



**Public Building Commission of Chicago**  
Richard J. Daley Center, Room 200  
50 W. Washington Street  
Chicago, Illinois 60602  
[www.pbcchicago.com](http://www.pbcchicago.com)

**PROFESSIONAL SERVICES  
AGREEMENT**

**INSURANCE BROKERAGE SERVICES  
(PS3097)**

**PUBLIC BUILDING COMMISSION OF CHICAGO**

**AND**

**ALLIANT INSURANCE SERVICES, INC.**

**FOR**

**INSURANCE BROKERAGE SERVICES  
PS3097**

**Public Building Commission of Chicago**  
Richard J. Daley Center, Room 200  
50 W. Washington Street  
Chicago, Illinois 60602  
[www.pbcchicago.com](http://www.pbcchicago.com)

<b>FIRM NAME:</b>	Alliant Insurance Services, Inc.
<b>CONTACT NAME:</b>	Valary Lewis (P&C) / Magnolia Abarca (EB)
<b>CONTACT TELEPHONE:</b>	Valary (312) 595-8672 / Magnolia (312) 595-6008
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<b>ADDRESS:</b>	353 North Clark Street Chicago, Illinois 60654

**Mayor Brandon Johnson**  
**Chairman**

Ray Giderof  
Executive Director

## TABLE OF CONTENTS

<u>Title</u>	<u>Page</u>
Execution Page .....	3
Terms and Conditions.....	5
Schedule A – General Scope of Services.....	14
Schedule B – Compensation of Consultant .....	17
Schedule B – Compensation of Consultant - Cost Proposal Sheet .....	18
Schedule C – Key Personnel.....	22
Exhibit A – Legal Actions.....	41
Exhibit B – Disclosure Affidavit.....	43
Exhibit C – Disclosure of Retained Parties .....	52
Exhibit D – Special Conditions Regarding the Utilization of MBE and WBE Firms.....	55
Exhibit E – Insurance Requirements.....	75

## **EXECUTION PAGE**

### **Insurance Brokerage Services – PS3097**

**THIS AGREEMENT ("Agreement")** effective as of September 9, 2025, but actually executed on the date witnessed, is entered into by and between the **Public Building Commission of Chicago**, a municipal corporation of the State of Illinois, having its principal office at Room 200, Richard J. Daley Center, 50 West Washington Street, Chicago, Illinois 60602, (the "**Commission**"), and Alliant Insurance Services, Inc. with offices at 353 North Clark Street, Chicago, Illinois 60654, (the "**Consultant**").

#### **Recitals:**

**WHEREAS**, the Commission intends to undertake from time to time the acquisition, demolition, renovation, development, construction and/or improvement of buildings, facilities and other improvements ("Project") located in the City of Chicago ("City") at the request of various governmental and public agencies ("User Agency").

**WHEREAS**, the Commission requires certain professional services as described in the Agreement (the "Services") in connection with the Projects undertaken by the Commission for the use and benefit of a User Agency and the Commission's operations.

**WHEREAS**, the Consultant desires to be retained by the Commission to perform the Services and has represented to the Commission that the Consultant is qualified and competent, by education and training, and has the knowledge, skill, experience and other resources necessary to perform the Services required by the Agreement in accordance with the terms and conditions of the Agreement.


**WHEREAS**, in reliance upon the Consultant's representations, Technical Competence, Key Personnel, and other information identified in the Request for Proposals response, the Commission has selected the Consultant to perform the Services on the terms and conditions set forth in this Agreement as modified from time to time by Task Order.

**NOW, THEREFORE**, the parties have executed this Agreement on the terms and conditions that follow:

EXECUTION PAGE

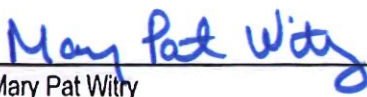
Insurance Brokerage Services – PS3097

PUBLIC BUILDING COMMISSION OF CHICAGO

  
\_\_\_\_\_  
Mayor Brandon Johnson  
Chairman

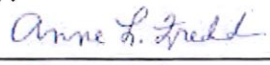
Date: 1/12/26

ATTEST:

  
\_\_\_\_\_  
Mary Pat Witry  
Secretary

Date: 12/30/2025

Approved as to form and legality:

  
\_\_\_\_\_  
Neal & Leroy, LLC

Date: 12/30/2025

CONSULTANT: Alliant Insurance Services, Inc.

  
\_\_\_\_\_  
President or Approved Signatory

Date: 12/19/2025

AFFIX CORPORATE  
SEAL, IF ANY, HERE



County of: Cook

State of: Illinois



Subscribed and sworn to before me by Michael Mackey  
on behalf of Consultant this 19<sup>th</sup> day of Dec, 2025

  
\_\_\_\_\_  
Notary Public

My Commission expires: \_\_\_\_\_

(SEAL OF NOTARY)



## TERMS AND CONDITIONS

1. **Recitals.** The Recitals set forth on the Execution Page of this Agreement are hereby incorporated herein by reference.
2. **Definitions.** The herein words and phrases have the following meanings for purposes of this Agreement.
  - a. **Agreement** means this Professional Services Agreement for the Services described herein, including all schedules, exhibits or documents attached hereto and/or incorporated by reference herein, and all amendments, supplements, contracts, agreements or Task Orders made in accordance with the terms hereof.
  - b. **Authorized Commission Representative(s)** means one or more persons designated in writing by the Executive Director for purposes of assisting the Commission in managing and implementing the Project. As directed by the Commission, the Authorized Commission Representative will act on behalf of the Commission.
  - c. **Commission** means the Public Building Commission of Chicago, a municipal corporation organized under the Public Building Commission Act of the State of Illinois, as amended, or its duly authorized officers or employees.
  - d. **Consultant** means the company or other entity identified in this Agreement, and such successors or assigns, if any, as may be authorized to perform the Services required by the terms and conditions of this Agreement.
  - e. **Deliverables** means the documents, in any format (electronic or hard copy) requested by the Commission, including without limitation plans, reports, forms, recommendations, and analyses, that the Consultant is required under this Agreement to provide to the Commission.
  - f. **Equipment** means the articles or physical resources, tangible or intangible, enabling the Commission to implement the Projects and Consultant to perform the Services required under this Agreement.
  - g. **Executive Director** means the person employed by the Commission as its Executive Director or the duly authorized representative thereof.
  - h. **Include** means that whenever the term "include" (in any of its forms) is used, it means "include, without limitation."
  - i. **Key Personnel** means those job titles and persons as identified in such positions in this Agreement and accepted by the Commission.
  - j. **"OCDM" (or "the System")** means the COMMISSION's designated On-line Collaboration and Document Management System established and maintained by the Commission for electronic submission and receipt of documents and reports. It shall be used by the Consultant to track the Work, manage Project(s), and follow the Commission's procedures for electronic submission and receipt of documents as directed by the Commission Representative.
  - k. **Parties.** Commission and Consultant and their respective successors and assigns.
  - l. **Services** means collectively, the duties, responsibilities and tasks that are necessary in order for the Consultant to provide the requested Services required by the Commission under Section V of the Request for Proposals response and the assigned Task Order.
  - m. **Sub-consultant or Subcontractor** means a partnership, firm, corporation or entity other than the Consultant hired by the Consultant to perform professional services including, but not limited to: labor, materials and/or equipment, related to the performance of the Services and/or improvement of the Project.
  - n. **Task Order** means a document issued by the Commission to the Consultant pursuant to this Agreement that authorizes, in writing, Services and/or Deliverables to be provided by the Consultant, together with any applicable exhibits, matrixes, or schedules, a timetable for Deliverables, and the fees attributable to the Services and Deliverables described in the Task Order.
3. **Usage and Conventions.** Captions and Headings. The captions and headings of the various sections of the Agreement are used solely for reference purposes and do not construe, nor will they be deemed or used to construe, interpret, limit, or extend the meaning or scope of any work, clause, paragraph, or provision of the Agreement.
  - a. The term "include," in all its forms, means "include, without limitation" unless stated otherwise.

- b. The words "hereof", "herein" and "hereunder" and words of like import used in this Agreement shall refer to this entire Agreement and not to any particular provision hereof.
  - c. The headings and captions herein are included for convenience of reference only and shall be ignored in the construction or interpretation hereof.
  - d. The word "extent" in the phrase "to the extent" shall mean the degree to which a subject or other theory extends, and such phrase shall not mean "if".
  - e. When calculating the period of time before which, within which or following which any act is to be done or step taken pursuant to this Agreement, the date that is the reference date in calculating such period shall be excluded. If the last day of such period is a non-Business Day, the period in question shall end on the next succeeding Business Day.
  - f. The words "any", "either" or "or" are not exclusive, unless the context otherwise requires.
  - g. References to any statute shall be deemed to refer to such statute as amended from time to time and to any rules or regulations promulgated thereunder.
  - h. References to any agreement or contract are to that agreement or contract as amended, modified, or supplemented from time to time in accordance with the terms thereof.
  - i. References to any person include the successors and permitted assigns of that person.
  - j. References from or through any date mean, unless otherwise specified, from and including or through and including, respectively.
  - k. References to "\$" are to United States Dollars.
  - l. Terms of one gender imply the other gender(s) unless the context clearly indicates otherwise. Use of the singular includes the plural and vice versa.
4. **Incorporation of Documents.** The Resolution passed by the Board of Commissioners of the Commission on October 1, 2009, concerning utilization of minority business enterprises ("MBE") and women business enterprises ("WBE"), as the same may be revised and/or amended and/or updated from time to time, is hereby incorporated in and made a part of this Agreement. By executing this Agreement, Consultant acknowledges and agrees that Consultant is familiar with the contents of such Resolution and will comply fully with all applicable portions thereof in performing the Services.
- a. **Compliance with Policies Concerning MBE and WBE.** Without limiting the generality of the requirements of the policies of the Commission referred to in Section 4 and elsewhere, including Exhibit D, the Consultant will use every reasonable effort to utilize minority business enterprises and women business enterprises for a minimum of 25% MBE and 5% WBE participation of the value of the Services, in accordance with the Resolution passed by the Board of Commissioners of the Commission on October 1, 2004, and the Amended Resolution passed on June 12, 2012, concerning participation of minority business enterprises and women business enterprises on contracts, other than construction contracts, awarded by the Commission and to furnish to the Commission, such reports and other information concerning compliance with such Resolution as may be requested by the Commission from time to time.
  - b. Any and all Procurement Documentation (including by not limited to: Addenda, Exhibits, Forms, Schedules, etc.) are expressly incorporated in this Agreement.
5. **Engagement and Standards for Performing Services.**
- a. **Engagement.** The Commission hereby engages the Consultant, and the Consultant hereby accepts such engagement, to provide the Services described in this Agreement, as the same may be amended, in writing, from time to time by mutual agreement of the Commission and the Consultant.
  - b. **Performance Standard.** The Consultant represents and agrees that the Services performed under this Agreement will proceed with efficiency, promptness and diligence and will be executed in a competent and thorough manner, in accordance with reasonable professional standards in the field consistent with that degree of skill and care ordinarily exercised by organizations providing Key Personnel performing services of a scope, purpose, and magnitude comparable with the Services to be performed under this Agreement. If in

the course of performing the Services, Consultant identifies any condition, situation, issue or problem that may impact the performance of the Services or the Project, Consultant shall promptly provide notice to the Commission.

- c. **Key Personnel.** The Consultant will use personnel suitably qualified and experienced to perform the Services in accordance with the requirements of this Agreement. To the extent required by law, each person assigned to perform any part of the Services shall be suitably licensed or certified to perform such obligations. Consultant must maintain current copies of any such licenses and provide such copies, upon request, to the Commission. The personnel identified in Schedule C ("Key Personnel") will be primarily responsible for carrying out the Services on behalf of the Consultant. The Key Personnel cannot be changed without the Commission's prior written approval. The Consultant must not reassign or replace Key Personnel without the written consent of the Commission. The Commission may at any time, in writing, notify Consultant that the Commission will no longer accept performance of Services under this Agreement by one or more Key Personnel listed in Schedule C of this Agreement. Upon the Consultant's receipt of such notice, the Consultant must immediately suspend the Key Person or Key Personnel from performing services under this Agreement and must replace him or her with a person possessing comparable professional credentials and experience. Such replacements are subject to prior approval by the Commission. Consultant remains responsible for the professional and technical accuracy of all Services and Deliverables furnished, whether by the Consultant or others on its behalf. Consultant must at all times use its best efforts on behalf of the Commission to assure timely and satisfactory rendering and completion of the Services. Consultant must perform all Services in accordance with the terms and conditions of this Agreement, to the reasonable satisfaction of the Commission. All Deliverables must be prepared in a format satisfactory to the Commission and delivered in a timely manner consistent with the requirements of this Agreement and the assigned Task Order.
- d. **Independent Contractor.** In performing the Services under this Agreement, Consultant shall at all times be an independent contractor and does not and must not act or represent itself as an agent or employee of the Commission or the User Agency. As an independent contractor, Consultant is solely and wholly responsible for determining the means and methods for performing the Services. The Agreement will not be construed as an agreement of partnership, joint venture, or agency.
- e. **Limitations on Sub-Consultants and Subcontractors.** Consultant must not use any business or individual who is disqualified by the Commission or debarred under any other governmental agency's procedures to provide the Services under the Agreement.
- f. **Failure to Meet Performance Standard.** If the Consultant fails to comply with its obligations under the standards of the Agreement, the Consultant must perform again, at its own expense, all Services required to be re-performed as a direct or indirect result of that failure. Any review, approval, acceptance or payment for any of the Services by the Commission does not relieve Consultant of its responsibility to render the Services and Deliverables with the professional skill and care and technical accuracy required by the Agreement. This provision in no way limits the Commission's rights against the Consultant, either under the Agreement, at law or in equity.
- g. **Changes to the Services.** The Commission may from time to time, request changes to the terms of the Agreement, Task Order or the Services of the Consultant to be performed hereunder. Such changes, including any increase or decrease in the amount of compensation and revisions to the duration of the Services or timetable for Deliverables, which are mutually agreed upon by and between the Commission and Consultant, shall be incorporated in a written amendment to this Agreement or the Task Order. The Commission shall not be liable for any changes absent such written amendment.

