



**Public Building Commission of Chicago**  
Richard J. Daley Center, Room 200  
50 W. Washington Street  
Chicago, Illinois 60602  
[www.pbcchicago.com](http://www.pbcchicago.com)

**PROFESSIONAL SERVICES  
AGREEMENT**

**TRAFFIC STUDY SERVICES (PS2064G)**

**PUBLIC BUILDING COMMISSION OF CHICAGO**

**AND**

**V3 COMPANIES OF ILLINOIS, INC.**

**FOR**

**TRAFFIC STUDY SERVICES (PS2064G)**

**Public Building Commission of Chicago**  
Richard J. Daley Center, Room 200  
50 W. Washington Street  
Chicago, Illinois 60602  
[www.pbcchicago.com](http://www.pbcchicago.com)

<b>FIRM NAME:</b>	V3 Companies of Illinois Ltd.
<b>CONTACT NAME:</b>	Mike Rechterik, P.E., PTOE
<b>CONTACT TELEPHONE:</b>	630-724-9200
<b>CONTACT EMAIL:</b>	mrechterik@v3co.com
<b>ADDRESS:</b>	7325 Janes Avenue Woodridge, IL 60517

**Mayor Rahm Emanuel**  
**Chairman**

Felicia S. Davis  
Executive Director

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**EXECUTION PAGE**  
**TRAFFIC STUDY SERVICES – PS2064G**

**THIS AGREEMENT** effective as of January 1, 2016, but actually executed on the date witnessed, is entered into by and between the **Public Building Commission of Chicago**, a municipal corporation of the State of Illinois, having its principal office at Room 200, Richard J. Daley Center, 50 West Washington Street, Chicago, Illinois 60602, (the "**Commission**"), and

V3 Companies of Illinois Ltd. with offices at Woodridge, IL

7325 Janes Avenue, Woodridge, IL 60517 (the "Consultant").

Address	City	State	Zip
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**Recitals:**

**Whereas**, the Commission intends to undertake from time to time the acquisition, demolition, renovation, development, construction and/or improvement of buildings, facilities and other improvements ("Project") located in the City of Chicago ("City") at the request of various governmental and public agencies ("User Agency").

**WHEREAS**, the Commission requires certain professional services as described in Schedule B the Agreement (the “Services”) contained herein, in connection with the Projects undertaken by the Commission for the use and benefit of a User Agency.

**WHEREAS**, the Consultant desires to be retained by the Commission to perform the Services and has represented to the Commission that the Consultant is qualified and competent, by education and training, and has the knowledge, skill, experience and other resources necessary to perform the Services required by the Agreement in accordance with terms and conditions of the Agreement.

**WHEREAS**, in reliance upon the Consultant's representations and Key Personnel as identified in Schedule E of this Agreement, the Commission has selected the Consultant to perform the Services on the terms and conditions set forth in this Agreement as modified from time to time by Task Order.

**NOW, THEREFORE**, the parties have executed this Agreement on the terms and conditions that follow:

EXECUTION PAGE  
TRAFFIC STUDY SERVICES – PS2064G

PUBLIC BUILDING COMMISSION OF CHICAGO

Rahm Emanuel

Date: \_\_\_\_\_

Mayor Rahm Emanuel  
Chairman

ATTEST:

Lori Ann Lypson  
Secretary

Date: 2/9/16

CONSULTANT: V3 Companies of Illinois Ltd.

Robin L. Petroelje  
President

Date: 1/14/2016

AFFIX CORPORATE  
SEAL, IF ANY, HERE

County of: DuPage

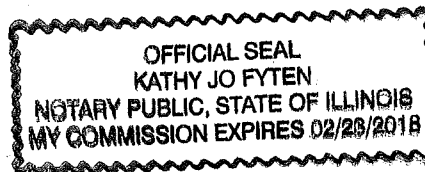
State of: Illinois

Subscribed and sworn to before me by Robin L. Petroelje and \_\_\_\_\_  
on behalf of Consultant this 14 day of January, 2016.

Kathy Jo Fyten  
Notary Public

My Commission expires: 2/23/18

(SEAL OF NOTARY)



Approved as to form and legality:

Anne G. Fredl  
Neal & Leroy, LLC

Date: 1-27-16

## SCHEDULE A TERMS AND CONDITIONS

1. **Recitals.** The Recitals set forth on the Execution Page of this Agreement are hereby incorporated herein by reference.
2. **Definitions.** The herein words and phrases have the following meanings for purposes of this Agreement.
  - a. **Agreement** means this Professional Services Agreement for Specialty Consulting Services, including all schedules, exhibits or documents attached hereto and/or incorporated by reference herein, and all amendments, supplements or Task Orders made in accordance with the terms hereof.
  - b. **Commission** means the Public Building Commission of Chicago, a municipal corporation organized under the Public Building Commission Act of the State of Illinois, as amended, or its duly authorized officers or employees.
  - c. **Consultant** means the company or other entity identified in this Agreement, and such successors or assigns, if any, as may be authorized to perform the Services required by the terms and conditions of this Agreement.
  - d. **CW System** or **CW** means the on-line collaboration workspace and document management system established and maintained by the Commission for electronic submission and receipt of documents and reports.
  - e. **Deliverables** means the documents, in any format (electronic or hard copy) requested by the Commission, including without limitation drawings, plans, reports, forms, recommendations, and analyses, that the Consultant is required under this Agreement to provide to the Commission.
  - f. **Equipment** means the articles or physical resources, tangible or intangible, including but not limited to, hardware, firmware or software enabling the Commission to implement the Projects and Consultant to perform the Services under this Agreement.
  - g. **Executive Director** means the person employed by the Commission as its Executive Director or the duly authorized representative thereof.
  - h. **Key Personnel** means those job titles and persons as identified in such positions in the Request for Qualifications response.
  - i. **Services** means collectively, the duties, responsibilities and tasks that are necessary in order for the Consultant to provide the Scope of Services required by the Commission under Section IV of the Request for Qualifications response and the assigned Task Order.
  - j. **Sub-consultant** or **Subcontractor** means a partnership, firm, corporation or entity other than the Consultant that furnishes labor, materials and/or equipment to the Consultant related to the performance of the Services and/or improvement of the Project.
  - k. **Task Order** means a document issued by the Commission to the Consultant pursuant to this Agreement that authorizes in writing Services and/or Deliverables to be provided by the Consultant, together with any applicable exhibits or schedules, a timetable for any Deliverables and the applicable fees.
3. **Incorporation of Documents.** The Resolution passed by the Board of Commissioners of the Commission on October 1, 2009, concerning utilization of minority business enterprises ("MBE") and women business enterprises ("WBE"), as the same may be amended from time to time, is hereby incorporated in and made a part of this Agreement. By executing this Agreement, Consultant acknowledges and agrees that Consultant is familiar with the contents of such Resolution and will comply fully with all applicable portions thereof in performing the Services.
4. **Engagement and Standards for Performing Services.**
  - a. **Engagement.** The Commission hereby engages the Consultant, and the Consultant hereby accepts such engagement, to provide the Services described in this Agreement, as the same may be amended, in writing, from time to time by mutual agreement of the Commission and the Consultant.
  - b. **Performance Standard.** The Consultant represents and agrees that the Services performed under this Agreement will proceed with efficiency, promptness and diligence and will be executed in a competent and thorough manner, in accordance with reasonable professional standards in the field consistent with that degree of skill and care ordinarily exercised by practicing consulting professionals performing services of a scope, purpose, and magnitude comparable with the Services to be provided under this Agreement and the assigned Task Order. If in the course of performing the

Services, Consultant identifies any condition, situation, issue or problem that may impact the performance of the Services or the Project, Consultant shall promptly provide notice to the Commission.

- c. **Consultant's Personnel.** The Consultant agrees that it will assign at all times during the term of the Agreement the number of experienced, appropriately trained employees necessary for the Consultant to perform the Services under this Agreement and the assigned Task Order in the manner required by this Agreement. Consultant must not reassign or replace Key Personnel without the written consent of the Commission. Consultant must ensure that all Services and Deliverables that require the exercise of professional skills or judgment are accomplished by professionals qualified and competent in the applicable discipline and appropriately licensed, if required by law. Consultant must maintain current copies of any such licenses and provide such copies, upon request, to the Commission. Consultant remains responsible for the professional and technical accuracy of all Services and Deliverables furnished, whether by the Consultant or others on its behalf. Consultant must, at all times, use its best efforts on behalf of the Commission to assure timely and satisfactory rendering and completion of the Services. Consultant must perform all Services in accordance with the terms and conditions of this Agreement, to the reasonable satisfaction of the Commission. All Deliverables must be prepared in a format satisfactory to the Commission and delivered in a timely manner consistent with the requirements of this Agreement and the assigned Task Order.
  - d. **Independent Contractor.** In performing the Services under this Agreement, Consultant shall at all times be an independent contractor, and does not and must not act or represent itself as an agent or employee of the Commission or the User Agency. As an independent contractor, Consultant is solely and wholly responsible for determining the means and methods for performing the Services. The Agreement will not be construed as an agreement of partnership, joint venture, or agency.
  - e. **Limitations on Sub-Consultants and Subcontractors.** Consultant must not use any business or individual who is disqualified by the Commission or debarred under any other governmental agency's procedures to provide the Services under the Agreement.
  - f. **Failure to Meet Performance Standard.** If the Consultant fails to comply with its obligations under the standards of the Agreement, the Consultant must perform again, at its own expense, all Services required to be re-performed as a direct or indirect result of that failure. Any review, approval, acceptance or payment for any of the Services by the Commission does not relieve Consultant of its responsibility to render the Services and Deliverables with the professional skill and care and technical accuracy required by the Agreement. This provision in no way limits the Commission's rights against the Consultant, either under the Agreement, at law or in equity.
  - g. **Changes to the Services.** The Commission may from time to time, request changes to the terms of the Agreement, Task Order or the Services of the Consultant to be performed hereunder. Such changes, including any increase or decrease in the amount of compensation and revisions to the duration of the Services or timetable for Deliverables, which are mutually agreed upon by and between the Commission and Consultant, shall be incorporated in a written amendment to this Agreement or the Task Order. The Commission shall not be liable for any changes absent such written amendment.
5. **Representations and Warranties.** Consultant represents, warrants and covenants that (a) it will comply with all laws and regulations in performing the Services; (b) it will perform the Services in accordance with the terms and conditions of the Agreement in a professional and workmanlike manner consistent with best industry standards and practice; (c) it possesses all right, power and authority to enter into this Agreement; (d) all Deliverables shall be original works of Consultant or that Consultant shall have all rights necessary to provide such Deliverables; and (e) neither the Services, Deliverables or any other materials or any part thereof, provided to the Commission shall infringe any patent, copyright, trademark, trade secret or other proprietary right of a third party. If any Services performed by Consultant fail to meet the above warranties, then without limiting any other remedies at law or in equity, Consultant shall promptly correct or re-perform any such affected Services at no cost to the Commission. Further, Consultant acknowledges that the Commission has entered or will enter into agreements with third party vendors to provide a third party data hosting site and a disaster recovery site. Consultant agrees to abide by all rules, regulations and other requirements prescribed by such third party vendors in order to gain access to the Equipment and perform the Services required by this Agreement.
6. **Duties and Obligations of Consultant.**
- a. **Nondiscrimination.** The Consultant agrees that in performing this Agreement it shall not discriminate against any worker, employee or applicant for employment, or any member of the public, because of race, creed, gender, color, national origin or disability, or otherwise commit an unfair labor practice. Attention is called to applicable provisions of the Civil Rights Act of 1964, 88-352, July 2, 1964, 78 Stat. 241 et. Seq. the Americans with Disabilities Act of 1990, 42

U.S.C. 12010 et. Seq., the Age Discrimination Act, 43 U.S.C. Sec. 6101-6106 (1981); Illinois Human Rights Act 775 ILCS 5/1-101 et. Seq. and the Public Works Employment Discrimination Act 775 ILCS 10/0.0 1 through 10/20, the Chicago Human Rights Ordinance, Chapter 2-160, Section 2-160-010 et seq. of the Municipal Code (1990), as amended, and a Resolution passed by the Board of Commissioners of the Public Building Commission of Chicago on October 1, 2009, concerning participation of Minority Business Enterprises and Women Business Enterprises on contracts awarded by the Commission. The Consultant will furnish such reports and information as requested by the Commission or the Illinois Department of Human Relations or any other administrative or governmental entity overseeing the enforcement, administration or compliance with the above referenced laws and regulations.

- b. **Employment Procedures, Preferences and Compliances.** Salaries of employees of Consultant performing work under this Agreement shall be paid unconditionally and not less often than once a month without deduction or rebate on any account except only such payroll deductions as are mandatory or permitted by the applicable law or regulations. Attention is called to [Illinois Compiled Statutes, 1992 relating to Wages and Hours including 820 ILCS 130/0.01 through 130/12 thereof (Prevailing Wage Act), 30 ILCS 570/1 through 570/7 (Employment of Illinois Workers on Public Works Act) and 30 ILCS 560/0.01 through 560/7 (Public Works Preference Act).] The Consultant shall comply with all applicable "Anti-Kickback" laws and regulations, including the "Anti-Kickback" Act of June 13, 1934 (48 Stat. 948; 62 Stat. 740; 63 Stat. 108; 18 U.S.C. § 874; 40 U.S.C. § 276c) and the Illinois Criminal Code of 1961 720 ILCS 5/33E-1 et. seq. If, in the performance of this Agreement, there is any direct or indirect kickback, the Commission shall withhold from the Consultant, out of payments due to it, an amount sufficient to pay employees underpaid the difference between the salaries required hereby to be paid and the salaries actually paid such employees for the total number of hours worked. The amounts withheld shall be disbursed by the Commission for and on account of the Consultant to the respective employees to whom they are due.
- c. **Ethics.** The Consultant has read and agrees to comply with all provisions of the Code of Ethics Resolution passed by the Commission on October 3, 2011, which is available on the Commission's website at [www.pbcchicago.com/pdf/RES\\_PBC\\_ecr\\_CodeofEthicsConsolApril%202013\\_20130405.pdf](http://www.pbcchicago.com/pdf/RES_PBC_ecr_CodeofEthicsConsolApril%202013_20130405.pdf) and is incorporated into this Agreement by reference. Any contract negotiated, entered into, or performed in violation of any of the provisions of this Section will be voidable by the Commission.
- d. **Inspector General.** The Consultant and its subconsultants, including all officers, directors, agents, partners and employees of such entities shall cooperate with the Inspector General of the Public Building Commission in any investigation or hearing undertaken pursuant to Public Building Commission Resolution 7576 adopted by the Board of Commissioners of the Public Building Commission of Chicago on October 1, 2010. On projects funded by the City of Chicago, the Consultant and its subconsultants, including all officers, directors, agents, partners and employees of any such entities, shall cooperate with the Inspector General of the City in any investigation or hearing undertaken pursuant to Chapter 2-56 of the Chicago Municipal Code. Each Consultant understands and will abide by all provisions of Chapter 2-56 of the Municipal Code of Chicago. All Consultants will inform their respective subconsultants of this provision and require compliance herewith. Consultant shall cooperate and comply with the Inspector General of the User Agency in any investigation or hearing undertaken pursuant to the enabling ordinance or resolution pertaining to the authority of such Inspector General that has been promulgated by such User Agency.
- e. **Delays.** The Consultant agrees that no charges for damages or claims for damages shall be asserted by it against the Commission for any delays or hindrances from any cause whatsoever during the progress of any portion of the Services. Such delays or hindrances, if any, shall be compensated for by an extension of time to complete the Services, for such reasonable period as may be mutually agreed upon between the parties, it being understood, however, that the agreement of the Commission to allow the Consultant to complete the Services or any part of them after the time provided for the completion thereof herein shall in no way operate as a waiver on the part of the Commission of any of its rights hereunder.
- f. **Records.** The Consultant shall maintain accurate and complete records of expenditures, costs and time incurred by Consultant in connection with the Project and the Services. Such records shall be maintained in accordance with recognized commercial accounting practices. The Commission may examine such records at Consultant's offices upon reasonable notice during normal business hours. Consultant shall retain all such records for a period of not less than five calendar years after the termination of this Agreement.
- g. **CW System.** The Commission may require the Consultant to use the Commission's electronic document management system in performing the Services and the assigned Task Order. At the direction of the Commission, the Consultant must follow the CW procedures and submit progress reports and other Deliverables through the CW System. The Consultant must attend courses and receive training on the CW System provided by or on behalf of the Commission.

Any costs incurred by Consultant as a result of the attendance of Consultant's personnel at CW System courses are not compensable by the Commission.

- h. **Time of Essence.** The Consultant acknowledges and agrees that time is of the essence in the performance of this Agreement and that timely completion of the Services is vital to the completion of the Project by the Commission. Consultant agrees to use its best efforts to expedite performance of the Services and the assigned Task Order and performance of all other obligations of the Consultant under this Agreement and any other agreement entered into by the Commission which are managed or administered by the Consultant as a result of the Consultant's engagement hereunder.
- i. **Compliance with Laws.** In performing its engagement under this Agreement, the Consultant shall comply with all applicable federal, state and local laws, rules and regulations including but not limited to, those referenced in subparagraphs (a) and (b) above.
- j. **Progress Meetings.** Meetings to discuss the progress of the Project and/or to review the performance of the Consultant may be scheduled upon the Commission's request, at mutually agreeable times and locations, and the Consultant agrees to cause such meetings to be attended by appropriate personnel of the Consultant engaged in performing or knowledgeable of the Services.

