific firms, solicit MBE and WBE proposals, seek assistance from technical assistance agencies, and other good faith efforts undertaken to achieve compliance with the MBE/WBE goals.

#### 13. Non-Compliance

- a. The Executive Director has the authority to apply suitable sanctions to the Professional Service Provider if the Professional Service Provider is found to be in non-compliance with the MBE and WBE requirements. Failure to comply with the MBE or WBE terms of this contract or failure to use MBE or WBE firms as stated in the Professional Service Provider's assurances constitutes a material breach of the contract, and may lead to the suspension or termination of the contract in part or in whole. In some cases, monthly progress payments may be withheld until corrective action is taken.
- b. When the contract is completed, if the Executive Director has determined that the Professional Service Provider did not comply in the fulfillment of the required MBE and/or WBE goals, and a grant of relief of the requirements was not obtained, the Commission will be damaged in the failure to provide the benefit of participation to minority or women business to the degree set forth in this Special Condition. In that case, the Commission may disqualify the Professional Service Provider from entering into future contracts with the Commission.

#### 14. Severability

a.

remaining provisions hereof.

If any section, subsection, paragraph, clause, provision or application of these Special Conditions is held

invalid by any count, the invalidity of such section, paragraph, clause or provision will not affect any of the

# SCHEDULE D - Affidavit of Professional Service Provider Regarding MBE/WBE Participation (1 of 2)

Name of Project:	WILLIAM JONES HIGH SCHOOL	
STATE OF ILLINOIS	}	
COUNTY OF COOK	} SS }	
In connection with the a	above-captioned contract, I HEREBY DECLARE AND AFFIRM that I a	ım the
PRINCIPAL		
Title and duly authorized repr PERKINS+WILL, IN		
Name of Professional So whose address is	ervice Provider	
330 NORTH WABA	ASH AVENUE, SUITE 3600	
and that I have personall participation in the abov	AGO ,State of ILLINOIS  Illy reviewed the material and facts submitted with the attached Schedules we-referenced Contract, including Schedule C and Schedule B (if applicablent to which MBE/WBE firms will participate in this Contract if awarded ect.	ole) and the following

Name of MBE/WBE Contractor	Type of Work to be Done in Accordance with Schedule C	Dollar Credit Toward MBE/WBE Goals		
	The second of th	MBE	WBE	
THE ARCHITECTS ENTERPRISE	ASSOCIATE ARCHITECT	<b>\$ 1,315,000</b> .	\$	
DRUCKER ZAJDEL	STRUCTURAL ENGINEER	\$	\$ 200,000.	
PRIMERA	MEP/FP ENGINEERS	s 1,135,000.	\$	
TERRA ENGINEERING	CIVIL ENGINEERS	\$	\$ 93,500.	
SITE DESIGN GROUP	LANDSCAPE ARCHITECT	\$38,430.	\$	
		\$	\$	
		\$	\$	
	Total Net MBE/WBE Credit	\$ 2,488,430.	\$ 293,500.	
-	Percent of Total Base Bid	45.8 <sub>%</sub>	5.4 %	

The Professional Service Provider may count toward its MBE/WBE goal a portion of the total dollar value of a contract with a joint venture equal to the percentage of the ownership and control of the MBE/WBE partner.