## **6. Task Orders**

- a. **Task Order Service Requests.** During the term of the Agreement, the Commission may issue one or more requests or solicitations for specific Services to be performed under this Agreement (a "Task Order Service Request" or "TOSR" or "RFP"). Each Task Order Request will identify the Project, describe the specific Services to be performed, the desired completion date, and any other information or documents to be provided to the Consultant in order to respond to the Task Order Service Request.
- b. **Task Order Proposals.** Consultant must submit to the Commission a written response to the Task Order Service Request by providing the information and documents requested (the "Task Order Proposal"). The Task Order

Proposal will propose a schedule, budget, Deliverables, a list of technical personnel who will perform the Services and any other information or documents listed in the Task Order Service Request. The Task Order Proposal must be submitted within the time specified in the Task Order Service Request. Any costs associated with the preparation of such Task Order Proposal are not compensable under the Agreement and the Commission is not liable for any such costs or fees incurred by the Consultant or its Subcontractors or Subconsultants to prepare the Task Order Proposal.

- c. **Review Process.** The Commission will review the Task Order Proposal and may elect to approve it, reject it, or use it as a basis for further negotiations with the Consultant regarding the Task Order and specific Services to be performed and/or Deliverables to be provided. If the Commission and Consultant negotiate changes to the Task Order regarding the specific Services and/or Deliverables to be provided, Consultant must submit a revised Task Order Proposal (based upon such review procedures) to the Commission.
  - d. **Notice of Approval of Task Orders.** All Task Orders are subject to the written approval of the Commission and no Task Order will become binding upon the Commission until it is approved in writing by the Executive Director (or designee). Absent approval of a Task Order, as described below, the Commission will not be obligated to pay or have any liability to Consultant or its Subcontractors or Subconsultants for any Services or Deliverables provided by Consultant pursuant to such Task Order Proposal.
  - e. **No Obligation.** Consultant acknowledges and agrees that the Commission is under no obligation to issue any Task Orders, and that it is within the Commission's discretion whether to include Consultant in any solicitation for Task Order Proposals.
7. **Representations and Warranties.** Consultant represents, warrants and covenants that (a) it will comply with all laws and regulations in performing the Services; (b) it will perform the Services in accordance with the terms and conditions of the this Agreement in a professional and workmanlike manner consistent with best industry standards and practices; (c) it possesses all right, power and authority to enter into this Agreement; (d) all Deliverables shall be original works of Consultant or that Consultant shall have all rights necessary to provide such Deliverables; and (e) neither the Services, Deliverables or any other materials or any part thereof, provided to the Commission shall infringe any patent, copyright, trademark, trade secret or other proprietary right of a third party. If any Services performed by Consultant fail to meet the above warranties, then without limiting any other remedies at law or in equity, Consultant shall promptly correct or re-perform any such affected Services at no cost to the Commission. Further, Consultant acknowledges that the Commission has entered or will enter into agreements with third-party vendors to provide a third-party data hosting site and a disaster recovery site. Consultant agrees to abide by all rules, regulations and other requirements prescribed by such third-party vendors in order to gain access to the Equipment and perform the Services required by this Agreement.

8. **Duties and Obligations of Consultant.**

- a. **Nondiscrimination.** The Consultant agrees that in performing this Agreement it shall not discriminate against any worker, employee or applicant for employment, or any member of the public, because of race, creed, gender, color, national origin or disability, or otherwise commit an unfair labor practice. Attention is called to applicable provisions of the Civil Rights Act of 1964, 88-352, July 2, 1964, 78 Stat. 241 et. Seq., the Americans with Disabilities Act of 1990, 42 U.S.C. 12010 et. Seq., the Age Discrimination Act, 43 U.S.C. Sec. 6101-6106 (1981); Illinois Human Rights Act 775 ILCS 5/1-101 et. Seq. and the Public Works Employment Discrimination Act 775 ILCS 10/0.0 1 through 10/20, the Chicago Human Rights Ordinance, Chapter 2-160, Section 2-160-010 et seq. of the Municipal Code (1990), as amended, and a Resolution passed by the Board of Commissioners of the Public Building Commission of Chicago on October 1, 2009, concerning participation of Minority Business Enterprises and Women Business Enterprises on contracts awarded by the Commission. The Consultant will furnish such reports and information as requested by the Commission or the Illinois Department of Human Relations or any other administrative or governmental entity overseeing the enforcement, administration or compliance with the above referenced laws and regulations.
- b. **Employment Procedures, Preferences and Compliances.** Salaries of employees of the Consultant performing work under this Agreement shall be paid unconditionally and not less often than once a month without deduction or rebate on any account except only such payroll deductions as are mandatory or permitted by the applicable law or regulations. Attention is called to [Illinois Compiled Statutes, 1992 relating to Wages and Hours including 820 ILCS 130/0.01 through 130/12 thereof (Prevailing Wage Act), 30 ILCS 570/1 through

570/7 (Employment of Illinois Workers on Public Works Act) and 30 ILCS 560/0.01 through 560/7 (Public Works Preference Act).} The Consultant shall comply with all applicable "Anti- Kickback" laws and regulations, including the "Anti-Kickback" Act of June 13, 1934 (48 Stat. 948; 62 Stat. 740; 63 Stat. 108; 18 U.S.C. § 874; 40 U.S.C. § 276c) and the Illinois Criminal Code of 1961 720 ILCS 5/33E-1 et. seq. If, in the performance of this Agreement, there is any direct or indirect kickback, the Commission shall withhold from the Consultant, out of payments due to it, an amount sufficient to pay employees underpaid the difference between the salaries required hereby to be paid and the salaries actually paid such employees for the total number of hours worked. The amounts withheld shall be disbursed by the Commission for and on account of the Consultant to the respective employees to whom they are due.

- c. **Ethics.** The Consultant has read and agrees to comply with all provisions of the Code of Ethics Resolution passed by the Commission on October 3, 2011, which is available on the Commission's website at [https://www.pbcchicago.com/wp-content/uploads/2017/05/RES\\_PBC\\_ecl\\_CodeofEthicsConsolApril-2013\\_20130405.pdf](https://www.pbcchicago.com/wp-content/uploads/2017/05/RES_PBC_ecl_CodeofEthicsConsolApril-2013_20130405.pdf) and is incorporated into this Agreement by reference. Any contract negotiated, entered into, or performed in violation of any of the provisions of this Section will be voidable by the Commission.
- d. **Inspector General.** The Consultant and its subconsultants, including all officers, directors, agents, partners and employees of such entities shall cooperate with the Inspector General of the Public Building Commission in any investigation or hearing undertaken pursuant to Public Building Commission Resolution 7576 adopted by the Board of Commissioners of the Public Building Commission of Chicago on October 1, 2010. On projects funded by the City of Chicago, the Consultant and its subconsultants, including all officers, directors, agents, partners and employees of any such entities, shall cooperate with the Inspector General of the City in any investigation or hearing undertaken pursuant to Chapter 2-56 of the Chicago Municipal Code. Each Consultant understands and will abide by all provisions of Chapter 2-56 of the Municipal Code of Chicago. All Consultants will inform their respective subconsultants of this provision and require compliance herewith. Consultant shall cooperate and comply with the Inspector General of the User Agency in any investigation or hearing undertaken pursuant to the enabling ordinance or resolution pertaining to the authority of such Inspector General that has been promulgated by such User Agency.
- e. **Delays.** The Consultant agrees that no charges for damages or claims for damages shall be asserted by it against the Commission for any delays or hindrances from any cause whatsoever during the progress of any portion of the Services. Such delays or hindrances, if any, shall be compensated for by an extension of time to complete the Services, for such reasonable period as may be mutually agreed upon between the parties, it being understood, however, that the agreement of the Commission to allow the Consultant to complete the Services or any part of them after the time provided for the completion thereof herein shall in no way operate as a waiver on the part of the Commission of any of its rights hereunder.
- f. **Records.** The Consultant shall maintain accurate and complete records of expenditures, costs and time incurred by Consultant in connection with the Project and the Services. Such records shall be maintained in accordance with recognized commercial accounting practices. The Commission may examine such records at Consultant's offices upon reasonable notice during normal business hours. Consultant shall retain all such records for a period of not less than five calendar years after the termination of this Agreement.
- g. **OCDM System.** The Commission may require the Consultant to use the Commission's electronic document management system in performing the Services and the assigned Task Order. At the direction of the Commission, the Consultant must follow the OCDM procedures and submit progress reports and other Deliverables through the OCDM System. The Consultant must attend courses and receive training on the OCDM System provided by or on behalf of the Commission. Any costs incurred by the Consultant as a result of the attendance of the Consultant's personnel at OCDM System courses are not compensable by the Commission.
- h. **Time of Essence.** The Consultant acknowledges and agrees that time is of the essence in the performance of this Agreement and that timely completion of the Services is vital to the completion of the Project by the Commission. Consultant agrees to use its best efforts to expedite performance of the Services and the assigned Task Order and performance of all other obligations of the Consultant under this Agreement and any other agreement entered into by the Commission which are managed or administered by the Consultant as a result of the Consultant's engagement hereunder.
- i. **Compliance with Laws.** In performing its engagement under this Agreement, the Consultant shall comply with

all applicable federal, state and local laws, rules and regulations including but not limited to, those referenced in subparagraphs (a) and (b) above.

- j. **Progress Meetings.** Meetings to discuss the progress of the Project and/or to review the performance of the Consultant may be scheduled upon the Commission's request, at mutually agreeable times and locations, and the Consultant agrees to cause such meetings to be attended by appropriate personnel of the Consultant engaged in performing or knowledgeable of the Services.
8. **Term.** The initial term of this Agreement shall be three (3) years with an option for two (2) additional one (1) year terms which may be exercised at the sole discretion of the Commission; but in any case, the duration of the Agreement shall continue through final acceptance of any/all Project Deliverables. This Agreement may be terminated by the Commission, with or without cause, upon thirty (30) days' notice to the Consultant and, provided further, that this Agreement may be terminated at any time during the term by mutual agreement of the parties.
- a. The Commission shall have the right, at any time, to terminate the term of this Agreement, with or without cause, by notice given to the Consultant at least thirty (30) days prior to the effective date of termination. In addition, the Commission shall have the right, at any time and from time to time, with or without cause, to suspend the performance of the Consultant hereunder with respect to all or any part of the Services, by written notice given to the Consultant at least five (5) days prior to the effective date of suspension. Termination or suspension of this Agreement shall not relieve the Consultant from liability for the performance of any obligation of the Consultant under this Agreement performed or to have been performed by the Consultant on or before the effective date of termination or suspension. Provided the Consultant is not in default under this Agreement at the time of termination or suspension, the Commission agrees to pay to the Consultant, in accordance with the terms of this Agreement, all compensation and reimbursements due to the Consultant for periods up to the effective date of termination or suspension. In no event shall the Commission be liable to the Consultant for any loss, cost or damage which the Consultant or any other party may sustain by reason of the Commission terminating or suspending this Agreement as provided herein; provided, however, that the Commission may, in its sole discretion, reimburse the Consultant for actual expenses approved by the Commission.
  - b. If the Project, in whole or substantial part, is stopped for a period longer than thirty (30) days under an order of any court or other governmental authority having jurisdiction of the Project, or as a result of an act of government, such as a declaration of national emergency making materials unavailable, through no act or fault of the Consultant, or if the Commission fails to make any payment or perform any other obligation hereunder, the Consultant shall have the right to terminate this Agreement, by written notice given to the Commission at least seven (7) days prior to the effective date of termination, and shall have the right to recover from the Commission all compensation and reimbursements due to the Consultant for periods up to the effective date of termination.
9. **Compensation of Consultant; Submission of Invoices through OCDM.** The compensation to be paid by the Commission during the term of this Agreement shall not exceed the sum of One Hundred Twenty-one Thousand Five Hundred Dollars (\$121,500.00) per year. The Commission shall compensate the Consultant for the Services in the manner set forth in Schedule B of this Agreement, or as modified by Task Order, or other written authorization. The Consultant shall submit all invoices, no more frequently than once every thirty (30) days, in electronic format using the OCDM System. All submitted invoices shall include a cover page as provided by the Commission and the assigned Task Order or other number as directed by the Commission. Failure to submit invoices through OCDM will result in delayed or non-payment to the Consultant.
10. **Rights and Obligations of Commission.** In connection with the administration of the Project by the Commission and the performance of this Agreement by the Consultant, the Commission shall have the following rights and obligations, in addition to those provided elsewhere in this Agreement:
- a. **Information.** The Commission shall provide the Consultant all reasonably requested information concerning the Commission's requirements for the Project and the Services.
  - b. **Review of Documents.** Subject to the provisions of the Agreement, the Commission agrees to make a reasonable effort to examine documents submitted by the Consultant and render decisions pertaining thereto with reasonable promptness.
  - c. **Site Data.** To the extent the Commission determines to be necessary for the Consultant to perform the Services, the Commission may furnish to the Consultant information concerning the nature of the Project,

existing conditions and other data or reports pertaining to the site and the proposed development thereof.