7. **Term.**

- a. The term of this Agreement is two (2) years with two (2) successive one (1)-year renewal options at the sole discretion of the Commission. This agreement may be terminated by the Commission, with cause, upon thirty (30) days notice to the Consultant and, provided further, that this agreement may be terminated at any time during the term by mutual agreement of the parties.
- b. The Commission shall have the right, at any time, to terminate the term of this Agreement, with or without cause, by written notice given to the Consultant at least thirty (30) days prior to the effective date of termination. In addition, the Commission shall have the right, at any time and from time to time, with or without cause, to suspend the performance of the Consultant hereunder with respect to all or any part of the Services, by written notice given to the Consultant at least five (5) days prior to the effective date of suspension. Termination or suspension of this Agreement shall not relieve the Consultant from liability for the performance of any obligation of the Consultant under this Agreement performed or to have been performed by the Consultant on or before the effective date of termination or suspension. Provided the Consultant is not in default under this Agreement at the time of termination or suspension, the Commission agrees to pay to the Consultant, in accordance with the terms of this Agreement, all compensation and reimbursements due to the Consultant for periods up to the effective date of termination or suspension. In no event shall the Commission be liable to the Consultant for any loss, cost or damage which the Consultant or any other party may sustain by reason of the Commission terminating or suspending this Agreement as provided herein; provided, however, that the Commission may, in its sole discretion, reimburse the Consultant for actual expenses approved by the Commission.
- c. If the Project, in whole or substantial part, is stopped for a period longer than thirty (30) days under an order of any court or other governmental authority having jurisdiction of the Project, or as a result of an act of government, such as a declaration of national emergency making materials unavailable, through no act or fault of the Consultant, or if the Commission fails to make any payment or perform any other obligation hereunder, the Consultant shall have the right to terminate this Agreement, by written notice given to the Commission at least seven (7) days prior to the effective date of termination, and shall have the right to recover from the Commission all compensation and reimbursements due to the Consultant for periods up to the effective date of termination.

8. **Compensation of Consultant; Submission of Invoices through CW.** The total amount of compensation to be paid by the Commission during the term of this Agreement shall not exceed the sum of \$300,000.00. The Commission shall compensate the Consultant for the Services in the manner set forth in Schedule A of this Agreement, or as modified by written authorization. The Consultant shall submit all invoices, no more frequently than once every thirty (30) days, in electronic format using the CW System. All submitted invoices shall include a cover page as provided by the Commission and the assigned Task Order number. Failure to submit invoices through CW will result in delayed or non-payment to the Consultant.



9. **Rights and Obligations of Commission.** In connection with the administration of the Project by the Commission and the performance of this Agreement by the Consultant, the Commission shall have the following rights and obligations, in addition to those provided elsewhere in this Agreement:
- a. **Information.** The Commission shall provide the Consultant all reasonably requested information concerning the Commission's requirements for the Project and the Services.
  - b. **Review of Documents.** Subject to the provisions of subparagraph 5(d) above, the Commission agrees to make a reasonable effort to examine documents submitted by the Consultant and render decisions pertaining thereto with reasonable promptness.
  - c. **Site Data.** To the extent the Commission determines to be necessary for the Consultant to perform the Services and the assigned Task Order, the Commission may furnish to the Consultant information concerning the nature of the Project, existing conditions and other data or reports pertaining to the site and the proposed development thereof.
  - d. **Tests and Reports.** The Commission may also furnish structural, civil, chemical, mechanical, soil mechanical and/or other tests and reports if determined by the Commission in its sole discretion to be necessary in order for the Consultant to perform the Services.
  - e. **Legal, Auditing and other Services.** The Commission shall arrange and pay for such legal, auditing, insurance counseling and other services as the Commission, in its sole discretion, may determine to be required for the Consultant to perform the Services. Such payments shall not include legal or auditing expenses arising out of or relating to any errors or omissions, or claimed errors or omissions, of Consultant.
  - f. **Designated Representatives.** The Commission may designate, at its sole discretion, one or more representatives authorized to act in its behalf.
  - g. **Ownership of Documents.** All documents, data, studies and reports prepared by the Consultant or any party engaged by the Consultant, pertaining to the Services shall be the property of the Commission, including copyrights.
  - h. **Audits.** The Commission shall have the right to audit the books and records of the Consultant on all subjects relating to the Services.
10. **Indemnification of Commission and Third Party Vendors.** The Consultant hereby agrees to indemnify, keep and save harmless the Commission and the User Agency and their respective commissioners, board members, officers, agents, officials and employees and any third party hosting site or disaster recovery site from and against all claims, demands, suits, losses, costs and expenses, including but not limited to, the fees and expenses of attorneys, that may arise out of or be based on any injury to persons or property that is or is claimed to be the result of an error, omission or act of the Consultant or any person employed by the Consultant to the maximum extent permitted by applicable law.
11. **Insurance to be Maintained by Consultant.** The Consultant shall purchase and maintain at all times during the performance of Services hereunder, for the benefit of the Commission, the User Agency and the Consultant, insurance coverage as set forth in ATTACHMENT E.
12. **Default.**
- a. **Events of Default.** Any one or more of the following occurrences shall constitute an Event of Default under this Agreement:
    - i. Failure or refusal on the part of the Consultant duly to observe or perform any obligation or Agreement on the part of the Consultant contained in this Agreement, which failure or refusal continues for a period of ten (10) days (or such longer period as the Commission, in its sole discretion, may determine if such failure is not capable of being cured within such ten (10) day period) after the date on which written notice thereof shall have been given to the Consultant by the Commission;
    - ii. Failure of Consultant to perform the Services to the standard of performance set forth in this Agreement;
    - iii. Any representation or warranty of the Consultant set forth herein or otherwise delivered pursuant to this Agreement shall have been false in any material respect when so made or furnished;
    - iv. The Consultant becomes insolvent or ceases doing business as a going concern, or makes an assignment for the benefit of creditors, or generally fails to pay, or admits in writing its inability to pay, its debts as they become due, or files a voluntary petition in bankruptcy, or is adjudicated a bankrupt or an insolvent, or files a petition seeking for itself any reorganization, arrangement, composition, readjustment, liquidation, dissolution, or similar arrangement

under any present or future statute, law or regulation relating to bankruptcy or insolvency, or files an answer admitting the material allegations of a petition filed against it in any such proceeding, or applies for, consents to or acquiesces in the appointment of a trustee, receiver, liquidator or other custodian of it or of all or any substantial part of its assets or properties, or if it or its principals shall take any action in furtherance of any of the foregoing; or

- v. There shall be commenced any proceeding against the Consultant seeking reorganization, arrangement, readjustment, liquidation, dissolution or similar relief under any present or future statute, law or regulation relating to bankruptcy which is not vacated, stayed, discharged, bonded or dismissed within sixty (60) days thereof, or there shall be appointed, without the Consultant's consent or acquiescence, any trustee, receiver, liquidator or other custodian of Custodian or of all or any substantial part of the Consultant's assets and properties, and such appointment shall not have been vacated, stayed, discharged, bonded or otherwise dismissed within sixty (60) days thereof.
  - b. **Remedies.** If an Event of Default shall occur and be continuing, then the Commission may exercise any right, power or remedy permitted to it by law or in equity and shall have, in particular, without limiting the generality of the foregoing, the right to terminate this Agreement upon written notice to the Consultant, in which event the Commission shall have no further obligations hereunder or liability to the Consultant except as to payment for Services actually received and accepted by the Commission through the effective date of termination. No course of dealing on the part of the Commission or delay or failure on the part of the Commission to exercise any right shall operate as a waiver of such right or otherwise prejudice the Commission's rights, powers or remedies.
  - c. **Remedies not Exclusive.** No right or remedy herein conferred upon or reserved to the Commission is exclusive of any right or remedy herein or by law or equity provided or permitted, but each shall be cumulative of every other right or remedy given hereunder or now or hereafter existing at law or in equity or by statute or otherwise, and may be enforced concurrently therewith or from time to time.
13. **Confidentiality.** All of the reports, information, or data prepared or assembled by the Consultant under this Agreement are confidential, and the Consultant agrees that such reports, information or data shall not be made available to any party without the prior written approval of the Commission. In addition, the Consultant shall not, without the prior written consent of the Commission, prepare or distribute any news releases, articles, brochures, advertisements or other materials concerning this Agreement, the Project, the Services or any assigned Task Order. Consultant acknowledges that it is entrusted with or has access to valuable and confidential information and records of the Commission and User Agency. Consultant must at all times act in the best interests of the Commission and User Agency consistent with the professional obligations assumed by Consultant in entering into this Agreement. Consultant promises to cooperate with the officials, employees and agents of the Commission and User Agency in furthering the Commission's and User Agency's interests.
14. **Assignment.** The Consultant acknowledges that the Commission is induced to enter into this Agreement by the personal qualifications of the principals, staff and employees of the Consultant and agrees, therefore, that neither this Agreement nor any right or obligation hereunder may be assigned by the Consultant, in whole or in part, without the prior written approval of the Commission. The Commission expressly reserves the right to assign or otherwise transfer all or any part of its interests hereunder without the consent or approval of the Consultant.
15. **Personnel.** The Consultant further acknowledges that the Consultant has represented to the Commission the availability of certain members of the Consultant's staff who will be assigned to the Project, and agrees, therefore, that in the event of the unavailability of such members, the Consultant shall so notify the Commission in writing, and, upon the approval of the Executive Director, shall assign other qualified members of the Consultant's staff, to the Project.]
16. **Relationship of Parties.** The relationship of the Consultant to the Commission hereunder is that of an independent contractor, and the Consultant, except to the extent expressly provided to the contrary in this Agreement, shall have no right or authority to make contracts or commitments for or on behalf of the Commission, to sign or endorse on behalf of the Commission any instruments of any nature or to enter into any obligation binding upon the Commission. This Agreement shall not be construed as an Agreement of partnership, joint venture, or agency.

17. **Miscellaneous.**

- a. **Counterparts.** This Agreement may be executed in any number of counterparts, any of which shall be deemed an original.
- b. **Entire Agreement.** This Agreement constitutes the entire understanding and Agreement between the parties hereto and supersedes any and all prior or contemporaneous oral or written representations or communications with respect to the subject matter hereof, all of which communications are merged herein. This Agreement shall not be modified, amended or in any way altered except by an instrument in writing signed by both of the parties hereto.
- c. **Force Majeure.** Neither of the parties shall be liable to the other for any delay or failure in performance hereunder due to causes which are beyond the control of the party unable to perform. If a force majeure occurs, the party delayed or unable to perform shall give prompt notice to the other party, and the Commission may, at any time during the continuation of the force majeure event, elect to suspend the performance of the Consultant under this Agreement for the duration of the force majeure. The Commission shall not be obligated to pay for Services to the extent and for the duration that performance thereof is delayed or prevented by force majeure, but, provided the Consultant is not in default of any obligation of the Consultant hereunder, the Commission shall pay to the Consultant, according to the terms hereof, all compensation and reimbursements due to the Consultant for periods up to the effective date of suspension.
- d. **Governing Law.** This Agreement has been negotiated and executed in the State of Illinois and shall be construed under and in accordance with the internal laws of the State of Illinois.
- e. **No Waiver.** The waiver by either party of any breach of this Agreement shall not constitute a waiver as to any succeeding breach.
- f. **Notices.** All notices required to be given hereunder shall be given in writing and shall be hand delivered or sent by United States certified or registered mail, postage prepaid, addressed to Commission and to the Consultant at their respective addresses set forth above. If given as herein provided, such notice shall be deemed to have been given on the date of delivery, if delivered by hand, and on the second business day after mailing, if given by mail. The Commission or the Consultant may, from time to time, change the address to which notices hereunder shall be sent by giving notice to the other party in the manner provided in this subparagraph.
- g. **Severability.** In the event that any provisions of this Agreement shall be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired thereby.
- h. **Successors and Assigns.** Except as otherwise provided herein, this Agreement shall be binding upon and inure to the benefit of each of the parties hereto and their respective successors and assigns.
- i. **Consultant's Authority.** Execution of this Agreement by the Consultant is authorized by a resolution of its Board of Directors, if a corporation, or similar governing document if a partnership or a joint venture, and the signatures(s) of each person signing on behalf of the Consultant have been made with complete and full authority to commit the Consultant to all terms and conditions of this Agreement, including each and every representation, certification and warranty contained or incorporated by reference in it.

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## **SCHEDULE B SCOPE OF SERVICES**

### **B.1. General Scope of Services – Traffic Study Consultant**

The Traffic Study Consultant will enter into a task order agreement with the PBC. Consultants will provide all Services required to complete the traffic study of the assigned project or projects during the planning or design phases. The Traffic Study Consultant is to complete a study on the traffic-related impacts of locating the proposed facility at the project site. The PBC would like to understand any traffic-related issues; both existing and that might be created by the development, in order to assess any traffic-related impacts that the development may have on the community. The term of this Agreement will terminate when all Services required have been completed to the reasonable satisfaction of the Commission. The Traffic Study Consultant contract will be executed in a Lump Sum Format, on a project by project basis.

Below are the individual tasks to be completed for this study:

#### **B.2.1. Data Collection:**

**B.2.1.1. Kick-Off Meeting:** The Consultant shall attend a kick-off meeting with the PBC prior to commencing with the work. The kick-off meeting will address scope of work, special requirements, schedule, site access, and any other particular items that are required for the successful completion of a traffic study.

**B.2.1.2. Field Reconnaissance & Inventory:** The Consultant shall perform a field reconnaissance and inventory of existing traffic, parking, bicycle, pedestrian and any other existing modes of transportation. The inventory shall include, but not limited to, the following:

- a. Street widths, parking restrictions, parking capacity, curbside activity, traffic control, general circulation patterns, bus stop locations, pick-up/drop-off accommodations, pedestrian and bicycle paths, travel routes, pavement markings, and signs.
- b. Other conditions relevant to the study.

**B.2.1.3. Collect & Review Existing Operations and Traffic Data:** The Consultant shall collect and review all relevant information pertaining to existing transportation system operations and existing and projected populations and land use within the vicinity of the site.

**B.2.1.4. Existing Conditions Summary Exhibit:** The Consultant shall prepare an existing conditions diagram summarizing the identified field conditions.

#### **B.2.2. Field Investigation:**

**B.2.2.1. Traffic Count:** The Consultant shall specify traffic count procedures (manual or automated). The traffic counts (vehicular and pedestrian) shall be conducted per the time periods specified by the PBC.

**B.2.2.2. Traffic Observation:** The Consultant shall perform traffic observations for the purposes of estimating the travel modes of users, advising on pick-up/drop-off activities, evaluating on-street and off-street parking demands, and assisting in the projections.

**B.2.2.3. Traffic Summary Exhibit:** The Consultant shall prepare a diagram summarizing vehicular, bicycle, pedestrian and any other existing modes of transportation volumes for the peak traffic during the study periods.

#### **B.2.3. Trip Generation and Traffic Assignment**

**B.2.3.1. Trip Generation:** The Consultant shall utilize traffic counts and field observations and other related data to generate trip estimates during study periods of user activity. The Consultant shall validate the trip generations through industry recognized standards.

B.2.3.2. *Trip Distribution*: The Consultant shall distribute the generated traffic (vehicular, pedestrian and any other existing modes of transportation) onto the existing, or proposed, streets and sidewalk networks based upon anticipated direction of travel.

B.2.3.3. *Trip Assignment*: The Consultant shall assign the generated traffic projections to the adjoining street system based upon the trip distributions and any other relevant information.

#### **B.2.4. Analysis and Recommendation**

B.2.4.1. *Intersection Capacity Analysis*: The Consultant shall conduct capacity analysis at all relevant intersections for each study period. The Consultant shall describe the methods used to develop the capacity analysis.

B.2.4.2. *Analysis and Recommendations*: The Consultant shall analyze the information developed by the study and submit a professional analysis and recommendation(s) for the necessary areas of consideration, including impacts and improvements, to the following (but not limited to):

- a. Existing street system(s)
- b. Building access and circulation
- c. Transit
- d. Parking
- e. Pedestrian/Bicycle systems and safety
- f. Pick-up/drop-off operations related to school buses, transit, private autos, agency vehicles, loading and delivery docks, etc.
- g. Conflict points between autos, pedestrians, and school/CTA bus activity
- h. Safety conditions
- i. Traffic control
- j. Roadway
- k. Meters
- l. Reducing the amount of traffic and onsite parking

#### **B.2.5. Documentation**

*Findings and Recommendations Meeting*: The Consultant shall be available for three (3) meeting to discuss the analysis and investigation results to the PBC Project Manager and team members and make themselves available for meetings with governing authorities, community meetings, and as needed.

B.2.5.1 *Traffic Study*: The Consultant shall provide a draft electronic report with the following (but not limited to):

- a. Exhibits depicting existing conditions aerial
- b. Existing traffic
- c. Bicycle and pedestrian volumes
- d. Site generated traffic and pedestrian volumes
- e. Total traffic and pedestrian volumes
- f. Aerial exhibit identifying locations of recommended improvements

Further, the Consultant shall provide the text in WORD format for the first draft review within three (3) weeks following the authorization to begin work. After incorporating all comments, the Consultant shall submit five (5) hard copies of the Final Traffic Study (including all summary diagrams and analysis) and one electronic PDF file on CD to the PBC Project Manager within two (2) weeks after receiving comments.

**B.2.6. Additional Studies (if needed)**

*B.2.6.1. Traffic Conditions during Construction:* The Consultant shall analyze the impacts on traffic patterns during construction of this project and make recommendations to mitigate any significant impacts.

