

# **Public Building Commission of Chicago**

Richard J. Daley Center, Room 200 50 W. Washington Street Chicago, Illinois 60602 www.pbcchicago.com

# PROFESSIONAL SERVICES AGREEMENT

**SURVEYOR SERVICES (PS2063B)** 

# PUBLIC BUILDING COMMISSION OF CHICAGO

**AND** 

DB STERLIN CONSULTANTS, INC.

**FOR** 

**SURVEYOR SERVICES (PS2063B)** 

**Public Building Commission of Chicago** 

Richard J. Daley Center, Room 200 50 W. Washington Street Chicago, Illinois 60602 www.pbcchicago.com

FIRM NAME:	DB STERLIN CONSULTANTS, INC.
CONTACT NAME:	Reynold Sterlin, PE
CONTACT TELEPHONE:	312-857-1006
CONTACT EMAIL:	rsterlin@dbsterlin.com
ADDRESS:	123 N. Wacker Drive, Suite 2000 Chicago, IL 60606

Mayor Rahm Emanuel Chairman

Felicia S. Davis Executive Director

### **TABLE OF CONTENTS**

Execution Page	3
Schedule A – Terms and Conditions	
Schedule B – Scope of Services	
Schedule C – Compensation of the Consultant	
Schedule D – Insurance Requirements	
Schedule E – Key Personnel	
Exhibit A – Legal Actions	ΔF
Exhibit A – Legal Actions  Exhibit B – Disclosure Affidavit	
Exhibit C – Disclosure of Retained Parties	
Exhibit D – Special Conditions for the Utilization of MBE/WBE Firms	

#### EXECUTION PAGE SURVEYOR SERVICES – PS2063C

THIS AGREEMENT effective as of January 1, 2016, but actually executed on the date witnessed, is entered into by and between the Public Building Commission of Chicago, a municipal corporation of the State of Illinois, having its principal office at Room 200, Richard J. Daley Center, 50 West Washington Street, Chicago, Illinois 60602, (the "Commission"), and

DB Sterlin	Consultants, li	nc. with offices at	123 N. Wacker Drive, Suit	te 2000
Chicago, I			(the "Consultant").	
Address	City	State	Zip	
		R	citals:	

Whereas, the Commission intends to undertake from time to time the acquisition, demolition, renovation, development, construction and/or improvement of buildings, facilities and other improvements ("Project") located in the City of Chicago ("City") at the request of various governmental and public agencies ("User Agency").

WHEREAS, the Commission requires certain professional services as described in Schedule B the Agreement (the "Services") contained herein, in connection with the Projects undertaken by the Commission for the use and benefit of a User Agency.

WHEREAS, the Consultant desires to be retained by the Commission to perform the Services and has represented to the Commission that the Consultant is qualified and competent, by education and training, and has the knowledge, skill, experience and other resources necessary to perform the Services required by the Agreement in accordance with terms and conditions of the Agreement.

WHEREAS, in reliance upon the Consultant's representations and Key Personnel as identified in Schedule E of this Agreement, the Commission has selected the Consultant to perform the Services on the terms and conditions set forth in this Agreement as modified from time to time by Task Order.

NOW, THEREFORE, the parties have executed this Agreement on the terms and conditions that follow:

### EXECUTION PAGE SURVEYOR SERVICES – PS2063B

PUBLIC BUILDING COMMISSION OF CHICAGO	
Kalemanuel	Date:
Mayor Rahm Emmanuel Chairman	
ATTEST:	
Lori Ann Lypson Seotetary	Date: 2/9/10
CONSULTANT: DB STERLIN CONSULTANTS, INC.	
Keym lefterly	Date:01/20/2016
President	
AFFIX CORPORATE SEAL, IF ANY, HERE	
County of: Cook	
State of:Illinois	
_	Herlin and
Subscribed and sworn to before me by Lynolo Son behalf of Consultant this 20th day of 20th 201	<u>الما</u>
Notary Public	OFFICIAL SEAL
My Commission expires: 07 07 2018	SNEZANA SCEKIC NOTARY PUBLIC - STATE OF ILLINOIS
(SEAL OF NOTARY)	MY COMMISSION EXPIRES:07/07/18
Approved as to form and legality:  Meal & Leroy, LLC	Date: 1 - 29 - 16

# SCHEDULE A TERMS AND CONDITIONS

- 1. Recitals. The Recitals set forth on the Execution Page of this Agreement are hereby incorporated herein by reference.
- 2. <u>Definitions</u>. The herein words and phrases have the following meanings for purposes of this Agreement.
  - a. Agreement means this Professional Services Agreement for Specialty Consulting Services, including all schedules, exhibits or documents attached hereto and/or incorporated by reference herein, and all amendments, supplements or Task Orders made in accordance with the terms hereof.
  - b. Commission means the Public Building Commission of Chicago, a municipal corporation organized under the Public Building Commission Act of the State of Illinois, as amended, or its duly authorized officers or employees.
  - c. Consultant means the company or other entity identified in this Agreement, and such successors or assigns, if any, as may be authorized to perform the Services required by the terms and conditions of this Agreement.
  - d. CW System or CW means the on-line collaboration workspace and document management system established and maintained by the Commission for electronic submission and receipt of documents and reports.
  - e. Deliverables means the documents, in any format (electronic or hard copy) requested by the Commission, including without limitation drawings, plans, reports, forms, recommendations, and analyses, that the Consultant is required under this Agreement to provide to the Commission.
  - f. **Equipment** means the articles or physical resources, tangible or intangible, including but not limited to, hardware, firmware or software enabling the Commission to implement the Projects and Consultant to perform the Services under this Agreement.
  - g. Executive Director means the person employed by the Commission as its Executive Director or the duly authorized representative thereof.
  - h. **Key Personnel** means those job titles and persons as identified in such positions in the Request for Qualifications response.
  - Services means collectively, the duties, responsibilities and tasks that are necessary in order for the Consultant to
    provide the Scope of Services required by the Commission under Section IV of the Request for Qualifications response
    and the assigned Task Order.
  - j. Sub-consultant or Subcontractor means a partnership, firm, corporation or entity other than the Consultant that furnishes labor, materials and/or equipment to the Consultant related to the performance of the Services and/or improvement of the Project.
  - k. Task Order means a document issued by the Commission to the Consultant pursuant to this Agreement that authorizes in writing Services and/or Deliverables to be provided by the Consultant, together with any applicable exhibits or schedules, a timetable for any Deliverables and the applicable fees.
- 3. Incorporation of Documents. The Resolution passed by the Board of Commissioners of the Commission on October 1, 2009, concerning utilization of minority business enterprises ("MBE") and women business enterprises ("WBE"), as the same may be amended from time to time, is hereby incorporated in and made a part of this Agreement. By executing this Agreement, Consultant acknowledges and agrees that Consultant is familiar with the contents of such Resolution and will comply fully with all applicable portions thereof in performing the Services.
- 4. Engagement and Standards for Performing Services.
  - a. <u>Engagement</u>. The Commission hereby engages the Consultant, and the Consultant hereby accepts such engagement, to provide the Services described in this Agreement, as the same may be amended, in writing, from time to time by mutual agreement of the Commission and the Consultant.
  - b. <u>Performance Standard</u>. The Consultant represents and agrees that the Services performed under this Agreement will proceed with efficiency, promptness and diligence and will be executed in a competent and thorough manner, in accordance with reasonable professional standards in the field consistent with that degree of skill and care ordinarily exercised by practicing consulting professionals performing services of a scope, purpose, and magnitude comparable with the Services to be provided under this Agreement and the assigned Task Order. If in the course of performing the

- Services, Consultant identifies any condition, situation, issue or problem that may impact the performance of the Services or the Project, Consultant shall promptly provide notice to the Commission.
- Consultant's Personnel. The Consultant agrees that it will assign at all times during the term of the Agreement the number of experienced, appropriately trained employees necessary for the Consultant to perform the Services under this Agreement and the assigned Task Order in the manner required by this Agreement. Consultant must not reassign or replace Key Personnel without the written consent of the Commission. Consultant must ensure that all Services and Deliverables that require the exercise of professional skills or judgment are accomplished by professionals qualified and competent in the applicable discipline and appropriately licensed, if required by law. Consultant must maintain current copies of any such licenses and provide such copies, upon request, to the Commission. Consultant remains responsible for the professional and technical accuracy of all Services and Deliverables furnished, whether by the Consultant or others on its behalf. Consultant must, at all times, use it best efforts on behalf of the Commission to assure timely and satisfactory rendering and completion of the Services. Consultant must perform all Services in accordance with the terms and conditions of this Agreement, to the reasonable satisfaction of the Commission. All Deliverables must be prepared in a format satisfactory to the Commission and delivered in a timely manner consistent with the requirements of this Agreement and the assigned Task Order.
- d. <u>Independent Contractor</u>. In performing the Services under this Agreement, Consultant shall at all times be an independent contractor, and does not and must not act or represent itself as an agent or employee of the Commission or the User Agency. As an independent contractor, Consultant is solely and wholly responsible for determining the means and methods for performing the Services. The Agreement will not be construed as an agreement of partnership, joint venture, or agency.
- e. <u>Limitations on Sub-Consultants and Subcontractors</u>. Consultant must not use any business or individual who is disqualified by the Commission or debarred under any other governmental agency's procedures to provide the Services under the Agreement.
- f. Failure to Meet Performance Standard. If the Consultant fails to comply with its obligations under the standards of the Agreement, the Consultant must perform again, at its own expense, all Services required to be re-performed as a direct or indirect result of that failure. Any review, approval, acceptance or payment for any of the Services by the Commission does not relieve Consultant of its responsibility to render the Services and Deliverables with the professional skill and care and technical accuracy required by the Agreement. This provision in no way limits the Commission's rights against the Consultant, either under the Agreement, at law or in equity.
- g. <u>Changes to the Services</u>. The Commission may from time to time, request changes to the terms of the Agreement, Task Order or the Services of the Consultant to be performed hereunder. Such changes, including any increase or decrease in the amount of compensation and revisions to the duration of the Services or timetable for Deliverables, which are mutually agreed upon by and between the Commission and Consultant, shall be incorporated in a written amendment to this Agreement or the Task Order. The Commission shall not be liable for any changes absent such written amendment.
- 5. Representations and Warranties. Consultant represents, warrants and covenants that (a) it will comply with all laws and regulations in performing the Services; (b) it will perform the Services in accordance with the terms and conditions of the Agreement in a professional and workmanlike manner consistent with best industry standards and practice; (c) it possesses all right, power and authority to enter into this Agreement; (d) all Deliverables shall be original works of Consultant or that Consultant shall have all rights necessary to provide such Deliverables; and (e) neither the Services, Deliverables or any other materials or any part thereof, provided to the Commission shall infringe any patent, copyright, trademark, trade secret or other proprietary right of a third party. If any Services performed by Consultant fail to meet the above warranties, then without limiting any other remedies at law or in equity, Consultant shall promptly correct or re-perform any such affected Services at no cost to the Commission. Further, Consultant acknowledges that the Commission has entered or will enter into agreements with third party vendors to provide a third party data hosting site and a disaster recovery site. Consultant agrees to abide by all rules, regulations and other requirements prescribed by such third party vendors in order to gain access to the Equipment and perform the Services required by this Agreement.
- 6. Duties and Obligations of Consultant.
  - a. <u>Nondiscrimination</u>. The Consultant agrees that in performing this Agreement it shall not discriminate against any worker, employee or applicant for employment, or any member of the public, because of race, creed, gender, color, national origin or disability, or otherwise commit an unfair labor practice. Attention is called to applicable provisions of the Civil Rights Act of 1964, 88-352, July 2, 1964, 78 Stat. 241 et. Seq. the Americans with Disabilities Act of 1990, 42

- U.S.C. 12010 et. Seq., the Age Discrimination Act, 43 U.S.C. Sec. 6101-6106 (1981); Illinois Human Rights Act 775 ILCS 5/1-101 et. Seq. and the Public Works Employment Discrimination Act 775 ILCS 10/0.0 1 through 10/20, the Chicago Human Rights Ordinance, Chapter 2-160, Section 2-160-010 et seq. of the Municipal Code (1990), as amended, and a Resolution passed by the Board of Commissioners of the Public Building Commission of Chicago on October 1, 2009, concerning participation of Minority Business Enterprises and Women Business Enterprises on contracts awarded by the Commission. The Consultant will furnish such reports and information as requested by the Commission or the Illinois Department of Human Relations or any other administrative or governmental entity overseeing the enforcement, administration or compliance with the above referenced laws and regulations.
- b. Employment Procedures, Preferences and Compliances. Salaries of employees of Consultant performing work under this Agreement shall be paid unconditionally and not less often than once a month without deduction or rebate on any account except only such payroll deductions as are mandatory or permitted by the applicable law or regulations. Attention is called to [Illinois Compiled Statutes, 1992 relating to Wages and Hours including 820 ILCS 130/0.01 through 130/12 thereof (Prevailing Wage Act), 30 ILCS 570/1 through 570/7 (Employment of Illinois Workers on Public Works Act) and 30 ILCS 560/0.01 through 560/7 (Public Works Preference Act).] The Consultant shall comply with all applicable "Anti-Kickback" laws and regulations, including the "Anti-Kickback" Act of June 13, 1934 (48 Stat. 948; 62 Stat. 740; 63 Stat. 108; 18 U.S.C. § 874; 40 U.S.C. § 276c) and the Illinois Criminal Code of 1961 720 ILCS 5/33E-1 et. seq. If, in the performance of this Agreement, there is any direct or indirect kickback, the Commission shall withhold from the Consultant, out of payments due to it, an amount sufficient to pay employees underpaid the difference between the salaries required hereby to be paid and the salaries actually paid such employees for the total number of hours worked. The amounts withheld shall be disbursed by the Commission for and on account of the Consultant to the respective employees to whom they are due.
- c. <u>Ethics</u>. The Consultant has read and agrees to comply with all provisions of the Code of Ethics Resolution passed by the Commission on October 3, 2011, which is available on the Commission's website at www.pbcchicago.com/pdf/RES\_PBC\_ecr\_CodeofEthicsConsolApril%202013\_20130405.pdf and is incorporated into this Agreement by reference. Any contract negotiated, entered into, or performed in violation of any of the provisions of this Section will be voidable by the Commission.
- d. Inspector General. The Consultant and its subconsultants, including all officers, directors, agents, partners and employees of such entities shall cooperate with the Inspector General of the Public Building Commission in any investigation or hearing undertaken pursuant to Public Building Commission Resolution 7576 adopted by the Board of Commissioners of the Public Building Commission of Chicago on October 1, 2010. On projects funded by the City of Chicago, the Consultant and its subconsultants, including all officers, directors, agents, partners and employees of any such entities, shall cooperate with the Inspector General of the City in any investigation or hearing undertaken pursuant to Chapter 2-56 of the Chicago Municipal Code. Each Consultant understands and will abide by all provisions of Chapter 2-56 of the Municipal Code of Chicago. All Consultants will inform their respective subconsultants of this provision and require compliance herewith. Consultant shall cooperate and comply with the Inspector General of the User Agency in any investigation or hearing undertaken pursuant to the enabling ordinance or resolution pertaining to the authority of such Inspector General that has been promulgated by such User Agency.
- e. <u>Delays</u>. The Consultant agrees that no charges for damages or claims for damages shall be asserted by it against the Commission for any delays or hindrances from any cause whatsoever during the progress of any portion of the Services. Such delays or hindrances, if any, shall be compensated for by an extension of time to complete the Services, for such reasonable period as may be mutually agreed upon between the parties, it being understood, however, that the agreement of the Commission to allow the Consultant to complete the Services or any part of them after the time provided for the completion thereof herein shall in no way operate as a waiver on the part of the Commission of any of its rights hereunder.
- f. Records. The Consultant shall maintain accurate and complete records of expenditures, costs and time incurred by Consultant in connection with the Project and the Services. Such records shall be maintained in accordance with recognized commercial accounting practices. The Commission may examine such records at Consultant's offices upon reasonable notice during normal business hours. Consultant shall retain all such records for a period of not less than five calendar years after the termination of this Agreement.
- g. <u>CW System</u>. The Commission may require the Consultant to use the Commission's electronic document management system in performing the Services and the assigned Task Order. At the direction of the Commission, the Consultant must follow the CW procedures and submit progress reports and other Deliverables through the CW System. The Consultant must attend courses and receive training on the CW System provided by or on behalf of the Commission.

Any costs incurred by Consultant as a result of the attendance of Consultant's personnel at CW System courses are not compensable by the Commission.

- h. <u>Time of Essence</u>. The Consultant acknowledges and agrees that time is of the essence in the performance of this Agreement and that timely completion of the Services is vital to the completion of the Project by the Commission. Consultant agrees to use its best efforts to expedite performance of the Services and the assigned Task Order and performance of all other obligations of the Consultant under this Agreement and any other agreement entered into by the Commission which are managed or administered by the Consultant as a result of the Consultant's engagement hereunder.
- i. <u>Compliance with Laws</u>. In performing its engagement under this Agreement, the Consultant shall comply with all applicable federal, state and local laws, rules and regulations including but not limited to, those referenced in subparagraphs (a) and (b) above.
- j. <a href="Progress Meetings">Progress Meetings</a>. Meetings to discuss the progress of the Project and/or to review the performance of the Consultant may be scheduled upon the Commission's request, at mutually agreeable times and locations, and the Consultant agrees to cause such meetings to be attended by appropriate personnel of the Consultant engaged in performing or knowledgeable of the Services.

#### 7. Term.

- a. The term of this Agreement is two (2) years with two (2) successive one (1)-year renewal options at the sole discretion of the Commission. This agreement may be terminated by the Commission, with cause, upon thirty (30) days notice to the Consultant and, provided further, that this agreement may be terminated at any time during the term by mutual agreement of the parties.
- b. The Commission shall have the right, at any time, to terminate the term of this Agreement, with or without cause, by written notice given to the Consultant at least thirty (30) days prior to the effective date of termination. In addition, the Commission shall have the right, at any time and from time to time, with or without cause, to suspend the performance of the Consultant hereunder with respect to all or any part of the Services, by written notice given to the Consultant at least five (5) days prior to the effective date of suspension. Termination or suspension of this Agreement shall not relieve the Consultant from liability for the performance of any obligation of the Consultant under this Agreement performed or to have been performed by the Consultant on or before the effective date of termination or suspension. Provided the Consultant is not in default under this Agreement at the time of termination or suspension, the Commission agrees to pay to the Consultant, in accordance with the terms of this Agreement, all compensation and reimbursements due to the Consultant for periods up to the effective date of termination or suspension. In no event shall the Commission be liable to the Consultant for any loss, cost or damage which the Consultant or any other party may sustain by reason of the Commission terminating or suspending this Agreement as provided herein; provided, however, that the Commission may, in its sole discretion, reimburse the Consultant for actual expenses approved by the Commission.
- c. If the Project, in whole or substantial part, is stopped for a period longer than thirty (30) days under an order of any court or other governmental authority having jurisdiction of the Project, or as a result of an act of government, such as a declaration of national emergency making materials unavailable, through no act or fault of the Consultant, or if the Commission fails to make any payment or perform any other obligation hereunder, the Consultant shall have the right to terminate this Agreement, by written notice given to the Commission at least seven (7) days prior to the effective date of termination, and shall have the right to recover from the Commission all compensation and reimbursements due to the Consultant for periods up to the effective date of termination.
- 8. Compensation of Consultant; Submission of Invoices through CW. The total amount of compensation to be paid by the Commission during the term of this Agreement shall not exceed the sum of \$400,000.00 The Commission shall compensate the Consultant for the Services in the manner set forth in Schedule A of this Agreement, or as modified by written authorization. The Consultant shall submit all invoices, no more frequently than once every thirty (30) days, in electronic format using the CW System. All submitted invoices shall include a cover page as provided by the Commission and the assigned Task Order number. Failure to submit invoices through CW will result in delayed or non-payment to the Consultant.