- d. **Tests and Reports.** The Commission may also furnish structural, civil, chemical, mechanical, soil mechanical and/or other tests and reports if determined by the Commission in its sole discretion to be necessary in order for the Consultant to perform the Services.
  - e. **Legal, Auditing and other Services.** The Commission shall arrange and pay for such legal, auditing, insurance counseling and other services as the Commission, in its sole discretion, may determine to be required for the Consultant to perform the Services. Such payments shall not include legal or auditing expenses arising out of or relating to any errors or omissions, or claimed errors or omissions, of Consultant.
  - f. **Designated Representatives.** The Commission may designate, at its sole discretion, one or more representatives authorized to act on its behalf.
  - g. **Ownership of Documents.** All documents, data, studies and reports prepared by the Consultant, or any party engaged by the Consultant, pertaining to the Services shall be the property of the Commission, including copyrights.
  - h. **Audits.** The Commission shall have the right to audit the books and records of the Consultant on all subjects relating to the Services.
- 11. Indemnification of Commission and Third-Party Vendors.** The Consultant hereby agrees to indemnify, defend, keep and save harmless the Commission and the User Agency and their respective commissioners, board members, officers, agents, officials and employees and any third-party hosting site (or disaster recovery site) from and against all claims, demands, suits, losses, costs and expenses, including but not limited to, the fees and expenses of attorneys, that may arise out of or be based on any injury to persons or property that is or is claimed to be the result of an error, omission or act of the Consultant or any person employed by the Consultant to the maximum extent permitted by applicable law.
- 12. Insurance to be Maintained by Consultant.** The Consultant shall purchase and maintain at all times during the performance of Services hereunder, for the benefit of the Commission, and the Consultant, insurance coverage as set forth in Exhibit E – Insurance Requirements.
- 13. Default.**
- a. **Events of Default.** Any one or more of the following occurrences shall constitute an Event of Default under this Agreement:
    - i. Failure or refusal on the part of the Consultant duly to observe or perform any obligation or Agreement on the part of the Consultant contained in this Agreement, which failure or refusal continues for a period of ten (10) days (or such longer period as the Commission, in its sole discretion, may determine if such failure is not capable of being cured within such ten (10) day period) after the date on which written notice thereof shall have been given to the Consultant by the Commission;
    - ii. Failure of Consultant to perform the Services to the standard of performance set forth in this Agreement;
    - iii. Any representation or warranty of the Consultant set forth herein or otherwise delivered pursuant to this Agreement shall have been false in any material respect when so made or furnished;
    - iv. The Consultant becomes insolvent or ceases doing business as a going concern, or makes an assignment for the benefit of creditors, or generally fails to pay, or admits in writing its inability to pay, its debts as they become due, or files a voluntary petition in bankruptcy, or is adjudicated a bankrupt or an insolvent, or files a petition seeking for itself any reorganization, arrangement, composition, readjustment, liquidation, dissolution, or similar arrangement under any present or future statute, law or regulation relating to bankruptcy or insolvency, or files an answer admitting the material allegations of a petition filed against it in any such proceeding, or applies for, consents to or acquiesces in the appointment of a trustee, receiver, liquidator or other custodian of it or of all or any substantial part of its assets or properties, or if it or its principals shall take any action in furtherance of any of the foregoing; or
    - v. There shall be commenced any proceeding against the Consultant seeking reorganization, arrangement, readjustment, liquidation, dissolution or similar relief under any present or future statute, law or regulation relating to bankruptcy which is not vacated, stayed, discharged, bonded or dismissed within sixty (60) days

thereof, or there shall be appointed, without the Consultant's consent or acquiescence, any trustee, receiver, liquidator or other custodian of all or any substantial part of the Consultant's assets and properties, and such appointment shall not have been vacated, stayed, discharged, bonded or otherwise dismissed within sixty (60) days thereof.

- vi. Failure of the Consultant to comply at all times with the requirements of relevant Federal, State, and Municipal Codes, Rules, Regulations, including but not limited to Chicago Municipal Code Section 4-6-250 and Chicago Municipal Code Section 4-6-260.
  - b. **Remedies.** If an Event of Default shall occur and be continuing, then the Commission may exercise any right, power or remedy permitted to it by law or in equity and shall have, in particular, without limiting the generality of the foregoing, the right to terminate this Agreement upon written notice to the Consultant, in which event the Commission shall have no further obligations hereunder or liability to the Consultant except as to payment for Services actually received and accepted by the Commission through the effective date of termination. No course of dealing on the part of the Commission or delay or failure on the part of the Commission to exercise any right shall operate as a waiver of such right or otherwise prejudice the Commission's rights, powers or remedies.
  - c. **Remedies not Exclusive.** No right or remedy herein conferred upon or reserved to the Commission is exclusive of any right or remedy herein or by law or equity provided or permitted, but each shall be cumulative of every other right or remedy given hereunder or now or hereafter existing at law or in equity or by statute or otherwise, and may be enforced concurrently therewith or from time to time.
14. **Confidentiality.** All of the reports, information, or data prepared or assembled by the Consultant under this Agreement are confidential, and the Consultant agrees that such reports, information or data shall not be made available to any party without the prior written approval of the Commission. In addition, the Consultant shall not, without the prior written consent of the Commission, prepare or distribute any news releases, articles, brochures, advertisements or other materials concerning this Agreement, the Project, the Services or any assigned Task Order. Consultant acknowledges that it is entrusted with or has access to valuable and confidential information and records of the Commission and User Agency. Consultant must at all times act in the best interests of the Commission and User Agency consistent with the professional obligations assumed by Consultant in entering into this Agreement. Consultant promises to cooperate with the officials, employees and agents of the Commission and User Agency in furthering the Commission's and User Agency's interests.
15. **Assignment.** The Consultant acknowledges that the Commission is induced to enter into this Agreement by the personal qualifications of the principals, staff and employees of the Consultant and agrees, therefore, that neither this Agreement nor any right or obligation hereunder may be assigned, sold, gifted, or bargained for by the Consultant, in whole or in part, without the prior written approval of the Commission. The Commission expressly reserves the right to assign or otherwise transfer all or any part of its interests hereunder without the consent or approval of the Consultant.
16. **Personnel.** The Consultant further acknowledges that the Consultant has represented to the Commission the availability of certain members of the Consultant's staff who will be assigned to the Project, and agrees, therefore, that in the event of the unavailability of such members, the Consultant shall so notify the Commission in writing, and, upon the approval of the Executive Director, shall assign other qualified members of the Consultant's staff, to the Project.
17. **Relationship of Parties.** The relationship of the Consultant to the Commission hereunder is that of an independent contractor, and the Consultant, except to the extent expressly provided to the contrary in this Agreement, shall have no right or authority to make contracts or commitments for or on behalf of the Commission, to sign or endorse on behalf of the Commission any instruments of any nature or to enter into any obligation binding upon the Commission. This Agreement shall not be construed as an agreement of partnership, joint venture, or agency.
18. **Miscellaneous.**
- a. **Counterparts.** This Agreement may be executed in any number of counterparts, any of which shall be deemed an original.
  - b. **Entire Agreement.** This Agreement constitutes the entire understanding and Agreement between the parties hereto and supersedes any and all prior or contemporaneous oral or written representations or communications



with respect to the subject matter hereof, all of which communications are merged herein. This Agreement shall not be modified, amended or in any way altered except by a duly authorized Task Order or other instrument in writing signed by both of the parties hereto.

- c. **Force Majeure.** Neither of the parties shall be liable to the other for any delay or failure in performance hereunder due to causes which are beyond the control of the party unable to perform. Examples of such causes may include, but are not limited to, fires, floods, earthquakes, epidemics, pandemics, quarantine restrictions, labor strikes, etc. If a force majeure occurs, the party delayed or unable to perform shall give prompt notice to the other party, and the Commission may, at any time during the continuation of the force majeure event, elect to suspend the performance of the Consultant under this Agreement for the duration of the force majeure. The Commission shall not be obligated to pay for Services to the extent and for the duration that performance thereof is delayed or prevented by force majeure, but, provided the Consultant is not in default of any obligation of the Consultant hereunder, the Commission shall pay to the Consultant, according to the terms hereof, all compensation and reimbursements due to the Consultant for periods up to the effective date of suspension.
- d. **Governing Law.** This Agreement has been negotiated and executed in the State of Illinois and shall be construed under and in accordance with the internal laws of the State of Illinois.
- e. **No Waiver.** The waiver by either party of any breach of this Agreement shall not constitute a waiver as to any succeeding breach.
- f. **Notices.** All notices required to be given hereunder shall be given in writing and shall be hand delivered or sent by United States certified or registered mail, postage prepaid, addressed to Commission and to the Consultant at their respective addresses set forth above. If given as herein provided, such notice shall be deemed to have been given on the date of delivery, if delivered by hand, and on the second business day after mailing, if given by mail. The Commission or the Consultant may, from time to time, change the address to which notices hereunder shall be sent by giving notice to the other party in the manner provided in this subparagraph.
- g. **Severability.** In the event that any provisions of this Agreement shall be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired thereby.
- h. **Successors and Assigns.** Except as otherwise provided herein, this Agreement shall be binding upon and inure to the benefit of each of the parties hereto and their respective successors and assigns.
- i. **Consultant's Authority.** Execution of this Agreement by the Consultant is authorized by a resolution of its Board of Directors, if a corporation, or similar governing document if a partnership or a joint venture, and the signatures(s) of each person signing on behalf of the Consultant have been made with complete and full authority to commit the Consultant to all terms and conditions of this Agreement, including each and every representation, certification and warranty contained or incorporated by reference in it.
- j. **Non-liability of Public Officials.** No Board member, employee, agent, officer or official of the Commission or the User Agency is personally liable to the Consultant of its Subcontractors or Subconsultants, and the Consultant and its Subcontractors and Subconsultants are not entitled to charge any of them with liability or expenses or hold them personally liable to Consultant and its Subcontractors and Subconsultants under this Agreement.

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## SCHEDULE A – GENERAL SCOPE OF SERVICES

### Insurance Brokerage Services

#### Intent

The Commission requires insurance brokerage services to design, market, place, and administer broad insurance coverage at a reasonable cost. The Consultant will provide the Commission with various insurance broker services and consulting services consisting of the following generalized categories and descriptions. Services will include, but are not limited to, the following:

1. Review and analyze operations and exposures;
2. Advise on coverage options, deductibles, networks, etc. for greatest impact on savings;
3. Prepare applications and other insurance policy specifications, and review with Commission prior to submitting information to underwriters;
4. Design, market and implement coverage including but not limited to the following at the most reasonable cost and broadest affordable terms:
  - a. **Category One**
    - i. Liability (primary, excess, and umbrella);
    - ii. Property;
    - iii. Workers' Compensation; and
    - iv. Other coverage and consulting services (as needed) recommended by Respondent or developed in collaboration with Risk Management.
  - b. **Category Two**
    - i. Crime;
    - ii. Bonds;
    - iii. Directors' & Officers' Liability; and
    - iv. Other coverage and consulting services (as needed) recommended by Respondent or developed in collaboration with Risk Management.
  - c. **Category Three**
    - i. Medical;
    - ii. Dental;
    - iii. Vision;
    - iv. Life;
    - v. Ancillary Employee Benefits (Legal, Accident, Flexible Spending, etc.); and
    - vi. Other coverage and consulting services (as needed) recommended by Respondent or developed in collaboration with Risk Management.
5. In collaboration with the Commission's Risk Management and/or Human Resources, develop a plan to establish activities, deliverables, and other matters pertinent to the requested services;
6. Identify those markets that are able to provide the insurance and services desired;
7. Develop the specification package for coverage noted above, presenting the risk exposure and other information in a form acceptable to underwriters;
8. Present insurance specifications to the marketplace, respond to underwriters' questions and provide additional information, if requested;

9. Receive and analyze underwriters' quotations. Determine whether they adhere to the specifications and that the premium quoted is fair and reasonable within the marketplace. Negotiate any changes and refinements on terms and/or conditions to obtain the most beneficial and cost-effective coverage available;
10. Submit insurance proposal to Commission's Risk Management within an agreed timeframe prior to expiration, providing a cost analysis by line of coverage, a matrix comparison of Commission's insurance specifications with the insurance policies quoted, and a recommendation. Analysis must include copies of all policy forms and endorsements to be included in the issued policies;
11. Place coverage upon Commission's approval;
12. No later than five (5) business days prior to expiration, submit insurance binders to the Commission's Risk Management that have been checked for coverage's terms, conditions, limits, deductibles, premiums, and carrier participation of each binder;
13. Provide an insurance summary of each line of insurance coverage, including but not limited to, effective dates, policy limits, insuring agreements, exclusions, annual premium (including surplus lines tax and other fees) and exposure basis;
14. Submit original (including surplus lines and stamping fees if applicable) policies and endorsements to the Commission within sixty (60) days of effective dates, or a date agreed to by Commission Risk Management and/or Human Resources. Written report must be submitted for each policy bound outlining any errors, discrepancies or other inconsistencies from the final proposal and/or binders. All policy changes and/or corrections must be processed within thirty (30) days;
15. Receive review, coordinate, process and monitor all claims, transmit all support documents to insurers, and assist in settlement and payment of all claims;
16. Establish claim-reporting procedures including contact personnel names and phone numbers. Identify a claim coordinator and provide appropriate forms and instructions for use;
17. Assist Commission with resolution of claim and coverage issues;
18. Report annually, unless agreed to differently, the number of claims open, claims closed and total incurred values;
19. Place additional related insurance coverage when necessary;
20. Negotiate endorsements to policies as necessary;
21. Assist Commission with contractual insurance, bonding and indemnification provisions upon request. Review contractual insurance requirement templates annually and assist with specific requirements on special projects as necessary;
22. Perform safety and loss control inspections when necessary;
23. Issue required certificates of insurance when necessary;
24. Review, validate and approve all premium invoices and billings regarding insurance policies and endorsements;
25. Provide immediate notice to the Commission of any change in key personnel during the term of the contract. Replacement personnel must be identified and have the same qualifications;
26. Supply Commission's Risk Management with a written report each month that clearly identifies all open/pending items for each line of coverage;
27. Prepare and submit written reports to Commission's Risk Management, as requested;
28. Respondent must place all insurance on a fixed-fee basis without commission. A fee will be negotiated upon contract award. Upon recommendation of placement of any coverage with a carrier, Respondent must disclose any other commissions, contingencies, wholesale arrangements or other form of compensation for the Respondent or any other entity that would be generated by the placement of the coverage with the recommended market. Any common ownership interest between the Respondent and other involved entities

must be disclosed at time of placement recommendation; and

29. Be available to answer questions and provide assistance to the Commission seven days per week, twenty-four hours a day should an emergency arise. Mobile phone numbers of key personnel are to be provided by the Respondent to the Commission.