*B.2.6.2 Parking Facility Analysis:* The Consultant shall analyze and make recommendation for impacts due to a parking garage associated with the project.

B.2.6.3 Perform counts on Specific modes of transportation (car, bike, bus, and walk).

B.2.6.4 Compile and assemble all information needed to draft a traffic management plan.

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**SCHEDULE C**  
**COMPENSATION OF THE CONSULTANT**

**C.1 CONSULTANT'S FEE**

- C.1.1 The Commission shall pay the Consultant for the satisfactory performance of the Services for all work included in each duly executed Task Order assigned by the Commission on a negotiated, lump sum fee basis ("Fee"). However, the Commission reserves the right to request hourly rates from the firm to serve as the informational basis for the calculation of the lump sum fee.
- C.1.2 Consultant's Fee will include profit, overhead, general conditions, materials, equipment, computers, vehicles, office labor, field labor, insurance, deliverables, and any other costs incurred in preparation and submittal of deliverables.
- C.1.3 Should the Commission require additional services above and beyond those described in Schedule B, the Consultant will be required to submit a proposal, where the Commission reserves the right to negotiate, and the Commission must authorize approval of Consultant's agreement in writing (via contract addendum).

**C.2 METHOD OF PAYMENT**

- .2.1. **Invoices.** The Consultant will submit invoices, via CW, to the Commission for Services performed that will be paid in one lump sum after all Services required by each Task Order have been completed to the reasonable satisfaction of the Commission.

Each invoice must reference the contract number, task order number, project name and include reasonable detail to sufficiently describe the services performed. At its discretion, the Commission may require detail and data relating to Subconsultant costs. In accordance with the terms of the Agreement, the Consultant must maintain complete documentation of all costs incurred for review and audit by the Commission or its designated audit representative(s). Each invoice must be submitted in the format directed by the Commission. Invoices must be accompanied by a progress report in a format acceptable to the Commission. Such progress reports must identify any variances from budget or schedule and explain reasons for any such variance(s).

- C.2.2. **Payment.** Payment will be processed within thirty (30) days after Commission receives an acceptable invoice from the Consultant.

## **SCHEDULE D**

### **INSURANCE REQUIREMENTS**

In general, unless otherwise specified in the assigned Task Order, the Consultant must provide and maintain at Consultant's own expense, until expiration or termination of the Agreement and during the time period following expiration if Consultant is required to return and perform any additional work, the minimum insurance coverage and requirements specified below, insuring all operations related to the Agreement.

#### **D.1. INSURANCE TO BE PROVIDED**

##### **D.1.1. Workers' Compensation and Employers Liability**

Workers' Compensation Insurance, as prescribed by applicable law covering all employees who are to provide a service under the Agreement and Employers Liability coverage with limits of not less than \$1,000,000 each accident, illness or disease.

##### **D.1.2. Commercial General Liability (Primary and Umbrella)**

Commercial General Liability Insurance or equivalent with limits of not less than \$2,000,000 per occurrence for bodily injury, personal injury, and property damage liability. Coverage must include the following: All premises and operations, products/completed operations, separation of insureds, defense and contractual liability. The Public Building Commission of Chicago, the City of Chicago and the User Agency and Owners must be named as Additional Insured on a primary, non-contributory basis for any liability arising directly or indirectly from the work.

Subcontractors performing work for Consultant must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein

##### **D.1.3. Automobile Liability (Primary and Umbrella)**

When any motor vehicles (owned, non-owned and hired) are used in connection with work to be performed, the Consultant must provide Automobile Liability Insurance, with limits of not less than \$1,000,000 per occurrence for bodily injury and property damage. The Public Building Commission of Chicago, the City of Chicago and the User Agency and Owners must be named as Additional Insured on a primary, non-contributory basis.

##### **D.1.4. Professional Liability**

When Consultant performs work in connection with the Agreement, Professional Liability Insurance must be maintained with limits of not less than \$2,000,000 covering acts, errors, or omissions. The policy will include coverage for wrongful acts, including but not limited to errors, acts or omissions, in the rendering or failure to render professional services resulting in a pollution incident. When policies are renewed or replaced, the policy retroactive date must coincide with, or precede the, start of work on the Agreement. A claims-made policy, which is not renewed or replaced, must have an extended reporting period of two (2) years.

Subcontractors performing work for Consultant must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein

##### **D.1.5. Property**

The Consultant is responsible for all loss or damage to personal property (including but not limited to materials, equipment, tools, and supplies) owned, rented, or used by Consultant.

##### **D.1.6. Valuable Papers**

When any plans, designs, drawings, specifications, data, media, and documents are produced or used under this Agreement, Valuable Papers Insurance shall be maintained in an amount to insure against any loss whatsoever, and shall have limits sufficient to pay for the re-creation and reconstruction of such records.

#### **D.2. ADDITIONAL REQUIREMENTS**

The Consultant must furnish the Public Building Commission Procurement Department, Richard J. Daley Center, Room 200, Chicago, IL 60602, original Certificates of Insurance, or such similar evidence, to be in force on the date of this Agreement, and Renewal Certificates of Insurance, or such similar evidence, if any insurance coverage has an expiration or renewal date



occurring during the term of this Agreement. The Consultant must submit evidence of insurance to the Commission before award of Agreement. The receipt of any certificate does not constitute agreement by the Commission that the insurance requirements in the Agreement have been fully met or that the insurance policies indicated on the certificate are in compliance with all Agreement requirements. The failure of the Commission to obtain certificates or other insurance evidence from Consultant is not a waiver by the Commission of any requirements for the Consultant to obtain and maintain the specified coverage. The Consultant will advise all insurers of the Agreement provisions regarding insurance. Non-conforming insurance does not relieve Consultant of the obligation to provide insurance as specified in this Agreement. Non-fulfillment of the insurance conditions may constitute a breach of the Agreement, and the Commission retains the right to stop work until proper evidence of insurance is provided, or the Agreement may be terminated.

The Commission reserves the right to obtain copies of insurance policies and records from the Consultant and/or its subcontractors at any time upon written request.

The insurance must provide for 30 days prior written notice to be given to the Commission if any policies are canceled, substantially changes, or non-renewed.

Any deductibles or self-insured retentions on referenced insurance must be borne by Consultant.

The Consultant hereby waives and agrees to require their insurers to waive their rights of subrogation against the Public Building Commission of Chicago, the City of Chicago and the User Agency and Owners and their respective Board members, employees, elected and appointed officials, and representatives.

The insurance coverage and limits furnished by Consultant in no way limit the Consultant's liabilities and responsibilities specified within the Agreement or by law.

Any insurance or self-insurance programs maintained by the Public Building Commission of Chicago, the City of Chicago and the User Agency and Owners do not contribute with insurance provided by the Consultant under the Agreement.

The required insurance to be carried is not limited by any limitations expressed in the indemnification language in this Agreement or any limitation placed on the indemnity in the Agreement given as a matter of law.

If Consultant is a joint venture or limited liability company, the insurance policies must name the joint venture or limited liability company as a named insured.

The Consultant must require all its subcontractors to provide the insurance required in this Agreement, or Consultant may provide the coverage for its subcontractors. All subcontractors are subject to the same insurance requirements of Consultant unless otherwise specified in this Agreement.

If Consultant or its subcontractors desire additional coverage, the party desiring the additional coverage is responsible for the acquisition and cost.

Consultant must submit the following:

1. Standard ACORD form Certificate of Insurance issued to the Public Building Commission of Chicago as Certificate Holder including:
  - a. All required entities as Additional Insured
  - b. Evidence of waivers of subrogation
  - c. Evidence of primary and non-contributory status
2. All required endorsements including the CG2010 1001 or similar

The Commission's Risk Management Department maintains the rights to modify, delete, alter or change these requirements.



# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)  
12/28/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> Willis of Illinois, Inc. c/o 26 Century Blvd P.O. Box 305191 Nashville, TN 372305191 USA	<b>CONTACT</b> NAME: PHONE (A/C No, Ext): 1-877-945-7378 FAX (A/C No): 1-888-467-2378 E-MAIL: certificates@willis.com ADDRESS: certificates@willis.com	
	<b>INSURER(S) AFFORDING COVERAGE</b>	
<b>INSURED</b> V3 Companies of Illinois, Ltd. 7325 Janes Ave, Ste 100 Woodridge, IL 60517	<b>INSURER A:</b> Charter Oak Fire Insurance Company	NAIC # 25615
	<b>INSURER B:</b> Travelers Casualty and Surety Company	19038
	<b>INSURER C:</b> Trade Vendor / Administrative Services	GENRC
	<b>INSURER D:</b> Berkley Insurance Company	32603
	<b>INSURER E:</b>	
	<b>INSURER F:</b>	

## COVERAGES

CERTIFICATE NUMBER: W1238399

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY			6807248L283	01/01/2016	01/01/2017	EACH OCCURRENCE \$ 1,000,000
	<input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 1,000,000
							MED EXP (Any one person) \$ 10,000
							PERSONAL & ADV INJURY \$ 1,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE \$ 2,000,000
	<input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC						PRODUCTS - COMP/OP AGG \$ 2,000,000
	OTHER:						\$
	<b>AUTOMOBILE LIABILITY</b>						COMBINED SINGLE LIMIT (Ea accident) \$
	<input type="checkbox"/> ANY AUTO						BODILY INJURY (Per person) \$
	<input type="checkbox"/> ALL OWNED AUTOS	<input type="checkbox"/> SCHEDULED AUTOS					BODILY INJURY (Per accident) \$
	<input type="checkbox"/> HIRED AUTOS	<input type="checkbox"/> NON-OWNED AUTOS					PROPERTY DAMAGE (Per accident) \$
							\$
B	<input checked="" type="checkbox"/> UMBRELLA LIAB			CUP-008094Y744	01/01/2016	01/01/2017	EACH OCCURRENCE \$ 5,000,000
	<input type="checkbox"/> EXCESS LIAB	<input type="checkbox"/> CLAIMS-MADE					AGGREGATE \$ 5,000,000
	<input type="checkbox"/> DED <input checked="" type="checkbox"/> RETENTION \$10,000						\$
C	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b>			XC-UB-4529T12-1-16	01/01/2016	01/01/2017	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)	Y/N					E.L. EACH ACCIDENT \$ 1,000,000
	If yes, describe under DESCRIPTION OF OPERATIONS below	<input checked="" type="checkbox"/> Y N/A					E.L. DISEASE - EA EMPLOYEE \$ 1,000,000
							E.L. DISEASE - POLICY LIMIT \$ 1,000,000
D	Professional Liability			AEC-9009057-00	01/01/2016	01/01/2017	Per Occurrence: \$5,000,000 Aggregate: \$10,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)  
Following Member is excluded from Workers Compensation Coverage: Rob Petroelje (President)

## CERTIFICATE HOLDER

## CANCELLATION

Sample	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE 

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# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)  
11/12/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> DSP Insurance 1900 E. Golf Road Suite 650 Schaumburg IL 60173	<b>CONTACT</b> NAME: Jeannene Miller PHONE (A/C, No, Ext): (847) 934-6100 FAX (A/C, No): (847) 934-6186 E-MAIL ADDRESS:	
<b>INSURED</b> V3 Companies Ltd. V3 Construction Group Ltd. V3 Companies of Illinois, Ltd. V3 Construction Trades, Ltd. 7325 Janes Avenue, Suite 100 Woodridge IL 60517	<b>INSURER(S) AFFORDING COVERAGE</b>	<b>NAIC #</b>
	INSURER A: Cincinnati Insurance Company	10677
	INSURER B:	
	INSURER C:	
	INSURER D:	
	INSURER E:	
	INSURER F:	

## COVERAGES

CERTIFICATE NUMBER: Cert ID 17313

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	<b>COMMERCIAL GENERAL LIABILITY</b> <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR  GENL AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:						EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$
A	<b>AUTOMOBILE LIABILITY</b> <input checked="" type="checkbox"/> ANY AUTO ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS			EBA0108231	10/26/2015	10/26/2016	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	<b>UMBRELLA LIAB</b> <input type="checkbox"/> OCCUR <b>EXCESS LIAB</b> <input type="checkbox"/> CLAIMS-MADE DED <input type="checkbox"/> RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$
	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) <input type="checkbox"/> Y/N If yes, describe under DESCRIPTION OF OPERATIONS below		N/A				PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

## CERTIFICATE HOLDER

## CANCELLATION

SAMPLE	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE 

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**SCHEDULE E**  
**KEY PERSONNEL**

**(ATTACHED HERETO AND INCORPORATED HEREIN)**



## KEY PERSONNEL & STRENGTHS

V3 is experienced, qualified and interested in becoming a partner with Public Building Commission of Chicago (PBC) and provide traffic study services on an individual work order assignment basis. We have conducted numerous traffic studies and analyses for state, county and local municipalities throughout the Chicago metropolitan area. In addition, we have prepared various similar studies and analyses for private clients. We are confident that our experience and qualifications meet the needs of the PBC.

### Resumes have been provided for the following:

With 22 years of experience, our Project Manager, **Mr. Mike Rechterik, P.E., PTOE** specializes in traffic engineering, traffic design, traffic operations and the planning and design of a variety of intersection improvements and roadway geometric projects throughout the Chicago metropolitan area. He has completed traffic studies in Chicago, Naperville, Lisle and Aurora to name a few. His experience includes capacity analysis, operational analysis, traffic data collection and traffic control systems and he is proficient with the Highway Capacity Software (HCS), CORSIM and Synchro. He has been a certified Professional Traffic Operations Engineer (PTOE) for 11 years. In addition to public sector projects, Mr. Rechterik provides traffic engineering expertise to V3's Land Development projects. He assists the site civil engineers with driveway locations, geometrics, turn lane lengths, signing & pavement marking, sight distance and other safety precautions. As project manager, Mr. Rechterik will have the overall responsibility for day to day tasks of project management, including coordination with the PBC and ensure that V3 maintains our high standard of excellence.

Our Project Engineer, **Peter Reinhofer, P.E.** is an accomplished transportation engineer and urban planning professional with 17 years of experience in traffic engineering and transportation planning working with both public and private sector clients. Through his work on numerous projects at the state and regional level mixed with local community and private development studies, Peter has emerged as a leader in creating a balanced approach to transportation planning that serves pedestrians, bicyclists, transit users and motorists while creating a safe and comfortable environment for all users. Peter has successfully led, managed and participated in downtown revitalization plans, sub-area planning and transit oriented development studies, transportation impact studies, parking studies and parking management, transit station area planning and transit center design, corridor studies, transportation management plans and master circulation plans, including pedestrian and bicycle planning, traffic modeling and signal progression analyses. He has recently completed traffic studies in Naperville, Lisle, Aurora and Geneva.

Our QA/QC Manager will be **Eric Lindemann, P.E.** He has more than 30 years of experience in the planning and design of transportation improvements for a wide range of infrastructure projects including highways and arterial, collector and residential streets. He has prepared, reviewed and managed several projects for IDOT and the City of Chicago.

Our Design Engineers, **Elora Hsu, P.E.** and **Carl Schwarzer, E.I.T.**, both have significant experience in performing traffic studies. The supporting project team, **Onsel Badur** and **Diana Diaconeasa**, also has the experience in performing the various types of traffic engineering services similar to those listed in the request for qualifications. Our project team has experience with a wide variety of traffic analysis and engineering software including HCS, Synchro, Sidra, CORSIM and PetraPro.

All team members are currently concluding activities on major projects but based on both current and projected project workloads for the balance of 2015-2016, our key and support staff will have adequate time and the necessary resources available to complete all work assigned.

Project Team Members	Years with the Firm
Mike Rechterik, P.E, PTOE	10
Eric Lindemann, P.E.	8
Peter Reinhofer, P.E.	3
Elora Hsu, P.E.	8
Carl Schwarzer, EIT	3
Onsel Badur	<1
Diana Diaconeasa	<1

**PROPOSED KEY PERSONNEL  
MATRIX**

Key Personnel	Types of Traffic Conditions Survey (schools, municipal facilities, libraries, etc.)	Local to Chicagoland Area	Years at the Firm	Years in the Industry	Type of License
<b>Onsel Badur</b>	mixed-use, hotel, residential, retail, school	Yes	10 months	10 months	EIT
<b>Diana Diaconeasa</b>	mixed-use, hotel, residential, retail, school	Yes	10 months	10 months	EIT
<b>Elora Hsu</b>	field house, mixed-use, hotel, residential, retail, school	Yes	8	8	PE
<b>Eric Lindemann</b>	mixed-use, industrial, office, residential, retail church, corporate	Yes	8	31	PE
<b>Mike Rehtorik</b>	headquarters, field house, mixed-use, hospital, hotel, industrial, office, park, residential, retail, senior living, school	Yes	10	22	PE, PTOE
<b>Peter Reinhofer</b>	headquarters, mixed-use, hospital, hotel, industrial, office, residential, retail, senior living, school, TOD	Yes	3	17	PE
<b>Carl Schwarzer</b>	corporate headquarters, mixed-use, hospital, hotel, industrial, office, residential, retail, school	Yes	3	3	EIT



# ELORA I. HSU, P.E.

## Design Engineer

### YEARS OF EXPERIENCE

---

With V3: 8

Other: 0

### EDUCATION

---

Bachelor of Science  
Civil Engineering  
University of Illinois at  
Chicago

### REGISTRATIONS

---

Professional Engineer:  
Illinois 062-063684, 2011

### PROFESSIONAL ASSOCIATIONS

---

Women's Transportation  
Seminar, (WTS)

Ms. Hsu has eight years of experience with transportation and municipal engineering for a wide range of projects including roadway, urban redevelopment and streetscape, bicycle and pedestrian facilities, educational facilities and traffic studies. Her experience includes preparing Phase I studies, intersection design studies, geometric design of roadways, capacity and operational analyses, and preparing cost estimates. She is proficient with AutoCAD, Microstation, Geopak, Highway Capacity Software (HCS), and Synchro/SimTraffic.