SCHEDULE C - Letter of Intent from MBE/WBE

# To Perform As Subcontractor, Subconsultant, and/or Material Supplier (1 of 2)

# SCHEDULE C AND SUPPORTING DOCUMENTS MUST BE SUBMITTED WITH PROPOSAL

Name of Project:	AOR Services for	r Jones College	<u>Preparat</u>	ory High S	chool	
Project Number:	PS 1547	<u> </u>				
FROM:						
The Architects Enterp (Name of MBE or WBE	rise, Ltd. E)	MBE _	Х	_ WBE _		
TO:						
Perkins + Will Archite (Name of MBE or WBE	cts a	and Public Build	ing Comm	ission of Ch	nicago.	
The undersigned intende	s to perform work in co	onnection with th	ne above-re	eferenced pr	roject as (check o	ne):
a Sole						
a Part	nership	<del></del>	a Joint Ver	nture		
The MBE/WBE status of 4/30/09 . In ac MBE/WBE firm, a Sche	ddition, in the case wh	ere the undersign	ned is a Joi	etter of Cert nt Venture	ification, dated with a non-	
The undersigned is prep goods in connection with	ared to provide the fol h the above-named pro	lowing described oject.	l services o	or supply the	e following descr	ibed
Comprehensive Archit						
			<u>-</u>			
The above-described ser stipulated in the Contrac	vices or goods are offe t Documents.	ered for the follo	wing price	, with terms	s of payment as	
\$1,315,000					· · · · · ·	·

# SCHEDULE C – Letter of Intent from MBE/WBE To Perform As Subcontractor, Subconsultant, and/or Material Supplier (2 of 2)

### **PARTIAL PAY ITEMS**

For any of the above items that are partial pay items, sp amount:	ecifically describe the work and subcontract dollar
N/A	
If more space is needed to fully describe the MBE/WBI schedule, attach additional sheet(s).	E firm's proposed scope of work and/or payment
SUB-SUBCONTRACTING LEVELS	
zero % of the dollar value of the MBE/WE MBE/WBE contractors.	BE subcontract will be sublet to non-
zero % of the dollar value of the MBE/WE MBE/WBE contractors.	BE subcontract will be sublet to
If MBE/WBE subcontractor will not be sub-subcontract zero (0) must be filled in each blank above. If more that subcontractor's scope of work will be sublet, a brief exp must be provided.	n 10% of the value of the MBE/WBE
The undersigned will enter into a formal agreement for t conditioned upon its execution of a contract with the Pul so within five (5) working days of receipt of a notice of	blic Building Commission of Chicago, and will do
Ву:	
The Architects Enterprise, Ltd.	July.
Name of MBE/WBE Firm (Print)	Signature
May 20, 2010	Yves Jeanty/
Date	Name (Print)
212 424 0220	
312.424.0330 Phone	
IF APPLICABLE: By:	LINDA JEANTY  NOTARY PUBLIC - STATE OF ILLINOIS  NOTARY PUBLIC - STATE OF ILLINOIS
Linky D. (D.)	NOTARY PUBLIC - STATE OF MY COMMISSION EXPIRES:04/23/14
Joint Venture Partner (Print)	Signature
Date	Name (Print)
<del></del>	name (Fine)
Phone	MBE WBE Non-MBE/WBE

SCHEDULE C - Letter of Intent from MBE/WBE To Perform As

Subcontractor, Subconsultant, and/or Material Supplier (1 of 2)

# SCHEDULE C AND SUPPORTING DOCUMENTS MUST BE SUBMITTED WITH **PROPOSAL**

Name of Project:William Jones College Preparatory High School
Project Number: PS1547
FROM:
Drucker Zajdel Structural Engineers MBE WBE X
(Name of MBE or WBE)
TO:
Perkins & Will and Public Building Commission of Chicago
(Name of Professional Service Provider)
The undersigned intends to perform work in connection with the above-referenced project as (check one):
a Sole Proprietor X a Corporation
a Partnership a Joint Venture
The MBE/WBE status of the undersigned is confirmed by the attached Letter of Certification, date April 10, 2009. In addition, in the case where the undersigned is a Joint Venture with a nor MBE/WBE firm, a Schedule B, Joint Venture Affidavit, is provided.
The undersigned is prepared to provide the following described services or supply the following described goods is connection with the above-named project.  Professional Structural Engineering Services
The above-described services or goods are offered for the following price, with terms of payment as stipulated in the Contract Documents. \$200,000

# SCHEDULE C - Letter of Intent from MBE/WBE To Perform As Subcontractor, Subconsultant, and/or Material Supplier (2 of 2)