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## **SCHEDULE B – COMPENSATION OF CONSULTANT**

### **Insurance Brokerage Services**

#### **A. CONSULTANT'S FEE**

1. The Commission shall pay the Consultant for the satisfactory performance of the Services for all work included in Schedule B, inclusive of direct expenses, an annual fee of \$121,500.00 for each year of service or each duly executed Task Order assigned by the Commission in the amount specified in each Task Order (Fee).
2. Consultant's Fee will include profit, overhead, general conditions, materials, equipment, computers, vehicles, office labor, field labor, insurance, deliverables, and any other costs incurred in preparation and submittal of deliverables including travel to and from Commission's job sites/meetings, cell phone, computer usage, vehicles, mileage, taxi fares, parking, tolls insurance, and any other costs incurred.
3. Should the Commission require additional services above and beyond those described in Schedule B, the Consultant will be required to submit a proposal, where the Commission reserves the right to negotiate, and the Commission must authorize approval of Consultant's agreement, in writing.
4. The Commission shall compensate the Consultant for Reimbursable Expenses that are approved by the Commission prior to being incurred. Reimbursable Expenses shall include actual expenditures for subcontractors, laboratory costs, and leased or rented equipment, as well as those expenditures as identified and approved by the Commission on a Task Order basis.
5. The Consultant's mark-up rates for the administration and oversight of subcontractors shall not exceed five percent of the subcontractor's invoice.
6. All Consultant and Subconsultant personnel along with the billable hourly rate are subject to the prior approval of the Commission. The hourly rates shall not include compensation for overtime pay or holiday pay.
7. Rates contained herein shall be enforceable for the term (and any subsequent option years exercised) of the agreement.

#### **B. METHOD OF PAYMENT**

1. **Invoices.** The Consultant will submit invoices, via e-Builder, to the Commission for Services performed that will be paid in one lump sum after all Services required by each Task Order have been completed to the reasonable satisfaction of the Commission.
  - a. Each invoice must reference the contract number, task order number, project name and include reasonable detail to sufficiently describe the services performed. At its discretion, the Commission may require detail and data relating to Subconsultant costs. In accordance with the terms of the Agreement, the Consultant must maintain complete documentation of all costs incurred for review and audit by the Commission or its designated audit representative(s). Each invoice must be submitted in the format directed by the Commission. Invoices must be accompanied by a progress report in a format acceptable to the Commission. Such progress reports must identify any variances from budget or schedule and explain reasons for any such variance(s).
2. **Payment.** Payment will be processed within thirty (30) days after Commission receives an acceptable invoice from the Consultant.

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**SCHEDULE B – COMPENSATION OF CONSULTANT**  
**Cost Proposal Sheet**  
Insurance Brokerage Services

**(ATTACHED HERETO AND INCORPORATED HEREIN)**

# SCHEDULE B ATTACHMENT I Cost Proposal Sheet

Respondent Name: Alliant Insurance Services, Inc.

Date: 08/28/2025

## SECTION A – Coverage by Category

Coverage Category	Line of Coverage / Service	Included in Fixed Fee? (Y/N)	If Not Included, Proposed Fee	Notes / Assumptions
Category One	Liability (Primary, Excess, Umbrella)	\$85,000		
	Property	Y		
	Workers' Compensation	Y		
	Other Coverage & Consulting (as needed)	Y		

Coverage Category	Line of Coverage / Service	Included in Fixed Fee? (Y/N)	If Not Included, Proposed Fee	Notes / Assumptions
Category Two	Crime	Y		
	Bonds	N	Usual and customary commissions – small transactions cannot be done on a fee basis.	
	Directors' & Officers' Liability	\$6,000		
	Other Coverage & Consulting (as needed)	Y		

Coverage Category	Line of Coverage / Service	Included in Fixed Fee? (Y/N)	If Not Included, Proposed Fee	Notes / Assumptions
Category Three	Medical	Y		Alliant will receive commissions up to \$30,500 annually for medical, dental vision and Life. Any excess commissions will be returned to each insurance carrier placed for PBC.
	Dental	Y		
	Vision	Y		

	Life	Y		
	Ancillary Employee Benefits (Legal, Accident, FSA, etc.)	N		To be determined on additional coverages placed.
	Other Coverage & Consulting (as needed)	N		To be determined and agreed upon for additional coverages placed.



## SECTION B – TOTAL FIXED FEE PROPOSAL

Total Proposed Annual Fixed Fee (for all included coverages): \$121,500 – includes the commissions received from Employee Benefits of \$30,500

If any services are not included in the fixed fee, the respondent must provide itemized pricing and justifications.

- See previous page for breakdown.
- **On employee benefits, commissions are issued to Alliant Insurance Services. Net of commissions are not allowed by certain carriers, example Blue Cross Blue Shield of Illinois. Commissions not to exceed \$30,500 as disclosed on page 64.**

## SECTION C – COMPENSATION DISCLOSURE

Will your firm or any affiliated entity receive other forms of compensation related to the placement of any of the above coverage (e.g., commissions, overrides, contingencies, wholesaler fees)?

☒ Yes   ☐ No

If "Yes," describe below:

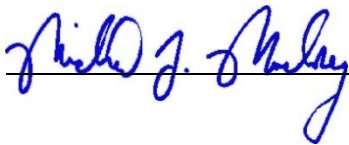
See prior pages for coverages that require commission-based income.

We will not take additional contingencies on the placements that was outlined on prior pages.

## SECTION D – SIGNATURE

I certify that the above information is complete and accurate, and I am authorized to submit this proposal on behalf of my firm.

Signature: \_\_\_\_\_



Name: Michael Mackey

Title: Executive Vice President

Date: 08/28/2025

## **SCHEDULE C – KEY PERSONNEL**

Insurance Brokerage Services

**(ATTACHED HERETO AND INCORPORATED HEREIN)**

## Contract Review

Our account team members have extensive experience with contractual risk transfer and insurance requirements and are aware that a quick response on our part is crucial for helping clients win new business. We can also provide expertise in the latest contractual risk transfer techniques; assisting clients maintain strong insurance requirements to pass on to their sub-consultants where possible.

Further, having worked with all facets of the contracting parties, team members are well versed in negotiating insurance requirements from all aspects: design professionals, public and private project owners, general contractors, and subcontractors, as well as landlord/tenant requirements.

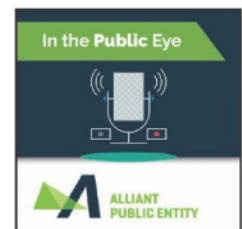
We work with our clients to serve as an on-demand educational resource to translate contract language into real-world implications including availability and benchmarking of coverage expectations. This helps stakeholders understand the inherent risks with waivers, when it is possible to accept that risk, and when alternatives are possible.

## Insurance Requirements in Contracts (IRIC)

On a broader scale, Alliant has written a living document, contractual risk transfer procedure manual, "Insurance Requirements in Contracts (IRIC)". Although specifically written for our public entity clients, we find this manual to be useful in all industries.

Our IRIC Manual is available electronically for all our clients, and details recommended insurance requirements for vendors working with our clients. The manual provides guidance to staff involved in securing contracts with a variety of contractors and vendors. It is offered at no additional cost, and Alliant is prepared to offer training presentations to the PBC's departments involving the concepts contained in our IRIC Manual.





- › **Alliant Public Entity Newsletter and Podcast:** In the Public Eye is our quarterly newsletter including articles and informational items focused on Public Sector Risk Management and Insurance. This publication includes several topical reports ranging from Alternative Risk Financing considerations, drone exposure, to climate change and its potential effects, to cyber related issues and more. In 2021, we expanded In the Public Eye to include a bi-weekly podcast series devoted to issues around public entity.









- › **Quarterly Public Entity Newsletter:** A wide range of industry topics are addressed in these publications to ensure our clients stay abreast of matters that may affect their operations and insurance programs.
- › **Industry Alerts:** Industry alerts are distributed to help educate our clients on an as needed basis. Most recently, we distributed an alert on preparing for windstorms with tools to assist stakeholders prepare for and mitigate loss from the heavy storm season.
- › **Fact Sheets:** We have over 250 fact sheets in our library that can be accessed through Alliant Connect or through our Risk Control Hotline or email request free of charge.

*b. Provide a list of the proposed personnel structure indicating key personnel who will be dedicated to the PBC as evidenced by the organization chart;*







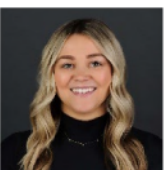
### Property/Casualty Team





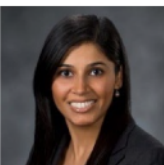

Name / Role		Years of Experience	Expertise
	<b>Michael Mackey</b> Executive Vice President	30+ years of experience in the public entity insurance industry.	Mike leads the Chicago Public Entity team, is authorized to sign on behalf of Alliant, and has senior oversight responsibilities.
	<b>Valary Lewis</b> Account Executive Lead	30+ years of experience in the public entity insurance industry.	Valary works with local governments throughout Illinois and has extensive experience in producing, client servicing, insurance placement, risk management, loss prevention, human resources, and emergency and crisis management.
	<b>Alejandra Genovese</b> Account Executive	16 years of experience in the insurance industry.	Alejandra specializes in building loss summaries and loss sensitive reports. She is responsible for reviewing various types of contracts to ensure proper risk transfer and executes deliverables following the client's service timeline.
	<b>Wendy Teller</b> Account Manager Lead	30+ years of insurance industry experience.	Wendy manages daily interactions with clients, builds long-term relationships, and helps find solutions to their complex needs. Wendy will act as backup for Alejandra.

Name / Role	Years of Experience	Expertise
 <p><b>Dan Mackey</b> Senior Vice President</p>	<p>18+ years of experience in the public entity insurance industry.</p>	<p>Dan develops and maintains large, complex property and casualty insurance programs for public entities of all types. Dan is one of the leaders in developing and maintaining market relationships with public entity carriers and intergovernmental pools.</p>
 <p><b>Tom Lubas</b> First Vice President, Account Executive  Construction Specialist</p>	<p>15+ years of experience in the insurance industry.</p>	<p>Tom is directly responsible for the property and casualty broking and the day-to-day executive management for public and private project owners, global general contractors, and local trade contractors.</p>
 <p><b>Dane Mall</b> Public Sector Risk Management Services - Lead</p>	<p>25+ years of risk management experience.</p>	<p>Dane will serve as the Risk Control – Lead, to assist the PBC in enhancing its safety programs, as well as any other identified risk control needs or services.</p>
 <p><b>Larry Rosen</b> Claims Advocate - Lead</p>	<p>30+ years of experience managing complex, multiple-line claims.</p>	<p>Larry will serve as the lead claims advocate. He will ensure all claims are handled in the most timely and efficient manner to achieve positive outcomes and recoveries.</p>
 <p><b>Josefina Rojo</b> Vice President  Public Officials Bonds</p>	<p>20+ years of experience in the insurance industry.</p>	<p>Josefina specializes in proactive client service which includes evaluating bond portfolios and developing programs and procedures that assure accuracy, timeliness, and coordination of all surety needs.</p>
 <p><b>Craig Goesel</b> Senior Vice President  Directors &amp; Officers Liability</p>	<p>25+ years of experience in the insurance industry.</p>	<p>Craig supervises operations in the Central States related to management liability programs including directors and officers, errors and omissions, professional, employment practices, fiduciary and privacy/security liability insurance programs as well as fidelity and crime coverage.</p>



## Employee Benefits

Name / Role		Years of Experience	Expertise
	<b>Adriana Duenas</b> Senior Vice President	30+ years of experience in the employee benefits industry.	Adriana oversees the day-to-day operations of her team. She concentrates her efforts in the evaluation, development, negotiation and implementation of employee benefits programs.
	<b>Magnolia Abarca</b> Vice President, Financial & Underwriting Consultant Lead	25 years of experience in the employee benefits industry.	Magnolia concentrates her efforts in the evaluation, development, negotiation and implementation of employee benefits programs.
	<b>Oralia Reynoso</b> Financial & Underwriting Consultant Lead	17 years of experience in the employee benefits industry.	Oralia is the financial strategist on her team. Her focus is on the financial performance of their clients' benefits programs.
	<b>Tracy Burns</b> Account Executive	16 years of experience in the employee benefits industry.	As a client manager, Tracy is the service specialist on her team. She helps address and manage clients' technology, compliance, and worksite wellness needs.
	<b>Rob Brongiel</b> Account Manager Lead	10 years of experience in the employee benefits industry.	Rob helps address and manage clients' technology and worksite wellness needs.
	<b>Brenda Arreola</b> Account Manager	7 years of experience in the employee benefits industry.	Brenda is the coordinator and facilitator on her team. She generally spends the day on-site, making herself available to address client needs throughout the day.
	<b>Mackenzie Tallet</b> Benefits Analyst	2 years of experience in the employee benefits industry.	Mackenzie serves as the financial strategist on the team, focusing on the financial performance of clients' benefits programs.

Name / Role	Years of Experience	Expertise
 <b>Andrea Gutierrez</b> Account Associate Lead	One year of experience in the employee benefits industry.	Andrea supports the maintenance and growth of client relationships and collaborates closely with account managers to help clients achieve their strategic goals.
 <b>Jacob Moore, J.D.</b> Compliance Consultant	10+ years of insurance industry experience.	Jacob is dedicated to reviewing, researching, and problem-solving employee benefits compliance needs, including issues arising under Healthcare Reform, ERISA, COBRA, HIPAA, Cafeteria Plans, Medicare, as well as compliance issues particular to public sector plans.
 <b>Luisa Sepulveda MHA</b> Health & Productivity Consultant	7 years of experience in the employee benefits industry.	Luisa educates clients on wellbeing and clinical industry trends, benchmarking data, and best practices.
 <b>Scott Lund, FSA</b> Lead Actuary	25+ years of experience working with employer health benefits as a consulting actuary.	Scott has expertise in underwriting, pricing, plan design strategy, reserve setting, stop-loss, and medical network evaluations. He also has extensive experience with retiree medical plans, including FAS 106 valuations.
 <b>Anokhi Shah, Pharm.D.</b> AVP, Pharmacy Consultant Lead	15+ years of pharmacy benefit management experience.	Anokhi is focused on assisting employers with pharmacy strategy, procurements, audits, implementations, and vendor management.
 <b>Joanne Elster</b> Individual Medical/Medicare and Long-Term Care Solutions	19 years of insurance industry experience.	Joanne works with clients to analyze their current health coverage, select the right course of action and transition them from group to individual or Medicare coverage. In addition, she updates individuals on new healthcare laws and the effects, they may have on them and their healthcare coverage.