### NOTEWORTHY PROJECT EXPERIENCE

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**47th Street & East Avenue, IDOT, LaGrange, Brookfield & McCook, Illinois –** Project Engineer for the preparation of a Phase I study at the intersection of 47th Street and East Avenue. The study includes evaluating alternatives for grade separation or at-grade crossings of both roadways by three tracks of the Indiana Harbor Belt Railroad. The project includes analysis of the roadways over or under the tracks as well as consideration of profile adjustments to the tracks. The preferred alternative will address roadway congestion, traffic delay and improve safety. The Phase I process includes preparation of a combined design report, environmental assessment, intersection design study, location drainage study, and traffic management plan. The study is being conducted following context sensitive solutions principles. The public involvement process include the preparation of a stakeholder involvement plan and community context audit report, three public meetings, a public hearing, formation of community advisory and technical advisory groups, a project website and quarterly newsletters. Special environmental studies include consideration of project impacts related to railroad noise and vibration, LaGrange Historic District and Sedgwick Park and outfall capacity restoration on McCook Ditch.

**Stevenson Expressway (I-55) Interchange, Village of Romeoville, Romeoville & Bolingbrook, Illinois –** Design Engineer for preparation of a Phase I study for a new interchange at Airport Road and a full access interchange at IL Route 126. Responsibilities included traffic projections, geometric studies, interchange and intersection design studies, combined design report, traffic management analysis and a public involvement program following context sensitive solutions procedures. The study evaluated the alternatives of the two interchange locations operating separately or connected by frontage roads or collector-distributor roads.

**Butterfield Road at Raddant Road, IDOT, Aurora, Illinois –** Design Engineer for the preparation of Phase I preliminary engineering documents in accordance with the BDE manual and as outlined below, for intersection improvements and the addition of traffic signals to Butterfield Road at Raddant Road. The proposed improvements included the addition of an eastbound left-turn lane and a westbound right-turn lane on Butterfield Road and exclusive left and right-turn lanes on Raddant Road, as necessary to meet level of service requirements for this type of intersection improvement. The existing roadway was rural with ditches along both sides and pipe crossings at driveways and at the intersection. The existing drainage was maintained for the project. The work included the preparation of traffic and accident studies, intersection design studies, location drainage study, environmental documentation and topographic survey.

**Parking Study, College of DuPage, Glen Ellyn, Illinois –** Design Engineer responsible for the study of the existing parking conditions and needs at the College's Glen Ellyn Campus which has more than 6,500 parking spaces. The College required a



## ELORA I. HSU, P.E.

### Design Engineer

detailed study to address not only the amount of the parking demand but also the appropriate locations within the campus for the parking so that parking utilization of any new lots would be maximized. In addition, the ongoing construction of two new buildings, slated to open for the Fall 2011 semester, required that alternatives be developed with short construction and permitting time frames in order to meet the short term demand. As part of this study, V3 inventoried the existing parking by type. V3 then developed numerous alternatives that would provide additional parking, both surface and structured, to address the short and long term demands of the College. Each of the alternatives was analyzed and ranked based on location, cost and implementation time frame. Recommendations were made for the implementation of short and long term projects that would meet the College's immediate needs for the 2011 school year as well as the projected needs through 2020.

**Traffic Management Study, City of Naperville, Naperville, Illinois –** Design Engineer for a traffic management study for the south downtown area of Naperville. Due to planned development and redevelopment projects, the City desired to evaluate smaller scale traffic management approaches and minor capital improvements that would maximize the efficiency and mobility of vehicular, pedestrian and bicycle movement throughout the area. The objectives with this study were consistent with the City's Water Street Study Area Vision Statement. Five alternatives were developed utilizing various combinations of access control, traffic flow changes and traffic control devices along Aurora Avenue. Existing traffic, roadway, and queue data were recorded in the field. Detailed Synchro models were then developed under existing traffic conditions for each alternative during the weekday morning, midday and afternoon peak hours and Saturday peak hour. Based on comments and feedback received from the City, the stakeholders and the public, other recommendations were developed for the study area roadway network to address traffic flow and mobility. The improvements were categorized into short, medium and long term categories and ranked as low, medium or high priority to assist the City in developing an implementation plan.

**UOP Honeywell Traffic Circulation and Parking Assessment, Jones Lang Lasalle, Des Plaines, Illinois –** Design Engineer for assessing the traffic circulation and parking within the UOP Honeywell business campus. The building occupants expressed traffic circulation concerns for the parking areas which included failure to yield to pedestrians and other traffic, driving in the wrong direction or across the parking areas and speeding. The existing parking lot consisted of a large asphalt area, with angled parking stalls and minimal signage and channelization of drive aisles. UOP requested V3's services to develop safer parking lot operations. The traffic assessment concluded that the parking lot was in need of improvements and were categorized into short, medium and long term categories to assist UOP in developing an implementation plan. The recommended improvements included add striping and signage to delineate travel lanes (short-term), resurface the existing lot and reconfigure parking spaces to perpendicular stalls (medium-term) in addition to the short-term improvements and replace striped medians with raised medians in addition to the medium-term improvements (long-term).

**Additional Traffic Engineering Studies –** Design Engineer for numerous traffic engineering studies. The scope of work for the traffic studies included all or part of the following services - data collection, existing and future intersection capacity analyses, traffic signal warrant analysis and turn lane length calculations. Submittal to local villages, towns, cities, counties and IDOT was required. Provided below is a list of traffic studies:

- Water Street, Naperville, IL
- Atrium Corporate Center, Rolling Meadows, Illinois
- Central DuPage Hospital, Aurora, Illinois
- Gary Comer College Prep, Chicago, Illinois
- Harris Bank, Downers Grove, Illinois
- Harris Bank, Joliet, Illinois
- McDonald's, Peoria, Illinois
- McDonald's, Skokie, Illinois
- Navistar, Lisle, Illinois
- Pine Ridge, Woodridge, Illinois
- Prairie Hawk, Lemont, Illinois
- Silo Ridge, Machesney Park, Illinois



**State of Illinois**

**Department of Financial and Professional Regulation**  
**Division of Professional Regulation**

**LICENSE NO.**  
**062.063684**

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**EXPIRES:**  
**11/30/2015**

**LICENSED**  
**PROFESSIONAL ENGINEER**

**ELORA IBAY HSU**  
**11462 S MARATHON LN**  
**PLAINFIELD, IL 60585**

  **MANUEL FLORES**  
ACTING SECRETARY

 **JAY STEWART**  
DIRECTOR

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**State of Illinois**


**LICENSE NO.** **062.063684** **Department of Financial and Professional Regulation**  
**Division of Professional Regulation**


**LICENSED**  
**PROFESSIONAL ENGINEER**



**ELORA IBAY HSU**

**EXPIRES:**  
**11/30/2015**

 **MANUEL FLORES**  
ACTING SECRETARY

 **JAY STEWART**  
DIRECTOR

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# ERIC LINDEMANN, P.E.

## QC/QA

### YEARS OF EXPERIENCE

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With V3: 8

Other: 23

### EDUCATION

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Bachelor of Science  
Civil Engineering  
University of Notre Dame

### REGISTRATIONS

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Professional Engineer:  
Illinois, 062-051170, 1997  
Indiana, PE60900405, 1990

### PROFESSIONAL ASSOCIATIONS

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American Society of Civil  
Engineers, (ASCE)

### CONTINUING EDUCATION

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ASCE:  
Permitting Workshop

IDOT/ACEC:  
Policy & Project  
Management – Phase I  
Overview Workshop

AASHTO, FHWA, NHI:  
Roadside Design Guide  
NEPA & Transportation  
Planning

NEPA and the  
Transportation Decision  
Making Process (#142005)

ACEC-IL/Tollway  
Committee, 2009-Present

ACEC-IL/City Agencies  
Committee, 2006-07, 2010

Mr. Lindemann has 31 years of experience in municipal and transportation engineering and progressive experience in managing multi-discipline department and project teams. Mr. Lindemann's experience includes a leadership role on the City of Chicago's Wacker Drive Reconstruction Project where his responsibilities included planning, design, program management, and construction engineering. His area of experience and expertise is the preparation of project studies and reports, preparation of plans, specifications, and estimates.

### NOTEWORTHY PROJECT EXPERIENCE

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**47th Street at East Avenue, IDOT, LaGrange, Brookfield & McCook, Illinois** – Project Manager for Phase I preliminary engineering and environmental study at the intersection of 47th Street and East Avenue. The study included evaluating the elimination of an at-grade railroad crossings of the three Indiana Harbor Belt Railroad tracks. The Phase I process included preparation of a combined design report, environmental assessment, intersection design study, bridge type studies, location drainage study, pump station hydraulic report, traffic management plan and value engineering.

**Ashland Avenue over Pershing Road Viaduct Phase I, CDOT, Chicago, Illinois** – Project Manager responsible for the Phase I project development report for the evaluation of removing the grade separated structure and replacing it with an at-grade intersection. Project included the preparation of a bridge condition report for the 13-span structure with four-foot by four-foot precast box beam structure supported by reinforced concrete columns and caissons. Project development report included traffic capacity analysis, intersection design study, drainage study, preliminary environmental site assessment, public meeting and coordination and environmental documentation.

**Stevenson Expressway (I-55) Interchange Study, Villages of Romeoville, Romeoville, Bolingbrook & Plainfield, Illinois** – Project Engineer for the Phase I portion of this study to provide a new highway interchange. V3 was required to perform bridge inspections, prepare bridge condition reports and perform bridge type studies for two structures: Airport Road over the Stevenson Expressway (I-55) and Lockport Road over Lily Cache Creek. The field inspections documented each structure as a basis for evaluating potential rehabilitation or replacement. The bridge condition reports summarized the inspection findings and, in coordination with the interchange design study and bridge type studies, provided recommendations for rehabilitation, reconstruction or replacement.

**US Route 30 Improvements, IDOT, Lynwood, Illinois** – Project Engineer for Phase I & II for a grade separation of US Route 30 and the Canadian National Railroad. Higher train volumes, significant increases in traffic congestion and safety concerns led IDOT to request Phase I preliminary engineering and environmental studies and Phase II design and plan preparation for grade separating US Route 30 at the Elgin, Joliet & Eastern Railway and Canadian National Railroad. The project included analysis of several alternative alignments for US Route 30 at the track crossing as well as overpass or underpass grade separation structures. Key project challenges included performing design services for the \$35-million project on an accelerated 16-month schedule for Phase II to meet funding requirements, coordinating with the Canadian National and Norfolk Southern Railroads and preparing detailed construction staging and maintenance of traffic plans to minimize disruption to heavy traffic volumes on the



## ERIC LINDEMANN, P.E.

### QC/QA

roadway during construction. Phase II included preparation of contract plans, specifications and cost estimates for improvements of US Route 30 over the tracks and improvements at the US Route 30 and Sauk Trail intersection. Improvements included a 131-foot bridge spanning the railroad tracks, 3,500 feet of roadway reconstruction, 2,300 feet of MSE retaining walls, a 36-foot-wide, three-sided precast culvert for oil pipeline access and future bike trail in the abandoned Norfolk Southern right-of-way, additional turn lanes at the intersection of US Route 30 with Sauk Trail, traffic signal modernization, a multi-use path, frontage roads on either side of the overpass, landscaping restoration and aesthetic enhancements, utility coordination and coordination of aesthetic enhancements with the Village.

**Pavement Management Program, CDOT, Chicago, Illinois** – Project Manager for the City of Chicago's video survey project of approximately 1,000 miles of arterial roadways throughout the City. Pavement condition data was collected with the use of an automated data collection vehicle. Pavement condition survey will be conducted using the image and laser data collected in the field. Pavement performance models will be established utilizing construction history. A pavement treatment matrix will be developed that assigns pavement treatment with resulting consequences. GIS integration will be developed which will link the base map with linear referencing system, integrating the pavement management system with the City's GIS.

**Arterial Street ADA Ramp Improvements, CDOT, Chicago, Illinois** – Project Manager for the City's ADA ramp improvements associated with the 2010 Arterial Resurfacing Program for the north and central areas of the City. Program included the assessment of approximately 980 intersection corners and the preparation of two construction contract documents that will be bid through the City in April 2012. Improvements included removal and replacement of sidewalk, curb and gutter, alley and roadway pavement, drainage structures, pavement marking and signage to be compliant with the City's ADA guidelines.

**Reconstruction of the Dan Ryan Expressway (I-94) from the I-57/Bishop Ford/Dan Ryan Interchange to 71st Street, Chicago, Illinois** – Civil Quality Assurance and Quality Control Engineer for Phase II plans, specifications and multiple contract documents for the total reconstruction of eight northbound and southbound mainline lanes, addition of a northbound and southbound auxiliary lane, modifications to connecting ramps and the addition of six ramp-collector distributor systems, new highway drainage system, new highway lighting system and signage, the addition of 31 retaining walls, reconstruction of two bridges and maintenance of traffic.

**Permit Reviews, Chicago Department of Construction & Permits, Chicago, Illinois** – Project Manager for permit review services, which include the review of drawings submitted to the city for permits, verifying that submittals include all the drawings and paperwork required, verifying project compliance with zoning ordinances, routing projects to the applicable examiner, following the project during the examination process and issuing permits if the project qualifies.

**East-West Wacker Drive Reconstruction, Bureau of Bridges & Transit, Chicago, Illinois** – Project Management oversight manager for civil improvements associated with the \$20-million reconstruction of Wacker Drive from Randolph Street to Michigan Avenue. Coordinated three consultant design contracts. Coordinated demolition, proposed roadway improvements, storm sewer, watermain replacement, utility relocations, telecommunication utility corridor, traffic signal design, construction staging and maintenance of traffic, pavement marking and signing. Coordinated and implemented CADD standards developed for the project.

# State of Illinois

## Department of Financial and Professional Regulation Division of Professional Regulation

LICENSE NO:  
**062.051170**

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EXPIRES:  
**11/30/2015**

### LICENSED PROFESSIONAL ENGINEER

**ERIC JOHN LINDEMANN**  
**16415 S HARMON LANE**  
**PLAINFIELD, IL 60586**



*Manuel Flores*

MANUEL FLORES  
ACTING SECRETARY

*Jay Stewart*

JAY STEWART  
DIRECTOR

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**8166741**

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# MIKE RECHTORIK, P.E., PTOE

## Project Manager

### YEARS OF EXPERIENCE

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With V3: 10  
Other: 12

### EDUCATION

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Master of Science  
Engineering  
University of South Florida

Bachelor of Science  
Mathematics  
University of Tampa

### REGISTRATIONS

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Professional Engineer:  
Illinois, 062-058827, 2006  
Indiana, 10607220, 2006

Professional Traffic  
Operations Engineer:  
Cert. No. 1353, 2004

### PROFESSIONAL ASSOCIATIONS

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Institute of Transportation  
Engineers, (ITE)

### CONTINUING EDUCATION

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CORSIM Simulation Seminar

Strong Concepts  
Timing Traffic Signals Using  
TEAPAC, TRANSYT, PASSER  
& CORSIM

Mr. Rechterik has 22 years of experience specializing in traffic and transportation engineering. His expertise is in the areas of traffic studies, traffic design, traffic operations, intersection design, geometrics, streetscape design, lighting design and traffic signal construction. His experience includes capacity analysis, operational analysis, traffic data collection, and traffic control systems.

### NOTEWORTHY PROJECT EXPERIENCE

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**Traffic Management Study, City of Naperville, Naperville, Illinois** – Project Manager for a traffic management study for the south downtown area of Naperville. Due to planned development and redevelopment projects, the City desired to evaluate smaller scale traffic management approaches and minor capital improvements to maximize the efficiency and mobility of vehicular, pedestrian and bicycle movement throughout the area. The objectives with this study were consistent with the City's Water Street Study Area Vision Statement. Five alternatives were developed utilizing various combinations of access control, traffic flow changes and traffic control devices along Aurora Avenue. Existing traffic, roadway and queue data were recorded in the field. Detailed Synchro models were then developed under existing traffic conditions and for each alternative during the weekday morning, mid-day and evening peak hours and Saturday peak hour. The improvements were categorized into short, medium and long term categories and ranked as low, medium or high priority to assist the City in developing an implementation plan.

**Transportation Studies, College of DuPage, Glen Ellyn, Illinois** – Project Manager for various traffic engineering studies for the College of DuPage. The College required detailed engineering studies and reports for the submittal to the Village and DuPage County to address traffic and pedestrian issues as the campus expanded and enrollment increased. The scope of work for the transportation studies included data collection, existing and future volume projections, traffic signal warrant analysis and turn lane length calculations. V3 provided two studies: (1) the intersection analysis and queue length study for Park Boulevard/College Road and Park Boulevard/Fawell Boulevard and (2) the pedestrian crossing study for Lambert Road.

**Water Street District, Marquette Properties, Naperville, Illinois** – Project Manager for this high-profile, two-acre redevelopment along Water Street and Webster Street in downtown Naperville. Developed in two phases, this project consisted of hotel, office, retail and restaurant land uses and included a 524-space parking structure. Existing traffic, roadway and queue data were recorded in the field. Detailed Synchro models were then developed under existing, background and future traffic conditions during the weekday morning, mid-day and evening peak hours and Saturday peak hours. Mr. Rechterik developed a "Tool Box" of traffic operation modifications that can be implemented as traffic issues arise. Recommendations were consistent with the Water Street Vision Statement and the South Downtown Traffic Management Study.