# PARTIAL PAY ITEMS

For any of the above items that are partial N/A	pay items, specifically describe the work and subcontract dollar amount:	
If more space is needed to fully describe the	ne MBE/WBE firm's proposed scope of work and/or payment schedule,	
attach additional sheet(s).	payment seriedale,	
SUB-SUBCONTRACTING LEVELS		
0 % of the dollar value of the MBE/	WBE subcontract will be sublet to non-MBE/WBE contractors.	
© % of the dollar value of the MBE/	WBE subcontract will be sublet to MBE/WBE contractors.	
	o-subcontracting any of the work described in this Schedule, a zero (0) must 10% percent of the value of the MBE/WBE subcontractor's scope of work ription of the work to be sublet must be provided.	
The undersigned will enter into a formal ag execution of a contract with the Public Buil of receipt of a notice of Contract award from By:	reement for the above work with the General Bidder, conditioned upon its ding Commission of Chicago, and will do so within five (5) working days in the Commission.	
rucker Zajdel Structural	Engineers Alegan Dadi	
Name of MBE/WBE Firm (Print) Feb 1, 2010	Signature MaryLynn D. Zajdel	
Date 630-305-5757	Name (Print) "OFFICIAL SEAL"	~~~
Phone	Linda Ashford Notary Public, State of Illino	
IF APPLICABLE: By:	Will County  My Commission Expires Aug. 21	
N/A	Linda Ashl	
Joint Venture Partner (Print)	Signature 5/27/2	1010
Date	Name (Print)	
Phone	MBE WBE Non-MBE/WBE	

# PUBLIC BUILDING COMMISSION OF CHICAGO Contract No.

SCHEDULE C - Letter of Intent from MBE/WBE
To Perform As
Subcontractor, Subconsultant, and/or Material Supplier (1 of 2)

# SCHEDULE C AND SUPPORTING DOCUMENTS MUST BE SUBMITTED WITH PROPOSAL

Name of Project: William Jones College Pro	eparatory High School
Project Number: <u>05265</u>	
FROM:	
Primera Engineers, Ltd. (Name of MBE or WBE)	MBE X WBE
TO:	
Perkins + Will (Name of Professional Service Provider)	_and Public Building Commission of Chicago
The undersigned intends to perform work in connection	with the above-referenced project as (check one):
a Sole Proprietor	a Corporation
The MBE/WBE status of the undersigned is confirm October 14, 2009 In addition, in the ca non-MBE/WBE firm, a Schedule B, Joint Venture Affid	ed by the attached Letter of Certification, dated
The undersigned is prepared to provide the following degoods in connection with the above-named project.  Mechanical, Electrical, Plumbing, and Fire i	
The above-described services or goods are offered for tipulated in the Contract Documents.	r the following price, with terms of payment as

Contract No.

# SCHEDULE C - Letter of Intent from MBE/WBE To Perform As Subcontractor, Subconsultant, and/or Material Supplier (2 of 2)

# PARTIAL PAY ITEMS

For any of the above items that are partisubcontract dollar amount:	al pay items, specifically describe the work and
If more space is needed to fully describe the payment schedule, attach additional sheet(s).	MBE/WBE firm's proposed scope of work and/or
SUB-SUBCONTRACTING LEVELS	•
% of the dollar value of the MBE/V contractors.	VBE subcontract will be sublet to non-MBE/WBE
0 % of the dollar value of the MBE contractors.	MBE subcontract will be sublet to MBE/WBE
Octionale, a zero (v) must be tilled in each bia	subcontracting any of the work described in this nk above. If more than 10% percent of the value of will be sublet, a brief explanation and description of
conditioned upon its execution of a contract wi	ement for the above work with the General Bidder, ith the Public Building Commission of Chicago, and receipt of a notice of Contract award from the
Ву:	VO?
Primera Engineers, Ltd.	Ham am
Name of MBE/WBE Firm (Print) 5/17/2010	Signature Kenneth R. Panucci, PE - Senior Vice President
Date 312,606.0910 Phone	Name (Print)
IF APPLICABLE: By:	
Joint Venture Partner (Print)	Signature
Date	Name (Print)
Phone	MBE WBE Non-MBE/WBE