5. Key Personnel, Resumes and Applicable Licenses

*a. Provide the name of each team member, specific role, experience and qualifications such as education, training and job performance. Include resumes and pertinent experience;*

We are ONE team nationally. Alliant's ONE P&L structure allows us to serve our clients in a manner that is unmatched by any other broker. Alliant's ONE team structure, which is a cornerstone of our culture, mandates that we bring the best brokerage talent to the client to manage specific, complex assignments. Alliant has grown significantly over the last several years and marks our success by aligning our employees on a common mission delivering outstanding client-centric services. There are no silos by product or separate departments for brokering and placement. Our business model eliminates geographic boundaries and regional profit centers. There are no financial restrictions in deploying the best expertise for clients.





Team Member (P&C)	Biography
<b>Michael Mackey</b> Executive Vice President	<p>Michael oversees the company's Public Sector Risk Management Practice, which works with some of the United States' most prominent states, cities, counties, villages, parks, school districts, and public transportation authorities along with construction, real estate, and manufacturing entities. He is a well-known, highly regarded professional in the insurance industry with extensive experience serving the risk management needs of organizations in the public sector. Michael is an expert in the areas of reinsurance and alternative risks for governmental and quasi-governmental entities. He provides clients with targeted risk management solutions in areas such as property and casualty, mergers and acquisitions, restructurings, executive liability, employee benefits, and total cost of risk.</p> <p>He has been in the insurance industry for over 30 years and joined Alliant in 2003. Prior to this, Michael served as a Senior Executive for a large regional brokerage based in Chicago. While there, he was instrumental in expanding the firm's position in the public sector risk management marketplace. From 1991 to 1993, Michael handled underwriting analysis and placement in the reinsurance assumed market.</p> <p>His prior experience includes serving as Governmental Affairs Chairman for the Professional Independent Insurance Agents of Illinois and as a past Trustee for the State University retirement System (SURS). He is a member of the Greater North Michigan Avenue Association (GNMAA), a current Director for the Western Golf Association/Evans Scholars Foundation (WGA ESF), and a Trustee at St. Patrick High School in Chicago. In addition, he proudly served in the Illinois Army National Guard as a military reservist for six years.</p> <p>Michael earned a BA in Finance from Loyola University Business School. He holds an insurance producer license in Illinois.</p>
<b>Valary Wright Lewis, MScTRM, ARM,</b> Account Executive Lead	<p>Valary specializes in evaluating, marketing, developing and servicing property and casualty programs. In addition, she works with risk managers and underwriters to negotiate and secure comprehensive, cost-effective coverage for her clients. Valary also specializes in insurance for public entity, non-profit, and religious affiliated organizations. Her areas of expertise include, strategic planning and leadership, strategic account assessment, product innovation, claims and litigation management, and more.</p> <p>She has been in the insurance industry for over 30 years. Prior to Alliant, Valary served as a Vice President at The Owens Group where she performed as a risk management executive overseeing the property and casualty insurance division of the multi-million-dollar boutique insurance agency. She also developed and created innovative programs designed to control risk, mitigate claims and strategically place the client in growth positions. Valary also served as a Senior Account Manager at Near North Insurance Agency where she managed multi-line commercial domestic and international accounts. Valary has experience in sales, prospecting, servicing, customer</p>

Team Member (P&C)	Biography
	<p>relations, production, loss prevention, risk management, outsourced human resources, risk management, and law enforcement consulting services.</p> <p>Valary earned a BS in Meteorology from Pennsylvania State University, State College, PA. She holds a Graduate Certificate in Risk Management &amp; Organizational Continuity from Boston University. Valary also holds a Graduate Certificate in Conscious Leadership and Team Management, and a Master of Science Degree in Threat Response &amp; Emergency Management from the University of Chicago. She holds an Illinois property &amp; casualty broker license and an associate risk management (ARM) designation.</p>
<p><b>Alejandra Genovese, CLCS</b> Account Executive</p>	<p>Alejandra specializes in building loss summaries and loss sensitive reports. She is responsible for reviewing various types of contracts to ensure proper risk transfer and executes deliverables following the client's service timeline.</p> <p>She has been in the insurance industry since 2009 and joined Alliant in October 2019. Prior to Alliant, Alejandra served as an Account Manager at Hub International Midwest Limited where her responsibilities were the same as her current role. Alejandra earned a BS in Business from DePaul University. She holds a producers license in Illinois with a Commercial Lines Coverage Specialist (CLCS) designation.</p>
<p><b>Wendy Teller, CIC</b> Account Executive Backup</p>	<p>Wendy joined Alliant in 2023 as a lead account manager. She has over 30 years of experience in the Insurance industry and for the past 10 years worked with large governmental entities. Wendy manages daily interactions with clients, builds long-term relationships, and helps find solutions to their complex needs. Wendy has a Certified Insurance Counselor (CIC) designation.</p>
<p><b>Dan Mackey</b> Senior Vice President</p>	<p>Dan Mackey serves as Senior Vice President of Alliant's Public Sector Insurance Division in Chicago, Illinois. Dan is a highly technical insurance professional who develops and maintains large, complex property and casualty insurance programs for public entities of all types, including cities, villages, counties, fire districts, park districts, school districts, states, transit districts and water districts. Over his career, Dan has accumulated significant understanding of Illinois Municipal Laws and Tort Immunities.</p> <p>Dan is a member of the Travelers Public Entity Advisory Council (PEAC), an organization specifically for agents and brokers who specialize in assisting public entities with their complex insurance and risk management needs. Travelers exclusively selects PEAC members with a superior public entity expertise and leadership. He is also an active member in several other public sector industry groups, including the Public Risk Management Association.</p> <p>In addition, Dan is one of the leaders in developing and maintaining market relationships with carriers and intergovernmental pools for Alliant. Because of his curated relationships, Dan can educate his clients better on emerging issues, trends and best practices within the public entity sphere. He is well rounded in many areas of coverage, including property, law enforcement</p>

Team Member (P&C)	Biography
	<p>liability, public official's liability, employment practices liability, property, and workers' compensation.</p> <p>Prior to joining Alliant in 2006, Dan was the Director of Operations for Pirpiris Insurance Agency, where he supervised and managed the day-to-day operations of the agency. He holds a producer license in the State of Illinois. He is on the Travelers Public Entity Advisory Council and Liberty Mutual Agency Advisory Council.</p>
<p><b>Tom Lubas, ARM, CRIS</b> First Vice President, Account Executive Construction Specialist</p>	<p>Tom is directly responsible for the property and casualty broking and the day-to-day executive management for public and private project owners, global general contractors, and local trade contractors. Additionally, he has over 15 years of experience in the design, marketing, implementation and management of some of the largest project and corporate insurance programs in the country.</p> <p>Tom has developed project specific and rolling programs for many high-profile contractors, owners and developers, in both the private and public arena across the country.</p> <p>Prior to joining Alliant, Tom spent over 6 years at Aon Risk Services where he was Senior Broker for Central Region and National Accounts and a Director of Analytics and Risk Strategies in the Construction Services Group and based in Chicago, IL. Prior to joining the Aon, he spent over 8 years as a Project Safety Manager and ultimately named Risk Manager for Kenny Construction Company based in Northbrook, IL where he was responsible for overseeing the corporate risk programs, managed surety and directed claims administration.</p> <p>Tom has a Bachelor of Science (BS) in Industrial Technology with and emphasis of Occupational Safety from Northern Illinois University. He has an Associate in Risk Management (ARM) designation from the Insurance Institute of American as well as a Construction Risk Insurance Specialist (CRIS) designation from the International Risk Management Institute.</p>
<p><b>Dane Mall, MPA, ARM, AIC, CEAS</b> Vice President Public Sector Risk Management Services Lead</p>	<p>Dane specializes in developing and implementing customized risk management programs and by blending a unique combination of experience in public entity risk management, insurance brokerage, training, employee relations, loss control and risk management service delivery for large and small public entities. Areas of expertise in risk management include, but not limited to comprehensive risk management programs, strategic risk management planning, loss trend analysis, training plan development, insurance procurement, risk assessment, loss control, claims administration, education and training, human resources, safety and OSHA compliance, supervisor safety development.</p> <p>Prior to joining Alliant in June 2017, Dane was a risk manager for local governments, including Lake County, Illinois, Village of Glenview and the Park District Risk Management Agency. In addition to more than 25 year's professional risk management experience, Dane is a devoted public speaker</p>

Team Member (P&C)	Biography
	<p>and trainer with the goal of improving and educating public entities achieve sophisticated risk management functions and processes. Dane has spoken at the Public Risk Management Association, National Association of County Officials and the Illinois Park and Recreation Association. Dane is an active member of the American Society of Safety Professionals and former President of the Illinois Chapter of the Public Risk Management Association.</p> <p>Dane earned a BA in History from Eastern Illinois University and a master's in public administration from Roosevelt University. He also holds an associate in risk management (ARM), Associate in Claims (AIC) designations from the Insurance Institute of America, a Certified Ergonomic Assessment Specialist (CEAS) designation from the Back School of Atlanta and is an authorized OSHA Outreach Trainer.</p>
<b>Larry Rosen</b> Claims Advocate – Lead Public Sector Claims Management	<p>Larry is an expert in developing and implementing client-specific claim management programs. He has extensive experience managing complex, multiple-line claims including first party property, fidelity, professional liability, products liability, and workers compensation.</p> <p>He has been in the insurance industry since 1972. Prior to joining Alliant, Larry served as a senior vice president and manager of the Claims Management Practice at Near North Insurance Brokerage, Inc. Earlier, he spent 12 years at Aon Risk Services, most recently as a senior vice president and claim director. He has worked with clients in various industries, including real estate, manufacturing, hospitality, entertainment, gaming, healthcare and public sector. Larry earned a BS in Marketing from Northern Illinois University.</p>
<b>Josefina Rojo</b> Vice President Surety	<p>Josefina specializes in proactive client service which includes evaluating bond portfolios and developing programs and procedures that assure accuracy, timeliness, and coordination of all surety needs.</p> <p>Prior to joining Alliant, Josefina spent 13 years in various underwriting technician roles with several national surety companies including AIG, Kemper, Chubb, and Travelers.</p> <p>Josefina earned a BS in Mathematics from the University of Illinois. She is a member of the National Association of Surety Bond Producers and the Surety Association of Illinois.</p>
<b>Craig Goesel</b> Senior Vice President Directors & Officers Liability	<p>Craig supervises operations in the Central States related to management liability programs including directors and officers, errors and omissions, professional, employment practices, fiduciary and privacy/security liability insurance programs as well as fidelity and crime coverage. His team specializes in securing these complicated protection programs for publicly traded and private-held corporations as well as public sector and union organizations. Craig serves all varieties of financial institution clients but has a specialized focus on asset managers and real estate fund managers.</p> <p>Prior to joining Alliant in 2003, Craig directed the management liability practice at Mesirow Insurance Brokerage. Earlier, he was branch manager at</p>



Team Member (P&C)	Biography
	<p>Travelers Property &amp; Casualty Insurance Company. During his six-year tenure at Travelers, Craig consulted with brokers, independent agents and their clients to develop liability programs for a wide range of exposures.</p> <p>Craig was named a 2017 Power Broker® by Risk &amp; Insurance, is a member of the Professional Liability Underwriting Society (PLUS) and a former contributing editor for the American Agent &amp; Broker Magazine. Craig earned a BS in finance from the University of Illinois, as well as certification from the College of Economics of Monash University, Melbourne, Australia.</p>

Team Member (EB)	Biography
<p><b>Adriana Duenas</b> Senior Vice President</p>	<p>Adriana Duenas is a Senior Vice President and Producer at Alliant Insurance Services, bringing over 30 years of experience as a trusted advisor in employee benefits consulting. Distinguished for her client-first philosophy, Adriana designs and delivers innovative benefits solutions that strengthen organizational performance and employee well-being.</p> <p>She specializes in aligning programs with each organization's Employee Commitment Package (ECP)—utilizing her evolved, holistic approach to her clients. Her expertise spans cost-containment without compromising employee satisfaction, engaging multilingual and multicultural workforces, harmonizing benefit strategies post-merger or acquisition, and developing Total Rewards initiatives that drive measurable results.</p> <p>Adriana started her career at Mesirow Financial in 1994, where she quickly ascended from an entry-level role to Senior Managing Director. After the acquisition of Mesirow's insurance division by Alliant in 2016, she continued in a senior leadership capacity, overseeing daily operations and delivering high-impact consulting services to a wide array of clients across industries.</p> <p>Her work is marked by a strong commitment to operational excellence, client advocacy, and inclusive leadership. Adriana is also a dynamic mentor and coach, guiding professionals at all stages of their careers.</p> <p>A passionate advocate for equity and belonging, Adriana founded the Women at Alliant Employee Resource Group (ERG) – Midwest in 2018, successfully expanding it to a national initiative by 2020. She also serves on the advisory council for SHENix and Globe Life and is certified in Effective ERG Leadership from Cornell University.</p> <p>Adriana has been recognized for her leadership and contributions to the community. Notable honors include:</p> <ul style="list-style-type: none"> <li>› Business Insurance Elite Women in Insurance (2022)</li> <li>› Negocios Now "Who's Who in Chicago Hispanic Power" (2019)</li> </ul> <p>She holds a Bachelor of Business Administration degree from DePaul University.</p>