- 9. Rights and Obligations of Commission. In connection with the administration of the Project by the Commission and the performance of this Agreement by the Consultant, the Commission shall have the following rights and obligations, in addition to those provided elsewhere in this Agreement:
  - a. <u>Information</u>. The Commission shall provide the Consultant all reasonably requested information concerning the Commission's requirements for the Project and the Services.
  - b. Review of Documents. Subject to the provisions of subparagraph 5(d) above, the Commission agrees to make a reasonable effort to examine documents submitted by the Consultant and render decisions pertaining thereto with reasonable promptness.
  - c. <u>Site Data</u>. To the extent the Commission determines to be necessary for the Consultant to perform the Services and the assigned Task Order, the Commission may furnish to the Consultant information concerning the nature of the Project, existing conditions and other data or reports pertaining to the site and the proposed development thereof.
  - d. <u>Tests and Reports</u>. The Commission may also furnish structural, civil, chemical, mechanical, soil mechanical and/or other tests and reports if determined by the Commission in its sole discretion to be necessary in order for the Consultant to perform the Services.
  - e. <u>Legal, Auditing and other Services</u>. The Commission shall arrange and pay for such legal, auditing, insurance counseling and other services as the Commission, in its sole discretion, may determine to be required for the Consultant to perform the Services. Such payments shall not include legal or auditing expenses arising out of or relating to any errors or omissions, or claimed errors or omissions, of Consultant.
  - f. <u>Designated Representatives</u>. The Commission may designate, at its sole discretion, one or more representatives authorized to act in its behalf.
  - g. Ownership of Documents. All documents, data, studies and reports prepared by the Consultant or any party engaged by the Consultant, pertaining to the Services shall be the property of the Commission, including copyrights.
  - h. <u>Audits</u>. The Commission shall have the right to audit the books and records of the Consultant on all subjects relating to the Services.
- 10. Indemnification of Commission and Third Party Vendors. The Consultant hereby agrees to indemnify, keep and save harmless the Commission and the User Agency and their respective commissioners, board members, officers, agents, officials and employees and any third party hosting site or disaster recovery site from and against all claims, demands, suits, losses, costs and expenses, including but not limited to, the fees and expenses of attorneys, that may arise out of or be based on any injury to persons or property that is or is claimed to be the result of an error, omission or act of the Consultant or any person employed by the Consultant to the maximum extent permitted by applicable law.
- 11. <u>Insurance to be Maintained by Consultant</u>. The Consultant shall purchase and maintain at all times during the performance of Services hereunder, for the benefit of the Commission, the User Agency and the Consultant, insurance coverage as set forth in ATTACHMENT E.

#### 12. Default.

- a. <u>Events of Default</u>. Any one or more of the following occurrences shall constitute an Event of Default under this Agreement:
  - i. Failure or refusal on the part of the Consultant duly to observe or perform any obligation or Agreement on the part of the Consultant contained in this Agreement, which failure or refusal continues for a period of ten (10) days (or such longer period as the Commission, in its sole discretion, may determine if such failure is not capable of being cured within such ten (10) day period) after the date on which written notice thereof shall have been given to the Consultant by the Commission;
  - ii. Failure of Consultant to perform the Services to the standard of performance set forth in this Agreement;
  - iii. Any representation or warranty of the Consultant set forth herein or otherwise delivered pursuant to this Agreement shall have been false in any material respect when so made or furnished;
  - iv. The Consultant becomes insolvent or ceases doing business as a going concern, or makes an assignment for the benefit of creditors, or generally fails to pay, or admits in writing its inability to pay, its debts as they become due, or files a voluntary petition in bankruptcy, or is adjudicated a bankrupt or an insolvent, or files a petition seeking for itself any reorganization, arrangement, composition, readjustment, liquidation, dissolution, or similar arrangement

under any present or future statute, law or regulation relating to bankruptcy or insolvency, or files an answer admitting the material allegations of a petition filed against it in any such proceeding, or applies for, consents to or acquiesces in the appointment of a trustee, receiver, liquidator or other custodian of it or of all or any substantial part of its assets or properties, or if it or its principals shall take any action in furtherance of any of the foregoing; or

- v. There shall be commenced any proceeding against the Consultant seeking reorganization, arrangement, readjustment, liquidation, dissolution or similar relief under any present or future statute, law or regulation relating to bankruptcy which is not vacated, stayed, discharged, bonded or dismissed within sixty (60) days thereof, or there shall be appointed, without the Consultant's consent or acquiescence, any trustee, receiver, liquidator or other custodian of Custodian or of all or any substantial part of the Consultant's assets and properties, and such appointment shall not have been vacated, stayed, discharged, bonded or otherwise dismissed within sixty (60) days thereof.
- b. <u>Remedies</u>. If an Event of Default shall occur and be continuing, then the Commission may exercise any right, power or remedy permitted to it by law or in equity and shall have, in particular, without limiting the generality of the foregoing, the right to terminate this Agreement upon written notice to the Consultant, in which event the Commission shall have no further obligations hereunder or liability to the Consultant except as to payment for Services actually received and accepted by the Commission through the effective date of termination. No course of dealing on the part of the Commission or delay or failure on the part of the Commission to exercise any right shall operate as a waiver of such right or otherwise prejudice the Commission's rights, powers or remedies.
- c. <u>Remedies not Exclusive</u>. No right or remedy herein conferred upon or reserved to the Commission is exclusive of any right or remedy herein or by law or equity provided or permitted, but each shall be cumulative of every other right or remedy given hereunder or now or hereafter existing at law or in equity or by statute or otherwise, and may be enforced concurrently therewith or from time to time.
- 13. Confidentiality. All of the reports, information, or data prepared or assembled by the Consultant under this Agreement are confidential, and the Consultant agrees that such reports, information or data shall not be made available to any party without the prior written approval of the Commission. In addition, the Consultant shall not, without the prior written consent of the Commission, prepare or distribute any news releases, articles, brochures, advertisements or other materials concerning this Agreement, the Project, the Services or any assigned Task Order. Consultant acknowledges that it is entrusted with or has access to valuable and confidential information and records of the Commission and User Agency. Consultant must at all times act in the best interests of the Commission and User Agency consistent with the professional obligations assumed by Consultant in entering into this Agreement. Consultant promises to cooperate with the officials, employees and agents of the Commission and User Agency in furthering the Commission's and User Agency's interests.
- 14. <u>Assignment</u>. The Consultant acknowledges that the Commission is induced to enter into this Agreement by the personal qualifications of the principals, staff and employees of the Consultant and agrees, therefore, that neither this Agreement nor any right or obligation hereunder may be assigned by the Consultant, in whole or in part, without the prior written approval of the Commission. The Commission expressly reserves the right to assign or otherwise transfer all or any part of its interests hereunder without the consent or approval of the Consultant.
- 15. <u>Personnel</u>. The Consultant further acknowledges that the Consultant has represented to the Commission the availability of certain members of the Consultant's staff who will be assigned to the Project, and agrees, therefore, that in the event of the unavailability of such members, the Consultant shall so notify the Commission in writing, and, upon the approval of the Executive Director, shall assign other qualified members of the Consultant's staff, to the Project.]
- 16. Relationship of Parties. The relationship of the Consultant to the Commission hereunder is that of an independent contractor, and the Consultant, except to the extent expressly provided to the contrary in this Agreement, shall have no right or authority to make contracts or commitments for or on behalf of the Commission, to sign or endorse on behalf of the Commission any instruments of any nature or to enter into any obligation binding upon the Commission. This Agreement shall not be construed as an Agreement of partnership, joint venture, or agency.

#### 17. Miscellaneous.

- a. <u>Counterparts</u>. This Agreement may be executed in any number of counterparts, any of which shall be deemed an original.
- b. <u>Entire Agreement</u>. This Agreement constitutes the entire understanding and Agreement between the parties hereto and supersedes any and all prior or contemporaneous oral or written representations or communications with respect to the subject matter hereof, all of which communications are merged herein. This Agreement shall not be modified, amended or in any way altered except by an instrument in writing signed by both of the parties hereto.
- c. <u>Force Majeure</u>. Neither of the parties shall be liable to the other for any delay or failure in performance hereunder due to causes which are beyond the control of the party unable to perform. If a force majeure occurs, the party delayed or unable to perform shall give prompt notice to the other party, and the Commission may, at any time during the continuation of the force majeure event, elect to suspend the performance of the Consultant under this Agreement for the duration of the force majeure. The Commission shall not be obligated to pay for Services to the extent and for the duration that performance thereof is delayed or prevented by force majeure, but, provided the Consultant is not in default of any obligation of the Consultant hereunder, the Commission shall pay to the Consultant, according to the terms hereof, all compensation and reimbursements due to the Consultant for periods up to the effective date of suspension.
- d. Governing Law. This Agreement has been negotiated and executed in the State of Illinois and shall be construed under and in accordance with the internal laws of the State of Illinois.
- e. <u>No Waiver</u>. The waiver by either party of any breach of this Agreement shall not constitute a waiver as to any succeeding breach.
- f. Notices. All notices required to be given hereunder shall be given in writing and shall be hand delivered or sent by United States certified or registered mail, postage prepaid, addressed to Commission and to the Consultant at their respective addresses set forth above. If given as herein provided, such notice shall be deemed to have been given on the date of delivery, if delivered by hand, and on the second business day after mailing, if given by mail. The Commission or the Consultant may, from time to time, change the address to which notices hereunder shall be sent by giving notice to the other party in the manner provided in this subparagraph.
- g. <u>Severability</u>. In the event that any provisions of this Agreement shall be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired thereby.
- h. <u>Successors and Assigns</u>. Except as otherwise provided herein, this Agreement shall be binding upon and inure to the benefit of each of the parties hereto and their respective successors and assigns.
- i. Consultant's Authority. Execution of this Agreement by the Consultant is authorized by a resolution of its Board of Directors, if a corporation, or similar governing document if a partnership or a joint venture, and the signatures(s) of each person signing on behalf of the Consultant have been made with complete and full authority to commit the Consultant to all terms and conditions of this Agreement, including each and every representation, certification and warranty contained or incorporated by reference in it.

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#### SCHEDULE B SCOPE OF SERVICES

#### **B.1** Intent

The Public Building Commission of Chicago (PBC) is currently soliciting qualifications from environmental consulting firms or teams (the "Respondents") in order to generate pool of pre-qualified consultants to perform Surveyor Services for the PBC.

#### **B.2. General Scope of Services**

The Consultant will enter into a term agreement with the Commission to provide basic survey services as directed by the Commission [through its Owner's Representative, Architect of Record, Program Manager, Project Manager or Legal Representative]. Types of surveys to be prepared by the Consultant may include the ALTA survey, boundary survey, topographic survey, acquisition plats, Private Utility Locate and any required Right-of-Way plats, including but not limited to vacation, dedication, closing and opening. The scope of services shall include the provision of all required labor, materials, equipment, postage and photocopying related to the completion of Survey Services as directed by the Commission [and as indicated in the project specifications]. All work will be performed by qualified personnel under the supervision of an Illinois Professional Land Surveyor.

**B.3. Basic Survey Requirements:** Basic requirements for survey services that the Consultant may be requested to perform include, but are not limited to:

#### A. Access to Property.

The Consultant shall contact the Commission's Planning Coordinator for information regarding access to the site. A Right-of-Entry must be obtained to gain access to any property not yet controlled by the Commission. Absolutely no work shall begin without advance written notice to the property owner and the Commission. The Consultant shall take all precautions to prevent damage to property and shall restore the site to the condition existing prior the Consultant's work.

#### B. Deliverables.

- 1. Provide (2) electronic AutoCAD.DWG files with associated pen sets on CD; (2) electronic PDF files on CD; (2) Office of Underground Coordination (OUC) file number and backup information on CD; 8 black line prints signed, sealed, and certified by a licensed Land Surveyor; include other Deliverables if directed [under Article 4.2.3 Adjustments to Basic Services] in the assigned Task Order. The standard project sheet size is 42 inches x 30 inches unless otherwise noted.
- 2. Prepare Survey in the latest version of AutoCAD. Include CTB or STB (Plotter/Printer Styles) files for AutoCAD.DWG files, please ensure that pen weights remain consistent. Orient drawings with North to the top or to the left, unless indicated differently. Scale of the reproducible drawings will be 1:20 and clearly state scale on the survey graphically and alphanumerically. Surveyor to notify the PBC Project Manager if the sheet size requires a smaller scale to fit.
- 3. On each drawing, indicate the project's name and address, surveyor's name, address, phone number, job number, date or revision date, and type of survey.
- 4. For Zoning and City Council exhibits, prepare property boundary exhibits, land use maps, and right-of-way plats, per the PBC provided format.

5. It is understood that the PBC and its consultants may reproduce the drawings without modification and distribute the copies without incurring obligation for additional compensation to the Surveyor.

#### C. Timetable for Deliverables.

Unless otherwise directed by the Commission in the Task Order, survey services will be performed in accordance with the following timetable. A **Boundary Survey** must be completed and delivered to the Commission's [designee] [Project Manager] within 10 business days after written authorization to proceed is received. The full Alta Survey must be completed and delivered to the Commission's [designee] [Project Manager] within 30 business days after written authorization to proceed is received, and all other surveys will be completed and delivered as ordered by the Commission through its designee. The Surveyor shall provide one (1) updated survey of the site conditions and eight (8) black line prints as a part of the Scope for the building permit submittal not later than one year from the date of the executed Task Order Proposal.

#### D. Basic Technical Requirements.

- 1. Survey(s) to be provided must meet the standard detail requirements for <u>ALTA / ACSM LAND TITLE SURVEYS</u>, latest edition, and as specified herein unless otherwise shown on the [Article 3.2.3 Adjustments to Basic Requirements Section of this proposal.] The requirements specified herein will prevail if in conflict with the minimum standard detail requirements.
- 2. Survey area must include the entire subject property at the designated address and Permanent Index Number (PIN) and extend 66' beyond property lines to include but not limited to the full right-of-way of surrounding streets, curbs, gutters and hardscapes. Refer to aerial photograph and documentation provided at the time of the TOSR issuance with area to be included in survey outlined.
- 3. Survey(s) must clearly indicate a legal boundary description of the property and the legal building and / or property address as described in the last deed of record for the property.
- 4. Survey property lines within the survey area and reference all corners by coordinates. Locate existing corner markers or place new ones if none are found beyond Scope requirements. Provide at least two corners referenced to the IL State Plans Coordinate System, East Zone, NAD83.
- Note the dimensions from the property line of the closest intersecting street to any driveway or curb cuts along the property line. Note the width of all driveways at the property line and the apron curb-cut.
- 6. Obtain from record and other documents, including, but not limited to 80 acre sheet, Sidwell maps, recorded plats of resubdivision, property deeds, title reports (provided by PBC legal representative) and/or other relevant public documents, and show the location, including width, angles, and property line ties, of all easements of record passing through or adjacent to the survey area; including street and alley rights-of-way, drainage rights-of-way, rights-of-access, utility structures and lines right of access, etc. Note on the plat/map of survey, the date of vacations and dedications of all streets and alleys and identify the recorded resource information (book and page number or document number of instrument creating a said dedication or easement), or state there are no easements other than streets and alleys shown.
- Note identity, jurisdiction and width of adjoining streets and highways, width and type of pavement, including walks. Identify landmarks. Indicate the directions of traffic flow and any pertinent street markings.

- 8. Indicate exterior dimensions of all buildings at ground level along with the footprint square footage. Show dimensions from the 4 primary faces to the property line.
- Show boundary lines, giving length and bearing (including reference or basis) on each straight line, interior angles, radius, point of tangency and length of curved lines.
- Show building line and setback requirements obtained from record documentation or measured.
- 11. Indicate total gross area (in square feet and acreage) of the project property inclusive of public right-of-ways, and indicate the total net area (in square feet and acreage) of the project property exclusive of public right-of-ways.
- 12. Locate graphically to scale within the survey area surface features such as but not limted to walls, fences, pavements, curbs, walks, buildings, above-grade structures, manholes, traffic signals, traffic signal control boxes, street lights, utility poles, utility structures, parking meters, fire hydrants, valve boxes, poles, signs, transit shelters, billboards, advertising signs, and other visible improvements. Show dimensions of green area setbacks from property line or right-of-way. Describe fences by their material. Show other utilities such as lawn sprinkler piping or site lighting conduit, etc.
- 13. Locate within the survey landscape planting beds, screenings, landscape islands or planters, and individual trees. Indicate the caliper and type of tree, deciduous or coniferous. In City parkways adjacent to the subject property lines all trees shall be identified by caliper and type. Where trees are closely grouped, indicate the outline of the tree grove and note type contained therein.
- 14. Include dimensioned parking areas, the type (e.g. handicapped, motorcycle, regular, etc.), number of parking spaces and bicycle spaces or stands and interior landscape plantings. Show directional traffic flow and existing traffic markings.
- 15. Record at least two permanent benchmarks, broadly separated. All elevations will be referenced to Chicago City Datum. An equation relating such local datum to established National Datum will be indicated on the plat/map of survey. All new benchmarks will be tied to found benchmarks.
- As required to establish profiles (including all changes or breaks in grade) and cross-sections of walks, curbs, gutters, pavement edges and centerlines, walls, ditches, streams (include depth), etc., including the full cross section of all roadways.
- 17. Indicate visible building footprints of former structures at the time of the survey as evidenced in record documentation and ascertainable by visible survey.
- 18. Establish all rim and invert elevations, pipe sizes, depth of subterranean structure, direction of flow, etc., at all points of access to below-grade utilities.
- 19. Locate all visible features of the various systems in right-of-way such as utility poles, manholes, hydrants, etc., and underground utilities as provided from recorded documents of City, County, State Departments or Utility companies, including CDOT and Office of Underground Coordination. Identify ownership of each utility.
- 20. Aboveground and underground utilities, including but not limited to, water mains, gas mains, telephone mains, electrical mains, street lights, television cable, drainage structures rim and invert and use (i.e. sanitary, combined or storm) are a part of this survey. Indicate the location

of each utility with reference to the property line. The utility survey will be completed to "Attribute Quality Level C" as specified in the "Standard Guideline for Collection and Depiction of Existing Utility Data" (American Society of Civil Engineers, CI/ASCE 38-02) or as indicated in the TOSR.