# SCHEDULE C - Letter of Intent from MBE/WBE

#### To Perform As

Subcontractor, Subconsultant, and/or Material Supplier (1 of 2)

# SCHEDULE C AND SUPPORTING DOCUMENTS MUST BE SUBMITTED WITH PROPOSAL

Name of Project:		
Project Number:		
FROM:		
Terra Engineering, Ltd M (Name of MBE or WBE)	WBEWBE	
TO:		
Perkins & Will	Duilding Commission (CCI)	
(Name of Professional Service Provider)	Building Commission of Chicago	
The undersigned intends to perform work in connection with th	ne above-referenced project as (check one):	
a Sole Proprietor	a Corporation	
a Partnership	a Joint Venture	
The M BE/WBE st atus of t he un dersigned i s con firmed 2/1/10 . In addition, in the case w MBE/WBE firm, a Schedule B, Joint Venture Affidavit, is prov	here the undersigned is a Jo int V enture with vided.	han on-
The undersigned is prepared to provide the following describe connection with the above-named project.  CIVIL engineering services	ed services or supply the following described	goods in
The above-described services or goods are offered for the follo Contract Documents. \$93,500	owing price, with terms of payment as stipulat	ed in the
773,300		

# SCHEDULE C - Letter of Intent from MBE/WBE To Perform As Subcontractor, Subconsultant, and/or Material Supplier (2 of 2)

		, casconsultant, a	nu/or material	supplied (2 of 2)	
PARTIAL PAY ITE	MS				
_					

For any of the above items that are partial pay items, specifically describe the work and subcontract dollar amount: If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheet(s). SUB-SUBCONTRACTING LEVELS % of the dollar value of the MBE/WBE subcontract will be sublet to non-MBE/WBE contractors. % of the dollar value of the MBE/WBE subcontract will be sublet to MBE/WBE contractors. If MBE/WBE subcontractor will not be sub-subcontracting any of the work described in this Schedule, a zero (0) must be filled in each blank above. If more than 10% percent of the value of the MBE/WBE subcontractor's scope of work will be sublet, a brief explanation and description of the work to be sublet must be provided. The undersigned will enter into a formal agreement for the above work with the General Bidder, conditioned upon its execution of a contract with the Public Building Commission of Chicago, and will do so within five (5) working days of receipt of a notice of Contract award from the Commission By: Terra Engineering, Ltd. Signature Karen Steingraber Date Nam e (Print) 312-467-0123 Phone IF APPLICABLE: By: Joint Venture Partner (Print) Signature Date Nam e (Print) MBE \_\_\_\_ WBE \_\_\_\_ Non-MBE/WBE

Phone

# SCHEDULE C - Letter of Intent from MBE/WBE To Perform As

Subconsultant, Subconsultant, and/or Material Supplier (1 of 2)

# SCHEDULE C AND SUPPORTING DOCUMENTS MUST BE SUBMITTED WITH PROPOSAL

Name of Project: William Jones High School
Project Number:
FROM:
Site Design Group, Ltd. MBE x WBE (Name of MBE or WBE)
TO:
Perkins + Will and Public Building Commission of Chicago (Name of Professional Service Provider)
The undersigned intends to perform work in connection with the above-referenced project as (check one):
a Sole Proprietor x a Corporation a Partnership a Joint Venture
The MBE/WBE status of the undersigned is confirmed by the attached Letter of Certification, dated
The undersigned is prepared to provide the following described services or supply the following described goods in connection with the above-named project.
Professional Landscape Architecture Services
The above-described services or goods are offered for the following price, with terms of payment as stipulated in the Contract Documents.
Thirty Eight Thousand Four Hundred Thirty Dollars and No Cents (\$38,430.00)