Team Member (EB)	Biography
<b>Magnolia Abarca</b> Vice President, Financial & Underwriting Consultant Lead	<p>Magnolia Abarca is a benefits consultant lead. She directs the account management activities of your service team. She is an established, well-known and highly regarded professional in the insurance industry, specifically within the employee benefits arena. Magnolia concentrates her efforts in the evaluation, development, negotiation and implementation of employee benefits programs.</p> <p>Magnolia has worked in the employee benefits industry since she became an employee of Mesirow Financial in 2000, which was acquired by Alliant in 2016. Magnolia is a graduate of Robert Morris University.</p>
<b>Oralia Reynoso</b> Financial & Underwriting Consultant Lead	<p>As a benefits consultant lead, Oralia Reynoso is the financial strategist on her team. Her focus is on the financial performance of their clients' benefits programs. Oralia is skilled at translating complicated numbers into terms that facilitate clients' strategic decision-making. She helps clients examine health care reform provisions to determine potential effects, both financial and administrative, and deliver a personalized, practical tool for each client.</p> <p>Oralia has worked in the employee benefits industry since she became an employee of Mesirow Financial in 2008, which was acquired by Alliant in 2016. She is a graduate of Loyola University.</p>
<b>Tracy Burns</b> Account Executive	<p>Tracy Burns is an account executive, within Alliant's Chicago office. As a client manager, Tracy is the service specialist on her team. She serves as an informal extension of his clients' human resources departments, resolving client/insurance company concerns, coordinating carrier transitions and implementations, and assisting with employee communications and meetings. Tracy helps address and manage clients' technology, compliance, and worksite wellness needs.</p> <p>Tracy has worked in the employee benefits industry since 2009. Before being acquired by Alliant in 2016, Tracy spent seven years with Mesirow Financial.</p> <p>Tracy received her Bachelor of Science in Finance with a minor in Economics from Illinois State University. She maintains his Life and Health license in Illinois.</p>
<b>Rob Brongiel</b> Account Manager Lead	<p>As an account manager lead, Rob Brongiel is the service specialist on his team. On an ongoing basis, he acts as an informal extension of his clients' human resources departments, resolving client/insurance company concerns, coordinating carrier transitions and implementations and assisting with employee communications and meetings. Rob helps address and manage clients' technology and worksite wellness needs.</p> <p>Rob has worked in the employee benefits industry since he became an employee of Mesirow Financial in 2015, which was acquired by Alliant in 2016. Rob graduated from Illinois State University.</p>

Team Member (EB)	Biography
<b>Brenda Arreola</b> Account Manager	<p>As an account manager, Brenda Arreola is the coordinator and facilitator on her team. She generally spends the day on-site, making herself available to address client needs throughout the day. Brenda disseminates critical compliance and other client communications; coordinates client issues and supports the Duenas team by providing follow-up and performing internal administrative tasks.</p> <p>Brenda has worked in the employee benefits industry since she became an employee of Alliant in 2018. Brenda graduated from Roosevelt University.</p>
<b>Mackenzie Tallet</b> Benefits Analyst	<p>As a benefits analyst, Mackenzie Tallet serves as the financial strategist on the team, focusing on the financial performance of clients' benefits programs. She excels at translating complex data into clear, actionable insights that support strategic decision-making. Mackenzie partners with clients to analyze data trends, assess potential financial and administrative impacts, and deliver customized, practical tools that align with each client's unique goals.</p> <p>Mackenzie began her career at Alliant in 2023 and brings a strong analytical background from her prior role as a high school math teacher. Her ability to communicate complex information with clarity has proven invaluable in both education and the benefits space.</p> <p>Mackenzie holds a Bachelor of Arts in Mathematics from Michigan State University and maintains her Life and Health license in Illinois.</p>
<b>Andrea Gutierrez</b> Account Associate Lead	<p>Andrea Gutierrez serves as an Account Associate Lead at Alliant's Chicago office. In this role, she supports the maintenance and growth of client relationships and collaborates closely with account managers to help clients achieve their strategic goals. Andrea partners directly with clients to develop and execute both short- and long-term health and welfare initiatives.</p> <p>Andrea brings a strong background in the medical device startup industry, with expertise in inventory management, budgeting, and implementing automation tools to optimize workflows. She thrives in fast-paced, innovation-driven environments and is skilled at cross-functional collaboration to drive operational efficiency.</p> <p>Andrea earned a Bachelor of Arts in Biological Sciences and Anthropology from Vanderbilt University, where her academic work emphasized geospatial analysis, bioarcheology, and machine learning. Bilingual in Spanish, she has also served as a medical interpreter, demonstrating her commitment to inclusive communication and equitable service delivery. Deeply dedicated to community engagement, Andrea is passionate about expanding access and support across diverse populations.</p>
<b>Jacob Moore, J.D.</b> Compliance Consultant	<p>Jacob is part of our Employee Benefits Compliance department which is staffed by experienced attorneys and benefits professionals exclusively dedicated to reviewing, researching, and problem-solving the employee benefits compliance needs of Alliant clients, including issues arising under Healthcare Reform, ERISA, COBRA, HIPAA, Cafeteria Plans, Medicare, as well</p>

Team Member (EB)	Biography
	<p>as compliance issues particular to public sector plans. Our Employee Benefits Compliance department works closely with the employee benefits service teams to understand not only the client's plan design and population, but also the client's business objectives, culture, and risk tolerance, which facilitates proactive and meaningful compliance support.</p> <p>Jacob is an attorney with over 10 years of experience advising a variety of health care clients, primarily in the area of employee benefits. Prior to joining Alliant, Jacob served as in-house legal counsel to The Blue Cross Blue Shield Association and, before that, Alight Solutions. As in-house counsel, Jacob's primary responsibility was to advise his clients on federal and state regulatory requirements impacting those entities in their service provider roles. Jacob also previously worked as a Legal Consultant at Mercer Health and Benefits where he partnered with consultants to address client matters related to ERISA, ACA, COBRA, HIPAA and other benefit related rules. Jacob earned his Bachelor of Arts in health and kinesiology from The University of Texas at Tyler and received a law degree from DePaul University.</p>
<b>Luisa Sepulveda MHA</b> Health & Productivity Consultant	<p>Luisa Sepulveda is a Health &amp; Productivity Consultant based in Alliant's Chicago office. In this role, she educates clients on wellbeing and clinical industry trends, benchmarking data, and best practices. Luisa partners with clients and Alliant's cross-functional teams to evolve strategic approaches by analyzing client and carrier data, identifying key focus areas, and guiding an iterative roadmap. She develops and evaluates marketing outcomes to deliver evidence-based recommendations that align with evolving organizational priorities. Luisa also negotiates client contracts and renewals with carriers and vendor partners. Additionally, she manages ongoing client relationships, vendor collaboration, and project execution in close coordination with the Alliant account team.</p> <p>Over the last seven years, Luisa has enjoyed partnering with clients to deliver personalized strategies grounded in evidence-based best practices and informed by client-specific data. Through her consulting work, she empowers organizations to drive sustainable change rooted in a culture of wellbeing and productivity—always keeping employees at the center. Her work spans multiple industries, with a particular focus on healthcare, manufacturing, and finance.</p> <p>Her subject matter expertise includes global wellbeing, financial wellbeing, mental health and employee assistance programs (EAP), onsite and near-site clinics, and surgery networks. She is especially passionate about expanding access to high-quality healthcare for all individuals.</p> <p>Luisa holds dual bachelors degrees in Public Policy and Psychology from the University of Chicago and earned her master's in Health Administration and Management from the Herbert Business School at the University of Miami. She is Lean Six Sigma Green Belt certified, a licensed Health and Life Producer in Illinois, and a Certified Mental Health First Aider.</p>



Team Member (EB)	Biography
<b>Scott Lund, FSA</b> Lead Actuary	<p>Scott has over 25 years of experience working with employer health benefits as a consulting actuary. He has expertise in underwriting, pricing, plan design strategy, reserve setting, stop-loss, and medical network evaluations. He also has extensive experience with retiree medical plans, including FAS 106 valuations.</p> <p>Before joining Alliant, Scott was a Director and Senior Consultant with Willis Towers Watson for over twenty years. He provided actuarial, financial, and strategic consulting services to clients across numerous industry sectors. Additionally, Scott was a Willis Towers Watson's national senior actuary network member.</p> <p>Scott has also worked as an actuary at Marsh McLennan Agency, Deloitte, and Mercer Human Resource Consulting.</p> <p>He holds a Bachelor of Science in Mathematics from the University of Minnesota. He is a Fellow of the Society of Actuaries and a Member of the American Academy of Actuaries.</p>
<b>Anokhi Shah, Pharm.D.</b> AVP, Pharmacy Consultant Lead	<p>Anokhi Shah is the Midwest Pharmacy Consultant Lead in Alliant's Pharmacy Practice. She has over 15 years of pharmacy benefit management experience focused on assisting employers with pharmacy strategy, procurements, audits, implementations, and vendor management.</p> <p>Before joining the Alliant Pharmacy Team, Anokhi was a Director at Willis Towers Watson (WTW), where she was responsible for evaluating clinical, financial, and strategic aspects of pharmacy benefit management to facilitate pharmacy benefit decisions for employers diverse in size, industry and complexity.</p> <p>Prior to WTW, Anokhi held positions at a large PBM and a health plan, where she supported utilization and formulary management, clinical initiatives and measurement, and pharmaceutical outcomes research.</p> <p>Anokhi holds a Doctor of Pharmacy (Pharm.D.) from the University of the Sciences in Philadelphia.</p>
<b>Joanne Elster</b> Individual Medical/Medicare and Long-Term Care Solutions	<p>Joanne works with clients to analyze their current health coverage, select the right course of action and transition them from group to individual or Medicare coverage. In addition, she updates individuals on new healthcare laws and the effects, they may have on them and their healthcare coverage.</p> <p>Joanne began in the insurance industry in 2006. Prior to joining Alliant, she worked as an operations and planning analyst for North Shore Medical Center where she helped hospital departments to streamline and analyze day-to-day strategic planning operations.</p> <p>Joanne earned a BA from the University of South Africa and an MS in health systems management from Rush University Chicago. She holds a life &amp; health license in Illinois and is also a member of American College of Health Executives.</p>

*b. Demonstrate the ability and strengths of personnel and staffing to be dedicated to the services requested;*

Alliant's success is predicated on our ability to effectively manage the development and distribution of our professional services. While there are many elements that contribute to the successful management of any organization, our ability to translate experience and intellectual capital into valuable and meaningful business solutions for our clients is paramount. Ultimately, this distinguishes us from our competition.

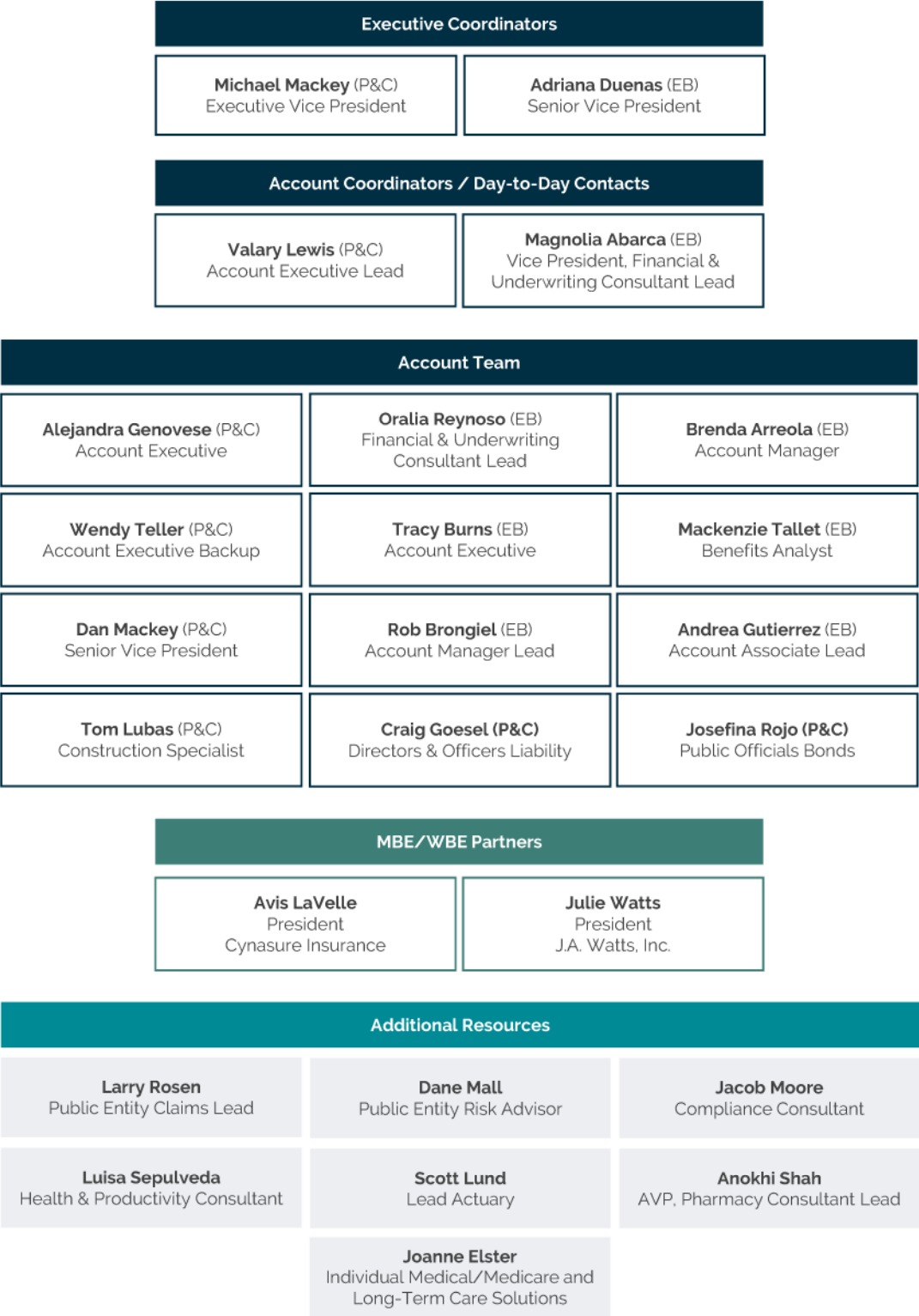
The team proposed for the PBC's account operates as a single nationwide public entity group and does not operate in silos. The advantage of this is our clients have access to our national base of resources but also allows our entire public entity practice to work with the best public entity underwriters at their respective companies, regardless of physical location. We do not have a central marketing division that markets our client's programs; instead, it is also our belief that the individuals involved with the marketing of your program should be the same individuals that you work with daily.

Our team structure is designed to meet your needs and expectations in an effective and expedient manner. Alliant's corporate philosophy provides that a principal of the firm oversees the delivery of all services. As senior decision makers within this organization, Michael Mackey (P&C) and Adriana Duenas (EB) will ensure that all our resources will be made available to the PBC, and they will be accountable throughout the entire process. We have assigned a dedicated team of professionals that includes Valary Lewis (P&C) and Magnolia Abarca (EB), who will continue serving as your primary account coordinators.