- 21. State whether or not the plot or parcel appears on any flood plan or Flood Insurance Boundary Map and give reference if it does.
- 22. Provide spot elevations using a 25 foot grid for exterior property lot and grounds, or for specific exterior boundaries as defined in the project RFP. Show spot elevations to the nearest 1/8" on pavements, building floors, and utility structures. Show spot elevations in unpaved areas to the nearest inch. Indicate 1'- 0" contour profiles when a change in gradient exceeds 2 percent.
- 23. Show the visible number and size of conduits in a duct bank, location of poles, number of overhead wires, service provider, size and type of ducts, depth of cover or height of wires for telephone, electrical, street lights, Western Union, television cable, etc. Confirm utilities with OUC atlases.
- 24. Indicate the location and size of each main, pipe, duct bank, conduit line, and all structures with reference to the property line.
- 25. Establish points of access, depth, pipe sizes, direction of flow, and slope of any of subterranean structures extending beyond the survey area.
- 26. Include metes and bounds of subject property on survey.
- 27. Identify party walls and locate them with respect to property lines.
- 28. Surveyor to update PBC of all non-accessible areas within the survey scope of work during the time work is being performed on site.

# E. Adjustments to the Basic Survey Requirements.

Upon request by the Commission by the TOSR, the Consultant may be required to provide the following adjustments to the Basic Survey Requirements:

- 1. Update an existing survey already in Basic format.
- 2. Deliverables not in Basic Services (i.e. Basic Services includes 2 CD; 8 black line prints):
- 3. Include off-site vehicle parking lot(s) and show on the same sheet. Off-site parking lot is directionally located as follows (N, S, E, W) of main building.
- 4. Locate structures or features projecting outward or upward or immediately noticeable of all buildings upon the plot or parcel. Indicate location and extent of overhangs and other projections above ground floor level. Specifically show all cantilevered or protruding elements which project beyond the property line. State character of building, street address, and number of stories.
- 5. Provide spot elevations using a 25 foot grid for interior floor elevations including basement, first floor, raised floors, entries of buildings, and subterranean structures at points of access within the Survey Area, or for specific interior boundaries as defined in the project specific

- RFP. Show spot elevations to the nearest 1/8" on building floors and utility structures. Indicate 1'-0" contour profiles when a change in gradient exceeds 2 percent.
- 6. Provide vertical centerline for curtain wall mullions.
- 7. Include horizontal and vertical dimensions and visible features pertaining to any building protrusion or building signage outward or upward into the air rights in public way as may be visible, and/or described in the last documents of record, and/or documents as provided by the owner.
- 8. Show location of visible valves, drips, regulators, etc. and average pressure in main for Gas Mains.
- 9. Show the visible location of anchor points, expansion joints or loops, size, type, depth of pipes, insulating carrier, centerline elevations of supply and return piping for steam mains.
- 10. Measure and record the building height as defined by the Chicago Zoning Ordinance of any existing structures in the survey area. Measure, describe and record the elevation of the highest building element of any existing structures in the survey area.
- 11. Measure and record the Floor Area Ratio as defined by the Chicago Zoning Ordinance.
- 12. Show all private utilities and stake.
- 13. Provide finished floor elevations at area of connection for all floor levels (including basement, if applicable). Surveyor to contact PBC Project Manager for locations of connection to new addition
- 14. Provide parapet height at area of connection. Surveyor to contact PBC Project Manager for location of connection to new addition.
- 15. Provide spot elevations using a 5'-0" grid at all curb ramp locations and their connecting curb ramps per CDOT requirements.

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# SCHEDULE C COMPENSATION OF THE CONSULTANT

#### C.1 CONSULTANT'S FEE

- C.1.1 The Commission shall pay the Consultant for the satisfactory performance of the Services for all work included in each duly executed Task Order assigned by the Commission on a negotiated, lump sum fee basis ("Fee"). However, the Commission reserves the right to request hourly rates from the firm to serve as the informational basis for the calculation of the lump sum fee.
- C.1.2. Consultant's Fee will include profit, overhead, general conditions, materials, equipment, computers, vehicles, office labor, field labor, insurance, deliverables, and any other costs incurred in preparation and submittal of deliverables.
- C1.3. Should the Commission require additional services above and beyond those described in Schedule B, the Consultant will be required to submit a proposal, where the Commission reserves the right to negotiate, and the Commission must authorize approval of Consultant's agreement in writing (via contract addendum).

#### C.2 METHOD OF PAYMENT

.2.1. **Invoices.** The Consultant will submit invoices, via CW, to the Commission for Services performed that will be paid in one lump sum after all Services required by each Task Order have been completed to the reasonable satisfaction of the Commission.

Each invoice must reference the contract number, task order number, project name and include reasonable detail to sufficiently describe the services performed. At its discretion, the Commission may require detail and data relating to Subconsultant costs. In accordance with the terms of the Agreement, the Consultant must maintain complete documentation of all costs incurred for review and audit by the Commission or its designated audit representative(s). Each invoice must be submitted in the format directed by the Commission. Invoices must be accompanied by a progress report in a format acceptable to the Commission. Such progress reports must identify any variances from budget or schedule and explain reasons for any such variance(s).

C.2.2. Payment. Payment will be processed within thirty (30) days after Commission receives an acceptable invoice from the Consultant.

# SCHEDULE D INSURANCE REQUIREMENTS

In general, unless otherwise specified in the assigned Task Orders, the Consultant must provide and maintain at Consultant's own expense, until expiration or termination of the Agreement and during the time period following expiration if Consultant is required to return and perform any additional work, the minimum insurance coverage and requirements specified below, insuring all operations related to the Agreement.

#### D.1. INSURANCE TO BE PROVIDED

### D.1.1. Workers' Compensation and Employers Liability

Workers' Compensation Insurance, as prescribed by applicable law covering all employees who are to provide a service under the Agreement and Employers Liability coverage with limits of not less than \$1,000,000 each accident, illness or disease.

### D.1.2. Commercial General Liability (Primary and Umbrella)

Commercial General Liability Insurance or equivalent with limits of not less than \$2,000,000 per occurrence for bodily injury, personal injury and property damage liability. Coverage must include the following: All premises and operations, products/completed operations, separation of insureds, defense, and contractual liability. The Public Building Commission of Chicago, the City of Chicago and the User Agency and Owners must be named as Additional Insured on a primary, non-contributory basis for any liability arising directly or indirectly from the work.

Subcontractors performing work for Consultant must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein

#### D.1.3. Automobile Liability

When any motor vehicles (owned, non-owned and hired) are used in connection with work to be performed, the Consultant must provide Automobile Liability Insurance, with limits of not less than \$1,000,000 per occurrence for bodily injury and property damage. The Public Building Commission of Chicago, the City of Chicago and the User Agency and Owners must be named as Additional Insured on a primary, non-contributory basis.

#### D.1.4. Professional Liability

When Consultant performs work in connection with the Agreement, Professional Liability Insurance must be maintained with limits of not less than \$1,000,000 covering acts, errors, or omissions. The policy will include coverage for wrongful acts, including but not limited to errors, acts or omissions, in the rendering or failure to render professional services resulting in a pollution incident. When policies are renewed or replaced, the policy retroactive date must coincide with, or precede the, start of work on the Agreement. A claims-made policy, which is not renewed or replaced, must have an extended reporting period of two (2) years.

Subcontractors performing work for Consultant must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein

#### D.1.5 Property

The Consultant is responsible for all loss or damage to personal property (including but not limited to materials, equipment, tools, and supplies) owned, rented, or used by Consultant.

#### D.1.6 Valuable Papers

When any plans, designs, drawings, specifications, data, media, and documents are produced or used under this Agreement, Valuable Papers Insurance shall be maintained in an amount to insure against any loss whatsoever, and shall have limits sufficient to pay for the re-creation and reconstruction of such records.

#### D.1.7 Contractors Pollution Liability

When any work is performed which may cause a pollution exposure, Contractors Pollution coverage is required with limits of not less than \$1,000,000 per occurrence for any portion of the services, which may entail, exposure to any pollutants, whether in the course of sampling, remedial work or any other activity under this contract. The contractor pollution liability policy will provide coverage for sums that the insured become legally obligated to pay as loss as a result of claims for bodily injury, property damage and/or clean-up costs caused by any pollution incident arising out of the Work including remediation operations, transportation of pollutants, owned and non-owned disposal sites and any and all other activities of Contractor and its subcontractors. Pollution incidents will include, but not be limited to, the discharge, dispersal, release or escape of any solid, liquid, gaseous or thermal irritant or contaminant, including but not limited smoke, vapors, soot, fumes, acids, alkalis, toxic chemicals, medical waste, waste materials, lead, asbestos, silica, hydrocarbons and microbial matter, including fungi, bacterial or viral matter which reproduces through release of spores or the splitting of cells or other means, including but not limited to, mold, mildew and viruses, whether or not such microbial matter is living.

The policy will be maintained for a period of three years after final completion and include completed operations coverage. The policy will include the Public Building Commission of Chicago, the City of City of Chicago and the User Agency and Owners as Additional Insured on a primary and non-contributory basis for ongoing and completed operations.

### D.1.8 Railroad Protective Liability

In all instances when work is to be performed within fifty (50) feet of a right-of-way, Consultant and subconsultants performing work in the area are required to endorse their liability policies with form CG 24 17 to eliminate the exclusion for work within fifty (50) feet of the rail right-of-way. Evidence of this endorsement must be submitted with the Certificate of Insurance required below.

#### D.2. ADDITIONAL REQUIREMENTS

The Consultant must furnish the Public Building Commission (PBC) Procurement Department, Richard J. Daley Center, Room 200, Chicago, IL 60602, original Certificates of Insurance, or such similar evidence, to be in force on the date of this Agreement, and Renewal Certificates of Insurance, or such similar evidence, if any insurance coverage has an expiration or renewal date occurring during the term of this Agreement. The Consultant must submit evidence of insurance to the PBC before award of Agreement. The receipt of any certificate does not constitute agreement by the PBC that the insurance requirements in the Agreement have been fully met or that the insurance policies indicated on the certificate are in compliance with all Agreement requirements. The failure of the PBC to obtain certificates or other insurance evidence from Consultant is not a waiver by the PBC of any requirements for the Consultant to obtain and maintain the specified coverage. The Consultant will advise all insurers of the Agreement provisions regarding insurance. Non-conforming insurance does not relieve Consultant of the obligation to provide insurance as specified in this Agreement. Nonfulfillment of the insurance conditions may constitute a breach of the Agreement, and the PBC retains the right to stop work until proper evidence of insurance is provided, or the Agreement may be terminated.

The PBC reserves the right to obtain copies of insurance policies and records from the Consultant and/or its subcontractors at any time upon written request.

The insurance must provide for 30 days prior written notice to be given to the Commission if any policies are canceled, substantially changed, or non-renewed.

Any deductibles or self-insured retentions on referenced insurance must be borne by Consultant.

The Consultant hereby waives and agrees to require their insurers to waive their rights of subrogation against The Public Building Commission of Chicago, the City of Chicago and the User Agency and Owners, their respective

Board members, employees, elected and appointed officials, and representatives.

The insurance coverage and limits furnished by Consultant in no way limit the Consultant's liabilities and responsibilities specified within the Agreement or by law.

Any insurance or self-insurance programs maintained by the Public Building Commission of Chicago, the City of Chicago and the User Agency and Owners do not contribute with insurance provided by the Consultant under the Agreement.

The required insurance to be carried is not limited by any limitations expressed in the indemnification language in this Agreement or any limitation placed on the indemnity in the Agreement given as a matter of law.

If Consultant is a joint venture or limited liability company, the insurance policies must name the joint venture or limited liability company as a named insured

The Consultant must require all its subcontractors to provide the insurance required in this Agreement, or Consultant may provide the coverage for its subcontractors. All subcontractors are subject to the same insurance requirements of Consultant unless otherwise specified in this Agreement.

If Consultant or its subcontractors desire additional coverage, the party desiring the additional coverage is responsible for the acquisition and cost.

Consultant must submit the following:

- 1. Standard ACORD form Certificate of Insurance issued to the Public Building Commission of Chicago as Certificate Holder including:
  - a. All required entities as Additional Insured
  - b. Evidence of waivers of subrogation
  - c. Evidence of primary and non-contributory status
- 2. All required endorsements including the CG2010 1001 or similar

The PBC's Risk Management Department maintains the rights to modify, delete, alter or change these requirements.

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### SCHEDULE E KEY PERSONNEL

(ATTACHED HERETO AND INCORPORATED HEREIN)

# **Key Personnel & Applicable Licenses:**

Key Personnel listed in Org Chart	Role in project	Work Experience	Resumes Attached	Years with DBS	License
John Boline, PLS	QC/QA Manager	Mr. Boline has over 11 years of experience in land surveying, working both in the field and office. He has worked on a wide array of projects including topographic surveys, ALTA/ASCM land title surveys, and preparation of both commercial and residential subdivision plats and plats of easement. and rehabilitation.	Yes	6	PLS
Victor Cardona, PE	Project Manager	Mr. Cardona has 25 years of professional experience in Civil Engineering. He has worked on various feasibility studies and designs for shoreline protection, as well as highway and utility engineering projects. As a Senior Civil Engineer he is responsible for producing cost estimates, detailed designs, plans and specifications. He also provides office support to the survey team and construction management field operations, and assists in project monitoring and contract negotiation activities.	Yes	12	PE
Thomas Galbreath, PLS	Survey Manager	Mr. Galbreath has over 30 years of experience in the practice of land surveying. His experience includes transportation design, control surveys, construction layout and quality control, land acquisition, hydrographic surveys, aerial photogrammetry, and ground control. He is also experienced in complex boundary and ALTA/ACSM land title surveys and is particularly versed in boundary analysis and retracement survey work, including chain of title analysis through records research at State, County, and Township offices as well as libraries and genealogical/historical society records.		9	PLS
Nebojsa Scekic	CADD/GIS Manager	Mr. Scekic has 30 years of experience in land surveying. He is an experienced land surveyor whose expertise is demonstrated in organizing and processing various types of survey data. He is well versed in all types of survey projects including but not limited to residential, commercial, and transportation projects for both public and private clients. He has directed and performed all aspects of acquiring, processing, analyzing and compiling data to prepare both commercial and residential subdivision plats and plats of easements, legal descriptions, boundary and topographical surveys, right-of-way surveys, ALTA and ASCM land title surveys and construction surveys for buildings, roadways, runways, and railroad projects.	Yes	12	



# Victor Cardona, PE

### Project Manager

#### **Oualifications**

Years with this firm: 14 Years with other firms: 13

#### **Education and Training**

Master of Science Construction Management Illinois Institute of Technology 1990

> Bachelor of Science Civil Engineering Del Valle University Cali, Colombia 1985

#### **Professional Registration**

Professional Engineer Illinois #062-050081

#### Area of Expertise

Team Coordination
Scheduling
Budgeting
Cost Estimates and Specifications
Constructability Review
Design Management
Project Management
Quality Control and Assurance
Preparation of Reports

Mr. Cardona has 25 years of professional experience in Civil Engineering. He has worked on various feasibility studies and designs for shoreline protection, as well as highway and utility engineering projects. As a Senior Civil Engineer he is responsible for producing cost estimates, detailed designs, plans and specifications. He also provides office support to the survey team and construction management field operations, and assists in project monitoring and contract negotiation activities. He has extensive computer experience with MicroStation and Geopak, project management scheduling, presentation graphic packages and modeling software.

### Water Main Replacement Program

Chicago Department of Water Management

Project Manager responsible for the coordination and QA/QC of the topographic survey and base drawing mapping for the design of water mains. Mr. Cardona has successfully completed more than 20 miles of work for this project. Specific tasks included survey crew management, data verification for accuracy and completeness, CAD standard implementation and adherence, QA/QC of drawings prepared, and schedule and budget monitoring. The City of Chicago intends to replace the aging water main system which, in some cases, is close to 100 years old. The program expects to replace hundreds of miles of piping within the next five years.

### Midway Airport Runway 4L-22R Rehabilitation

Chicago Department of Aviation

Project Manager responsible for the coordination and QA/QC of the topographic survey, base mapping and Midway Master Drawing update. The survey included an area up to 100 feet outside of the runway and included locating pavement lighting, signage, striping, and drainage structures in addition to the more common surface features. Coordination with airport representatives to secure escorts and to access the airfield in compliance with all rules and regulations was key to guaranteeing success and ensuring a healthy relationship with the airport. The project consisted of a complete resurfacing and partial reconstruction of the runway and some of the intersecting taxiways. In addition, adjacent drainage issues were investigated and redesigned.

#### I-90 Bridge Widening and Reconstruction

Illinois Tollway

Project Manager responsible for the team coordination, scheduling, budget, progress monitoring and decision making related to staffing and other resources. Work consisted of the compilation of horizontal and vertical control data, creation of Plats and property descriptions, supplemental aerial mapping surveys, topographic surveys, cross sections, stream surveys, drainage surveys, bridge surveys, and miscellaneous pick-up surveys. Work also involved the design of proposed mainline pavement, pavement marking, erosion control, and maintenance of traffic plans. The scope of services for this project consisted of the Phase II engineering services for the preparation of contract plans, specifications, and project related permits for the proposed roadway reconstruction and add lane project on the Jane Addams Memorial Tollway between Mile Post 46.40 (IL 47) and 25.00 (Genoa Road) in Kane County and Boone County, IL. The project included the widening, reconstruction and/or



## Victor Cardona, PE Project Manager

rehabilitation of existing roadway and 24 structures on the Jane Addams Memorial Tollway from IL Route 47 to Genoa Road.

### Elgin O'Hare Extension / West Bypass

Illinois Department of Transportation

Project Manager responsible for the team coordination, scheduling, budget, progress monitoring and decision making related to staffing and other resources. The project consisted of survey for a total of 55 miles of roadway, including highways, cross streets and surrounding areas proposed to connect the current west end of the Elgin-O'Hare Expressway at Lake Street in Hanover Park to the proposed new western entrance to O'Hare International Airport. The field survey work included aerial mapping support services, photogrammetric surveying, design surveying, and the merging of data collected into base mapping.

### **Streetscape Improvement Projects**

Chicago Department of Transportation

Project Engineer responsible for providing office support to the field personnel and assisting with the various tasks related to the reconstruction, upgrades, and beautification of various city streets. Specific responsibilities included daily headcounts, material placement notifications, weekly meetings, preparation of quantity books, site inspections and monthly pay estimates. The projects included the removal and replacement of accessibility ramps, pavement, curb, sidewalks, and the installation of new lighting, signage, planters, and landscaping.

### University of Chicago Symbolic Bridges

University of Chicago

Project Manager responsible for analyzing collected survey data and preparing construction documents, including drawings, specifications, and quantities. He served as team leader for surveyors, civil designers, and CADD personnel. Responsibilities also included manpower coordination, scheduling, and meeting budget milestones. The project consisted of the beautification of three major streets leading to the university: Ellis Avenue, Woodlawn Avenue, and Dorchester Avenue. The sidewalks were widened, new lighting, planters and benches were installed and all corners were redesigned to be ADA compliant.

#### Various Parks ALTA Surveys - P#05070-096

Chicago Park District

Project Manager responsible for the team coordination, scheduling, budget, monitoring progress and making decisions related to staffing and other resources. Project consisted of boundary and ALTA/ACSM Land Title Surveys for the improvements of four parks including Union Park, Stanton Park, Park 543 (Printers Row) and Liquid Dynamics Park located in the City of Chicago. The work consisted of providing site surveys, including ALTA surveys, for each park. The survey involved adjacent parkways, park boundaries, legal descriptions, square footage, acreage of parcels, identification of underlying PIN numbers, sidewalks, curb cuts, building, structures, all recorded easements, restrictions, buildings set back lines, vacated streets, allies and right-of-ways.