# SCHEDULE C - Letter of Intent from MBE/WBE

# To Perform As

# Subconsultant, Subconsultant, and/or Material Supplier (2 of 2)

PARTIAL PAY ITEMS	
For any of the above items that are partia	pay items, specifically describe the work and subcontract dollar amount:
If more space is needed to fully descriadditional sheet(s).	be the MBE/WBE firm's proposed scope of work and/or payment schedule, attac
SUB-SUBCONTRACTING LEVELS	
0 % of the dollar value of the MBE	WBE subcontract will be sublet to non-MBE/WBE Consultants.
% of the dollar value of the MBE	WBE subcontract will be sublet to MBE/WBE Consultants.
If MBE/WBE subconsultant will not be sub- each blank above. If more than 10% perc explanation and description of the work to	-subcontracting any of the work described in this Schedule, a zero (0) must be filled in ent of the value of the MBE/WBE subconsultant's scope of work will be sublet, a brie be sublet must be provided.
The undersigned will enter into a formal ag a contract with the Public Building Comm Contract award from the Commission. By:	reement for the above work with the General Bidder, conditioned upon its execution of ssion of Chicago, and will do so within five (5) working days of receipt of a notice of
Site Design Group, Ltd.	The state of the s
Name of MBE/WBE Firm (Print) April 30, 2010	Signature Robert K. Sit, Vice President
Date (312) 427-7240	Name (Print)
Phone	
F APPLICABLE:	
Зу:	
Joint Venture Partner (Print)	Signature
Pate	Name (Print)
	MBE Non-MBE/WBE

Phone

# STATUS REPORT OF MBE/WBE (SUB) CONTRACT PAYMENTS (1 of 2)

Name of Project	William Jones	College Preparatory High School	Contract Number PS1547
Date			
STATE OF ILLIN	OIS }	100	
COUNTY OF CO	OK }	} SS	
In connection with	n the above-capti	oned contract:	
I DECLARE AND	AFFIRM that I		
(Name of Affiant) am the			
(Title)	<del>,,,</del>		and duly authorized representative of
(Name of Compar whose address is	ny)		
turnishing and pre	paring materials	Women Business Enterprises have for, and have done or are doing laboration.	been contracted with, and have furnish or on the above-captioned contract; that

and that the following Minority and Women Business Enterprises have been contracted with, and have furnished, or are furnishing and preparing materials for, and have done or are doing labor on the above-captioned contract; that there is due and to become due them, respectively, the amounts set opposite their names for materials or labor as stated; and that this is a full, true, and complete statement of all such MBEs/WBEs and of the amounts paid, due, and to become due to them:

MBE/WBE Name	Contract For	Amount of Contract	Total Previous Requests	Amount This Request	Balance to Complete
	TOTALS				

# STATUS REPORT OF MBE/WBE (SUB) CONTRACT PAYMENTS (2 of 2)

I DO SOLEMNLY DECLARE AND AFFIRM UNDER THE PENALTIES OF PERJURY THAT THE CONTENTS OF THE FOREGOING DOCUMENT ARE TRUE AND CORRECT, AND THAT I AM AUTHORIZED, ON BEHALF OF THE ABOVE FIRM, TO MAKE THIS AFFIDAVIT.

(Affiant)	<del></del>	
(Date)		
On this	day of	20,
before me,	, known to me that he (she) executed the same in the ca	_, the undersigned officer, personally to be the person described in the apacity therein stated and for the
In witness thereof, I hereunto set my h	and and official seal.	
Notary Public Commission Expires		
(Seal)		

# EXHIBIT D ELECTRONIC FILE TRANSFER AGREEMENT

# ARCHITECT OF RECORD SERVICES WILLIAM JONES COLLEGE PREPARATORY HIGH SCHOOL PS1547

#### **ELECTRONIC FILE TRANSFER AGREEMENT**

Between the Architect and the Owner

Owner:

Public Building Commission of Chicago (PBC)

RE:

**ELECTRONIC MEDIA** 

PROJECT NAME AND NO.:

WILLIAM JONES COLLEGE PREPARATORY HIGH SCHOOL

**AGREEMENT NO. PS1547** 

DESCRIPTION OF DATA: This Agreement shall apply to all Electronic Drawings which are listed and otherwise identified in an attached cover letter(s) to the PBC