Professional biographies for the team have been included in response to **question a**.

c. Provide proposed management and personnel structure for the PBC risk; and

The chart below defines the roles of members of your proposed account service team. Our highly motivated consultants have been organized into an integrated service team that includes specialized practices with Alliant and our MBE/WBE partners.



d. Provide a copy of all applicable licenses as required to perform the services described.

Licenses for key account members have been provided below.

State Of Illinois  
Insurance License  
License No: 2146380 NPN: 2146380  
**ADRIANA FLERLAGE DUENAS**

This is to certify that pursuant to requirements of the Illinois Insurance code the above individual is licensed to do business in the state of Illinois with the following authority:

LICENSE TYPE	LINES OF AUTHORITY	LOA EFFECTIVE DATE	LICENSE EFFECTIVE DATE	LICENSE EXPIRATION DATE
Insurance Producer	Life Health	04/12/1995 04/30/1995	04/01/2023	03/31/2025

This insurance license shall remain in effect until the expiration date unless suspended, revoked or denied. If required, the licensee must complete continuing education, renew the license and pay all applicable renewal fees as required by Illinois administrative code prior to the expiration date.

For questions regarding a license, contact the Illinois Department of Insurance at DOI.licensing@illinois.gov

*Alex Gillespie*  
Alex Gillespie  
Acting Director Illinois Dept. of Insurance

State Of Illinois  
Insurance License  
License No: 2128330 NPN: 2128330  
**MICHAEL JOHN MACKEY**

This is to certify that pursuant to requirements of the Illinois Insurance code the above individual is licensed to do business in the state of Illinois with the following authority:

LICENSE TYPE	LINES OF AUTHORITY	LOA EFFECTIVE DATE	LICENSE EFFECTIVE DATE	LICENSE EXPIRATION DATE
Insurance Producer	Casualty Life Fire Health	06/07/1991 06/29/1991 06/07/1991 06/29/1991	04/01/2024	03/31/2026

This insurance license shall remain in effect until the expiration date unless suspended, revoked or denied. If required, the licensee must complete continuing education, renew the license and pay all applicable renewal fees as required by Illinois administrative code prior to the expiration date.

For questions regarding a license, contact the Illinois Department of Insurance at DOI.licensing@illinois.gov

*Dana Popish-Severinghaus*  
Dana Popish-Severinghaus  
Director Illinois Dept. of Insurance

State Of Illinois  
Insurance License  
License No: 5331592 NPN: 5331592  
**MAGNOLIA ABARCA**

This is to certify that pursuant to requirements of the Illinois Insurance code the above individual is licensed to do business in the state of Illinois with the following authority:

LICENSE TYPE	LINES OF AUTHORITY	LOA EFFECTIVE DATE	LICENSE EFFECTIVE DATE	LICENSE EXPIRATION DATE
Insurance Producer	Life Health	08/11/2001 02/12/2002	02/01/2023	1/30/2025

This insurance license shall remain in effect until the expiration date unless suspended, revoked or denied. If required, the licensee must complete continuing education, renew the license and pay all applicable renewal fees as required by Illinois administrative code prior to the expiration date.

For questions regarding a license, contact the Illinois Department of Insurance at DOI.licensing@illinois.gov

*Alex Gillespie*  
Alex Gillespie  
Director, Illinois Department of Insurance

State Of Illinois  
Insurance License  
License No: 4559345 NPN: 4559345  
**VALARY E WRIGHT**

This is to certify that pursuant to requirements of the Illinois Insurance code the above individual is licensed to do business in the state of Illinois with the following authority:

LICENSE TYPE	LINES OF AUTHORITY	LOA EFFECTIVE DATE	LICENSE EFFECTIVE DATE	LICENSE EXPIRATION DATE
Insurance Producer	Casualty Fire	1/02/2019 1/02/2019	1/01/2024	10/31/2026

This insurance license shall remain in effect until the expiration date unless suspended, revoked or denied. If required, the licensee must complete continuing education, renew the license and pay all applicable renewal fees as required by Illinois administrative code prior to the expiration date.

For questions regarding a license, contact the Illinois Department of Insurance at DOI.licensing@illinois.gov

*Alex Gillespie*  
Alex Gillespie  
Director, Illinois Department of Insurance

State Of Illinois  
Insurance License  
License No: 15701802 NPN: 15701802  
**TRACY LYNN BURNS**

This is to certify that pursuant to requirements of the Illinois Insurance code the above individual is licensed to do business in the state of Illinois with the following authority:

LICENSE TYPE	LINES OF AUTHORITY	LOA EFFECTIVE DATE	LICENSE EFFECTIVE DATE	LICENSE EXPIRATION DATE
Insurance Producer	Life Health	01/05/2010 01/05/2010	09/01/2024	04/30/2026

This insurance license shall remain in effect until the expiration date unless suspended, revoked or denied. If required, the licensee must complete continuing education, renew the license and pay all applicable renewal fees as required by Illinois administrative code prior to the expiration date.

For questions regarding a license, contact the Illinois Department of Insurance at DOI.licensing@illinois.gov

*Alex Gillespie*  
Alex Gillespie  
Director, Illinois Department of Insurance

State Of Illinois  
Insurance License  
License No: 7408059 NPN: 7408059  
**DANIEL J MACKEY**

This is to certify that pursuant to requirements of the Illinois Insurance code the above individual is licensed to do business in the state of Illinois with the following authority:

LICENSE TYPE	LINES OF AUTHORITY	LOA EFFECTIVE DATE	LICENSE EFFECTIVE DATE	LICENSE EXPIRATION DATE
Insurance Producer	Casualty Fire Life Health	06/17/2002 06/17/2002 06/09/2002 06/09/2002	04/01/2024	03/31/2026

This insurance license shall remain in effect until the expiration date unless suspended, revoked or denied. If required, the licensee must complete continuing education, renew the license and pay all applicable renewal fees as required by Illinois administrative code prior to the expiration date.

For questions regarding a license, contact the Illinois Department of Insurance at DOI.licensing@illinois.gov

*Alex Gillespie*  
Alex Gillespie  
Director, Illinois Department of Insurance

## **EXHIBIT A – LEGAL ACTIONS**

Insurance Brokerage Services

**(ATTACHED HERETO AND INCORPORATED HEREIN)**

## ATTACHMENT A – LEGAL ACTIONS

**FIRM NAME** Alliant Insurance Services, Inc.

### I. LEGAL ACTIONS

If the answer to any of the questions below is **YES**, you must provide a type-written, brief description, and/or explanation on a separate sheet following this page. Each question must be answered.

Question	Yes	No
Has the firm or venture been issued a notice of default on any contract awarded to it in the last 3 years?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Does the firm or venture have any legally filed judgments, claims (liquidated damages, or other), arbitration proceedings or suits pending or outstanding against the firm or venture or its officers?	<input checked="" type="checkbox"/> *	<input type="checkbox"/>
If the answer to the preceding question is "Yes", provide the requisite explanation on a separate sheet and include the date(s) of filing with the corresponding dollar amount of claims (or judgments and the contract value of the contract).	See below	
Within the past 3 years has the firm or venture been a party to any lawsuits or arbitration proceedings with regard to any contracts?	<input checked="" type="checkbox"/> *	<input type="checkbox"/>
Within the last 3 years, has any officer or principal of the firm or venture ever been an officer or principal of another organization that failed to complete any contract as a result of termination, litigation, arbitration or similar matter?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Has any key person with the firm or venture or its predecessor ever been convicted of or charged with any state or federal crime (excluding traffic violations), including but not limited to, embezzlement, theft, forgery, bribery, falsification or destruction of records, receipt of stolen property, criminal anti-trust violations, bid-rigging or bid-rotating?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Has the firm or venture ever been temporarily or permanently debarred from contract award by any federal, state, or local agency?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Within the last 3 years, has the firm or venture been investigated or assessed penalties for any statutory or administrative violations (including but not limited to MBE, WBE, EEOC violations)?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Has the firm or venture ever failed to complete any work awarded to it?	<input type="checkbox"/>	<input checked="" type="checkbox"/>

\* As reflective of the current state of our industry and the complexity of the business, from time to time Alliant may be involved in disputes between and among industry partners. Alliant may also be pulled in as third parties in disputes between clients and their industry partners. Certain of those disputes may result in a lawsuit in which Alliant is named as a party. Where that is the case, the facts surrounding those disputes and the parties involved are generally a matter of public record.

As is customary, the details of any settlements related to these disputes are subject to strict confidentiality and nondisclosure provisions. There are no disputes which Alliant deems materially where it may impact the services.

**EXHIBIT B – DISCLOSURE AFFIDAVIT**  
Insurance Brokerage Services

**(ATTACHED HERETO AND INCORPORATED HEREIN)**



## ATTACHMENT B – DISCLOSURE AFFIDAVIT

### I. HISTORY AND OWNERSHIP OF RESPONDENT FIRM

Any firm proposing to conduct any business transactions with the Public Building Commission of Chicago must complete this Disclosure Affidavit. Please note that in the event the Contractor is a joint venture, the joint venture and each of the joint venture partners must submit a completed Disclosure Affidavit.

The undersigned Michael Mackey, as Executive Vice President  
Name Title

and on behalf of Alliant Insurance Services, Inc.  
("Bidder/Proposer/Respondent or Contractor") having been duly sworn under oath certifies the following:

RESPONDENT			
Name of Firm:	Alliant Insurance Services, Inc.		
Address:	353 N Clark Street		
City/State/Zip:	Chicago, IL 60654		
Telephone:	(312) 595-7900	Facsimile:	
FEIN:	33-0785439	SSN:	
Email:	michael.mackey@alliant.com		
Nature of Transaction:			
<input type="checkbox"/> Sale or purchase of land <input type="checkbox"/> Construction Contract <input checked="" type="checkbox"/> Professional Services Agreement <input type="checkbox"/> Other _____			

### II. DISCLOSURE OF OWNERSHIP INTERESTS

Pursuant to Resolution No. 5371 of the Board of Commissioners of the Public Building Commission of Chicago, all Bidders/Proposers shall provide the following information with their Bid/Proposal. If the question is not applicable, answer "NA". If the answer is none, please answer "none".	
<input checked="" type="checkbox"/> Corporation	<input type="checkbox"/> Limited Liability Company
<input type="checkbox"/> Partnership	<input type="checkbox"/> Limited Liability Partnership
<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Not-for-profit Corporation
<input type="checkbox"/> Joint Venture	<input type="checkbox"/> Other: _____



## ATTACHMENT B – DISCLOSURE AFFIDAVIT

### A. CORPORATIONS AND LLC'S

<b>State of Incorporation or Organization:</b>		California
<b>If outside of Illinois, is your firm authorized to conduct business in the State Of Illinois:</b>		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>City/State/ZIP:</b>	18100 Von Karman Avenue, 10th Floor, Irvine, CA 92612	
<b>Telephone:</b>	(949) 756-0271	
<b>Identify the names of all officers and directors of the business entity.</b> (Please attach list if necessary.)		
<b>Name</b>	<b>Title</b>	
List attached.		
<b>Identify all shareholders whose Ownership percentage exceeds 7.5% of the business entity.</b> (Please attach list if necessary.)		
<b>Name</b>	<b>Address</b>	<b>Ownership Interest Percentage</b>
		%
		%
		%
<b>LLC's only, indicate Management Type and Name:</b>		
<input type="checkbox"/> Member-managed	<input type="checkbox"/> Manager-managed	<b>Name:</b>
<b>Is the corporation or LLC owned partially or completely by one or more other corporations or legal entities?</b>		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<i>If yes, please provide the above information, as applicable, for each such corporation or entity such that any person with a beneficial Ownership interest of 7.5% or more in the corporation contracting in the PBC is disclosed. For example, if Corporation B owns 15% of Corporation A, and Corporation A is contracting with the PBC, then Corporation B must complete a Disclosure Affidavit. If Corporation B is owned by Corporations C and D, each of which owns 50% of Corporation B, then both Corporations C and D must complete Disclosure Affidavits.</i>		

Alliant Insurance Services, Inc. is 100% owned by Alliant Holdings Intermediate, Inc.

No single individual of Alliant Holdings Intermediate, Inc. owns in excess of 7.5% of the issued and outstanding shares of stock.