## John Boline, PLS Project Surveyor

#### **Qualifications**

Years with this firm: 5 Years with other firms: 6

#### **Education and Training**

Bachelor of Science
Civil Engineering
Purdue University
2004

Bachelor of Science Land Surveying Engineering Purdue University 2004

#### **Professional Licenses**

Professional Land Surveyor Illinois #035-003756 Indiana #LS21100008

#### Area of Expertise

Project Manager
Project Land Surveyor
Preparation of Plat of
Highways
Legal Descriptions
Land Acquisition
Right-of-Way
Permanent and Temporary
Easements
Alta Surveys
Topographic Surveys
Boundary Surveys
Hydraulic Survey
GIS Mapping

Mr. Boline has over 11 years of experience in land surveying, working both in the field and office. He has worked on a wide array of projects including topographic surveys, ALTA/ASCM land title surveys, and preparation of both commercial and residential subdivision plats and plats of easement. He was also the lead field and Project Surveyor for 3D laser scanning on projects including road surveys, volumetric surveys, and historical preservation and rehabilitation.

### I-55 and Lakeshore Drive Permanent Easement

Illinois Department of Transportation

Project Surveyor responsible for the preparation of a plat of highways and legal descriptions for the I-55 and Lakeshore Drive Permanent Easement project. The field work consisted of reconnaissance and location of right-of-way monuments, property corners, occupation lines and other boundary evidence in order to reestablish or establish the westerly line of Lakeshore Drive's and I-55's existing right-of-way, including ramp alignments for I-55. Work also included location of all visible surface improvements within 20 feet of the proposed easement locations. The project included survey of five parcels of land for a total of 24 acres. The Preliminary and Final Plat of Highway was prepared according to the IDOT Preliminary and Final Plat of Highway check list by a State of Illinois Professional Land Surveyor and was utilized for acquisition of new IDOT permanent and temporary easements.

### Jane Addams Memorial Tollway (I-90) Reconstruction

Illinois State Toll Highway Authority

Project Surveyor supervised and coordinated survey work for the survey crews and office staff. Work consisted of the compilation of horizontal and vertical control data, creation of Plats and property descriptions, supplemental aerial mapping surveys, topographic surveys, cross sections, stream surveys, drainage surveys, bridge surveys, and miscellaneous pick-up surveys. The project consisted of the Phase II engineering services for the preparation of contract plans, specifications and project related permits for the proposed roadway widening, reconstruction and/or rehabilitation of existing roadway and 24 structures on the Jane Addams Memorial Tollway between Mile Post 46.40 (IL 47) and 25.00 (Genoa Road) in Kane County and Boone County, IL.

#### Elgin O'Hare Extension / West Bypass

Illinois Department of Transportation

Project Surveyor responsible for analyzing collected survey data and preparing drawings, specifications, and quantities. The project consisted of surveying a total of 55 miles of roadway, including highways, cross streets and surrounding areas proposed to connect the current west end of the Elgin-O'Hare Expressway at Lake Street in Hanover Park to the proposed new western entrance to O'Hare International Airport. The field survey work included aerial mapping support services, photogrammetric surveying, design surveying, and the merging of data collected into base mapping.



# John Boline, PLS Project Surveyor

#### Stream Bank Stabilization Project

Metropolitan Water Reclamation District of Greater Chicago

Project Manager responsible for research, field planning, existing records analysis, boundary analysis, and geometric calculations for the stream bank stabilization project along Tinley Creek in the Calumet-Sag Channel Watershed and along Midlothian Creek and Calumet Union Drainage Ditch in the Little Calumet Watershed. The project entailed the preparation of the Plats of Easement for the acquisition of permanent, maintenance and construction easements along the streams of TICR-7, TICR-8, MTCR-2 and CUDD-G3 located in the Villages of Tinley Park, Orland Park and Markham, IL. It involved approximately 76 residential properties, 3 commercial properties and railroad right-of-way. In addition, supplemental topographic and hydraulic surveys were performed in various areas.

#### Lloyd Elementary School ALTA/ACSM

**Public Building Commission** 

Project Manager responsible for field planning, existing records analysis, boundary analysis and preparation of ALTA/ACSM Land Title Survey for the Lloyd Elementary School. In addition, a boundary survey and a full topographic survey of the property, including all utilities above and below ground, were performed. This project included the installation of an artificial turf field in place of the existing natural grass to enhance the existing student recreational area by providing a weather resilient surface.

### **Humboldt Park Library ALTA/ACSM**

**Public Building Commission** 

Project Manager responsible for field planning, existing records analysis, boundary analysis and preparation of ALTA/ACSM Land Title Survey and Topographic Survey for the Humboldt Park Library addition and renovation. The project will allow room for a new 5,000 square foot reading room, new restrooms and additional parking on an adjacent City-owned vacant lot. In addition, the project consisted of performing a full topographic survey of the property, including all utilities visible from the surface, for the above referenced project, and within adjoining right-of-ways.

### Various Parks ALTA Surveys - P#05070-096

Chicago Park District

Project Surveyor responsible for field planning, existing records analysis, boundary analysis, geometric calculations and preparation of ALTA/ACSM Land Title Surveys for the improvements of four parks including Union Park, Stanton Park, Park 543 (Printers Row) and Liquid Dynamics Park located in the City of Chicago. The work consisted of providing site surveys, including ALTA surveys, for each park. The survey involved adjacent parkways, park boundaries, legal descriptions, square footage, acreage of parcels, identification of underlying PIN numbers, sidewalks, curb cuts, building, structures, all recorded easements, restrictions, buildings set back lines, vacated streets, allies and right-of-ways.



## Thomas Galbreath, PLS

**Project Surveyor** 

#### Qualifications

Years with this firm:9
Years with other firms: 21

#### **Education and Training**

College of DuPage Wabaunsee College

#### **Professional Licenses**

Professional Land Surveyor Illinois #35003134 Professional Land Surveyor Wisconsin #2379

#### Area of Expertise

Project Manager
Project Land Surveyor
Alta Survey
Preparation of Plat of
Highways
Legal Descriptions
Land Acquisition
Right-of-Way
Permanent and Temporary
Easements
Alta Surveys
Topographic Surveys
Boundary Surveys
Hydraulic Survey
GIS Mapping

Mr. Galbreath has over 30 years of experience in the practice of land surveying and is currently the manager of the Survey Department. His experience includes transportation design, control surveys, construction layout and quality control, land acquisition, hydrographic surveys, aerial photogrammetry, and ground control. He is also experienced in complex boundary and ALTA/ACSM land title surveys and is particularly versed in boundary analysis and retracement survey work, including chain of title analysis through records research at State, County, and Township offices as well as libraries and genealogical/historical society records. He is proficient in utilizing and planning GPS control networks, photogrammetry identification, astronomy observations, topography, boundary and wetland delineation, reduction and management of the field data and quality control techniques.

#### North Milwaukee Avenue

Chicago Department of Transportation

Survey Manager coordinated and supervised survey crews for full topographic survey and cross sections for the improvements of North Milwaukee Avenue. A full topographic survey of the project limits was performed and included the mainline as well as side streets. The work included locating all above-ground features within the project limits (trees, signs, fences, gates, signs, fire hydrants, edge of pavement and shoulder, sidewalks, curbs, driveways etc.). Also, the work included cross-sections at 50 feet intervals for North Milwaukee Avenue in addition to intersecting crossroads and Alleys. An ADA ramp survey was also performed at designated intersections for the aid of ADA design according to the Chicago Department of Transportation specifications. Right of way monuments found during the collection of data was analyzed, along with supporting documents for a right of way solution for the mainline. A best fit solution for the centerline of pavement for intersecting crossroads was also performed.

#### **Pershing Road**

Chicago Department of Transportation

Survey Manager coordinated, supervised and performed QA/QC for this project that consisted of a full topographic survey and cross sections for the improvement of Pershing Road and intersecting crossroads within the project limits. The length of the project was approximately 10,560 linear feet from right of way to right of way. Minor crossroads were surveyed 100 feet each direction of the mainline and major crossroads were survey 500 feet each direction of the mainline. Underground utility details survey was conducted for each sewer manhole and water valve vault collected during data collection. Bridge details that included location of piers, abutments and low steel was performed This survey also included establishing the right of way of the mainline and crossroads from found monuments, evidence of occupation together with supporting documents.

#### Congress Parkway Bascule Bridge

Canam Steel Fabricators

Survey Manager supervised a survey crew on a detailed bridge survey of the Congress Parkway bridge over the Chicago River. The survey work included



# Thomas Galbreath, PLS

**Project Surveyor** 

locating both sides of each truss, top and the bottom chord at every panel, location of every floor beam (both sides), and top and bottom flanges. Additionally, the field data was used to create an exhibit that compared measured dimensions to plan dimensions. The survey consisted of the location of the 2 main trusses (including east and west leaf) of the north bridge.

### **Grand Avenue Bascule Bridge**

Chicago Department of Transportation

Survey Manager supervised a field crew for a detailed bridge survey of the Grand Avenue bridge over the Chicago River. The survey work consisted of verifying the location of abutments, back walls, sidewalls, bearing seats, expansion joints, floor breaks, main trunnion elevation and critical locations of the deck and structural framing. In addition, existing bearing seats elevations, bearing seat widths, bearing heights and anchor bolt layouts were also verified. The survey also included the location of machinery inside of the bridge house. The architectural element of the survey included the bridge houses at each side of the river, including the stairwell at the west side of river, manholes, railings, fencing, building corners, doors and windows. This survey was conducted with a combination of conventional survey methods, along with dimensioned sketches.

# Illinois Route 45 (LaGrange Road) and 55th Street

Illinois Department of Transportation

Survey Manager supervised and coordinated survey work for the survey crews and prepared the boundary analysis for the project. The project consisted of the preparation and completion of a Final Plat of Highway utilized for acquisition for new IDOT right-of-way and temporary easements for the Illinois Route 45 (LaGrange Road) and 55<sup>th</sup> Street project. The field surveying work included reconnaissance and location of field section corners, right-of-way monuments, property corners, occupation lines and other boundary evidence in order to reestablish or establish Illinois Route 45 (LaGrange Road) and 55<sup>th</sup> Street center line alignment/stationing and existing right-of-way, along the 55<sup>th</sup> Street from Madison Avenue to the west line of East Avenue, in Cook County, Il. The Preliminary and Final Plat of Highway was prepared according to the IDOT Preliminary and Final Plat of Highway check list by a State of Illinois Professional Land Surveyor and was utilized for acquisition of new IDOT permanent and temporary easements.

#### **Illinois Route 22**

Illinois Department of Transportation

Project Surveyor responsible for the preparation and completion of a Final Plat of Highway utilized for acquisition for new IDOT. right-of-way and temporary easements for the Illinois Route 22 project. The field surveying work included reconnaissance and location of right-of-way monuments, property corners, occupation lines and other boundary evidence in order to re-establish or establish Illinois Route 22 center line alignment/stationing and existing right-of-way, including Quentin Road, Fox Tail Drive, Providence Drive, Corporate Drive and S. Krueger Road.



# Nebojsa Scekic CADD/GIS Manager

#### **Oualifications**

Years with this firm: 12 Years with other firms: 18

#### **Education and Training**

Associate Degree Land Surveying Land Surveying College, Belgrade, Yugoslavia 1985

ArcGIS 3D Analyst Civil 3D ESRI Course of ArcGIS I ESRI Course of ArcGIS II Confined Entry Space

Area of Expertise

Project Manager
Project Land Surveyor
Preparation of Plat of
Highways
Legal Descriptions
Land Acquisition
Right-Of-Way
Permanent and Temporary
Easements
Alta Surveys
Topographic Surveys
Boundary Surveys
Hydraulic Survey
GIS Mapping

Mr. Scekic has 30 years of experience in land surveying and currently serves as a Survey Project Manager for the O'Hare Modernization Program. He is an experienced land surveyor whose expertise is demonstrated in organizing and processing various types of survey data. He is well versed in all types of survey projects including but not limited to residential, commercial, and transportation projects for both public and private clients. He has managed, coordinated, and administered large projects of considerable complexity and high public visibility. He has a diverse background and brings a wide array of experience in directing and performing all aspects of acquiring, processing, analyzing and compiling data to prepare both commercial and residential subdivision plats and plats of easements, legal descriptions, boundary and topographical surveys, right-of-way surveys, ALTA and ASCM land title surveys and construction surveys for buildings, roadways, runways, and railroad projects. In addition, he is proficient in the use of Autodesk Land Development platform, AutoDesk Civil 3D, ESRI ArcGIS, and a wide array of engineering, survey and office software packages.

#### O'Hare Airport Modernization

City of Chicago Department of Aviation

Survey Project Manager responsible for organizing and maintaining the record of all survey for the \$8.7 billion O'Hare Modernization Program and for organizing and maintaining the mapping of all utilities for the program. His responsibilities included QA/QC on various projects, coordination of all surveying work, preparation of cost estimate analyses for various project phases, project schedules, maintaining records, preparing reports, and composing all correspondence relative to the project as part of the Master Civil Engineering Contract for expansion of O'Hare International Airport for BPC Partners. The O'Hare Modernization Program (OMP) is one of the largest construction projects in the country at one of the world's busiest airports. The \$8.7 Billion OMP project will construct six parallel east-west runways, two cross-wind runways and associated taxiways and will transform O'Hare International Airport's airfield from an outdated system of intersecting runways into a modern parallel runway configuration to reduce flight delays and increase capacity well into the future. Specific features of the Civil Master Plan include the 2-mile-long North Perimeter Road and security fencing project, relocation of Willow-Higgins Creek, the South Perimeter Road & Taft Road connector, the Southwest Service Road, the South Airfield access roads, the Mount Prospect Road and Guard Post relocation project, and multiple topographical survey projects.

#### Elgin O'Hare Extension / West Bypass

Illinois Department of Transportation

Project Surveyor/GIS Analyst performed TIN database conversion into ESRI geographic information system (GIS) database for Elgin – O'Hare West Bypass project. Exported Microstation/AutoCAD files for GIS compatibility and performed QA & QC of data transfers and daily QA/QC of field GPS/GIS data. Documented and validated all spatial asset data and translated that information into GIS platform. The project was completed under an accelerated delivery schedule to meet the demanding milestones delivery dates associated with the



# Nebojsa Scekic CADD/GIS Manager

program. The project consisted of survey for a total of 55 miles of roadway, including highways and cross streets and surrounding areas, proposed to connect the current west end of the Elgin-O'Hare Expressway at Lake Street in Hanover Park to the proposed new western entrance to the O'Hare International Airport. The field survey work included aerial mapping support services, photogrammetric surveying, design surveying, and the merging of data collected into base mapping.

### Jane Addams Memorial Tollway (I-90) Reconstruction

The Illinois Tollway

Project Surveyor/GIS Analyst edited and updated the Tollway parcels database for the Illinois State Toll Highway Authority using land survey records and field data. Furthermore, coordinated input and ArcInfo command line editing, calculated coordinates using coordinate geometry and trigonometry calculations in conjunction with land survey records and planned staff work schedules, distributed tasks and performed QA/QC. The project consisted of the Phase II engineering services for the preparation of contract plans and specifications and project related permits for the proposed roadway widening and reconstruction and/or rehabilitation of existing roadway and 24 structures on the Jane Addams Memorial Tollway between Mile Post 46.40 (IL 47) to Mile Post 25.00 (Genoa Road) in Kane County and Boone County, Illinois.

### I-294 Widening and Reconstruction

Illinois State Toll Highway Authority

Project Surveyor responsible for the QA/QC of the cross sections and quantifying earth excavations and materials. Also included downloading and managing field data into MicroStation for utilizing quantities. The project involved complete removal and replacement of the existing roadway and addition of a fourth lane in each direction.

#### **Runway Arrestor Installation**

City of Chicago Department of Aviation

Project Surveyor responsible for the QA/QC of the horizontal and vertical control, survey, utility mapping, drainage structure details and topographic survey. The work included surveys for O'Hare International Airport, as well as Midway International Airport. The survey was performed in accordance with Chicago Department of Aviation and FAA requirements, with all survey points tied into the existing O'Hare and Midway Survey Control System. The project included surveying from the first 200 feet of the runways to approximately 500 feet each side of the centerline of the runway to the perimeter fence of both the airports on a 25-foot grid.

### O'Hare International Airport ATS Rail Relocation

Chicago Department of Aviation

Project Surveyor responsible for the development of cost estimates. The project included relocation and expansion of the Airport Transit System, which was a critical element of the O'Hare Airport.



# Kwong Chan Survey Crew Chief

#### **Qualifications**

Years with this firm: 6 Years with other firms: 11

Education and Training
Certificate in Land Surveying and
Cartography
Hong Kong Polytechnic
University, Hong Kong
1995
Associate Degree

Associate Degree Architectural Draft Rio Hondo College, CA 1992

#### Area of Expertise

ALTA Survey
Preparation of Plat of
Highways
Land acquisition Right-ofWay
Permanent and Temporary
Easements
ALTA Surveys
Topographic Surveys
Boundary Surveys
Hydraulic Survey
GIS Mapping

Mr. Chan has 17 years of experience in engineering survey, including topographic, boundary and construction lay out. He has the ability to work productively and effectively with all levels of management and also ability to meet deadlines and work well under pressure.

### 35th Street Bicycle and Pedestrian Bridge Over Lake Shore Drive

As Survey Crew Chief he performed quality control services, reviewed contractor's layout and maintained documentation for final measurements of quantities. The project involved the removal of the existing 35th Street Pedestrian Bridge over Lake Shore Drive and the adjacent railroad tracks, and construction of a modern S-shaped suspension bridge in its place which will provide impressive views of the skyline and Lake Michigan. The new bridge will be fully ADA accessible, and was developed in coordination with the Chicago Park District and local community to improve the link to the lakefront.

### Midway Runway 4L-22R Rehabilitation

Chicago Department of Aviation

As Survey Crew Chief his responsibilities included recovery of Midway Airport Survey Control monuments from recovery tie sketches and establishing additional project horizontal and vertical control points. Furthermore he conducted a full topographic survey which included the location of all surface features including pavement marking, manholes, hand-holes, edge and inpavement lights, guard lights, signage, and all other structures or features, collected cross sections and located and identified all utilities manholes. The project included the Rehabilitation of Runway 4L-22R and associated taxiways. The scope included a mill and overlay of the existing runway, widening of shoulders, installation of new edge lights and infrastructure as well as new concrete pavement for aircraft run-ups.

# Jane Addams Memorial Tollway (I-90) Reconstruction

The Illinois Tollway

As Survey Crew Chief he was responsible for completion of daily surveying tasks including land acquisition surveys, topographic surveys, property corners research, construction staking, verification of control points set by others and detailed bridge surveys including approaches and deck survey clearances. The project consisted of the Phase II engineering services for the preparation of contract plans and specifications and project related permits for the proposed roadway widening and reconstruction and/or rehabilitation of existing roadway and 24 structures on the Jane Addams Memorial Tollway between Mile Post 46.40 (IL 47) to Mile Post 25.00 (Genoa Road) in Kane County and Boone County, Illinois.