**US Route 30 Improvements, IDOT, Lynwood, Illinois** – Traffic Engineer for preparation of a Phase I study for a grade separation of US Route 30 and the Canadian National Railroad. Mandated by the Surface Transportation Board, the grade separation structure was processed as a Categorical Exclusion, Group II and consisted of a combined design report, location drainage study and pump station hydraulic report. Project responsibilities included: alternate geometric studies, intersection design study, crash analysis, and a traffic management plan.



# MIKE RECHTORIK, P.E., PTOE

## Project Manager

**UOP Honeywell Traffic Circulation & Parking Assessment, Jones Lang LaSalle, Des Plaines, Illinois –** Project Manager for assessing the traffic circulation and parking within the UOP Honeywell business campus. The building occupants expressed traffic circulation concerns for the parking areas which included failure to yield to pedestrians and other traffic, driving in the wrong direction or across the parking areas and speeding. The existing parking lot consisted of a large asphalt area with angled parking stalls and minimal signage and channelization of drive aisles. UOP requested V3's services to develop safer parking lot operations. The traffic assessment concluded that the parking lot was in need of improvements including adding striping and signage to delineate travel lanes, resurfacing the existing lot, configuring parking spaces to perpendicular stalls and replacing striped medians with raised medians.

**Parking Study, College of DuPage, Glen Ellyn, Illinois –** Project Manager responsible for the study of the existing parking conditions and needs at the College's Glen Ellyn Campus which currently has more than 6,500 spaces. The College required a detailed study to address not only the amount of the parking demand but also the appropriate locations within the campus for the parking so that parking utilization of any new lots would be maximized. As part of this study, V3 inventoried the existing parking by type (i.e. student, faculty, staff). V3 then developed numerous alternatives that would provide additional parking, both surface and structured, to address the short and long term demands of the College. Each of the alternatives was analyzed and ranked based on location, cost and implementation time frame. Recommendations were made for the implementation of short and long term projects that would meet the College's immediate needs for the 2011 school year as well as the projected needs through 2020.

**Various Transportation Studies –** Project Manager for numerous traffic engineering studies. The scope of work for the traffic studies included data collection, existing and future intersection capacity analyses, traffic signal warrant analysis and turn lane length calculations. Submittal to local villages, towns, cities, counties and IDOT was required as well as public meetings. Provided below is an abbreviated list of completed traffic studies:

- Harris Bank, Streamwood, IL
- Prairie Hawk, Lemont, IL
- Silo Ridge, Machesney Park, IL
- Ironhorse, Monee, IL
- Harris Bank, Elmhurst, IL
- Park Place, Elmhurst, IL
- Grand Dominion, Mundelein, IL
- Riverwoods Retail Site, Riverwoods, IL
- TCF Bank, Lincolnwood, IL
- Harris Bank, Downers Grove, IL
- Parkview Christian Church, Orland Park, IL
- Atrium Corporate Center, Rolling Meadows, IL
- US Route 30 and Wolf Road, Oswego, IL
- Little Village, Chicago, IL
- Midwest Physicians MOB, Orland Park, IL
- Romeoville Crossings, Romeoville, IL
- Parksmith Run, Oswego, IL
- Sanctuary at Marian Lake, Plainfield, IL
- Lake Forest Hospital, Lake Forest, IL
- Fox Ridge Farm, Lake in the Hills, IL
- Stahelin Properties, West Chicago, IL
- Weber Town Center, Romeoville, IL
- Marketplace at 75th Street, Aurora, IL
- Arboretum Landmark, Lisle, IL
- McDonald's, Oakbrook, IL
- McDonald's, Peoria, IL
- McDonald's, Skokie, IL
- Pine Ridge, Woodridge, IL
- Irgens MOB, Oak Brook, IL
- Quinten Woods, Palentine, IL
- Navistar Headquarters, Lisle, IL
- Gary Comer Youth Center, Chicago, IL
- Arlington Heights Transitional Care, Arlington Heights, IL
- Ping Tom Memorial Park Field House, Chicago, IL
- UTI, Lisle, IL
- Arbor Trails, Lisle, IL
- CDH Medical Campus, Aurora, IL
- Freedom Plaza, Naperville, IL
- Water Street, Naperville, IL
- Delnor Hospital, Geneva, IL
- Central DuPage Hospital, Winfield, IL
- Chicago Premium Outlets, Aurora, IL

**State of Illinois**  
**Department of Financial and Professional Regulation**  
**Division of Professional Regulation**

**LICENSE NO.**  
**062.058827**

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**EXPIRES:**  
**11/30/2015**

**LICENSED**  
**PROFESSIONAL ENGINEER**

**MICHAEL JOHN RECHTORIK**  
**6408 BOBBY JONES LN**  
**WOODRIDGE, IL 60517**



*Manuel Flores*  
MANUEL FLORES  
ACTING SECRETARY

*Jay Stewart*  
JAY STEWART  
DIRECTOR

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**8168285**

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# Transportation Professional Certification Board Inc.

*certifies that*

**Michael J. Rehtorik**

*has met all of the requirements established by the Certification Board  
to use the title of*

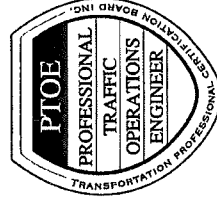
**PROFESSIONAL TRAFFIC OPERATIONS ENGINEER**

*unless withdrawn by the Certification Board and subject to the provisions for renewal.*

*Certificate number 1353 issued in Washington, D.C., U.S.A.*

*August 17, 2004*

*Steven D. Hofener*  
Chair



*James W. H. H.*  
Executive Director





# PETER REINHOFER, P.E.

## Project Engineer

### YEARS OF EXPERIENCE

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With V3: 3

Other: 14

### EDUCATION

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Bachelor of Science  
Civil Engineering  
Marquette University,  
Milwaukee

### REGISTRATIONS

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Professional Engineer  
Illinois, #062-0056323, 2003

Mr. Reinhofer has 17 years of experience in transportation engineering, urban planning, traffic engineering and transportation planning working with both public and private sector clients. Through his work on numerous projects at the state and regional level mixed with local community and private development studies he has been a leader in creating a balanced approach to transportation planning that serves transit, pedestrians, bicyclists and motorists while creating a safe and comfortable environment for all users.

### NOTEWORTHY PROJECT EXPERIENCE

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**Woodview Apartments Traffic Impact Study, Conor Commercial Real Estate, Deerfield, Illinois** – Project Manager for this traffic impact analysis for a proposed four-story, multi-family housing complex that includes approximately 250 apartments. The traffic analysis included evaluation of the existing intersections during the peak hours and developing traffic projections to estimate future traffic in the study area. A parking analysis was also conducted to determine if a sufficient parking supply was provided. In addition, a review of the existing public transportation network was conducted to verify adequate access to public transportation for future residents. A preliminary traffic signal warrant analysis was also conducted as part of the traffic study.

**Water Street District, City of Naperville, Naperville, Illinois** – Project Engineer for traffic engineering services pertaining to a two-acre redevelopment along Water Street and Webster Street in downtown Naperville. The site was developed in two phases with the project's first phase consisting of office space, mixed-use space, residential, a hotel development and a 591-space parking structure. Existing traffic, roadway and queue data were recorded in the field. Detailed Synchro models were then developed under existing traffic conditions during the weekday morning, mid-day, and afternoon peak hours and Saturday peak hour.

**Chicago Premium Outlets Expansion Traffic Impact Statement & Intersection Improvement Plans, Simon | Premium Outlets, Aurora, Illinois** – Project Manager for the traffic and off-site improvements associated with the proposed expansion of Chicago Premium Outlets retail center by approximately 300,000 square feet. V3 conducted the traffic impact analysis and associated intersection and roadway design of the off-site improvements to obtain construction permits from the City of Aurora and Aurora Township. This included data collection, traffic analysis of existing and future conditions, trip generation, distribution and assignment of new trips and redistribution of existing outlet center trips to the roadway network. The study included a traffic signal warrant analysis at Bilter Road and Sealmaster Drive, which resulted in the need for a traffic signal. V3 completed traffic signal and roadway improvement plans at the intersection for permit approval through the City. The traffic signal plans included video detection, combination traffic signal mast arms for intersection lighting, interconnecting the new traffic signal to the Farnsworth Avenue and Bilter Road intersection and connecting into the City's Traffic Management Center.

**Retail Center Traffic Impact Statement, IDS, & Intersection Improvement Plans, InSite, New Lenox, Illinois** – Project Manager for the proposed development of a retail center located at US Route 30 and Vancina Lane. V3 completed the conceptual design of Vancina Lane, which bisects the retail center and worked with IDOT, the Village, and local residents to determine future right of way requirements for the upgrade of the roadway. Traffic impact analysis was completed for the development, including data collection, traffic analysis of existing and future conditions, trip generation, distribution and assignment of new trips to the roadway network. An intersection



## PETER REINHOFER, P.E.

### Project Engineer

design study was required for IDOT approval at the intersection of US Route 30 and Vancina Lane due to required traffic signal modifications, roadway improvements, and improvements to the pedestrian and bicycle network. V3 completed the design of approximately 450 feet of Vancina Lane to accommodate future access to the retail centers, including drainage design, roadway lighting and utility coordination. V3 is in the process of completing traffic signal plans and roadway improvement plans for permit approval through IDOT.

#### **Downtown Revitalization, Urban Planning, Transportation & Land Use**

Through his work on station area plans, specific plans, community and area plans and general plans, Mr. Reinhofer is experienced in the visioning, development of strategies, planning and implementation of downtown revitalization plans, urban infill, transit oriented development, and new town centers. His experience includes working with inter-disciplinary teams, public agencies and stakeholders to integrate transportation systems for development and redevelopment into implementable projects and to develop transportation visions and strategies to meet the needs and resources of urban areas under change and revitalization. Project work has included developing policies and implementation actions, evaluating street conversions (one-way to two-way and vice versa), developing pedestrian, bicycle, transit connections and enhancements, multimodal street design, revitalization strategies, traffic calming, parking management, supply and demand analysis and transit station access planning.

#### **Multimodal Streets Conversion, Complete Streets & CSS**

Emphasis in multimodal transportation systems, transit-oriented development and transportation demand management and transportation system management alternatives to conventional highway solutions. Manage major circulation studies and environmental assessments for large-scale development projects, citywide transportation plans, strategic master plans and specific and general plans.

- State Street Multimodal Implementation Plan, Lockport, Illinois
- San Leandro Boulevard/BART Pedestrian Interface Plan, San Leandro, California
- Bailey Road Pedestrian and Bicycle Improvement Plan, Bay Point, California
- The Alameda: A Plan for the Beautiful Way, San Jose, California
- 8th Street Corridor Plan, Albuquerque, New Mexico
- West Central Corridor Plan, Albuquerque, New Mexico
- Caltrans I-880 Transportation Management Plan, Oakland, California
- San Bruno Caltrain Grade Separation and New Station Environmental Assessment, San Bruno, California

#### **Transportation Research**

- Trip Generation Rates for Transportation Impact Analyses of Infill Developments, National Cooperative Highway Research Program (NCHRP 08-66), National Academy of Sciences
- Statewide Urban Infill/TOD Trip Generation Research, Caltrans Office of Community Planning, Caltrans Office of Research and Planning, Sacramento, California
- Best Practices for Transit Center Design in Alameda County/San Leandro BART Station – San Leandro, California

#### **Parking Project Experience**

Develop parking plans for urban development, large-scale activity centers, shopping centers, transit stations and downtowns. Experienced in evaluating parking conditions, supply and demand analysis, shared parking evaluation and developing specialized parking standards for transit-oriented and mixed-use development. Develop parking standards and policies for specific, station area, community, master and area plans. Prepare parking management plans for a variety of conditions from citywide to large developments and individual buildings.

# State of Illinois

## Department of Financial and Professional Regulation Division of Professional Regulation

LICENSE NO.  
**062.056323**

The person, firm or corporation whose name appears on this certificate has complied with the provisions of the Illinois Statutes and/or rules and regulations and is hereby authorized to engage in the activity as indicated below.

EXPIRES:  
**11/30/2015**

### LICENSED PROFESSIONAL ENGINEER

**PETER W REINHOFER  
381 BURNT EMBER LN  
BUFFALO GROVE, IL 60089**



MANUEL FLORES  
ACTING SECRETARY

JAY STEWART  
DIRECTOR

The official status of this license can be verified at [www.idfpr.com](http://www.idfpr.com)

**9108886**

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# CARL SCHWARZER, E.I.T.

## Design Engineer

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### YEARS OF EXPERIENCE

With V3: 3

Other: 0

---

### EDUCATION

Master of Science,  
Transportation Engineering,  
Texas A&M University

Bachelor of Science  
Civil Engineering  
University of Kansas

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### REGISTRATIONS

Engineer in Training, 2010

Mr. Schwarzer is a Traffic Engineer in our Transportation and Municipal Engineering group. His design experience includes traffic signal design, traffic impact analysis, roadway design, phase I studies, street lighting analysis and design, and cost estimates. His computer skills include Microstation, Geopak, Highway Capacity Software (HCS), Synchro/Simtraffic, TSIS 6, AGI 32 and Microsoft Office.

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### NOTEWORTHY PROJECT EXPERIENCE

**State Street Multimodal Implementation Plan, City of Lockport, Lockport, Illinois** – Traffic engineer for multimodal implementation plan for downtown Lockport. Existing traffic, roadway and queue data were recorded for seven intersections. Detailed Synchro models were then developed for existing conditions. Recommendations were prepared to make the downtown more inviting to pedestrians and bicyclists, without burdening automobile operations. Recommendations were consistent with the Downtown Lockport Master Plan.

**Delnor Community Hospital Traffic Analysis, Walsh Construction, Geneva, Illinois** – Traffic engineer for a comprehensive traffic analysis of the Delnor Community Hospital campus located in Geneva, Illinois. The purpose of the report was to understand ingress and egress traffic patterns and the operations at the major intersections in and around the campus. A detailed Synchro model was prepared for the network to analyze traffic operations.

**Stevenson Expressway (I-55) Interchange Study, Village of Romeoville, Romeoville, Bolingbrook & Plainfield, Illinois** – Traffic engineer for preparation of a Phase I study for a new interchange at Airport Road and a full access interchange at IL Route 126 and Essington Road. Responsibilities included traffic modeling and projections, interchange and intersection design studies, combined design report, traffic management analysis and a public involvement program following context sensitive solutions procedures. The study evaluated the alternatives of the two interchange locations operating separately or connected by frontage roads or collector-distributor roads.

**Traffic Studies, McDonalds Corporation, Orland Park, St. Charles & Bensenville, Illinois** – Traffic engineer for several traffic engineering studies for proposed McDonalds restaurants. The scope of work for the traffic studies included data collection, existing and future intersection capacity analyses, traffic signal warrant analysis and turn lane length calculations. Submittal to local villages, towns, cities and IDOT was required.



# CARL SCHWARZER, E.I.T.

## Design Engineer

**Various Transportation Studies** – Traffic Engineer for numerous traffic engineering studies. The scope of work for the traffic studies included data collection, existing and future intersection capacity analyses, traffic signal warrant analysis and turn lane length calculations. Submittal to local villages, towns, cities, counties and IDOT was required as well as public meetings. Provided below is an abbreviated list of completed traffic studies:

- Chicago Premium Outlets, Aurora, IL
- Water Street, Naperville, IL
- UOP Campus, Des Plaines, IL
- Freedom Plaza, Naperville, IL
- Delnor Hospital, Geneva, IL
- CDH Hospital, Winfield, IL
- Vancina Lane Retail Development, New Lenox, IL
- Main Street Village, Lisle, IL
- Parkway North Apartments, Deerfield, IL
- Weber and Normantown Retail, Romeoville, IL
- The Lodge of Northbrook, Northbrook, IL
- McDonald's, Orland Park, IL
- McDonald's, Tinely Park, IL
- McDonald's, Bensenville, IL
- McDonald's, Rolling Meadows, IL
- McDonald's, Streamwood, IL
- CVS, Wheaton, IL

**EXHIBIT A**  
**LEGAL ACTIONS**

**(ATTACHED HERETO AND INCORPORATED HEREIN)**

**EXHIBIT A  
LEGAL ACTION**

Firm Name: V3 Companies of Illinois Ltd.

If the answer to any of the questions below is **YES**, you must provide a type written, brief description, and/or explanation on a separate sheet following this page. Each question must be answered.

Question	Yes	No
Has the firm or venture been issued a notice of default on any contract awarded to it in the last 3 years?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Does the firm or venture have any legally filed judgments, claims (liquidated damages, or other), arbitration proceedings or suits pending or outstanding against the firm or venture or its officers?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
If the answer to the preceding question is "Yes", provide the requisite explanation on a separate sheet and enter the dollar amount of claims or judgments and the contract value of the contract on which the claim was filed _____.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Within the past 3 years has the firm or venture been a party to any lawsuits or arbitration proceedings with regard to any contracts?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Within the last 3 years, has any officer or principal of the firm or venture ever been an officer or principal of another organization that failed to complete any contract as a result of termination, litigation, arbitration or similar matter?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Has any key person with the firm or venture or its predecessor ever been convicted of or charged with any state or federal crime (excluding traffic violations), including but not limited to, embezzlement, theft, forgery, bribery, falsification or destruction of records, receipt of stolen property, criminal anti-trust violations, bid-rigging or bid-rotating?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Has the firm or venture ever been temporarily or permanently debarred from contract award by any federal, state, or local agency?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Within the last 3 years, has the firm or venture been investigated or assessed penalties for any statutory or administrative violations (including but not limited to MBE, WBE, EEOC violations)?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Has the firm or venture ever failed to complete any work awarded to it?	<input type="checkbox"/>	<input checked="" type="checkbox"/>



## Pending Litigation

### **1) Kendrick vs City of Chicago, V3 and G & V Construction**

On January 4, 2013, plaintiff added V3 as a defendant to this lawsuit which alleges improper design of a sidewalk/curb which proximately caused her to fall and injure herself. V3 intends to vigorously contest liability based on the fact that the sidewalk/curb was not constructed in accordance with the approved design documents and the curb was not the proximate cause of defendant's injuries.