#### TERMS OF AGREEMENT:

- 1. The PBC acknowledges that it has requested Architect to provide certain designs as electronic drawing file data in disk format and that the information contained on these disks is provided for its sole use and convenience. The PBC, at its own discretion, may choose to reassign this data to a third party, to whom all terms of this agreement shall also apply, by obtaining the third party's signature on the line below and sending a signed copy to Architect.
- 2. The undersigned further acknowledges that the true record of the design is the most recent printed copy of the design by Architect, and that errors and other changes may subsequently be introduced to the electronic format without the fault or knowledge of, and beyond the control of Architect.
- 3. The PBC and Architect hereby acknowledge and agree that to the extent the PBC, its agents, employees, consultants or contractors modify a design on electronic drawing file data such that the design differs from the last sealed hard copy prepared by the Architect, the PBC shall be responsible for any cost or harm incurred by the PBC due such modification.
- 4. This Data is an instrument of professional service prepared by Architect. Unless otherwise provided for in the Agreement, the reuse of this data, including designs and information included therein shall be at the sole risk of the user.

Architect:

Architect Authorized Signature [date]

Acknowledged and Accepted for:

Signature of PBC Executive Director [date]

Acknowledged and Accepted by Third Party:

Signature of Third Party [date]



PS1547

	ICATE OF LIA	I THIS CE	RTIFICATE IS I	CCUED AG A TOTAL	DATE (MM/DD/Y 5/4/2010	
444 W. 47TH STREET, SUITE KANSAS CITY MO 64112-190 (816) 960-9000	900	HOLDER	THIS CEPTIC	SSUED AS A MATTER NO RIGHTS UPON THE ICATE DOES NOT AME E AFFORDED BY THE F	CERTIFICATE	
SURED PERKINS+WILL, INC.		j.	AFFORDING (		NAIC#	
1078398 ATTN: MEGHAN STUART		INSURER A: 2	Zurich American In	surance Company	16535	
185 BERRY ST., LOBBY 1, ST	E. 5100	INSURER B: A	American Guarante	e and Liab. Ins. Co.	26247	
SAN FRANCISCO CA 94107 CHICAGO		INSURER C: S	t. Paul Fire and M	arine Insurance Co	24767	
		INSURER D: L	loyds & London C	Co (Paragon-London)		
OVERAGES PERWIOI PS			THIS CERTIFICATE OF	NSURANCE DOES NOT CONSTITUTE A ED REPRESENTATIVE OR PRODUCER	CONTRACT BETWEEN YOU	
THE POLICIES OF INSURANCE LISTED E ANY REQUIREMENT, TERM OR CONDITI MAY PERTAIN, THE INSURANCE AFFOR POLICIES. AGGREGATE LIMITS SHOWN R MADDU R MSRD TYPE OF INSURANCE	BELOW HAVE BEEN ISSUED TO THE TOTAL OF ANY CONTRACT OR OTH DED BY THE POLICIES DESCRIB MAY HAVE BEEN REDUCED BY	IER DOCUMENT WITH ED HEREIN IS SUBJE PAID CLAIMS.	RESPECT TO WI CT TO ALL THE TI	E POLICY PERIOD INDICATE HICH THIS CERTIFICATE M ERMS, EXCLUSIONS AND C	ED. NOTWITHSTAND AY BE ISSUED OR CONDITIONS OF SU	
GENERAL LIABILITY	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	POLICY EXPIRATE		MITS	