# Water Main Replacement Projects and Special ADA Ramps

Chicago Department of Water

As Survey Crew Chief he established horizontal and vertical control points, performed topographical survey from ROW to ROW of subject streets and performed detailed surveys of intersection corners at proposed ADA ramp locations. The project included topographic surveys and base drawings for the City of Chicago, Department of Water Management (DWM) Water Main Replacement Program to be used to design water mains for the various locations



# Kwong Chan Survey Crew Chief

in the City of Chicago. In addition, project involved the creations of designs and surveys of Americans with Disabilities Act (ADA) special ramps in accordance with Chicago Department of Transportation (CDOT) standards and compliance with ADA on an as-needed basis at various locations throughout Chicago.

### I-80 Phase I and II - Ridge Road to US 30-Will County

Illinois Department of Transportation

As Survey crew chief he was responsible for completion of daily surveying tasks. The project involved the study of I-80 from Ridge Road to U.S. Route 30 to determine current and future transportation needs of the corridor. The study included an analysis of the existing roadway and bridge deficiencies, safety and capacity issues and future transportation needs. This was also a pilot project for IDOT for the use of mobile LIDAR techniques for the collection of existing conditions topography and improvements.

#### Lemont Plant Expansion

Metropolitan Water Reclamation District of Greater Chicago

As Survey Crew Chief he conducted full boundary and topography survey through control points and benchmarks. The project began at the Lemont plant located in Lemont, Illinois and encompassed a strip of land 100 feet in width, parallel to the south bank of the Sanitary Drainage and Ship Canal and continuing approximately 11 miles.

### Elgin O'Hare Extension / West Bypass

Illinois Department of Transportation

As Survey Crew Chief he established horizontal and vertical control points, center line and right of way and set up preflight panel points. The project consisted of survey for a total of 55 miles of roadway, including highways and cross streets and surrounding areas, proposed to connect the current west end of the Elgin-O'Hare Expressway at Lake Street in Hanover Park to the proposed new western entrance to the O'Hare International Airport. The field survey work included aerial mapping support services, photogrammetric surveying, design surveying, and the merging of data collected into base mapping.

#### O'Hare Modernization Program

Chicago Department of Aviation

As Survey crew chief he was responsible for completion of daily surveying tasks. The project will construct six parallel east-west runways, two cross-wind runways and associated taxiways. Specific features of the Civil Master Plan include the 2-mile-long North Perimeter Road and security fencing project, relocation of Willow-Higgins Creek, the South Perimeter Road & Taft Road connector, the Southwest Service Road, the South Airfield access roads, the Mount Prospect Road and Guard Post relocation project, and multiple topographical survey projects.



# **Umit Yurdacan** Survey Crew Chief

#### **Oualifications**

Years with this firm: 12 Years with other firms: 15

#### **Education and Training**

Bachelor of Science Survey Engineering Yildiz University Istanbul, Turkey 1990

#### Area of Expertise

Vertical controls
Horizontal Controls
Construction staking
Right-of Way staking
Permanent and Temporary
easements
Topographic surveys
Boundary surveys
Hydraulic survey
GIS Mapping
GPS Mapping
Utility Mapping
Navaid survey

Mr. Yurdacan has over 25 years of experience in surveying including ground survey for pre-construction, construction survey, utility investigation, Alta surveys and topography. He has performed survey work on various improvement projects including local streets, railroad stations, bridges, highways and rollways. Mr. Yurdacan has worked with various clients including the Chicago Department of Transportation, Illinois Department of Transportation, Illinois Department of Highway Department.

#### I-80 Phase I and II - Ridge Road to US 30-Will County

Illinois Department of Transportation

Survey Crew Chief responsible for field measurements for hydraulic studies including FEMA flood cross sections, stream profiles and structure details. The project involved the study of I-80 from Ridge Road to U.S. Route 30 to determine current and future transportation needs of the corridor. The study included an analysis of the existing roadway and bridge deficiencies, safety and capacity issues and future transportation needs. This was also a pilot project for IDOT for the use of mobile LIDAR techniques for the collection of existing conditions topography and improvements.

### Water Main Replacement Projects and Special ADA Ramps

Chicago Department of Water

Survey Crew Chief established horizontal and vertical control points, performed topographical survey from ROW to ROW of subject streets and performed detailed surveys of intersection corners at proposed ADA ramp locations. The project included topographic surveys and base drawings for the City of Chicago, Department of Water Management (DWM) Water Main Replacement Program to be used to design water mains for the various locations in the City of Chicago. In addition, project involved the creations of designs and surveys of Americans with Disabilities Act (ADA) special ramps in accordance with Chicago Department of Transportation (CDOT) standards and compliance with ADA on an as-needed basis at various locations throughout Chicago.

#### Elgin O'Hare Extension / West Bypass

Illinois Department of Transportation

Survey Crew Chief established horizontal and vertical control points, center line and right of way and set up preflight panel points. The project consisted of survey for a total of 55 miles of roadway, including highways and cross streets and surrounding areas, proposed to connect the current west end of the Elgin-O'Hare Expressway at Lake Street in Hanover Park to the proposed new western entrance to the O'Hare International Airport. The field survey work included aerial mapping support services, photogrammetric surveying, design surveying, and the merging of data collected into base mapping.

### Poplar Creek Detailed Watershed Plan-Phase B

Metropolitan Water Reclamation District of Greater Chicago

Survey Crew Chief conducted full boundary and topography survey through control points and benchmarks. The project consisted of collection of topographic and other physical data to support the development of hydrologic



# Umit Yurdacan Survey Crew Chief

and hydraulic models for the evaluation of streams and waterways located within the Poplar Creek Watershed.

### O'Hare Modernization Program

Chicago Department of Aviation

Survey Crew Chief responsible for horizontal and vertical control survey, utility mapping, drainage structure details, and topographic survey. The project will construct six parallel east-west runways, two cross-wind runways and associated taxiways. Specific features of the Civil Master Plan include the 2-mile-long North Perimeter Road and security fencing project, relocation of Willow-Higgins Creek, the South Perimeter Road & Taft Road connector, the Southwest Service Road, the South Airfield access roads, the Mount Prospect Road and Guard Post relocation project, multiple topographical survey projects, and Navaid survey.

#### I-94 Widening and Reconstruction

Illinois Tollway

Survey Crew Chief responsibilities included performing quality control services required to review the contractor's layout, maintaining documentation for final measurements, calculations and final quantities, maintaining and coordinating survey control points and benchmarks for all construction contracts, establishing control points, checking control points, verifying construction layout, and reviewing the contractor's field books. The project included engineering services for the widening and reconstruction of the roadway section between IL-132 and Russell Road.

### **Runway Arrestor Installation**

Chicago Department of Aviation

Survey Crew Chief responsible for the horizontal and vertical control survey, utility mapping, drainage structure details and topographic survey. The project included surveying from the first 200 feet of the runways to approximately 500 feet each side of the centerline of the runway to the perimeter fence of both the airports on a 25-foot grid. The survey was performed in accordance with all Chicago Department of Aviation and FAA requirements, with survey points tied into the existing Midway Control System.

#### **FAI I-80**

Illinois Department of Transportation

Survey Crew Chief responsible for producing topographic survey including interchange layout, ramp stakeout, hydraulic survey Collins Run, and a 40-acre pond. This project consisted of engineering services for reconstruction of the proposed diamond interchange with Brisbin Road in Grundy County.



## **Demetria Sese** CADD Engineer

#### **Oualifications**

Years with this firm: 7 Years with other firms: 22

#### **Education and Training**

Bachelor of Science Civil Engineering Adamson University Manila, Philippines

1981

#### Area of Expertise

Preparation of Plans and
Specifications
Preparation of Reports
Microstation, Geopak and
CADD Design

Ms. Sese has 29 years of experience in the field of civil engineering and CADD drafting of engineering projects with a variety of computer applications. She has prepared work sheets and drawings for roadways, railroads, profiles, cross-sections, vertical and horizontal alignments, ties and intersections, drainage and construction details, striping plans and has processed points for survey and prepared schedule of quantities. She also has experience as a structural estimator for fabrication and bidding purposes and as a detailer with responsibility in the preparation of structural detailed drawings.

### Midway Taxiways Y & K and South Ramp De-Icing

Chicago Department of Aviation

CADD Engineer responsible for creating existing condition surveys by processing surveyed field data using Geopak and prepared CADD drawings for drainage plans and profiles. The overall project consisted of the topographic survey of taxiways Y, K, D, Y1 and Y2 at Midway airport within the pavement rehabilitation/reconstruction limits, including the intersections of overlapping runways and taxiways.

### Water Main Replacement Projects and Special ADA Ramps

Chicago Department of Water

CADD Engineer responsible for creating numerous existing topographic surveys and layout of existing underground utilities based on Atlas. Surveys were created by processing surveyed field data using Geopak Survey. The project consisted of the replacement of water mains throughout the City of Chicago.

#### Wells Street Bascule Bridge Phase II

Chicago Department of Transportation

CADD Engineer responsible for preparing CADD drawings for the structural plans, elevations, sections and connection details for CTA framing replacement. The project involved the reconstruction of the Wells Street Bascule Bridge, carrying north Wells Street and the Chicago Transit Authority (CTA) Brown Line over the main branch of the Chicago River in Chicago, Illinois. The work consisted of the structural analysis and design of multiple components of the Wells Street Bascule Bridge, including complete replacement of the upper-level framing supporting the CTA tracks, lower-level roadway framing and grating, sidewalk framing and grating, and rehabilitation of the bridge pits and bridge houses.

### I-80 Phase I and II - Ridge Road to US 30-Will County

Illinois Department of Transportation

CADD Engineer responsible for preparation of hydraulic survey data including profiles, cross sections and alignments for FEMA flood ways. The project involved the study of I-80 from Ridge Road to U.S. Route 30 to determine current and future transportation needs of the corridor. The study included an analysis of the existing roadway and bridge deficiencies, safety and capacity issues and future transportation needs. This was also a pilot project for IDOT for the use of mobile LIDAR techniques for the collection of existing conditions topography and improvements.



# **Demetria Sese** CADD Engineer

### Elgin O'Hare Extension/West Bypass

Illinois Department of Transportation

CADD Engineer responsible for preparing the mapping of the sanitary and storm sewer drawing. The project consisted of surveying a total of 55 miles of roadway, including highways, cross streets and surrounding areas proposed to connect the current west end of the Elgin-O'Hare Expressway at Lake Street in Hanover Park to the proposed new western entrance to O'Hare International Airport. The field survey work included aerial mapping support services, photogrammetric surveying, design surveying, and the merging of data collected into base mapping.

#### **Pershing Road Reconstruction Project**

Chicago Department of Transportation

CADD Engineer responsible for the preparation of the proposed drainage plan and roadways, profile and layout of existing utilities from atlas, signing, pavement marking plans, and schedule of quantities. The improvement included roadway and driveway reconstruction, ADA ramp design, reconstruction of curbs and gutters and profile modifications.

#### FAP Route 308 IL 92

Illinois Department of Transportation

CADD Engineer responsible for preparing CADD drawings for the structural plans, elevations, sections and details for pier reconstruction. The project involved the reconstruction of piers for bridges over 7th Avenue, 18th Avenue, and 31st Avenue and over the IAIS Railroad.

#### West Kinzie Avenue

Chicago Department of Transportation

CADD Engineer responsible for preparing CADD drawings which included alignment, boundary lines, and process survey points. The project involved a full topographic and boundary survey of West Kinzie Avenue from North Ogden Avenue to North Des Plaines Avenue including Kinzie Street Bridge over the Kennedy Expressway and the intersection of Ogden Avenue and Des Plaines Avenue. In addition a complete ADA survey was performed for all corners.

### I-88 Widening and Reconstruction

Illinois State Toll Highway Authority

CADD Engineer responsible for preparing cross sections, processing survey points, creating tin files and calculation excavation quantities. The project included construction of the new eastbound I-88 bridge over the Fox River, replacement of the IL Route 31 bridge over I-88 and replacement of the ramp A and B bridge over I-88.



## Brigida Franco-Hogan

CADD Engineer

## Qualifications

Years with this firm: 2 Years with other firms: 28

## **Education and Training**

Bachelor of Science Civil Engineering Illinois Institute of Technology Chicago, Illinois 1984

## Area of Expertise

Highway Design Intersection Design Studies Project Exhibits and Reports Preparation of Plans and Profiles Preparation of Reports

Preparation of Reports
Preparation Cross Sections
Earth Work Calculations
Alignment and Ties

Mrs. Hogan is a Civil Engineer with over 25 years of experience. She was responsible for design of various public works projects including aviation and light rail. Her duties have included preparation of intersection design studies, preparation of plan and profiles, and project exhibit and reports. She has a vast amount of knowledge of Intergraph MicroStation and Geopak. In addition, she served as an Assistant Resident Engineer and Materials Engineer for various construction projects.

## IL Route 50 / Cicero Avenue at Vollmer Road

Illinois Department of Transportation

Project Engineer responsibilities included the preparation of the typical sections, alignment and ties, cross sections, and overall project plan preparation. She also calculated the quantities and prepared the summary of quantities, schedule of quantities and earthwork summary. The project consisted of the addition of an eastbound right-turn lane, traffic signal modernization, realignment of the left turn lanes on all legs of the intersection to improve sight distance, and drainage revisions.

## US Route 6 / 159th street at IL Route 83 / Torrence Avenue

Illinois Department of Transportation

Project Engineer responsibilities included the preparation of the typical sections, alignment and ties, cross sections and overall project plan preparation. She also calculated the quantities and prepared the summary of quantities, schedule of quantities and earthwork summary. The project consisted of Traffic Signal modernization as well as geometric changes to the channelization of the northbound and southbound left-turn lane along IL 83 (Torrence Avenue). The improvements also included HMA pavement widening and monolithic median construction.

## US Route 20 at IL Route 47 / IL Route 72 (North and South Junction)

Illinois Department of Transportation

Project Engineer responsibilities included the preparation of the summary of quantities, schedule of quantities, earthwork summary and cross sections. The project consisted of widening and resurfacing for the US Route 20 to provide left and right turn channelization at the north intersection. Drainage improvements, culvert replacement and lighting replacement were also included as a part of the improvement. In addition, supplemental survey services were provided for the proposed improvements to verify the existing topographic survey.

## US Route 12 at the Intersection of Old McHenry Road

Illinois Department of Transportation

Project Engineer responsibilities included the preparation of the summary of quantities, schedule of quantities, earthwork summary and cross sections. The project included geometric changes to the channelization of the southbound left-turn lane along US-12, offsetting the turn lane into the existing grass median to improve sight distance and shorter crossing width for left-turning traffic. The improvements also included traffic signal modernization as well as drainage revisions.



## Brigida Franco-Hogan

CADD Engineer

## IL Route 59 at the Intersection of Andrew Road and Pasquinelli Drive

Illinois Department of Transportation

Project Engineer responsibilities included the preparation of the typical sections, alignment and ties, cross sections and overall project plan preparation. She also calculated all the quantities and prepared all summary of quantities, schedule of quantities, earthwork summary and cross sections. The project included geometric changes to the channelization of the left-turn lanes along IL-59, offsetting the turn lanes into the existing median to improve sight distance and shorter crossing width for left-turning traffic. The land use is residential to the west on Pasquinelli Drive and commercial to the east on Andrew Road.

## FAP 343 (IL Route 68-Dundee Road) to Prairie Lake Road to IL-62 (Algonquin Road)

Illinois Department of Transportation

Project Engineer responsible for computing and checking all quantities, responding to all the comments received from IDOT and performing all the revisions to the plans, alignment, typical sections and cross sections. The project consisted of various improvements for the IL 68 (Dundee Road) from Prairie Lake Road to IL 62 (Algonquin Road) located in Kane and Cook County, Illinois. The length of the improvement was approximately 3.2 miles. Within this segment, IL 68 is a two lane undivided roadway and the posted speed limit varies from 45 mph to 55 mph. The proposed improvement to IL 68 consisted of pavement milling and resurfacing, new 4' paved shoulders with rumble strips, guardrail removal and replacement, replacing outdated guardrail terminal sections, and pavement markings.

## FAP 326 (IL Route 47) to Plato Road to US-20/IL-72

Illinois Department of Transportation

Project Engineer responsibilities included the preparation of the typical sections, alignment and ties, cross sections and overall project plan preparation. She also calculated all the quantities and prepared all the spread sheets for the schedule of quantities. The project consisted of various improvements for the IL 47 from Plato Road to US Route 20 in Kane County, IL. The length of the improvement was approximately 4.3 miles. IL 47 is a two lane undivided roadway and the posted speed limit varies from 45 mph to 55 mph. The proposed improvement to IL 47 consisted of pavement milling and resurfacing, grading and shaping shoulders and pavement markings.

## US 45 (LaGrange Road) from 131st Street to 179th Street

Illinois Department of Transportation

Project Engineer responsibilities included the preparation of the cross-sections for the grading and sub-ballast work for the track relocation plans, for the railroad bridge over US 45, and the roadway cross-sections for the section from 179th Street to 159th Street. The project consisted of full reconstruction and widening of the existing four-lane roadway with a mountable median to a six-lane roadway with a landscaped barrier median. The project included 13 signalized intersections and modifications to the geometry and configuration of the Metra South West Service Line Bridge, located north of 143rd Street.

## State of Illinois

Department of Financial and Professional Regulation

Division of Professional Regulation

The person, firm or corporation whose name appears on this certificate has complied with the provisions of the Illinois Statutes and/or rules and regulations and is hereby authorized to engage in the activity as indicated below.

LICENSE NO.

11/30/2016

**EXPIRES**:

## PROFESSIONAL LAND SURVEYOR LICENSED

JOHN SEDDON BOLINE 162 COLLEGE ST **ELGIN, IL 60120** 



MANUEL FLORES ACTING SECRETARY

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he official status of this license can be verified at www.idfpr.com



## Department of Financial and Professional Regulation

Division of Professional Regulation

LICENSE NO. 062.050081

The person, firm or corporation whose name appears on this certificate has complied with the provisions of the Illinois Statutes and/or rules and regulations and is hereby authorized to engage in the activity as indicated below.

EXPIRES: 11/30/2015

LICENSED PROFESSIONAL ENGINEER

VICTOR F CARDONA PO BOX 59180 SCHAUMBURG, IL 60159

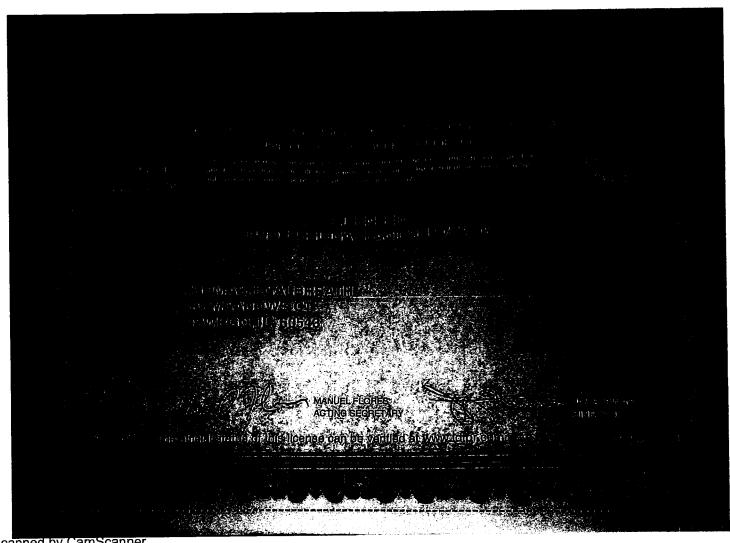


Manuel flores acting secretary

JAY STEWART DIRECTOR

The official status of this license can be verified at www.idfpr.com

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## EXHIBIT A LEGAL ACTIONS

(ATTACHED HERETO AND INCORPORATED HEREIN)

Page 42 of 71 PS2063B Firm Name: DB STERLIN CONSULTANTS, INC.