### **2) Noerper v. PLOTE, V3, IDOT, et.al.**

On June 14, 2013, Noerper added V3 as a defendant to this lawsuit he filed in 2010 against the driver of an automobile that struck him in a construction zone, along with the contractor and temporary traffic control sub-contractor. V3 intends to vigorously contest liability based on the fact that V3 was under contract to perform a consulting role during construction and had no responsibility for means, methods, or construction zone safety.

### **3) Doherty v. AMEC Environment and Infrastructure, V3, BV3, Benesch, and numerous parties associated with the I-90 widening project.**

On November 21, 2014, Brian and Nicola Doherty filed suit against 15 different parties, including V3 and its joint venture BV3, LLC, seeking damages for personal injuries allegedly sustained on the work site. V3 intends to vigorously contest liability based on the fact that V3 was under contract to perform a consulting role during construction and had no responsibility for means, methods, or construction zone safety.

### **4) Gerardi Funeral Home LLC v. IDOT, Village of Frankfort, V3, FH Paschen, Ricci Welch, et al.**

Gerardi, et al has filed a claim seeking damages resulting from flooding due to construction work along Illinois Route 30 in New Lenox, Illinois. V3 intends to vigorously contest liability based on the fact that V3 was the Construction Engineer for the project and thus had no involvement in the design or construction of the work, and further based on the fact that the address of the damages is not within the limits of the project that V3 was engaged upon.

### **5) Lindsay Park Homeowners Association v. Woodside Homes of Arizona**

This is a residential townhome project that V3 Companies of Arizona designed around 2010 for Woodside Homes. The HOA has filed a suit against Woodside Homes claiming general defects, such as drywall damage, cracking/bowing of block walls, problems with stucco cladding, cracked and undermined foundations, cracked and undermined streets, driveways and other paved surfaces, grading & drainage deficiencies, improperly installed roofs, windows due to negligent design and/or breach of warranties. V3 contends that design was to code and that this is a construction/maintenance issue and that the damages are not applicable to V3's work on the project.



**EXHIBIT B**  
**DISCLOSURE AFFADAVIT**

**(ATTACHED HERETO AND INCORPORATED HEREIN)**

**EXHIBIT B  
DISCLOSURE AFFIDAVIT**

Any firm proposing to conduct any business transactions with the Public Building Commission of Chicago must complete this Disclosure Affidavit. Please note that in the event the Contractor is a joint venture, the joint venture and each of the joint venture partners must submit a completed Disclosure Affidavit.

The undersigned Robin L. Petroelje, as President  
Name Title

and on behalf of V3 Companies of Illinois Ltd.  
("Bidder/Proposer/Respondent or Contractor") having been duly sworn under oath certifies the following:

1. Name of Firm: V3 Companies of Illinois Ltd.
2. Address: 7325 Janes Avenue, Woodridge, IL 60517
3. Telephone: 630-724-9200 Fax: 630-724-9202
4. FEIN: 36-3252440 SSN: \_\_\_\_\_
5. Nature of transaction (check the appropriate box):
- ☐ Sale or purchase of land  
☐ Construction Contract  
☒ Professional Services Agreement  
☐ Other \_\_\_\_\_

6. Disclosure of Ownership Interests  
Pursuant to Resolution No. 5371 of the Board of Commissioners of the Public Building Commission of Chicago, all bidders/proposers shall provide the following information with their bid/proposal. If the question is not applicable, answer "NA". If the answer is none, please answer "none".

- ☒ Corporation  
☐ Partnership  
☐ Sole Proprietorship  
☐ Joint Venture

- ☐ Limited Liability Company  
☐ Limited Liability Partnership  
☐ Not-for-profit Corporation  
☐ Other: \_\_\_\_\_

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**EXHIBIT B  
DISCLOSURE AFFIDAVIT**

**CORPORATIONS AND LLC'S**

1. State of Incorporation or organization: Illinois
2. Authorized to conduct business in the State of Illinois: ☒ Yes ☐ No
3. Identify the names of all officers and directors of the business entity (attach list if necessary).

Name	Title
See Attached	

4. Identify all shareholders whose ownership percentage exceeds 7.5% of the business entity (attach list if necessary).

Name	Address	Ownership Interest Percentage
Robin L. Petroelje	7325 Janes Avenue, Woodridge, IL 60517	8.57%

5. LLC's ONLY, indicate management type and name:  
☐ Member-managed  
☐ Manager-managed  
Name: \_\_\_\_\_
6. Is the corporation or LLC owned partially or completely by one or more other corporations or legal entities?  
☐ Yes  
☐ No

If "yes" provide the above information, as applicable, for each such corporation or entity such that any person with a beneficial ownership interest of 7.5% or more in the corporation contracting in the PBC is disclosed. For example, if Corporation B owns 15% of Corporation A, and Corporation A is contracting with the PBC, then Corporation B must complete a Disclosure Affidavit. If Corporation B is owned by Corporations C and D, each of which owns 50% of Corporation B, then both Corporations C and D must complete Disclosure Affidavits.

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## Directors & Officers V3 Companies of Illinois Ltd.

### **Directors**

Robin L. Petroelje  
Patrick R. Kennedy  
Louis J. Gallucci  
Stuart Dykstra  
Tomas R. Valaitis  
Edward L. Fitch

### **Officers**

President  
Executive Vice President/Treasurer  
Executive Vice President/Secretary  
Senior Vice President  
Senior Vice President  
Vice President  
Vice President  
Vice President  
Vice President  
Vice President  
Vice President  
Vice President

Rob Petroelje  
Patrick Kennedy  
Louis Gallucci  
Stuart Dykstra  
Tomas R. Valaitis  
Christopher D. Bartosz  
Michael P. Famiglietti  
Theodore E. Feenstra, Jr.  
Edward L. Fitch  
David P. Heslinga  
Keith R. Oswald  
Gregory V. Wolterstorff

**EXHIBIT B  
DISCLOSURE AFFIDAVIT**

**PARTNERSHIPS**

1. If the bidder/proposer or Contractor is a partnership, indicate the name of each partner and the percentage of interest of each therein. Also indicate, if applicable, whether general partner (GP) or limited partner (LP)

Ownership Interest	
Name	Percentage

**SOLE PROPRIETORSHIP**

1. The bidder/proposer or Contractor is a sole proprietorship and is not acting in any representative capacity on behalf of any beneficiary: ☐ Yes ☐ No

If the answer to the previous question is no, complete items 2 and 3 of this section.

2. If the sole proprietorship is held by an agent(s) or a nominee(s), indicate the principal(s) for whom the agent or nominee holds such interest.

Name(s) of Principal(s)

3. If the interest of a spouse or any other party is constructively controlled by another person or legal entity, state the name and address of such person or entity possessing such control and the relationship under which such control is being or may exercised

Name	Address

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**EXHIBIT B  
DISCLOSURE AFFIDAVIT**

**CONTRACTOR CERTIFICATION**

**A. CONTRACTORS**

1. The Contractor, or any affiliated entities of the Contractor, or any responsible official thereof, or any other official, agent or employee of the Contractor, any such affiliated entity, acting pursuant to the direction or authorization of a responsible official thereof has not, during a period of three years prior to the date of execution of this certification:
  - a. Bribed or attempted to bribe, or been convicted of bribery or attempting to bribe a public officer or employee of the City of Chicago, the State of Illinois, any agency of the federal government or any state or local government in the United States (if an officer or employee, in that officer's or employee's official capacity); or
  - b. Agreed or colluded, or been convicted of agreement or collusion among bidders or prospective bidders in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
  - c. Made an admission of such conduct described in 1(a) or (b) above which is a matter of record but has not been prosecuted for such conduct.
2. The Contractor or agent, partner, employee or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rigging<sup>2</sup> in violation of Section 3 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-3), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rigging during a period of five years prior to the date of Submission of this bid, proposal or response.
3. The Contractor or any agent, partner, employee, or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rotating<sup>4</sup> in violation of Section 4 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-4), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rotating.
4. The Contractor understands and will abide by all provisions of Chapter 2-56 of the Municipal Code entitled "Office of the Inspector General" and all provisions of the Public Building Commission Code of Ethics Resolution No.5339, as amended by Resolution No. 5371.
5. The Contractor certifies to the best of its knowledge and belief, that it and its principals:
  - a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal, state or local department or agency.
  - b. Have not within a three-year period preceding this bid or proposal been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;

**EXHIBIT B**  
**DISCLOSURE AFFIDAVIT**

- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (5)(b) above; and
- d. Have not within a three-year period preceding this bid or proposal had one or more public transactions (federal, state or local) terminated for cause or default.

**B. SUBCONTRACTORS**

1. The Contractor has obtained from all subcontractors being used in the performance of this contract or agreement, known by the Contractor at this time, disclosures substantially in the form of Section 1, and certifications substantially in the form of Section 2, of this Disclosure Affidavit. Based on such disclosures and certification(s), and any other information known or obtained by the Contractor, is not aware of any such subcontractor or subcontractor's affiliated entity or any agent, partner, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct described as prohibited in this document; (b) bid-rigging, bid-rotating, or any similar offense of any state or the United States which contains the same elements as bid-rigging or bid-rotating, or having made an admission of guilt of the conduct described in Section 2 which is matter of record but has/have not been prosecuted for such conduct.
2. The Contractor will, prior to using them as subcontractors, obtain from all subcontractors to be used in the performance of this contract or agreement, but not yet known by the Contractor at this time, certifications substantially in the form of this certification. The Contractor shall not, without the prior written permission of the Commission, use any of such subcontractors in the performance of this contract if the Contractor, based on such certifications or any other information known or obtained by Contractor, became aware of such subcontractor, subcontractor's affiliated entity or any agent, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct described as prohibited in this document of or (b) bid-rigging, bid-rotating or any similar offenses of any state or the United States which contains the same elements as bid-rigging or bid-rotating or having made an admission of guilt of the conduct described as prohibited in this document which is a matter of record but has/have not been prosecuted for such conduct. The Contractor shall cause such subcontractors to certify as to all necessary items. In the event any subcontractor is unable to certify to a particular item, such subcontractor shall attach an explanation to the certification.
3. For all subcontractors to be used in the performance of this contract or agreement, the Contractor shall maintain for the duration of the contract all subcontractors' certifications required by this document and Contractor shall make such certifications promptly available to the Public Building Commission of Chicago upon request.
4. The Contractor will not, without the prior written consent of the Public Building Commission of Chicago, use as subcontractors any individual, firm, partnership, corporation, joint venture or other entity from whom the Contractor is unable to obtain a certification substantially in the form of this certification.
5. The Contractor hereby agrees, if the Public Building Commission of Chicago so demands, to terminate its subcontractor with any subcontract if such subcontractor was ineligible at the time that the subcontract was entered into for award of such subcontract. The Contractor shall insert adequate provisions in all subcontracts to allow it to terminate such subcontract as required by this certification.

**EXHIBIT B  
DISCLOSURE AFFIDAVIT**

**C. STATE TAX DELINQUENCIES**

1. The Contractor is not delinquent in the payment of any tax administered by the Illinois Department of Revenue or, if delinquent, the Contractor is contesting, in accordance with the procedures established by the appropriate Revenue Act, its liability for the tax or amount of the tax.
2. Alternatively, the Contractor has entered into an agreement with the Illinois Department of Revenue for the payment of all such taxes that are due and is in compliance with such agreement.
3. If the Contractor is unable to certify to any of the above statements, the Contractor shall explain below. Attach additional pages if necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

4. If any subcontractors are to be used in the performance of this contract or agreement, the Contractor shall cause such subcontractors to certify as to paragraph (C)(1) or (C)(2) of this certification. In the event that any subcontractor is unable to certify to any of the statements in this certification, such subcontractor shall attach an explanation to this certification.

**D. OTHER TAXES/FEES**

1. The Contractor is not delinquent in paying any fine, fee, tax or other charge owed to the City of Chicago.
2. If Contractor is unable to certify to the above statement, Contractor shall explain below and (attach additional pages if necessary).

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

**E. PUNISHMENT**

1. A Contractor who makes a false statement material to Section II(A)(2) of this certification commits a Class 3 felony. 720 ILCS 5/33E-11(b).

**F. JUDICIAL OR ADMINISTRATIVE PROCEEDINGS**

1. The Contractor is not a party to any pending lawsuits against the City of Chicago or the Public Building Commission of Chicago nor has Contractor been sued by the City of Chicago or the Public Building Commission of Chicago in any judicial or administrative proceeding.



**EXHIBIT B  
DISCLOSURE AFFIDAVIT**

2. If the Contractor cannot certify to the above, provide the (1) case name; (2) docket number; (3) court in which the action is or was pending; and (4) a brief description of each such judicial or administrative proceeding. Attach additional sheets if necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

**CERTIFICATION OF ENVIRONMENTAL COMPLIANCE**

- A. Neither the Contractor nor any affiliated entity of the Contractor has, during a period of five years prior to the date of execution of this Affidavit: (1) violated or engaged in any conduct which violated federal, state or local Environmental Restriction<sup>5</sup>; (2) received notice of any claim, demand or action, including but not limited to citations and warrants, from any federal, state or local agency exercising executive, legislative, judicial, regulatory or administrative functions relating to a violation or alleged violation of any federal, state or local statute, regulation or other Environmental Restriction; or (3) been subject to any fine or penalty of any nature for failure to comply with any federal, state or local statute, regulation or other Environmental Restriction.

If the Contractor cannot make the certification contained in the above paragraph, identify any exceptions (attach additional pages if necessary):

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

- B. Without the prior written consent of the Public Building Commission of Chicago, Contractor will not employ any subcontractor in connection with the contract or proposal to which this Affidavit pertains without obtaining from such subcontractor a certification similar in form and substance to the certification contained in Paragraph A of this Section III prior to such subcontractor's performance of any work or services or furnishing any goods, supplies or materials of any kind under the proposal or the contract to which this Affidavit pertains.
- C. Until completion of the Contract's performance under the proposal or contract to which this Affidavit pertains, the Contractor will not violate any federal, state or local statute, regulation or other Environmental Restriction, whether in the performance of such contract or otherwise.

**INCORPORATION INTO CONTRACT AND COMPLIANCE**

The above certification shall become part of any contract awarded to the Contractor set forth on page 1 of this Disclosure Affidavit and are a material inducement to the Public Building Commission of Chicago's execution of the contract, contract modification or contract amendment with respect to which this Disclosure Affidavit is being executed and delivered on behalf of the Contractor. Furthermore, Contractor shall comply with these certifications during the term and/or performance of the contract.

**EXHIBIT B  
DISCLOSURE AFFIDAVIT**

**VERIFICATION**

Under penalty of perjury, I certify that I am authorized to execute this Disclosure Affidavit on behalf of the Contractor set forth on page 1, that I have personal knowledge of all the certifications made herein and that the same are true.

The Contractor must report any change in any of the facts stated in this Affidavit to the Public Building Commission of Chicago within 14 days of the effective date of such change by completing and submitting a new Disclosure Affidavit. Failure to comply with this requirement is grounds for your firm to be deemed non-qualified to do business with the PBCC. Deliver any such new Disclosure Affidavit to: Public Building Commission of Chicago, Director of Compliance, 50 W. Washington, Room 200, Chicago, IL 60602.

  
\_\_\_\_\_  
Signature of Authorized Officer

Robin L. Petroelje

\_\_\_\_\_  
Name of Authorized Officer (Print or Type)

President

\_\_\_\_\_  
Title

630-724-9200

\_\_\_\_\_  
Telephone Number


State of Illinois

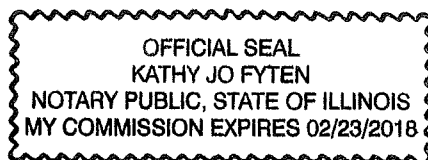
County of DuPage

Signed and sworn to before me on this 16 day of January, 2016 by

Robin L. Petroelje (Name) as President (Title) of

V3 Companies of Illinois LLC (Bidder/Proposer/Respondent or Contractor)

  
\_\_\_\_\_  
Notary Public Signature and Seal



**EXHIBIT C**  
**DISCLOSURE OF RETAINED PARTIES**

**(ATTACHED HERETO AND INCORPORATED HEREIN)**

**EXHIBIT C**  
**DISCLOSURE OF RETAINED PARTIES**

**Definitions and Disclosure Requirements**

As used herein, "Consultant" means a person or entity who has any contract with the Public Building Commission of Chicago ("Commission").

Commission bids, contracts, and/or qualification submissions must be accompanied by a disclosure statement providing certain information about lobbyists whom the Consultant has retained or expects to retain with respect to the contract. In particular, the Consultant must disclose the name of each such person, his or her business address, the name of the relationship, and the amount of fees paid or estimated to be paid. The Consultant is not required to disclose employees who are paid solely through the Consultant's regular payroll.