X COMMERCIAL GENERAL LIABILITY	Y GLO9670765			EACH OCCURRENCE	\$ 1,000,000	
CLAIMS MADE X OCCU		7/1/2009	7/1/2010	DAMAGE TO RENTED PREMISES (Ea occurence)	\$ 1,000,000	
		1	1	MED EXP (Any one person)	\$ 10,000	
	· ]	1	1	PERSONAL & ADV INJURY	\$ 1,000,000	
GEN'L AGGREGATE LIMIT APPLIES PER	<b>k</b> .∤		1	GENERAL AGGREGATE	\$ 2,000,000	
POLICY PRO- LOC AUTOMOBILE LIABILITY	;			PRODUCTS - COMP/OP AGO	\$ 2,000,000	
X ANY AUTO ALL OWNED AUTOS	BAP9670773	7/1/2009	7/1/2010	COMBINED SINGLE LIMIT (Ea accident)	\$ 1,000,000	
SCHEDULED AUTOS  HIRED AUTOS  X NON-OWNED AUTOS		1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1		BODILY INJURY (Per person)	s xxxxxx	
NON-OWNED AUTOS				BODILY INJURY (Per accident)	s xxxxxxx	
GARAGE LIABILITY	ļ		·	PROPERTY DAMAGE (Per accident)	\$ XXXXXXX	
ANY AUTO	NOT APPLICABLE			AUTO ONLY - EA ACCIDENT	s xxxxxxx	
<u>                                    </u>		i 1		OTHER THAN EA ACC	s xxxxxxx	
EXCESS/UMBRELLA LIABILITY X OCCUP			-	AGG	7777777	
CLAIMS MADE	QK08000728	7/1/2009	7/1/2010	EACH OCCURRENCE AGGREGATE	\$ 4,000,000	
DEDUCTIBLE X UMBRELLA	·	}		NOUNCONTE	\$ 4,000,000	
RETENTION S					\$ XXXXXXX	
VORKERS COMPENSATION AND	W.GO. 650	<del></del>			S XXXXXXXX	
EMPLOYERS' LIABILITY ANY PROPRIETORPARTNER/EXECUTIVE	WC9670774	7/1/2009	7/1/2010	X WC STATU OTH- TORY LIMITS ER		
Ves describe under NO				E.L. EACH ACCIDENT	\$ 1,000,000	
PECIAL PROVISIONS below		]		E.L. DISEASE - EA EMPLOYEE		
	LDUSA0901441	7/2/2009	7/2/2010	E.L. DISEASE - POLICY LIMIT	\$ 1,000,000	
IABILITY/CONTRACTORS OLLUTION LIAB.		1.2.2005	112/2010	\$5,000,000 PER CLAIM/\$5,00 AGGREGATE	0,000	
PTION OF OPERATIONS / LOCATIONS / VEHICLE NFORMATIONAL PURPOSES ONLY - WILL	ES / EXCLUSIONS ADDED BY ENDORSE	*****				
NFORMATIONAL PURPOSES ONLY - WILL NGO, BOARD OF EDUCATION OF THE CITY JITY AND AUTO LIABILITY WHERE REQUID DOFEDUCATION OF THE CITY OF CHICA	IAM JONES COLLEGE PREP HIGH S	CHOOL, UPON AWARD	ONS OF CONTRACT P	LIBLIC BUILDING GOLD		
OF EDUCATION OF THE CITY OF CHICA RAL LIABILITY, AUTO LIABILITY AND WO	ORKERS' COMPENSATION WHERE	/ILL BE PROVIDED A W REQUIRED BY WRITTE	AIVER OF SUBRO	GATION WITH RESPECT TO 1	CAGO, THE */	
		o. maric	A COMPRACT AND	ALLOWED BY STATE LAW	No note	
EICATE US					9,04	
FICATE HOLDER 415		CANCELLATIO	N N		<u>\$</u>	
		SHOULD ANY OF TH	IE ABOVE DESCRIBE	D POLICIES BE CANCEL FOR BE	FORE THE PURE.	
		DATE THEREOF, TH	Should any of the above described policies be cancelled before the expiration date thereof, the issuing insurer will endeavor to mail $\frac{-60}{-}$ days written notice to the certificate holder named to the left, but fallure to do so shall			
N: RICHARD J. DAI EV CENTED	CHICAGO IL 60602					
N: RICHARD J. DALEY CENTER		MOTICE TO THE CEI	RTIFICATE HOLDER I	NAMED TO THE LEFT, BUT FAILU	RE TO DO SO SHALL	
N: RICHARD J. DALEY CENTER		MOTICE TO THE CEI	RTIFICATE HOLDER I ITION OR LIABILITY (	NAMED TO THE LEFT, BUT FAILU OF ANY KIND UPON THE INSURE	RE TO DO SO SHALL	

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