If the answer to any of the questions below is YES, you must provide a type written, brief description, and/or explanation on a separate sheet following this page. Each question must be answered.

Question	Yes	No
Has the firm or venture been issued a notice of default on any contract awarded to it in the last 3 years?		×
Does the firm or venture have any legally filed judgments, claims (liquidated damages, or other), arbitration proceedings or suits pending or outstanding against the firm or venture or its officers?		×
If the answer to the preceding question is "Yes", provide the requisite explanation on a separate sheet and enter the dollar amount of claims or judgments and the contract value of the contract on which the claim was filed		×
Within the past 3 years has the firm or venture been a party to any lawsuits or arbitration proceedings with regard to any contracts?		X
Within the last 3 years, has any officer or principal of the firm or venture ever been an officer or principal of another organization that failed to complete any contract as a result of termination, litigation, arbitration or similar matter?		×
Has any key person with the firm or venture or its predecessor ever been convicted of or charged with any state or federal crime (excluding traffic violations), including but not limited to, embezzlement, theft, forgery, bribery, falsification or destruction of records, receipt of stolen property, criminal anti-trust violations, bid-rigging or bid-rotating?		x
Has the firm or venture ever been temporarily or permanently debarred from contract award by any federal, state, or local agency?		×
Within the last 3 years, has the firm or venture been investigated or assessed penalties for any statutory or administrative violations (including but not limited to MBE, WBE, EEOC violations)?		х.
Has the firm or venture ever failed to complete any work awarded to it?		х

## <u>EXHIBIT B</u> DISCLOSURE AFFADAVIT

(ATTACHED HERETO AND INCORPORATED HEREIN)

complete this Disclosure Affidavit. Please note that in the event the Contractor is a joint venture, the joint venture and each of the joint venture partners must submit a completed Disclosure Affidavit. The undersigned Reynold Sterlin, PE as President Title and on behalf of DB STERLIN CONSULTANTS, INC. ("Bidder/Proposer/Respondent or Contractor") having been duly sworn under oath certifies the following: DB STERLIN CONSULTANTS, INC. Name of Firm: 123 N. Wacker Drive, Ste. 2000, Chicago, IL 60606 Address: 312-857-1056 312-857-1006 Fax: Telephone: 36-4149498 SSN: FEIN: 5. Nature of transaction (check the appropriate box): Sale or purchase of land **Construction Contract** Professional Services Agreement Other 6. Disclosure of Ownership Interests Pursuant to Resolution No. 5371 of the Board of Commissioners of the Public Building Commission of Chicago, all bidders/proposers shall provide the following information with their bid/proposal. If the question is not applicable, answer "NA". If the answer is none, please answer "none". Limited Liability Company Corporation Limited Liability Partnership Partnership Not-for-profit Corporation Sole Proprietorship Other: \_\_\_\_\_ Joint Venture

Any firm proposing to conduct any business transactions with the Public Building Commission of Chicago must

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## **CORPORATIONS AND LLC'S**

State of Incorporation or organiza	tion: Illinois	
Authorized to conduct business in	the State of Illinois: 🔳 Yes 🗌 No	
Identify the names of all officers a	and directors of the business entity (attach list if	necessary).
Name	Title	ĺ
Reynold Sterlin, PE	President	
Florence Sterlin	Vice President	
Antonio Vercillo	Vice President	
necessary). Name	Address	Ownership Interest Percentage
Reynold Sterlin, PE	123 N. Wacker Drive, Ste. 2000, Chicago, IL 60606	100%
LLC's ONLY, indicate manageme Member-managed Manager-managed Name:	ent type and name:	
Is the corporation or LLC owned p Yes No	partially or completely by one or more other cor	porations or legal entities
If "yes" provide the above inform	mation, as applicable, for each such corporation in the corporation in	n contracting in the PBC

disclosed. For example, if Corporation B owns 15% of Corporation A, and Corporation A is contracting with the PBC, then Corporation B must complete a Disclosure Affidavit. If Corporation B is owned by Corporations C and D, each of which owns 50% of Corporation B, then both Corporations C and D must complete Disclosure Affidavits.

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## **PARTNERSHIPS**

1.	If the bidder/proposer or Contractor is a partnership, indicate the name of each partner and the percentage
	of interest of each therein. Also indicate, if applicable, whether general partner (GP) or limited partner (LP)

Name	Ownership Interest Percentage
N/A	-
· · · · · · · · · · · · · · · · · · ·	

## **SOLE PROPRIETORSHIP**

1. T	he bidder/proposer or Contractor is a s	ole proprietorship and is not acting in any representative ca	pacity
0	n behalf of any beneficiary: 🔳 Yes	□No	

If the answer to the previous question is no, complete items 2 and 3 of this section.

2. If the sole proprietorship is held by an agent(s) or a nominee(s), indicate the principal(s) for whom the agent or nominee holds such interest.

			Name	e(s) of Prin	icipal(s)		
N/A							
		-	•				
_				<del></del>			
			<del>.</del>	_			

3. If the interest of a spouse or any other party is constructively controlled by another person or legal entity, state the name and address of such person or entity possessing such control and the relationship under which such control is being or may exercised

Name	Address		
N/A			
-			

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## **CONTRACTOR CERTIFICATION**

## A. CONTRACTORS

- 1. The Contractor, or any affiliated entities of the Contractor, or any responsible official thereof, or any other official, agent or employee of the Contractor, any such affiliated entity, acting pursuant to the direction or authorization of a responsible official thereof has not, during a period of three years prior to the date of execution of this certification:
  - a. Bribed or attempted to bribe, or been convicted of bribery or attempting to bribe a public officer or employee of the City of Chicago, the State of Illinois, any agency of the federal government or any state or local government in the United States (if an officer or employee, in that officer's or employee's official capacity); or
  - b. Agreed or colluded, or been convicted of agreement or collusion among bidders or prospective bidders in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
  - c. Made an admission of such conduct described in 1(a) or (b) above which is a matter of record but has not been prosecuted for such conduct.
- 2. The Contractor or agent, partner, employee or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rigging<sup>2</sup> in violation of Section 3 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-3), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rigging during a period of five years prior to the date of Submission of this bid, proposal or response.
- 3. The Contractor or any agent, partner, employee, or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rotating<sup>4</sup> in violation of Section 4 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-4), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rotating.
- 4. The Contractor understands and will abide by all provisions of Chapter 2-56 of the Municipal Code entitled "Office of the Inspector General" and all provisions of the Public Building Commission Code of Ethics Resolution No.5339, as amended by Resolution No. 5371.
- 5. The Contractor certifies to the best of its knowledge and belief, that it and its principals:
  - Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal, state or local department or agency.
  - b. Have not within a three-year period preceding this bid or proposal been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;

- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (5)(b) above; and
- d. Have not within a three-year period preceding this bid or proposal had one or more public transactions (federal, state or local) terminated for cause or default.

## B. SUBCONTRACTORS

- 1. The Contractor has obtained from all subcontractors being used in the performance of this contract or agreement, known by the Contractor at this time, disclosures substantially in the form of Section 1, and certifications substantially in the form of Section 2, of this Disclosure Affidavit. Based on such disclosures and certification(s), and any other information known or obtained by the Contractor, is not aware of any such subcontractor or subcontractor's affiliated entity or any agent, partner, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct described as prohibited in this document; (b) bid-rigging, bid-rotating, or any similar offense of any state or the United States which contains the same elements as bid-rigging or bid-rotating, or having made an admission of guilt of the conduct described in Section 2 which is matter of record but has/have not been prosecuted for such conduct.
- 2. The Contractor will, prior to using them as subcontractors, obtain from all subcontractors to be used in the performance of this contract or agreement, but not yet known by the Contractor at this time, certifications substantially in the form of this certification. The Contractor shall not, without the prior written permission of the Commission, use any of such subcontractors in the performance of this contract if the Contractor, based on such certifications or any other information known or obtained by Contractor, became aware of such subcontractor, subcontractor's affiliated entity or any agent, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct described as prohibited in this document of or (b) bid-rigging, bid-rotating or any similar offenses of any state or the United States which contains the same elements as bid-rigging or bid-rotating or having made an admission of guilt of the conduct described as prohibited in this document which is a matter of record but has/have not been prosecuted for such conduct. The Contractor shall cause such subcontractors to certify as to all necessary items. In the event any subcontractor is unable to certify to a particular item, such subcontractor shall attach an explanation to the certification.
- 3. For all subcontractors to be used in the performance of this contract or agreement, the Contractor shall maintain for the duration of the contract all subcontractors' certifications required by this document and Contractor shall make such certifications promptly available to the Public Building Commission of Chicago upon request.
- 4. The Contractor will not, without the prior written consent of the Public Building Commission of Chicago, use as subcontractors any individual, firm, partnership, corporation, joint venture or other entity from whom the Contractor is unable to obtain a certification substantially in the form of this certification.
- 5. The Contractor hereby agrees, if the Public Building Commission of Chicago so demands, to terminate its subcontractor with any subcontract if such subcontractor was ineligible at the time that the subcontract was entered into for award of such subcontract. The Contractor shall insert adequate provisions in all subcontracts to allow it to terminate such subcontract as required by this certification.

## C. STATE TAX DELINQUENCIES

- 1. The Contractor is not delinquent in the payment of any tax administered by the Illinois Department of Revenue or, if delinquent, the Contractor is contesting, in accordance with the procedures established by the appropriate Revenue Act, its liability for the tax or amount of the tax.
- 2. Alternatively, the Contractor has entered into an agreement with the Illinois Department of Revenue for the payment of all such taxes that are due and is in compliance with such agreement.
- 3. If the Contractor is unable to certify to any of the above statements, the Contractor shall explain below. Attach additional pages if necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

4. If any subcontractors are to be used in the performance of this contract or agreement, the Contractor shall cause such subcontractors to certify as to paragraph (C)(1) or (C)(2) of this certification. In the event that any subcontractor is unable to certify to any of the statements in this certification, such subcontractor shall attach an explanation to this certification.

## D. OTHER TAXES/FEES

- 1. The Contractor is not delinquent in paying any fine, fee, tax or other charge owed to the City of Chicago.
- 2. If Contractor is unable to certify to the above statement, Contractor shall explain below and (attach additional pages if necessary).

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

## E. PUNISHMENT

1. A Contractor who makes a false statement material to Section II(A)(2) of this certification commits a Class 3 felony. 720 ILCS 5/33E-11(b).

## F. JUDICIAL OR ADMINISTRATIVE PROCEEDINGS

1. The Contractor is not a party to any pending lawsuits against the City of Chicago or the Public Building Commission of Chicago nor has Contractor been sued by the City of Chicago or the Public Building Commission of Chicago in any judicial or administrative proceeding.

2. If the Contractor cannot certify to the above, provide the (1) case name; (2) docket number; (3) court in which the action is or was pending; and (4) a brief description of each such judicial or administrative proceeding. Attach additional sheets if necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

## CERTIFICATION OF ENVIRONMENTAL COMPLIANCE

A. Neither the Contractor nor any affiliated entity of the Contractor has, during a period of five years prior to the date of execution of this Affidavit: (1) violated or engaged in any conduct which violated federal, state or local Environmental Restriction<sup>5</sup>, (2) received notice of any claim, demand or action, including but not limited to citations and warrants, from any federal, state or local agency exercising executive, legislative, judicial, regulatory or administrative functions relating to a violation or alleged violation of any federal, state or local statute, regulation or other Environmental Restriction; or (3) been subject to any fine or penalty of any nature for failure to comply with any federal, state or local statute, regulation or other Environmental Restriction.

If the Contractor cannot make the certification contained in the above paragraph, identify any exceptions (attach additional pages if necessary):

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

- B. Without the prior written consent of the Public Building Commission of Chicago, Contractor will not employ any subcontractor in connection with the contract or proposal to which this Affidavit pertains without obtaining from such subcontractor a certification similar in form and substance to the certification contained in Paragraph A of this Section III prior to such subcontractor's performance of any work or services or furnishing any goods, supplies or materials of any kind under the proposal or the contract to which this Affidavit pertains.
- C. Until completion of the Contract's performance under the proposal or contract to which this Affidavit pertains, the Contractor will not violate any federal, state or local statute, regulation or other Environmental Restriction, whether in the performance of such contract or otherwise.

## INCORPORATION INTO CONTRACT AND COMPLIANCE

The above certification shall become part of any contract awarded to the Contractor set forth on page 1 of this Disclosure Affidavit and are a material inducement to the Public Building Commission of Chicago's execution of the contract, contract modification or contract amendment with respect to which this Disclosure Affidavit is being executed and delivered on behalf of the Contractor. Furthermore, Contractor shall comply with these certifications during the term and/or performance of the contract.

## **VERIFICATION**

Under penalty of perjury, I certify that I am authorized to execute this Disclosure Affidavit on behalf of the Contractor set forth on page 1, that I have personal knowledge of all the certifications made herein and that the same are true.

The Contractor must report any change in any of the facts stated in this Affidavit to the Public Building Commission of Chicago within 14 days of the effective date of such change by completing and submitting a new Disclosure Affidavit. Failure to comply with this requirement is grounds for your firm to be deemed non-qualified to do business with the PBCC. Deliver any such new Disclosure Affidavit to: Public Building Commission of Chicago, Director of Compliance, 50 W. Washington, Room 200, Chicago, IL 60602.

Chicago, Director of Compliance, 50 W. Washington, Roo	om 200, Chicago, IL 60602.
	Registerty
	Signature of Authorized Officer
	Reynold Sterlin, PE
	Name of Authorized Officer (Print or Type)
	Paesident
	Title
	312-857-1006
	Telephone Number
State of	
County of Look	
Signed and sworn to before me on this 20th day of Jan	varu, 2016 by
	( )
Reynold Steclin (Name) as Resident	(Title) of
DB Sterlin Consultants, Inc. (Bidder/	Proposer/Respondent or Contractor)
//	
Muca	OFFICIAL SEAL
Notary Public Signa	
·	MY COMMISSION EXPIRES:07/07/18

## <u>EXHIBIT C</u> DISCLOSURE OF RETAINED PARTIES

(ATTACHED HERETO AND INCORPORATED HEREIN)

**Definitions and Disclosure Requirements** 

As used herein, "Consultant" means a person or entity who has any contract with the Public Building Commission of Chicago ("Commission").

Commission bids, contracts, and/or qualification submissions must be accompanied by a disclosure statement providing certain information about lobbyists whom the Consultant has retained or expects to retain with respect to the contract. In particular, the Consultant must disclose the name of each such person, his or her business address, the name of the relationship, and the amount of fees paid or estimated to be paid. The Consultant is not required to disclose employees who are paid solely through the Consultant's regular payroll.

"Lobbyists" means any person who (a) for compensation or on behalf of any person other than himself undertake to influence any legislative or administrative action or (b) any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

## Certification

Consultant	hereby	certifies	as i	follows:
OUHSUITALL	IICICD4	COLUNCS	ao	IUIIUYYJ.

This Disclosure relates to the following transaction(s):

Description or goods or services to be provided under Contract:

Name of Consultant:	DB STERLIN CONSULTANTS, INC.	

**EACH AND EVERY** lobbyist retained or anticipated to be retained by the Consultant with respect to or in connection with the contract listed below. Attach additional pages if necessary.

### Retained Parties:

Name	Business Address	Relationship (Attorney, Lobbyist, etc.)	Fees (indicate total whether paid or estimated)
Reynold Sterlin, PE			
Florence Sterlin			
Antonio Vercillo			· · · · · · · · · · · · · · · · · · ·
			· · · · · · · · · · · · · · · · · · ·

Check Here If No Such Persons Have been Retained or Are Anticipated To Be Retained

The Consultant understands and agrees as follows:

- a. The information provided herein is a material inducement to the Commission execution of the contract or other action with respect to which this Disclosure of Retained Parties form is being executed, and the Commission may rely on the information provided herein. Furthermore, if the Commission determines that any information provided herein is false, incomplete, or inaccurate, the Commission may terminate the contract or other transaction, terminate the Consultant's participation in the contract or other transactions with the Commission.
- b. If the Consultant is uncertain whether a disclosure is required, the Consultant must either ask the Commission's Representative or his or her manager whether disclosure is required or make the disclosure.
- c. This Disclosure of Retained Parties form, some or all of the information provided herein, and any attachments may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. The Consultant waives and releases any possible rights or claims it may have against the Commission in connection with the public release of information contained in the completed Disclosure of Retained Parties form and any attachments.

Under penalty of perjury. I certify that I am authorized to execute this Disclosure of Retained Parties on behalf of the Consultant and that the information disclosed herein is true and complete.

Reynold Sterlin, PE

Name (Type or Print)

01/20/2016

acsident

Date

Title

Subscribed and sworn to before me

this 20th

day of January 20 1

Notery Public

OFFICIAL SEAL SNEZANA SCEKIC NOTARY PUBLIC - STATE OF ILLINOIS MY COMMISSION EXPIRES 07/07/18

## EXHIBIT D SPECIAL CONDITIONS REGARDING THE UTILIZATION OF MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES FOR PROFESSIONAL SERVICES

(ATTACHED HERETO AND INCORPORATED HEREIN)

## 1. Policy Statement

- a. It is the policy of the Commission to ensure competitive business opportunities for MBE and WBE firms in the performance of Contracts, to prohibit discrimination in the award of or participation in Contracts, and to abolish arbitrary barriers to full participation in Contracts by all persons, regardless of race, sex or ethnicity. Therefore, during the performance of this Contract, the Consultant must agree that it will not discriminate against any person or business on the basis of race, color, religion, ancestry, age, marital status, physical or mental handicap, unfavorable discharge from military service, parental status, sexual orientation, national origin or sex, in the solicitation or the purchase of goods and services or the subcontracting of work in the performance in this Contract.
- b. The Commission requires the Consultant also agree to take affirmative action to ensure that MBE and WBE firms have the maximum opportunity to compete for and perform subcontracts with respect to this Contract.
- c. The Commission requires the Consultant to notify MBE and WBE firms, utilized on this contract, about opportunities on contracts without affirmative action goals.

## 2. Aspirational Goals

- a. Upon the effective date of these Special Conditions, the bi-annual aspirational goals are to award 25% of the annual dollar value of all Commission Construction Contracts to MBEs and 5% of the annual dollar value of all Commission Construction Contracts to WBEs.
- b. Further, the Consultant must agree to use its best efforts to include MBE and WBE firms in any Contract modification work that increases the Contract value by 10% of the initial Contract value or \$50,000, whichever is less. Where the proposed contract modification involves work which can be performed by MBEs and WBEs already performing work on the contract such MBEs and WBEs will participate in such work specified in the contract modification.
- c. Failure to carry out the commitments and policies set forth in this Program constitute a material breach of contract and may result in termination of the Consultant or such other remedy, as the Commission deems appropriate.