"Lobbyists" means any person who (a) for compensation or on behalf of any person other than himself undertake to influence any legislative or administrative action or (b) any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

**Certification**

Consultant hereby certifies as follows:

This Disclosure relates to the following transaction(s):

Description of goods or services to be provided under Contract:

**Traffic**

Name of Consultant: V3 Companies of Illinois Ltd.

**EACH AND EVERY** lobbyist retained or anticipated to be retained by the Consultant with respect to or in connection with the contract listed below. Attach additional pages if necessary.

Retained Parties:

<b>Name</b>	<b>Business Address</b>	<b>Relationship</b> (Attorney, Lobbyist, etc.)	<b>Fees</b> (indicate total whether paid or estimated)

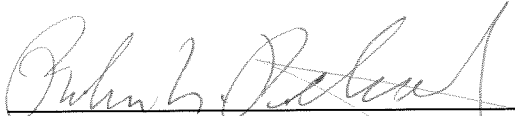
☒ Check Here If No Such Persons Have been Retained or Are Anticipated To Be Retained

The Consultant understands and agrees as follows:

**EXHIBIT C**  
**DISCLOSURE OF RETAINED PARTIES**

- a. The information provided herein is a material inducement to the Commission execution of the contract or other action with respect to which this Disclosure of Retained Parties form is being executed, and the Commission may rely on the information provided herein. Furthermore, if the Commission determines that any information provided herein is false, incomplete, or inaccurate, the Commission may terminate the contract or other transaction, terminate the Consultant's participation in the contract or other transactions with the Commission.
- b. If the Consultant is uncertain whether a disclosure is required, the Consultant must either ask the Commission's Representative or his or her manager whether disclosure is required or make the disclosure.
- c. This Disclosure of Retained Parties form, some or all of the information provided herein, and any attachments may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. The Consultant waives and releases any possible rights or claims it may have against the Commission in connection with the public release of information contained in the completed Disclosure of Retained Parties form and any attachments.

**Under penalty of perjury. I certify that I am authorized to execute this Disclosure of Retained Parties on behalf of the Consultant and that the information disclosed herein is true and complete.**

  
Signature

1/16/2016

Date

Robin L. Petroelje

Name (Type or Print)

President

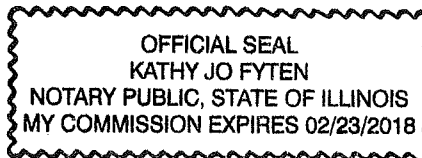
Title

Subscribed and sworn to before me

this 16 day of January 2016

  
Notary Public

State of Illinois  
County of DuPage



**EXHIBIT D**  
**SPECIAL CONDITIONS REGARDING THE UTILIZATION OF**  
**MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES**  
**FOR PROFESSIONAL SERVICES**

**(ATTACHED HERETO AND INCORPORATED HEREIN)**

**EXHIBIT D**  
**SPECIAL CONDITIONS REGARDING THE UTILIZATION OF**  
**MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES**  
**FOR PROFESSIONAL SERVICES**

**1. Policy Statement**

- a. It is the policy of the Commission to ensure competitive business opportunities for MBE and WBE firms in the performance of Contracts, to prohibit discrimination in the award of or participation in Contracts, and to abolish arbitrary barriers to full participation in Contracts by all persons, regardless of race, sex or ethnicity. Therefore, during the performance of this Contract, the Consultant must agree that it will not discriminate against any person or business on the basis of race, color, religion, ancestry, age, marital status, physical or mental handicap, unfavorable discharge from military service, parental status, sexual orientation, national origin or sex, in the solicitation or the purchase of goods and services or the subcontracting of work in the performance in this Contract.
- b. The Commission requires the Consultant also agree to take affirmative action to ensure that MBE and WBE firms have the maximum opportunity to compete for and perform subcontracts with respect to this Contract.
- c. The Commission requires the Consultant to notify MBE and WBE firms, utilized on this contract, about opportunities on contracts without affirmative action goals.

**2. Aspirational Goals**

- a. Upon the effective date of these Special Conditions, the bi-annual aspirational goals are to award 25% of the annual dollar value of all Commission Construction Contracts to MBEs and 5% of the annual dollar value of all Commission Construction Contracts to WBEs.
- b. Further, the Consultant must agree to use its best efforts to include MBE and WBE firms in any Contract modification work that increases the Contract value by 10% of the initial Contract value or \$50,000, whichever is less. Where the proposed contract modification involves work which can be performed by MBEs and WBEs already performing work on the contract such MBEs and WBEs will participate in such work specified in the contract modification.
- c. Failure to carry out the commitments and policies set forth in this Program constitute a material breach of contract and may result in termination of the Consultant or such other remedy, as the Commission deems appropriate.

**3. Definitions**

- a. For purposes of this Special Condition, the following definitions applies:
  - i. Certified Minority Business Enterprise" means a person or entity granted certification by the City of Chicago or County of Cook.
  - ii. Certified Women's Business Enterprise" means a person or entity granted certification by the City of Chicago or County of Cook.
  - iii. "Professional Service Contract" means a contract for professional services of any type.
  - iv. "Contract Specific Goals" means the subcontracting goals for MBE and WBE participation established for a particular contract based upon the availability of MBEs and WBEs to perform and anticipated scope of work of the contract and the Commission's progress towards meeting the aspirational goals.
  - v. "Consultant" means any person or business entity that seeks to enter into a Professional Services Contract with the Commission and includes all partners, affiliates and Joint Ventures of such person or entity.
  - vi. "Executive Director" means the Executive Director of the Commission or his duly designated representative as appointed in writing.

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vii. "Good faith efforts" means actions undertaken by a Consultant to achieve a Contract Specific Goal that by their scope, intensity and appropriateness to the objective can reasonably be expected to fulfill the Program's requirements.

viii. "Joint Venture" means an association of two or more persons or entities or any combination of two or more business enterprises and persons numbering two or more, proposing to perform a single for-profit business enterprise, in which each Joint Venture partner contributes property, capital, efforts, skill and knowledge, and in which the MBE or WBE is responsible for a distinct, clearly-defined portion of the work of the contract and whose share in the capital contribution, control, management, risks and profits of the Joint Venture is equal to its ownership interest. Joint Ventures must have an agreement in writing specifying the terms and conditions of the relationships between the parties and their relationship and responsibilities to the contract.

ix. "Program" means the minority- and women-owned business enterprise professional service procurement program established in this special condition.

**4. Determining MBE/WBE Utilization**

The methodology for determining MBE and WBE utilization will be determined for purposes of analysis with respect to this contract as follows:

- a. The total dollar value of the contract awarded to the certified MBE or WBE firm will be credited to such participation. Only minority business participation may be counted toward MBE participation and only women business participation may be counted toward WBE participation.
- b. The total dollar value of a contract with a firm owned and controlled by minority women is counted toward either the MBE or WBE goal, but not both. The Consultant employing the firm may choose the goal to which the contract value is applied. Various work done by one and the same subconsultant will be considered, for the purpose of this principle, as work effectively done under one subcontract only, which subconsultant may be counted toward only one of the goals, not toward both.
- c. A Consultant may count toward its MBE or WBE goal the portion of the total dollar value of a contract with an eligible Joint Venture equal to the percentage of the ownership and control of the MBE or WBE partner in the Joint Venture. A Joint Venture seeking to be credited for MBE participation may be formed among certified MBE and WBE firms, or between certified MBE and WBE firms and a non-MBE/WBE firm. A Joint Venture satisfies the eligibility standards of this Program if the certified MBE or WBE participant of the Joint Venture:
  - i. Shares in the ownership, control, management responsibilities, risks and profits of the Joint Venture; and
  - ii. Is responsible for a clearly defined portion of work to be performed in proportion to the MBE or WBE ownership percentage.
- d. A Consultant may count toward its MBE and WBE goals only expenditures to firms that perform a commercially useful function in the work of a contract. A firm is considered to perform a commercially-useful function when it is responsible for execution of a distinct element of the work of a contract and carries out its responsibilities by actually performing, managing, and supervising the work involved. To determine whether a firm is performing a commercially useful function, the Commission will evaluate the amount of work subcontracted, industry practices and other relevant factors.
- e. Consistent with normal industry practices, a MBE or WBE firm may enter into subcontracts. If a MBE or WBE Consultant subcontracts a significantly greater portion of the work of a contract than would be expected on the basis of normal industry practices, the MBE or WBE will be rebuttably presumed not to be performing a commercially-useful function.



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- f. A Consultant may count toward its goals expenditures to MBE or WBE manufacturers (i.e., suppliers that produce goods from raw materials or substantially alters them before resale).
- g. A Consultant may count toward its goals expenditures to MBE or WBE suppliers provided that the supplier performs a commercially useful function in the supply process. Expenditures to suppliers will only be counted if the supplies are sold to the Consultant or subconsultant that installs those supplies in the Work.

**5. Submission of Bid Proposals**

- a. The following schedules and documents constitute the Bidder's MBE/WBE compliance proposal and must be submitted at the time of the bid or proposal or within such extended period as provided in Article 23.
  - i. Evidence of Certification: Affidavit of MBE/WBE. A copy of each proposed MBE and WBE firm's Letter of Certification from the City of Chicago, Department of Procurement Services or the County of Cook must be submitted.
  - ii. Schedule B: Affidavit of MBE/Non-MBE or WBE/Non-WBE Joint Ventures. Where the Bidder's MBE/WBE compliance proposal includes participation of any MBE or WBE as a Joint Venture participant, the Bidder must submit a "Schedule B: Affidavit of MBE/Non-MBE or WBE/Non-WBE Joint Venture" with an attached copy of the Joint Venture agreement proposed among the parties. The Schedule B and the Joint Venture agreement must clearly evidence that the MBE or WBE participant will be responsible for a clearly defined portion of the work to be performed and that the MBE or WBE firm's responsibilities are in proportion with its ownership percentage.
  - iii. Schedule C: Letter of Intent to Perform as a Subconsultant, Subconsultant, or Material Supplier, Schedule C, executed by the MBE/WBE firm (or Joint Venture Subconsultant) must be submitted by the Bidder for each MBE/WBE included on the Schedule D. Schedule C must accurately detail the work to be performed by the MBE or WBE firm and the agreed rates and prices to be paid.
  - iv. Schedule D: Affidavit of Prime Consultant Regarding MBE or WBE Utilization. A completed Schedule D committing to the utilization of each listed MBE or WBE firm. Unless the Bidder has submitted a completed request for a waiver of participation by MBE/WBE firms (See Request for Waiver procedures in Section 23.01.10), the Bidder must include the specific dollar amount of participation of each MBE/WBE firm listed on its Schedule D. The total dollar commitment to proposed MBE firms must at least equal the MBE goal, and the total dollar commitment to proposed WBE firms must at least equal the WBE goal. Bidders are responsible for calculating the dollar equivalent of MBE or WBE utilization as percentages of their total base bid.
- b. The submittals must have all blank spaces on the Schedule pages applicable to the contract correctly filled in. Agreements between a Bidder and a MBE/WBE in which the MBE/WBE promises not to provide subcontracting quotations to other Bidders are prohibited.

**6. Evaluation of Compliance Proposals**

- a. During the period between bid opening and contract award, the Bidder's MBE/WBE compliance proposal will be evaluated by the Commission. The Bidder agrees to provide, upon request, earnest and prompt cooperation to the Executive Director or his designee in submitting to interviews that may be necessary, in allowing entry to places of business, in providing further documentation, or in soliciting the cooperation of a proposed MBE or WBE firm in providing such assistance. A bid may be treated as non-responsive by reason of the determination that the Bidder's proposal did not contain a sufficient level of Certified MBE or WBE participation, that the Bidder was unresponsive or uncooperative when asked for further information relative to the proposal, or that false statements were made in the Schedules.

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- b. If the Commission's review of a Bidder's proposal concludes that the MBE or WBE proposal was deficient, the Commission will promptly notify the Bidder of the apparent deficiency and instruct the Bidder to submit (within 3 business days of such notice given by the Commission) a modification of the MBE or WBE Proposal, in proper format, which remedies the deficiencies cited. Failure to correct all deficiencies cited by the Commission will be cause for rejection of the Bidder's proposal as non-responsive.
- c. Bidders will not be permitted to modify their MBE/WBE compliance proposal except insofar as directed to do so by the Commission. Therefore, all terms and conditions stipulated for prospective MBE and WBE subconsultants or suppliers should be satisfactorily negotiated prior to the submission to the Commission of the Bidder's MBE/WBE compliance proposal with the bid. If circumstances should arise, however, where a proposed MBE/WBE is no longer available, the process described in Section 23.01 should be followed.
- d. If the Compliance Proposal includes participation by material suppliers, the PBC will request copies of the offers from such suppliers. The offers must be furnished to the PBC within three (3) business days of the bidder's receipt of the request for such offers from the PBC. The PBC may make such request by electronic mail. The offers must specify: (i) the particular materials, equipment and/or supplies that will be furnished; (ii) the supplier's price for each of the items; (iii) the total price of the items to be furnished by the supplier, (iv) the supplier's source for the items (e.g., manufacturer, wholesaler) and (v) the subconsultant that the supplies will be purchased by.

**7. Request for Waiver**

- a. If a Bidder is unable to identify qualified MBE and WBE firms to perform sufficient work to fulfill the MBE or WBE percentage goals for this Contract, the bid or proposal must include a written request for waiver. A request for waiver must be sent to the Executive Director and must set forth the Bidder's inability to obtain sufficient MBE and WBE firms notwithstanding good faith attempts to achieve such participation.
- b. Good Faith efforts to achieve participation include but are not limited to:
  - i. Attendance at the Pre-bid conference;
  - ii. The Bidder's general affirmative action policies regarding the utilization of MBE and WBE firms, plus a description of the methods used to carry out those policies;
  - iii. Advertisement in trade association newsletters and minority and woman-oriented and general circulation media for specific sub-bids;
  - iv. Timely notification of specific sub-bids to minority and woman Consultant assistance agencies and associations;
  - v. Description of direct negotiations with MBE and WBE firms for specific sub-bids, including:
    - a. The name, address and telephone number of MBE and WBE firms contacted;
    - b. A description of the information provided to MBE and WBE firms regarding the portions of the work to be performed; and
    - c. The reasons why additional MBE and WBE firms were not obtained in spite of negotiations.
  - vi. A statement of the efforts made to select portions of the work proposed to be performed by MBE and WBE firms (such as sub-supplier, transport, engineering, distribution, or any other roles contributing to production and delivery as specified in the contract) in order to increase the likelihood of achieving sub participation.

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- vii. As to each MBE and WBE contacted which the Bidder considers to be not qualified, a detailed statement of the reasons for the Bidder's conclusion.
  - viii. Efforts made by the Bidder to expand its search for MBE and/or WBE firms beyond usual geographic boundaries.
  - ix. General efforts made to assist MBE and WBE firms to overcome participation barriers.
  - c. The Executive Director, after review and evaluation of the request provided by the Bidder, may grant a waiver request upon the determination that:
    - i. Sufficient qualified MBE and/or WBE firms capable of providing the goods or services required by the contract are unavailable despite the good faith efforts of the Bidder;
    - ii. The price(s) quoted by potential MBE and/or WBE firms for goods or services is above competitive levels to an extent unwarranted by any increased cost of doing business attributable to the present effects of disadvantage or discrimination.
8. Failure To Achieve Goals
- a. If the Consultant cannot achieve the contract specific goals, as the Project proceeds, it must have documented its good faith efforts to do so. In determining whether the Consultant has made such good faith efforts, the performance of other Consultants in meeting the goals may be considered. The Executive Director or his designee shall consider, at a minimum, the Consultant's efforts to do the following:
    - i. Soliciting through reasonable and available means the interest of MBEs or WBEs that Provide interested MBEs or WBEs with adequate information about the plans, specifications and requirements of the contract, including addenda, in a timely manner to assist them in responding to the solicitation.
    - ii. Provide interested MBEs or WBEs with adequate information about the plans, specifications and requirements of the contract, including addenda, in a timely manner to assist them in responding to the solicitation.
    - iii. Negotiating in good faith with interested MBEs or WBEs that have submitted bids. Documentation of negotiation must include the names, addresses and telephone numbers of MBEs or WBEs that were solicited; the date of each such solicitation; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why agreements could not be reached with MBEs or WBEs to perform the work. That there may be some additional costs involved in solicitation and using MBEs and WBEs is not a sufficient reason for a Consultant's failure to meet the goals, as long as such costs are reasonable.
    - iv. Not rejecting MBEs or WBEs as being unqualified without sound reasons based on the thorough investigation of a their capabilities. The MBEs' or WBEs' standing within its industry, membership in specific groups, organizations, or associations and political or social affiliations are not legitimate cases for rejecting or not soliciting bids to meet the goals.
    - v. Making a portion of the work available to MBE or WBE subconsultants and suppliers and to select those portions of the work or material consistent with the available MBE or WBE subconsultants and suppliers, so as to facilitate meeting the goals.

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- vi. Making good faith efforts despite the ability or desire of a Consultant to perform the work of a contract with its own organization. A Consultant that desires to self-perform the work of a contract must demonstrate good faith efforts unless the goals have been met.
  - vii. Selecting portions of the work to be performed by MBEs or WBEs in order to increase the likelihood that the goals will be met. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MBE or WBE participation even when the Contract might otherwise prefer to perform these items with its own forces.
  - viii. Making efforts to assist interested MBEs or WBEs in obtaining bonding lines of credit or insurance as required by the Commission or Consultant.
  - ix. Making efforts to assist interested MBEs or WBEs in obtaining necessary equipment, supplies, materials or related assistance or services, including participation in a mentor-protégée program; and
  - x. Effectively using the services of the Commission; minority or women community organizations; minority or women Consultants' groups; local, state and federal minority or women business assistance offices; and other organizations to provide assistance in the recruitment and placement of MBEs or WBEs.
- b. In the event the Public Building Commission determines that the Consultant did not make a good faith effort to achieve the goals, the Consultant may file a dispute to the Executive Director as provided in Article XI of the Standard Terms and Conditions.