## 3. Definitions

- a. For purposes of this Special Condition, the following definitions applies:
  - Certified Minority Business Enterprise" means a person or entity granted certification by the City of Chicago or County of Cook.
  - ii. Certified Women's Business Enterprise" means a person or entity granted certification by the City of Chicago or County of Cook.
  - iii. "Professional Service Contract" means a contract for professional services of any type.
  - iv. "Contract Specific Goals" means the subcontracting goals for MBE and WBE participation established for a particular contract based upon the availability of MBEs and WBEs to perform and anticipated scope of work of the contract and the Commission's progress towards meeting the aspirational goals.
  - v. "Consultant" means any person or business entity that seeks to enter into a Professional Services Contract with the Commission and includes all partners, affiliates and Joint Ventures of such person or entity.
  - vi. "Executive Director" means the Executive Director of the Commission or his duly designated representative as appointed in writing.

- vii. "Good faith efforts" means actions undertaken by a Consultant to achieve a Contract Specific Goal that by their scope, intensity and appropriateness to the objective can reasonably be expected to fulfill the Program's requirements.
- viii. "Joint Venture" means an association of two or more persons or entities or any combination of two or more business enterprises and persons numbering two or more, proposing to perform a single for-profit business enterprise, in which each Joint Venture partner contributes property, capital, efforts, skill and knowledge, and in which the MBE or WBE is responsible for a distinct, clearly-defined portion of the work of the contract and whose share in the capital contribution, control, management, risks and profits of the Joint Venture is equal to its ownership interest. Joint Ventures must have an agreement in writing specifying the terms and conditions of the relationships between the parties and their relationship and responsibilities to the contract.
- ix. "Program" means the minority- and women-owned business enterprise professional service procurement program established in this special condition.

## 4. Determining MBE/WBE Utilization

The methodology for determining MBE and WBE utilization will be determined for purposes of analysis with respect to this contract as follows:

- a. The total dollar value of the contract awarded to the certified MBE or WBE firm will be credited to such participation.

  Only minority business participation may be counted toward MBE participation and only women business participation may be counted toward WBE participation.
- b. The total dollar value of a contract with a firm owned and controlled by minority women is counted toward either the MBE or WBE goal, but not both. The Consultant employing the firm may choose the goal to which the contract value is applied. Various work done by one and the same subconsultant will be considered, for the purpose of this principle, as work effectively done under one subcontract only, which subconsultant may be counted toward only one of the goals, not toward both.
- c. A Consultant may count toward its MBE or WBE goal the portion of the total dollar value of a contract with an eligible Joint Venture equal to the percentage of the ownership and control of the MBE or WBE partner in the Joint Venture. A Joint Venture seeking to be credited for MBE participation may be formed among certified MBE and WBE firms, or between certified MBE and WBE firms and a non-MBE/WBE firm. A Joint Venture satisfies the eligibility standards of this Program if the certified MBE or WBE participant of the Joint Venture:
  - i. Shares in the ownership, control, management responsibilities, risks and profits of the Joint Venture; and
  - ii. Is responsible for a clearly defined portion of work to be performed in proportion to the MBE or WBE ownership percentage.
- d. A Consultant may count toward its MBE and WBE goals only expenditures to firms that perform a commercially useful function in the work of a contract. A firm is considered to perform a commercially-useful function when it is responsible for execution of a distinct element of the work of a contract and carries out its responsibilities by actually performing, managing, and supervising the work involved. To determine whether a firm is performing a commercially useful function, the Commission will evaluate the amount of work subcontracted, industry practices and other relevant factors.
- e. Consistent with normal industry practices, a MBE or WBE firm may enter into subcontracts. If a MBE or WBE Consultant subcontracts a significantly greater portion of the work of a contract than would be expected on the basis of normal industry practices, the MBE or WBE will be rebuttably presumed not to be performing a commercially-useful function.

- f. A Consultant may count toward its goals expenditures to MBE or WBE manufacturers (i.e., suppliers that produce goods from raw materials or substantially alters them before resale).
- g. A Consultant may count toward its goals expenditures to MBE or WBE suppliers provided that the supplier performs a commercially useful function in the supply process. Expenditures to suppliers will only be counted if the supplies are sold to the Consultant or subconsultant that installs those supplies in the Work.

## 5. Submission of Bid Proposals

- a. The following schedules and documents constitute the Bidder's MBE/WBE compliance proposal and must be submitted at the time of the bid or proposal or within such extended period as provided in Article 23.
  - i. Evidence of Certification: Affidavit of MBE/WBE. A copy of each proposed MBE and WBE firm's Letter of Certification from the City of Chicago, Department of Procurement Services or the County of Cook must be submitted.
  - ii. Schedule B: Affidavit of MBE/Non-MBE or WBE/Non-WBE Joint Ventures. Where the Bidder's MBE/WBE compliance proposal includes participation of any MBE or WBE as a Joint Venture participant, the Bidder must submit a "Schedule B: Affidavit of MBE/Non-MBE or WBE/Non-WBE Joint Venture" with an attached copy of the Joint Venture agreement proposed among the parties. The Schedule B and the Joint Venture agreement must clearly evidence that the MBE or WBE participant will be responsible for a clearly defined portion of the work to be performed and that the MBE or WBE firm's responsibilities are in proportion with its ownership percentage.
  - iii. Schedule C: Letter of Intent to Perform as a Subconsultant, Subconsultant, or Material Supplier, Schedule C, executed by the MBE/WBE firm (or Joint Venture Subconsultant) must be submitted by the Bidder for each MBE/WBE included on the Schedule D. Schedule C must accurately detail the work to be performed by the MBE or WBE firm and the agreed rates and prices to be paid.
  - iv. Schedule D: Affidavit of Prime Consultant Regarding MBE or WBE Utilization. A completed Schedule D committing to the utilization of each listed MBE or WBE firm. Unless the Bidder has submitted a completed request for a waiver of participation by MBE/WBE firms (See Request for Waiver procedures in Section 23.01.10), the Bidder must include the specific dollar amount of participation of each MBE/WBE firm listed on its Schedule D. The total dollar commitment to proposed MBE firms must at least equal the MBE goal, and the total dollar commitment to proposed WBE firms must at least equal the WBE goal. Bidders are responsible for calculating the dollar equivalent of MBE or WBE utilization as percentages of their total base bid.
- b. The submittals must have all blank spaces on the Schedule pages applicable to the contract correctly filled in. Agreements between a Bidder and a MBE/WBE in which the MBE/WBE promises not to provide subcontracting quotations to other Bidders are prohibited.

## 6. Evaluation of Compliance Proposals

a. During the period between bid opening and contract award, the Bidder's MBE/WBE compliance proposal will be evaluated by the Commission. The Bidder agrees to provide, upon request, earnest and prompt cooperation to the Executive Director or his designee in submitting to interviews that may be necessary, in allowing entry to places of business, in providing further documentation, or in soliciting the cooperation of a proposed MBE or WBE firm in providing such assistance. A bid may be treated as non-responsive by reason of the determination that the Bidder's proposal did not contain a sufficient level of Certified MBE or WBE participation, that the Bidder was unresponsive or uncooperative when asked for further information relative to the proposal, or that false statements were made in the Schedules.

- b. If the Commission's review of a Bidder's proposal concludes that the MBE or WBE proposal was deficient, the Commission will promptly notify the Bidder of the apparent deficiency and instruct the Bidder to submit (within 3 business days of such notice given by the Commission) a modification of the MBE or WBE Proposal, in proper format, which remedies the deficiencies cited. Failure to correct all deficiencies cited by the Commission will be cause for rejection of the Bidder's proposal as non-responsive.
- c. Bidders will not be permitted to modify their MBE/WBE compliance proposal except insofar as directed to do so by the Commission. Therefore, all terms and conditions stipulated for prospective MBE and WBE subconsultants or suppliers should be satisfactorily negotiated prior to the submission to the Commission of the Bidder's MBE/WBE compliance proposal with the bid. If circumstances should arise, however, where a proposed MBE/WBE is no longer available, the process described in Section 23.01 should be followed.
- d. If the Compliance Proposal includes participation by material suppliers, the PBC will request copies of the offers from such suppliers. The offers must be furnished to the PBC within three (3) business days of the bidder's receipt of the request for such offers from the PBC. The PBC may make such request by electronic mail. The offers must specify: (i) the particular materials, equipment and/or supplies that will be furnished; (ii) the supplier's price for each of the items; (iii) the total price of the items to be furnished by the supplier, (iv) the supplier's source for the items (e.g., manufacturer, wholesaler) and (v) the subconsultant that the supplies will be purchased by.

## 7. Request for Waiver

- a. If a Bidder is unable to identify qualified MBE and WBE firms to perform sufficient work to fulfill the MBE or WBE percentage goals for this Contract, the bid or proposal must include a written request for waiver. A request for waiver must be sent to the Executive Director and must set forth the Bidder's inability to obtain sufficient MBE and WBE firms notwithstanding good faith attempts to achieve such participation.
- b. Good Faith efforts to achieve participation include but are not limited to:
  - i. Attendance at the Pre-bid conference;
  - ii. The Bidder's general affirmative action policies regarding the utilization of MBE and WBE firms, plus a description of the methods used to carry out those policies;
  - iii. Advertisement in trade association newsletters and minority and woman-oriented and general circulation media for specific sub-bids;
  - iv. Timely notification of specific sub-bids to minority and woman Consultant assistance agencies and associations:
  - v. Description of direct negotiations with MBE and WBE firms for specific sub-bids, including:
    - a. The name, address and telephone number of MBE and WBE firms contacted;
    - b. A description of the information provided to MBE and WBE firms regarding the portions of the work to be performed; and
    - c. The reasons why additional MBE and WBE firms were not obtained in spite of negotiations.
  - vi. A statement of the efforts made to select portions of the work proposed to be performed by MBE and WBE firms (such as sub-supplier, transport, engineering, distribution, or any other roles contributing to production and delivery as specified in the contract) in order to increase the likelihood of achieving sub participation.

- vii. As to each MBE and WBE contacted which the Bidder considers to be not qualified, a detailed statement of the reasons for the Bidder's conclusion.
- viii. Efforts made by the Bidder to expand its search for MBE and/or WBE firms beyond usual geographic boundaries.
- ix. General efforts made to assist MBE and WBE firms to overcome participation barriers.
- c. The Executive Director, after review and evaluation of the request provided by the Bidder, may grant a waiver request upon the determination that:
  - i. Sufficient qualified MBE and/or WBE firms capable of providing the goods or services required by the contract are unavailable despite the good faith efforts of the Bidder;
  - ii. The price(s) quoted by potential MBE and/or WBE firms for goods or services is above competitive levels to an extent unwarranted by any increased cost of doing business attributable to the present effects of disadvantage or discrimination.

## 8. Failure To Achieve Goals

- a. If the Consultant cannot achieve the contract specific goals, as the Project proceeds, it must have documented its good faith efforts to do so. In determining whether the Consultant has made such good faith efforts, the performance of other Consultants in meeting the goals may be considered. The Executive Director or his designee shall consider, at a minimum, the Consultant's efforts to do the following:
  - i. Soliciting through reasonable and available means the interest of MBEs or WBEs that Provide interested MBEs or WBEs with adequate information about the plans, specifications and requirements of the contract, including addenda, in a timely manner to assist them in responding to the solicitation.
  - ii. Provide interested MBEs or WBEs with adequate information about the plans, specifications and requirements of the contract, including addenda, in a timely manner to assist them in responding to the solicitation.
  - iii. Negotiating in good faith with interested MBEs or WBEs that have submitted bids. Documentation of negotiation must include the names, addresses and telephone numbers of MBEs or WBEs that were solicited; the date of each such solicitation; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why agreements could not be reached with MBEs or WBEs to perform the work. That there may be some additional costs involved in solicitation and using MBEs and WBEs is not a sufficient reason for a Consultant's failure to meet the goals, as long as such costs are reasonable.
  - iv. Not rejecting MBEs or WBEs as being unqualified without sound reasons based on the thorough investigation of a their capabilities. The MBEs' or WBEs' standing within its industry, membership in specific groups, organizations, or associations and political or social affiliations are not legitimate cases for rejecting or not soliciting bids to meet the goals.
  - v. Making a portion of the work available to MBE or WBE subconsultants and suppliers and to select those portions of the work or material consistent with the available MBE or WBE subconsultants and suppliers, so as to facilitate meeting the goals.

- vi. Making good faith efforts despite the ability or desire of a Consultant to perform the work of a contract with its own organization. A Consultant that desires to self-perform the work of a contract must demonstrate good faith efforts unless the goals have been met.
- vii. Selecting portions of the work to be performed by MBEs or WBEs in order to increase the likelihood that the goals will be met. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MBE or WBE participation even when the Contract might otherwise prefer to perform these items with its own forces.
- viii. Making efforts to assist interested MBEs or WBEs in obtaining bonding lines of credit or insurance as required by the Commission or Consultant.
- ix. Making efforts to assist interested MBEs or WBEs in obtaining necessary equipment, supplies, materials or related assistance or services, including participation in a mentor-protégée program; and
- x. Effectively using the services of the Commission; minority or women community organizations; minority or women Consultants' groups; local, state and federal minority or women business assistance offices; and other organizations to provide assistance in the recruitment and placement of MBEs or WBEs.
- b. In the event the Public Building Commission determines that the Consultant did not make a good faith effort to achieve the goals, the Consultant may file a dispute to the Executive Director as provided in Article XI of the Standard Terms and Conditions.

## 9. Reporting and Record-Keeping Requirements

- a. The Consultant, within 5 working days of contract award, must execute a formal subcontract or purchase order in compliance with the terms of the Consultant's bid proposal and MBE/WBE assurances, and submit to the Commission a copy of the MBE and WBE subcontracts or purchase orders, each showing acceptance of the subcontract or purchase order by the MBE and WBE firms. During the performance of the contract, the Consultant will submit waivers of lien from MBE and WBE subconsultants and suppliers indicating the current payment amount and the cumulative dollar amount of payments made to date. The Consultant will file regular MBE and WBE utilization reports on the form entitled "Status Report of MBE and WBE (Sub) Contract Payments" at the time of submitting each monthly Payment Estimate, which reflects the current status of cumulative and projected payments to MBE and WBE firms.
- b. The Consultant must maintain records of all relevant data with respect to the utilization of MBE and WBE firms, including without limitation payroll records, tax returns and records, and books of account in such detail as the Commission requires, and retain such records for a period of at least 3 years after final acceptance of the work. Full access to such records will be granted to the Commission and/or its designees, on 5 business days' notice in order for the Commission to determine the Consultant's compliance with its MBE and WBE commitments and the status of any MBE or WBE firm performing any portion of the contract.

## 10. Disqualification of MBE or WBE

a. The Contract may be terminated by the Executive Director upon the disqualification of the Consultant as an MBE or WBE if the Consultant's status as an MBE or WBE was a factor in the award and such status was misrepresented by the Consultant.

b. The Contract may be terminated by the Executive Director upon the disqualification of any MBE or WBE if the Subconsultant's or supplier's status as an MBE or WBE was a factor in the award of the contract and the status of the subconsultant or supplier was misrepresented by the Consultant. If the Consultant is determined not to have been involved in any misrepresentation of the status of the disqualified subconsultant or supplier, the Consultant shall make good faith efforts to engage a qualified MBE or WBE replacement.

## 11. Prohibition On Changes To MBE/WBE Commitments

The Consultant must not make changes to its contractual MBE and WBE commitments or substitute such MBE or WBE subconsultants without the prior written approval of the Executive Director. Unauthorized changes or substitutions, including performing the work designated for a subconsultant with the Consultant's own forces, is a violation of this section and a breach of the contract with the Commission, and may cause termination of the contract for breach, and/or subject the Consultant to contract remedies or other sanctions. The facts supporting the request must not have been known nor reasonably should have been known by the parties prior to entering into the subcontract.

## 12. MBE/WBE Substitution Requirements and Procedures

- a. Arbitrary changes by the Consultant of the commitments earlier certified in the Schedule D are prohibited. Further, after once entering into each approved MBE and WBE sub-contract agreement, the Consultant shall thereafter neither terminate the subcontract, nor reduce the scope of the work to be performed by the MBE or WBE, nor decrease the price to the MBE or WBE, without in each instance receiving the prior written approval of the Executive Director. In some cases, however, it may become necessary to substitute a new MBE or WBE in order to actually fulfill the MBE or WBE requirements. In such cases, the Executive Director must be given reasons justifying the release by the Consultant of prior specific MBE or WBE commitments established in the contract, and will need to review the eligibility of the MBE or WBE presented as a substitute. The substitution procedure will be as follows:
  - i. The Consultant must notify the Executive Director immediately in writing of an apparent necessity to reduce or terminate a MBE or WBE subcontract and to propose a substitute firm for some phase of work, if needed in order to sustain the fulfillment of the MBE/WBE contract requirements.
  - ii. The Consultant's notification should include the specific reasons for the proposed substitution. Stated reasons which would be acceptable include any of the following reasons: a) Unavailability after receipt of reasonable notice to proceed; b) failure of performance; c)financial incapacity; d) refusal by the subconsultant to honor the bid or proposal price or scope; e) mistake of fact or law about the elements of the scope of work of a solicitation where a reasonable price cannot be agreed; f) failure of the subconsultant to meet insurance, licensing or bonding requirements; g) the subconsultant's withdrawal of its bid or proposal; or h) decertification of the subconsultant as MBE or WBE.

The Consultant's position must be fully explained and supported with adequate documentation. Stated reasons which will not be acceptable include: replacement firm has been recruited to perform the same work under terms more advantageous to the Consultant; issues about performance by the committed MBE or WBE were disputed (unless every reasonable effort has already been taken to have the issues resolved or mediated satisfactorily); an MBE or WBE has requested reasonable price escalation which may be justified due to unforeseen circumstances.

iii. The Consultant's notification should include the names, address and principal official of any proposed substitute MBE or WBE and the dollar value and scope of work of the proposed subcontract. Attached should be all the same MBE/WBE affidavits, documents and Letters of Intent which are required of the proposed MBE or WBE firms.

- iv. The Executive Director will evaluate the submitted documentation, and respond within fifteen (15) working days to the request for approval of a substitution. The response may be in the form of requesting more information, or requesting an interview to clarify or mediate the problem. In the case of an expressed emergency need to receive the necessary decision for the sake of job progress, the Executive Director will instead respond as soon as practicable.
- v. Actual substitution of a replacement MBE or WBE to fulfill contract requirements must not be made before the Executive Director's approval is given of the acceptability of the substitute MBE or WBE. This subcontract must be executed within five (5) working days, and a copy of the MBE WBE subcontract with signatures of both parties to the agreement should be submitted immediately to the Executive Director.
- b. The Executive Director will not approve extra payment for escalated costs incurred by the Consultant when a substitution of subconsultants becomes necessary for the Consultant in order to comply with MBE/WBE contract requirements.
- c. No relief of the MBE/WBE requirements will be granted by the Executive Director except in exceptional circumstances. Requests for complete or partial waiver of the MBE/WBE requirements of this contract must be made in writing, stating all details of the request, the circumstances, and any additional relevant information. The request must be accompanied by a record of all efforts taken by the Consultant to locate specific firms, solicit MBE and WBE bids, seek assistance from technical assistance agencies, and other good faith efforts undertaken to achieve compliance with the MBE/WBE goals.

## 13. Non-Compliance

- a. The Executive Director has the authority to apply suitable sanctions to the Consultant if the Consultant is found to be in non-compliance with the MBE and WBE requirements. Failure to comply with the MBE or WBE terms of this contract or failure to use MBE or WBE firms as stated in the Consultant's assurances constitutes a material breach of the contract, and may lead to the suspension or termination of the contract in part or in whole. In some cases, monthly progress payments may be withheld until corrective action is taken.
- b. When the contract is completed, if the Executive Director has determined that the Consultant did not comply in the fulfillment of the required MBE and/or WBE goals, and a grant of relief of the requirements was not obtained, the Commission will be damaged in the failure to provide the benefit of participation to minority or women business to the degree set forth in this Special Condition. In that case, the Commission may disqualify the Consultant from entering into future contracts with the Commission.

## 14. Severability

a. If any section, subsection, paragraph, clause, provision or application of these Special Conditions is held invalid by any count, the invalidity of such section, paragraph, clause or provision will not affect any of the remaining provisions hereof.

## SCHEDULE B - Joint Venture Affidavit (1 of 3)

This form is not required if for a Joint Venture where all parties are certified MBE/WBE firms. In such case, however, a written Joint Venture agreement among the MBE/WBE firms should be submitted. Each MBE/WBE Joint Venturer must also attach a copy of their current certification letter.

1.	Name of Joint Venture	
2.	Address of Joint Venture	
3.	Phone number of Joint Venture	
4.	Identify the firms that comprise the Joint Venture	
	A. Describe the role(s) of the MBE/WBE firm(s) in the Joint Venture. (Note that a "clearly defined portion of we must here be shown as under the responsibility of the MBE/WBE firm.)	ork"
	B. Describe very briefly the experience and business qualifications of each non-MBE/WBE Joint Venturer.	
5.	Nature of Joint Venture's business	
6.	Provide a copy of the Joint Venture agreement.	
7.	Ownership: What percentage of the Joint Venture is claimed to be owned by MBE/WBE?%	
8.	Specify as to:	
	A. Profit and loss sharing%	
	B. Capital contributions, including equipment%	
	C. Other applicable ownership interests, including ownership options or other agreements which restrict ownership control.	p or
	D. Describe any loan agreements between Joint Venturers, and identify the terms thereof.	

## SCHEDULE B - Joint Venture Affidavit (2 of 3)

9.	who	ntrol of and participation in this Contract: Identify by o are responsible for day-to-day management and p me responsibility for:	name, race, sex, and "firm" those individuals (and their titles olicy decision making, including, but not limited to, those with
	A.	Financial decisions:	
	В.	Management decisions such as:	
		1. Estimating:	· .
		2. Marketing/Sales:	<del></del>
	C.	Hiring and firing of management personnel:	· .
	D.	Purchasing of major items or supplies:	
	E.	Supervision of field operations:	·
	F.	Supervision of office personnel:	
	G.	will be responsible for keeping the books; how will t	e.g., will a separate cost center be established; which venture he expense therefor be reimbursed; the authority of each Joir ne estimated contract cash flow for each Joint Venturer.
	Н.	State approximate number of operational person employees of the majority firm or the Joint Venture.	nel, their craft/role and positions, and whether they will be
10.	Ple	ease state any material facts of additional information p	pertinent to the control and structure of this Joint Venture.

## SCHEDULE B - Joint Venture Affidavit (3 of 3)

THE UNDERSIGNED SWEAR THAT THE FOREGOING STATEMENTS ARE CORRECT AND INCLUDE ALL MATERIAL INFORMATION NECESSARY TO IDENTIFY AND EXPLAIN THE TERMS AND OPERATIONS OF OUR JOINT VENTURE AND THE INTENDED PARTICIPATION BY EACH JOINT VENTURER IN THE UNDERTAKING. FURTHER, THE UNDERSIGNED COVENANT AND AGREE TO PROVIDE TO THE PUBLIC BUILDING COMMISSION OF CHICAGO CURRENT, COMPLETE AND ACCURATE INFORMATION REGARDING ACTUAL JOINT VENTURE WORK AND THE PAYMENT THEREFOR AND ANY PROPOSED CHANGES IN ANY OF THE JOINT VENTURE AGREEMENTS AND TO PERMIT THE AUDIT AND EXAMINATION OF THE BOOKS, RECORDS, AND FILES OF THE JOINT VENTURE, OR THOSE OF EACH JOINT VENTURER RELEVANT TO THE JOINT VENTURE, BY AUTHORIZED REPRESENTATIVES OF THE COMMISSION. ANY MATERIAL MISREPRESENTATION WILL BE GROUNDS FOR TERMINATING ANY CONTRACT WHICH MAY BE AWARDED AND FOR INITIATING ACTION UNDER FEDERAL OR STATE LAWS CONCERNING FALSE STATEMENTS.

Note: If, after filing this Schedule B and before the completion of the Joint Venture's work on this Contract, there is any significant change in the information submitted, the Joint Venture must inform the Public Building Commission of Chicago, either directly or through the Consultant if the Joint Venture is a sub-consultant.

	X
Name of Joint Venturer	Name of Joint Venturer
Signature	Signature
Name	Name
Title	Title
Date	Date
State ofCounty of	State of County of
On thisday of, 20	On this day of, 20
before me appeared (Name)	before me appeared (Name)
to me personally known, who, being duly sworn, did execute the foregoing affidavit, and did state that he or she was properly authorized by	to me personally known, who, being duly sworn, did execute the foregoing affidavit, and did state that he or she was properly authorized by
(Name of Joint Venture) to execute the affidavit and did so as his or her free act and deed.	(Name of Joint Venture) to execute the affidavit and did so as his or her free act and deed.
Notary Public	Notary Public
Commission expires:	Commission expires:
(SEAL)	(SEAL)

## SCHEDULE C - Letter of Intent from MBE/WBE (1 of 2) To Perform As Subcontractor, Subconsultant, and/or Material Supplier

Name of Project:	
Project Number:	
FROM:	
MBE	WBE
(Name of MBE or WBE)	
TO:	
and Public Building Cor	mmission of Chicago
(Name of Bidder)	
The undersigned intends to perform work in connection with the above-	referenced project as (check one):
a Sole Proprietor	a Corporation
a Partnership	a Joint Venture
The MBE/WBE status of the undersigned is confirmed by In addition, in the case where the u firm, a Schedule B, Joint Venture Affidavit, is provided.  The undersigned is prepared to provide the following described se connection with the above-named project.	indersigned is a Joint Venture with a non-MBE/WB
	union with terms of powerst on ofinulated in th
The above-described services or goods are offered for the following Contract Documents.	price, with terms of payment as supulated in the

## SCHEDULE C - Letter of Intent from MBE/WBE (2 of 2) To Perform As Subcontractor, Subconsultant, and/or Material Supplier

PARTIAL PAY ITEMS	
For any of the above items that are partial pay	items, specifically describe the work and subcontract dollar amount:
If more space is needed to fully describe the additional sheet(s).	MBE/WBE firm's proposed scope of work and/or payment schedule, attach
SUB-SUBCONTRACTING LEVELS	
% of the dollar value of the MBE	E/WBE subcontract will be sublet to non-MBE/WBE contractors.
% of the dollar value of the MBE	E/WBE subcontract will be sublet to MBE/WBE contractors.
filled in each blank above. If more than 10% sublet, a brief explanation and description of the	
contract with the Public Building Commission Contract award from the Commission.	ement for the above work with the Bidder, conditioned upon its execution of a of Chicago, and will do so within five (5) working days of receipt of a notice of
BY:	
Name of MBE/WBE Firm (Print)	Signature
Date	Name (Print)
Phone	
IF APPLICABLE:	
BY:	
Joint Venture Partner (Print)	Signature
Date	Name (Print) MBE WBE Non-MBE/WBE
Phone	

## SCHEDULE D - Affidavit of General Contractor Regarding MBE/WBE Participation (1 of 2) SURVEYOR SERVICES (PS2063C)

Name of Project:	UNVETOR SERVICES	(1 02000)	<del></del>
STATE OF ILLINOIS	}		
	} SS		
COUNTY OF COOK	}		
In connection with the	above-captioned contract, I HERE	BY DECLARE AND AFFIRM t	hat I am the
President			
	y authorized representative of CONSULTANTS, INC.		
Name of Ge	neral Contractor whose address is Drive, Suite 2000		.*
in the City of	Chicago	State of Illinois	

and that I have personally reviewed the material and facts submitted with the attached Schedules of MBE/WBE participation in the above-referenced Contract, including Schedule C and Schedule B (if applicable), and the following is a statement of the extent to which MBE/WBE firms will participate in this Contract if awarded to this firm as the Contractor for the Project.

Name of MBE/WBE Contractor	Type of Work to be Done in Accordance with Schedule C	Dollar Credit Toward MBE/WBE Goals		
	Accordance with solidatio	MBE	WBE	
DB STERLIN CONSULTAN	Surveyor Services	\$ 400,000	\$	
		\$	\$	
		\$	\$	
		\$	\$	
		\$	\$	
		\$	\$	
		\$	\$	
		\$	\$	
<u> </u>	Total Net MBE/WBE Credit	\$ 400,000	\$	
	Percent of Total Contract Value	100 %	%	

The Professional Service Provider may count toward its MBE/WBE goal a portion of the total dollar value of a contract with a joint venture equal to the percentage of the ownership and control of the MBE/WBE partner.

## SCHEDULE D - Affidavit of General Contractor Regarding MBE/WBE Participation (2 of 2)

The undersigned will enter into a formal agreement for the above work with the above-referenced MBE/WBE firms, conditioned upon performance as Contractor of a Contract with the Commission, and will do so within five (5) business days of receipt of a notice of Contract award from the Commission.

BY:	
DB STERLIN CONSULTANTS	Kerningsterles
Name of Contractor (Print) 01/20/2016	Signature Reynold Sterlin, PE
Date	Name (Print)
312-857-1006 Phone	
THORE	
IF APPLICABLE:	
	•
BY:	
Joint Venture Partner (Print)	Signature
Date	Name (Print)
	MBE WBE Non-MBE/WBE
Phone/FAX	



## CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) 1/20/2016

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

	CONTACT Willis Towers Watson Certificate Center			
PRODUCER Willis of Illinois, Inc. c/o 26 Century Blvd P.O. Box 305191 Nashville, TN 37230-5191  INSURED  DB STERLIN CONSULTANTS, INC. Flo Sterlin	PHONE (A/C, No, Ext): (877) 945-7378 FAX (A/C, No): (88	8) 467-2378		
c/o 26 Century Blvd P.O. Box 305191	E-MAIL ADDRESS: certificates@willis.com			
PRODUCER Willis of Illinois, Inc. c/o 26 Century Blvd P.O. Box 305191 Nashville, TN 37230-5191  INSURED  DB STERLIN CONSULTANTS, INC.	INSURER(S) AFFORDING COVERAGE			
	INSURER A: Travelers Property Casualty Company of Americ	a 25674		
INSURED	INSURER B : Travelers Indemnity Company of CT	25682		
PRODUCER Willis of Illinois, Inc. c/o 26 Century Blvd P.O. Box 305191 Nashville, TN 37230-5191  INSURED  DB STERLIN CONSULTANTS, INC. Flo Sterlin 123 N Wacker Dr, Ste 2000	INSURER C: Travelers Indemnity Company			
	INSURER D : Phoenix Insurance Company	25623		
	INSURER E: Lexington Insurance Company	19437		
Chicago, IL 60606	INSURER F:			

COVERAGES

CERTIFICATE NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

E	(CLUSIONS AND CONDITIONS OF SUCH I	POLICIE	S. LIMITS SHOWN MAY HAVE BE	EN REDUCED BY	PAID CLAIMS.			
INSR LTR	TYPE OF INSURANCE	ADDL SUE		POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMIT	s	
A	X COMMERCIAL GENERAL LIABILITY	((400 110		•		EACH OCCURRENCE	\$	1,000,000
``	CLAIMS-MADE X OCCUR	х	6806446L173	10/27/2015	10/27/2016	DAMAGE TO RENTED PREMISES (Ea occurrence)	\$	1,000,000
	ODAMINO-MINEL GOODIN					MED EXP (Any one person)	\$	5,000
ļ						PERSONAL & ADV INJURY	\$	1,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER:					GENERAL AGGREGATE	\$	2,000,000
	POLICY X PRO- JECT LOC			'		PRODUCTS - COMP/OP AGG	\$	2,000,000
							\$	
	OTHER: AUTOMOBILE LIABILITY					COMBINED SINGLE LIMIT (Ea accident)	\$	1,000,000
В	X ANY AUTO	x x	BA6462L530	10/27/2015	10/27/2016	BODILY INJURY (Per person)	\$	
-	ALL OWNED SCHEDULED	-				BODILY INJURY (Per accident)	\$	
i	AUTOS AUTOS NON-OWNED AUTOS					PROPERTY DAMAGE (Per accident)	\$	
i	HIRED AUTOS AUTOS						\$	
	X UMBRELLA LIAB X OCCUR					EACH OCCURRENCE	\$	5,000,000
С	EXCESS LIAB CLAIMS-MADE		CUP7778Y831	10/27/2015	10/27/2016	AGGREGATE	\$	5,000,000
-	DED X RETENTION \$ 10,000						\$	
	WORKERS COMPENSATION					X PER OTH-		
D	AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE	N/A	( UB7434Y500	10/27/2015	10/27/2016	E.L. EACH ACCIDENT	\$	1,000,000
	OFFICER/MEMBER EXCLUDED?  (Mandatory in NH)				E.L. DISEASE - EA EMPLOYEE	\$	1,000,000	
	If yes, describe under DESCRIPTION OF OPERATIONS below					E.L. DISEASE - POLICY LIMIT	\$	1,000,000
E	Professional Liab		026154152	10/27/2015	10/27/2016	Per Claim/Aggregate		1,000,000
Ā	Valuable Papers		6806446L173	10/27/2015	10/27/2016	Limits: \$		100,000
-								

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Project: Professional Services Agreement Surveyor Services (PS2063C), DBS Project No. P2015.032

The General Liability policy includes a Separation of Insureds clause.

Coverage for Contractual Liability is provided under General Liability policy.

The Public Building Commission of Chicago, the City of Chicago and the User Agency and Owners are included as Additional Insureds as respects to General Liability and Auto Liability.

SEE ATTACHED ACORD 101

CERTIFICATE HOLDER	CANCELLATION		
	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.		
Public Building Commission of Chicago Attn: Raven DeVaughn, Director of Procurement 50 W. Washington Street, Room 200 Chicago, II, 60602	authorized representative An Aculow		

AGENCY	CUSTOMER ID: DBSTERL	-01
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**GUPTAPR** 

LOC #: 1



## ADDITIONAL REMARKS SCHEDULE

1 of 1

	NAMED INSURED DB STERLIN CONSULTANTS, INC. FIO Sterlin
	123 N Wacker Dr, Ste 2000
	Chicago, IL 60606
NAIC CODE	
SEE P 1	EFFECTIVE DATE: SEE PAGE 1
	NAIC CODE

## ADDITIONAL REMARKS

THIS ADDITIONAL REMARKS FORM IS A SCHEDULE TO ACORD FORM,

FORM NUMBER: ACORD 25 FORM TITLE: Certificate of Liability Insurance

Description of Operations/Locations/Vehicles:

General Liability and Auto Liability policies shall be Primary and Non-contributory with any other insurance in force for or which may be purchased by Additional Insureds.

Waiver of Subrogation applies in favor of The Public Building Commission of Chicago, the City of Chicago and the User Agency and Owners, their respective Board Members, employees, elected and appointed officials, and representatives with respects to General Liability, Auto Liability and Workers Compensation as permitted by law.

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## THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

## **BLANKET ADDITIONAL INSURED** (ARCHITECTS, ENGINEERS AND SURVEYORS)

This endorsement modifies insurance provided under the following: COMMERCIAL GENERAL LIABILITY COVERAGE PART

A. The following is added to WHO IS AN INSURED (Section II):

Any person or organization that you agree in a "contract or agreement requiring insurance" to include as an additional insured on this Coverage Part, but only with respect to liability for "bodily injury", "property damage" or "personal injury" caused, in whole or in part, by your acts or omissions or the acts or omissions of those acting on your behalf:

- a. In the performance of your ongoing opera-
- b. In connection with premises owned by or rented to you; or
- In connection with "your work" and included within the "products-completed operations hazard".

Such person or organization does not qualify as an additional insured for "bodily injury", "property damage" or "personal injury" for which that person or organization has assumed liability in a contract or agreement.

The insurance provided to such additional insured is limited as follows:

- d. This insurance does not apply on any basis to any person or organization for which coverage as an additional insured specifically is added by another endorsement to this Coverage Part.
- This insurance does not apply to the rendering of or failure to render any "professional services".
- The limits of insurance afforded to the additional insured shall be the limits which you agreed in that "contract or agreement requiring insurance" to provide for that additional insured, or the limits shown in the Declarations for this Coverage Part, whichever are less. This endorsement does not increase the limits of insurance stated in the LIMITS OF

INSURANCE (Section III) for this Coverage Part.

B. The following is added to Paragraph a. of 4. Other Insurance in COMMERCIAL GENERAL LIABILITY CONDITIONS (Section IV):

However, if you specifically agree in a "contract or agreement requiring insurance" that the insurance provided to an additional insured under this Coverage Part must apply on a primary basis, or a primary and non-contributory basis, this insurance is primary to other insurance that is available to such additional insured which covers such additional insured as a named insured, and we will not share with the other insurance, provided that:

- (1) The "bodily injury" or "property damage" for which coverage is sought occurs; and
- (2) The "personal injury" for which coverage is sought arises out of an offense committed;

after you have entered into that "contract or agreement requiring insurance". But this insurance still is excess over valid and collectible other insurance, whether primary, excess, contingent or on any other basis, that is available to the insured when the insured is an additional insured under any other insurance.

C. The following is added to Paragraph 8. Transfer Of Rights Of Recovery Against Others To Us in COMMERCIAL GENERAL LIABILITY CON-**DITIONS (Section IV):** 

We waive any rights of recovery we may have against any person or organization because of payments we make for "bodily injury", "property damage" or "personal injury" arising out of "your work" performed by you, or on your behalf, under a "contract or agreement requiring insurance" with that person or organization. We waive these rights only where you have agreed to do so as part of the "contract or agreement requiring insurance" with such person or organization entered into by you before, and in effect when, the "bodily

## COMMERCIAL GENERAL LIABILITY

- injury" or "property damage" occurs, or the "personal injury" offense is committed.
- D. The following definition is added to **DEFINITIONS** (Section V):

"Contract or agreement requiring insurance" means that part of any contract or agreement under which you are required to include a person or organization as an additional insured on this Cov-

- erage Part, provided that the "bodily injury" and "property damage" occurs, and the "personal injury" is caused by an offense committed:
- After you have entered into that contract or agreement;
- **b.** While that part of the contract or agreement is in effect; and
- c. Before the end of the policy period.