**9. Reporting and Record-Keeping Requirements**

- a. The Consultant, within 5 working days of contract award, must execute a formal subcontract or purchase order in compliance with the terms of the Consultant's bid proposal and MBE/WBE assurances, and submit to the Commission a copy of the MBE and WBE subcontracts or purchase orders, each showing acceptance of the subcontract or purchase order by the MBE and WBE firms. During the performance of the contract, the Consultant will submit waivers of lien from MBE and WBE subconsultants and suppliers indicating the current payment amount and the cumulative dollar amount of payments made to date. The Consultant will file regular MBE and WBE utilization reports on the form entitled "Status Report of MBE and WBE (Sub) Contract Payments" at the time of submitting each monthly Payment Estimate, which reflects the current status of cumulative and projected payments to MBE and WBE firms.
- b. The Consultant must maintain records of all relevant data with respect to the utilization of MBE and WBE firms, including without limitation payroll records, tax returns and records, and books of account in such detail as the Commission requires, and retain such records for a period of at least 3 years after final acceptance of the work. Full access to such records will be granted to the Commission and/or its designees, on 5 business days' notice in order for the Commission to determine the Consultant's compliance with its MBE and WBE commitments and the status of any MBE or WBE firm performing any portion of the contract.

**10. Disqualification of MBE or WBE**

- a. The Contract may be terminated by the Executive Director upon the disqualification of the Consultant as an MBE or WBE if the Consultant's status as an MBE or WBE was a factor in the award and such status was misrepresented by the Consultant.

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- b. The Contract may be terminated by the Executive Director upon the disqualification of any MBE or WBE if the Subconsultant's or supplier's status as an MBE or WBE was a factor in the award of the contract and the status of the subconsultant or supplier was misrepresented by the Consultant. If the Consultant is determined not to have been involved in any misrepresentation of the status of the disqualified subconsultant or supplier, the Consultant shall make good faith efforts to engage a qualified MBE or WBE replacement.

**11. Prohibition On Changes To MBE/WBE Commitments**

The Consultant must not make changes to its contractual MBE and WBE commitments or substitute such MBE or WBE subconsultants without the prior written approval of the Executive Director. Unauthorized changes or substitutions, including performing the work designated for a subconsultant with the Consultant's own forces, is a violation of this section and a breach of the contract with the Commission, and may cause termination of the contract for breach, and/or subject the Consultant to contract remedies or other sanctions. The facts supporting the request must not have been known nor reasonably should have been known by the parties prior to entering into the subcontract.

**12. MBE/WBE Substitution Requirements and Procedures**

- a. Arbitrary changes by the Consultant of the commitments earlier certified in the **Schedule D** are prohibited. Further, after once entering into each approved MBE and WBE sub-contract agreement, the Consultant shall thereafter neither terminate the subcontract, nor reduce the scope of the work to be performed by the MBE or WBE, nor decrease the price to the MBE or WBE, without in each instance receiving the prior written approval of the Executive Director. In some cases, however, it may become necessary to substitute a new MBE or WBE in order to actually fulfill the MBE or WBE requirements. In such cases, the Executive Director must be given reasons justifying the release by the Consultant of prior specific MBE or WBE commitments established in the contract, and will need to review the eligibility of the MBE or WBE presented as a substitute. The substitution procedure will be as follows:

- i. The Consultant must notify the Executive Director immediately in writing of an apparent necessity to reduce or terminate a MBE or WBE subcontract and to propose a substitute firm for some phase of work, if needed in order to sustain the fulfillment of the MBE/WBE contract requirements.
- ii. The Consultant's notification should include the specific reasons for the proposed substitution. Stated reasons which would be acceptable include any of the following reasons: a) Unavailability after receipt of reasonable notice to proceed; b) failure of performance; c) financial incapacity; d) refusal by the subconsultant to honor the bid or proposal price or scope; e) mistake of fact or law about the elements of the scope of work of a solicitation where a reasonable price cannot be agreed; f) failure of the subconsultant to meet insurance, licensing or bonding requirements; g) the subconsultant's withdrawal of its bid or proposal; or h) decertification of the subconsultant as MBE or WBE.

The Consultant's position must be fully explained and supported with adequate documentation. Stated reasons which will not be acceptable include: replacement firm has been recruited to perform the same work under terms more advantageous to the Consultant; issues about performance by the committed MBE or WBE were disputed (unless every reasonable effort has already been taken to have the issues resolved or mediated satisfactorily); an MBE or WBE has requested reasonable price escalation which may be justified due to unforeseen circumstances.

- iii. The Consultant's notification should include the names, address and principal official of any proposed substitute MBE or WBE and the dollar value and scope of work of the proposed subcontract. Attached should be all the same MBE/WBE affidavits, documents and Letters of Intent which are required of the proposed MBE or WBE firms.

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- iv. The Executive Director will evaluate the submitted documentation, and respond within fifteen (15) working days to the request for approval of a substitution. The response may be in the form of requesting more information, or requesting an interview to clarify or mediate the problem. In the case of an expressed emergency need to receive the necessary decision for the sake of job progress, the Executive Director will instead respond as soon as practicable.
  - v. Actual substitution of a replacement MBE or WBE to fulfill contract requirements must not be made before the Executive Director's approval is given of the acceptability of the substitute MBE or WBE. This subcontract must be executed within five (5) working days, and a copy of the MBE WBE subcontract with signatures of both parties to the agreement should be submitted immediately to the Executive Director.
  - b. The Executive Director will not approve extra payment for escalated costs incurred by the Consultant when a substitution of subconsultants becomes necessary for the Consultant in order to comply with MBE/WBE contract requirements.
  - c. No relief of the MBE/WBE requirements will be granted by the Executive Director except in exceptional circumstances. Requests for complete or partial waiver of the MBE/WBE requirements of this contract must be made in writing, stating all details of the request, the circumstances, and any additional relevant information. The request must be accompanied by a record of all efforts taken by the Consultant to locate specific firms, solicit MBE and WBE bids, seek assistance from technical assistance agencies, and other good faith efforts undertaken to achieve compliance with the MBE/WBE goals.
13. Non-Compliance
- a. The Executive Director has the authority to apply suitable sanctions to the Consultant if the Consultant is found to be in non-compliance with the MBE and WBE requirements. Failure to comply with the MBE or WBE terms of this contract or failure to use MBE or WBE firms as stated in the Consultant's assurances constitutes a material breach of the contract, and may lead to the suspension or termination of the contract in part or in whole. In some cases, monthly progress payments may be withheld until corrective action is taken.
  - b. When the contract is completed, if the Executive Director has determined that the Consultant did not comply in the fulfillment of the required MBE and/or WBE goals, and a grant of relief of the requirements was not obtained, the Commission will be damaged in the failure to provide the benefit of participation to minority or women business to the degree set forth in this Special Condition. In that case, the Commission may disqualify the Consultant from entering into future contracts with the Commission.
14. Severability
- a. If any section, subsection, paragraph, clause, provision or application of these Special Conditions is held invalid by any court, the invalidity of such section, paragraph, clause or provision will not affect any of the remaining provisions hereof.

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**SCHEDULE B - Joint Venture Affidavit (1 of 3)**

This form is not required if for a Joint Venture where all parties are certified MBE/WBE firms. In such case, however, a written Joint Venture agreement among the MBE/WBE firms should be submitted. Each MBE/WBE Joint Venturer must also attach a copy of their current certification letter.

1. Name of Joint Venture \_\_\_\_\_

2. Address of Joint Venture \_\_\_\_\_

3. Phone number of Joint Venture \_\_\_\_\_

4. Identify the firms that comprise the Joint Venture \_\_\_\_\_

A. Describe the role(s) of the MBE/WBE firm(s) in the Joint Venture. (Note that a "clearly defined portion of work" must here be shown as under the responsibility of the MBE/WBE firm.)

B. Describe very briefly the experience and business qualifications of each non-MBE/WBE Joint Venturer.

5. Nature of Joint Venture's business

6. Provide a copy of the Joint Venture agreement.

7. Ownership: What percentage of the Joint Venture is claimed to be owned by MBE/WBE? \_\_\_\_\_%

8. Specify as to:

A. Profit and loss sharing \_\_\_\_\_%

B. Capital contributions, including equipment \_\_\_\_\_%

C. Other applicable ownership interests, including ownership options or other agreements which restrict ownership or control.

D. Describe any loan agreements between Joint Venturers, and identify the terms thereof.

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**SCHEDULE B - Joint Venture Affidavit (2 of 3)**

9. Control of and participation in this Contract: Identify by name, race, sex, and "firm" those individuals (and their titles) who are responsible for day-to-day management and policy decision making, including, but not limited to, those with prime responsibility for:

A. Financial decisions: \_\_\_\_\_

B. Management decisions such as:

1. Estimating: \_\_\_\_\_

2. Marketing/Sales: \_\_\_\_\_

C. Hiring and firing of management personnel:   X   \_\_\_\_\_

D. Purchasing of major items or supplies: \_\_\_\_\_

E. Supervision of field operations: \_\_\_\_\_

F. Supervision of office personnel: \_\_\_\_\_

G. Describe the financial controls of the Joint Venture, e.g., will a separate cost center be established; which venturer will be responsible for keeping the books; how will the expense therefor be reimbursed; the authority of each Joint Venturer to commit or obligate the other. Describe the estimated contract cash flow for each Joint Venturer.

H. State approximate number of operational personnel, their craft/role and positions, and whether they will be employees of the majority firm or the Joint Venture.

10. Please state any material facts of additional information pertinent to the control and structure of this Joint Venture.



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**SCHEDULE B - Joint Venture Affidavit (3 of 3)**

THE UNDERSIGNED SWEAR THAT THE FOREGOING STATEMENTS ARE CORRECT AND INCLUDE ALL MATERIAL INFORMATION NECESSARY TO IDENTIFY AND EXPLAIN THE TERMS AND OPERATIONS OF OUR JOINT VENTURE AND THE INTENDED PARTICIPATION BY EACH JOINT VENTURER IN THE UNDERTAKING. FURTHER, THE UNDERSIGNED COVENANT AND AGREE TO PROVIDE TO THE PUBLIC BUILDING COMMISSION OF CHICAGO CURRENT, COMPLETE AND ACCURATE INFORMATION REGARDING ACTUAL JOINT VENTURE WORK AND THE PAYMENT THEREFOR AND ANY PROPOSED CHANGES IN ANY OF THE JOINT VENTURE AGREEMENTS AND TO PERMIT THE AUDIT AND EXAMINATION OF THE BOOKS, RECORDS, AND FILES OF THE JOINT VENTURE, OR THOSE OF EACH JOINT VENTURER RELEVANT TO THE JOINT VENTURE, BY AUTHORIZED REPRESENTATIVES OF THE COMMISSION. ANY MATERIAL MISREPRESENTATION WILL BE GROUNDS FOR TERMINATING ANY CONTRACT WHICH MAY BE AWARDED AND FOR INITIATING ACTION UNDER FEDERAL OR STATE LAWS CONCERNING FALSE STATEMENTS.

Note: If, after filing this Schedule B and before the completion of the Joint Venture's work on this Contract, there is any significant change in the information submitted, the Joint Venture must inform the Public Building Commission of Chicago, either directly or through the Consultant if the Joint Venture is a sub-consultant.

  X  

\_\_\_\_\_  
Name of Joint Venturer

\_\_\_\_\_  
Name of Joint Venturer

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Name

\_\_\_\_\_  
Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

State of Illinois County of \_\_\_\_\_

State of \_\_\_\_\_ County of X

On this January day of DuPage, 20\_\_

On this \_\_\_\_ day of \_\_\_\_\_, 20 16

before me appeared (Name)

before me appeared (Name)

\_\_\_\_\_  
to me personally known, who, being duly sworn,  
did execute the foregoing affidavit, and did state  
that he or she was properly authorized by

\_\_\_\_\_  
to me personally known, who, being duly sworn,  
did execute the foregoing affidavit, and did state  
that he or she was properly authorized by

(Name of Joint Venture)  
to execute the affidavit and did so as his or her  
free act and deed.

(Name of Joint Venture)  
to execute the affidavit and did so as his or her  
free act and deed.

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
Notary Public

Commission expires:

Commission expires:

(SEAL)

(SEAL)

**SCHEDULE C - Letter of Intent from MBE/WBE (1 of 2)**  
**To Perform As**  
**Subcontractor, Subconsultant, and/or Material Supplier**

Name of Project: \_\_\_\_\_

Project Number: \_\_\_\_\_

FROM:

\_\_\_\_\_ MBE \_\_\_\_\_ WBE \_\_\_\_\_

(Name of MBE or WBE)

TO:

\_\_\_\_\_ and Public Building Commission of Chicago

(Name of Bidder)

The undersigned intends to perform work in connection with the above-referenced project as (check one):

☐ \_\_\_\_\_ a Sole Proprietor

☐ \_\_\_\_\_ a Corporation

☐ \_\_\_\_\_ a Partnership

☐ \_\_\_\_\_ a Joint Venture

The MBE/WBE status of the undersigned is confirmed by the attached Letter of Certification, dated \_\_\_\_\_. In addition, in the case where the undersigned is a Joint Venture with a non-MBE/WBE firm, a Schedule B, Joint Venture Affidavit, is provided.

The undersigned is prepared to provide the following described services or supply the following described goods in connection with the above-named project.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

The above-described services or goods are offered for the following price, with terms of payment as stipulated in the Contract Documents.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**SCHEDULE C - Letter of Intent from MBE/WBE (2 of 2)**  
**To Perform As**  
**Subcontractor, Subconsultant, and/or Material Supplier**

**PARTIAL PAY ITEMS**

For any of the above items that are partial pay items, specifically describe the work and subcontract dollar amount:

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If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheet(s).

**SUB-SUBCONTRACTING LEVELS**

\_\_\_\_\_ % of the dollar value of the MBE/WBE subcontract will be sublet to non-MBE/WBE contractors.

\_\_\_\_\_ % of the dollar value of the MBE/WBE subcontract will be sublet to MBE/WBE contractors.

If MBE/WBE subcontractor will not be sub-subcontracting any of the work described in this Schedule, a zero (0) must be filled in each blank above. If more than 10% percent of the value of the MBE/WBE subcontractor's scope of work will be sublet, a brief explanation and description of the work to be sublet must be provided.

The undersigned will enter into a formal agreement for the above work with the Bidder, conditioned upon its execution of a contract with the Public Building Commission of Chicago, and will do so within five (5) working days of receipt of a notice of Contract award from the Commission.

BY:

\_\_\_\_\_  
Name of MBE/WBE Firm (Print)

\_\_\_\_\_  
Date

\_\_\_\_\_  
Phone

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Name (Print)

IF APPLICABLE:

BY:

\_\_\_\_\_  
Joint Venture Partner (Print)

\_\_\_\_\_  
Date

\_\_\_\_\_  
Phone

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Name (Print)

MBE \_\_\_\_ WBE \_\_\_\_ Non-MBE/WBE \_\_\_\_

**SCHEDULE D - Affidavit of General Contractor Regarding MBE/WBE Participation (1 of 2)**

Name of Project: \_\_\_\_\_

STATE OF ILLINOIS    }

                              } SS

COUNTY OF COOK    }

In connection with the above-captioned contract, I HEREBY DECLARE AND AFFIRM that I am the

\_\_\_\_\_

Title and duly authorized representative of

\_\_\_\_\_

Name of General Contractor whose address is

\_\_\_\_\_

in the City of \_\_\_\_\_, State of \_\_\_\_\_

and that I have personally reviewed the material and facts submitted with the attached Schedules of MBE/WBE participation in the above-referenced Contract, including Schedule C and Schedule B (if applicable), and the following is a statement of the extent to which MBE/WBE firms will participate in this Contract if awarded to this firm as the Contractor for the Project.

Name of MBE/WBE Contractor	Type of Work to be Done in Accordance with Schedule C	Dollar Credit Toward MBE/WBE Goals	
		MBE	WBE
		\$	\$
		\$	\$
		\$	\$
		\$	\$
		\$	\$
		\$	\$
		\$	\$
		\$	\$
<b>Total Net MBE/WBE Credit</b>		\$	\$
<b>Percent of Total Contract Value</b>		%	%

The Professional Service Provider may count toward its MBE/WBE goal a portion of the total dollar value of a contract with a joint venture equal to the percentage of the ownership and control of the MBE/WBE partner.

**SCHEDULE D - Affidavit of General Contractor Regarding MBE/WBE Participation (2 of 2)**

The undersigned will enter into a formal agreement for the above work with the above-referenced MBE/WBE firms, conditioned upon performance as Contractor of a Contract with the Commission, and will do so within five (5) business days of receipt of a notice of Contract award from the Commission.

BY:

\_\_\_\_\_  
Name of Contractor (Print)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name (Print)

\_\_\_\_\_  
Phone

IF APPLICABLE:

BY:

\_\_\_\_\_  
Joint Venture Partner (Print)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name (Print)

\_\_\_\_\_  
Phone/FAX

MBE \_\_\_\_ WBE \_\_\_\_ Non-MBE/WBE \_\_\_\_