## Directors and Officers

### Alliant Insurance Services, Inc.

Directors	Title	Address
Thomas Corbett	Executive Chairman	18100 Von Karman Avenue, 10th Floor, Irvine, CA 92612
P. Gregory Zimmer, Jr.	Chief Executive Officer	18100 Von Karman Avenue, 10th Floor, Irvine, CA 92612
Ralph Hurst	President	18100 Von Karman Avenue, 10th Floor, Irvine, CA 92612

Officers	Title	Address
Tom Corbett	Executive Chairman	18100 Von Karman Avenue, 10th Floor, Irvine, CA 92612
P. Greg Zimmer, Jr.	Chief Executive Officer	18100 Von Karman Avenue, 10th Floor, Irvine, CA 92612
Ralph Hurst	President	18100 Von Karman Avenue, 10th Floor, Irvine, CA 92612
Peter Carpenter	SEVP & Chief Operating Officer	18100 Von Karman Avenue, 10th Floor, Irvine, CA 92612
Ilene Anders	SEVP & Chief Financial Officer	18100 Von Karman Avenue, 10th Floor, Irvine, CA 92612
Peter Arkley	SEVP, President-National Brokerage Group	18100 Von Karman Avenue, 10th Floor, Irvine, CA 92612
Ted Filley	EVP & Treasurer	18100 Von Karman Avenue, 10th Floor, Irvine, CA 92612
Jennifer Baumann	EVP, Chief Legal Officer & Corporate Secretary	18100 Von Karman Avenue, 10th Floor, Irvine, CA 92612
Julie Maloy	SVP, Director of Tax	18100 Von Karman Avenue, 10th Floor, Irvine, CA 92612
Neal Kounkel	First Vice President, Director of Agency, and Producer Licensing	18100 Von Karman Avenue, 10th Floor, Irvine, CA 92612

## ATTACHMENT B – DISCLOSURE AFFIDAVIT

### B. PARTNERSHIPS

If the bidder/proposer or contractor is a partnership, indicate the name of each partner and the percentage of interest of each therein. Also indicate, if applicable, whether General Partner (GP) or Limited Partner (LP).		
Name	Type	Ownership Interest Percentage
		%
		%
		%
		%
		%

### C. SOLE PROPRIETORSHIP

The bidder/proposer or contractor is a sole proprietorship and is not acting in any representative capacity on behalf of any beneficiary:	
If the answer is no, please complete the following two sections.	<input type="checkbox"/> Yes <input type="checkbox"/> No
If the sole proprietorship is held by an agent(s) or a nominee(s), indicate the principal(s) for whom the agent or nominee holds such interest.	
Name of Principal(s)	
If the interest of a spouse or any other party is constructively controlled by another person or legal entity, state the name and address of such person or entity possessing such control and the relationship under which such control is being or may be exercised.	
Name	Address

## ATTACHMENT B – DISCLOSURE AFFIDAVIT

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### III. CONTRACTOR CERTIFICATION

#### A. CONTRACTORS

1. The Contractor, or any affiliated entities of the Contractor, or any responsible official thereof, or any other official, agent or employee of the Contractor, any such affiliated entity, acting pursuant to the direction or authorization of a responsible official thereof has not, during a period of three years prior to the date of execution of this certification:
  - a. Bribe or attempted to bribe, or been convicted of bribery or attempting to bribe a public officer or employee of the City of Chicago, the State of Illinois, any agency of the federal government or any state or local government in the United States (if an officer or employee, in that officer's or employee's official capacity); or
  - b. Agreed or colluded, or been convicted of agreement or collusion among bidders or prospective bidders in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
  - c. Made an admission of such conduct described in 1(a) or (b) above which is a matter of record but has not been prosecuted for such conduct.
2. The Contractor or agent, partner, employee or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rigging<sup>2</sup> in violation of Section 3 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-3), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rigging during a period of five years prior to the date of Submission of this bid, proposal or response.
3. The Contractor or any agent, partner, employee, or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rotating<sup>4</sup> in violation of Section 4 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-4), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rotating.
4. The Contractor understands and will abide by all provisions of Chapter 2-56 of the Municipal Code entitled "Office of the Inspector General" and all provisions of the Public Building Commission Code of Ethics Resolution No.5339, as amended by Resolution No. 5371.
5. The Contractor certifies to the best of its knowledge and belief, that it and its principals:
  - a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal, state or local department or agency.
  - b. Have not within a three-year period preceding this bid or proposal been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
  - c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (5)(b) above; and
  - d. Have not within a three-year period preceding this bid or proposal had one or more public transactions (federal, state or local) terminated for cause or default.

## ATTACHMENT B – DISCLOSURE AFFIDAVIT

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### B. SUBCONTRACTORS

1. The Contractor has obtained from all subcontractors being used in the performance of this contract or agreement, known by the Contractor at this time, disclosures substantially in the form of Section 1, and certifications substantially in the form of Section 2, of this Disclosure Affidavit. Based on such disclosures and certification(s), and any other information known or obtained by the Contractor, is not aware of any such subcontractor or subcontractor's affiliated entity or any agent, partner, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct described as prohibited in this document; (b) bid-rigging, bid-rotating, or any similar offense of any state or the United States which contains the same elements as bid-rigging or bid-rotating, or having made an admission of guilt of the conduct described in Section 2 which is matter of record but has/have not been prosecuted for such conduct.
2. The Contractor will, prior to using them as subcontractors, obtain from all subcontractors to be used in the performance of this contract or agreement, but not yet known by the Contractor at this time, certifications substantially in the form of this certification. The Contractor shall not, without the prior written permission of the Commission, use any of such subcontractors in the performance of this contract if the Contractor, based on such certifications or any other information known or obtained by Contractor, became aware of such subcontractor, subcontractor's affiliated entity or any agent, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct described as prohibited in this document of or (b) bid-rigging, bid-rotating or any similar offenses of any state or the United States which contains the same elements as bid-rigging or bid-rotating or having made an admission of guilt of the conduct described as prohibited in this document which is a matter of record but has/have not been prosecuted for such conduct. The Contractor shall cause such subcontractors to certify as to all necessary items. In the event any subcontractor is unable to certify to a particular item, such subcontractor shall attach an explanation to the certification.
3. For all subcontractors to be used in the performance of this contract or agreement, the Contractor shall maintain for the duration of the contract all subcontractors' certifications required by this document and Contractor shall make such certifications promptly available to the Public Building Commission of Chicago upon request.
4. The Contractor will not, without the prior written consent of the Public Building Commission of Chicago, use as subcontractors any individual, firm, partnership, corporation, joint venture or other entity from whom the Contractor is unable to obtain a certification substantially in the form of this certification.
5. The Contractor hereby agrees, if the Public Building Commission of Chicago so demands, to terminate its subcontract with any subcontract if such subcontractor was ineligible at the time that the subcontract was entered into for award of such subcontract. The Contractor shall insert adequate provisions in all subcontracts to allow it to terminate such subcontract as required by this certification.

### C. STATE TAX DELINQUENCIES

1. The Contractor is not delinquent in the payment of any tax administered by the Illinois Department of Revenue or, if delinquent, the Contractor is contesting, in accordance with the procedures established by the appropriate Revenue Act, its liability for the tax or amount of the tax.
2. Alternatively, the Contractor has entered into an agreement with the Illinois Department of Revenue for the payment of all such taxes that are due and is in compliance with such agreement.
3. If the Contractor is unable to certify to any of the above statements, the Contractor shall explain below. Attach additional pages if necessary.



## ATTACHMENT B – DISCLOSURE AFFIDAVIT

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If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

4. If any subcontractors are to be used in the performance of this contract or agreement, the Contractor shall cause such subcontractors to certify as to paragraph (C)(1) or (C)(2) of this certification. In the event that any subcontractor is unable to certify to any of the statements in this certification, such subcontractor shall attach an explanation to this certification.

### D. OTHER TAXES/FEES

1. The Contractor is not delinquent in paying any fine, fee, tax or other charge owed to the City of Chicago.
2. If Contractor is unable to certify to the above statement, Contractor shall explain below and (attach additional pages if necessary).

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

### E. PUNISHMENT

1. A Contractor who makes a false statement material to Section II(A)(2) of this certification commits a Class 3 felony. 720 ILCS 5/33E-11(b).

### F. JUDICIAL OR ADMINISTRATIVE PROCEEDINGS

1. The Contractor is not a party to any pending lawsuits against the City of Chicago or the Public Building Commission of Chicago nor has Contractor been sued by the City of Chicago or the Public Building Commission of Chicago in any judicial or administrative proceeding.
2. If the Contractor cannot certify to the above, provide the (1) case name; (2) docket number; (3) court in which the action is or was pending; and (4) a brief description of each such judicial or administrative proceeding. Attach additional sheets if necessary.

As reflective of the current state of our industry and the complexity of the business, from time to time Alliant may be involved in disputes between and among industry partners. Alliant may also be pulled in as third parties in disputes between clients and their industry partners. Certain of those disputes may result in a lawsuit in which Alliant is named as a party. Where that is the case, the facts surrounding those disputes and the parties involved are generally a matter of public record. As is customary, the details of any settlements related to these disputes are subject to strict confidentiality and nondisclosure provisions. There are no disputes which Alliant deems materially where it may impact the services.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

### G. CERTIFICATION OF ENVIRONMENTAL COMPLIANCE

- A. Neither the Contractor nor any affiliated entity of the Contractor has, during a period of five years prior to the date of execution of this Affidavit: (1) violated or engaged in any conduct which violated federal, state or local environmental restriction, (2) received notice of any claim, demand or action, including but not limited to citations and warrants, from any federal, state or local agency exercising executive, legislative, judicial, regulatory or administrative functions relating to a violation or alleged violation of any federal, state or local statute, regulation or other environmental restriction; or (3) been subject to any fine or penalty of any nature for failure to comply with any federal, state or local statute, regulation or other environmental restriction.

## ATTACHMENT B – DISCLOSURE AFFIDAVIT

If the Contractor cannot make the certification contained in the above paragraph, identify any exceptions (attach additional pages if necessary):

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

- B. Without the prior written consent of the Public Building Commission of Chicago, Contractor will not employ any subcontractor in connection with the contract or proposal to which this Affidavit pertains without obtaining from such subcontractor a certification similar in form and substance to the certification contained in Paragraph A of this Section III prior to such subcontractor's performance of any work or services or furnishing any goods, supplies or materials of any kind under the proposal or the contract to which this Affidavit pertains.
- C. Until completion of the Contractor's performance under the proposal or contract to which this Affidavit pertains, the Contractor will not violate any federal, state or local statute, regulation or other Environmental Restriction, whether in the performance of such contract or otherwise.

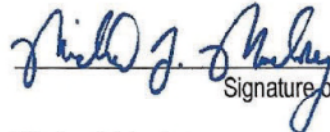
### H. INCORPORATION INTO CONTRACT AND COMPLIANCE

The above certification shall become part of any contract awarded to the Contractor set forth on page 1 of this Disclosure Affidavit and are a material inducement to the Public Building Commission of Chicago's execution of the contract, contract modification or contract amendment with respect to which this Disclosure Affidavit is being executed and delivered on behalf of the Contractor. Furthermore, Contractor shall comply with these certifications during the term and/or performance of the contract.

### I. VERIFICATION

Under penalty of perjury, I certify that I am authorized to execute this Disclosure Affidavit on behalf of the Contractor set forth on page 1, that I have personal knowledge of all the certifications made herein and that the same are true.

The Contractor must report any change in any of the facts stated in this Affidavit to the Public Building Commission of Chicago within 14 days of the effective date of such change by completing and submitting a new Disclosure Affidavit. Failure to comply with this requirement is grounds for your firm to be deemed non-qualified to do business with the PBCC. Deliver any such new Disclosure Affidavit to: Public Building Commission of Chicago, Director of Compliance, 50 W. Washington, Room 200, Chicago, IL 60602.



Signature of Authorized Officer

Michael Mackey

Name of Authorized Officer (Print or Type)

Executive Vice President

Title

(312) 595-7900

Telephone Number

State of Illinois

County of Cook

Signed and sworn to before me on this 7<sup>th</sup> day of August, 2025 by  
Michael Mackey (Name) as EVP (Title) of  
Alliant Insurance Services, Inc. (Bidder/Proposer/Respondent or Contractor)

Notary Public Signature and Seal



**EXHIBIT C – DISCLOSURE OF RETAINED PARTIES**  
Insurance Brokerage Services

**(ATTACHED HERETO AND INCORPORATED HEREIN)**



## ATTACHMENT C – DISCLOSURE OF RETAINED PARTIES

### Definitions and Disclosure Requirements

As used herein, "Consultant" means a person or entity who has any contract with the Public Building Commission of Chicago ("Commission").

Commission bids, contracts, and/or qualification submissions must be accompanied by a disclosure statement providing certain information about lobbyists whom the Consultant has retained or expects to retain with respect to the contract. In particular, the Consultant must disclose the name of each such person, his or her business address, the name of the relationship, and the amount of fees paid or estimated to be paid. The Consultant is not required to disclose employees who are paid solely through the Consultant's regular payroll.

"Lobbyists" means any person who (a) for compensation or on behalf of any person other than himself undertake to influence any legislative or administrative action or (b) any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

### Certification

Consultant hereby certifies as follows:

This Disclosure relates to the following transaction(s):

Description of goods or services to be provided under Contract:

Name of Consultant:

**EACH AND EVERY** lobbyist retained or anticipated to be retained by the Consultant with respect to or in connection with the contract listed below. Attach additional pages if necessary.

Retained Parties:

Name	Business Address	Relationship (Attorney, Lobbyist, etc.)	Fees (indicate total whether paid or estimated)

☒ Check Here If No Such Persons Have been Retained or Are Anticipated To Be Retained

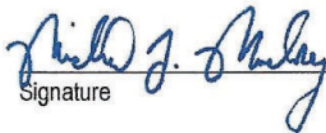
The Consultant understands and agrees as follows:

- a. The information provided herein is a material inducement to the Commission execution of the contract or other action with respect to which this Disclosure of Retained Parties form is being executed, and the Commission may rely on the information provided herein. Furthermore, if the Commission determines that any information provided herein is false, incomplete, or inaccurate, the Commission may terminate the contract or other transaction; terminate the Consultant's participation in the contract or other transactions with the Commission.

## ATTACHMENT C – DISCLOSURE OF RETAINED PARTIES

- b. If the Consultant is uncertain whether a disclosure is required, the Consultant must either ask the Commission's Representative or his or her manager whether disclosure is required or make the disclosure.
- c. This Disclosure of Retained Parties form, some or all of the information provided herein, and any attachments may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. The Consultant waives and releases any possible rights or claims it may have against the Commission in connection with the public release of information contained in the completed Disclosure of Retained Parties form and any attachments.

Under penalty of perjury, I certify that I am authorized to execute this Disclosure of Retained Parties on behalf of the Consultant and that the information disclosed herein is true and complete.

  
Signature

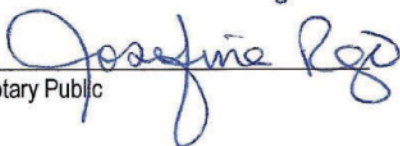
8/7/2025  
Date

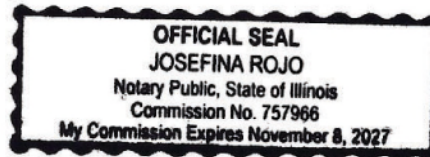
Michael Mackey  
Name (Type or Print)

Executive Vice President  
Title

Subscribed and sworn to before me

this 7<sup>th</sup> day of Aug 2025

  
Notary Public



**EXHIBIT D – SPECIAL CONDITIONS REGARDING THE UTILIZATION OF MBE AND WBE  
FIRMS**  
Insurance Brokerage Services

**(ATTACHED HERETO AND INCORPORATED HEREIN)**

**ATTACHMENT D**  
**SPECIAL CONDITIONS REGARDING THE UTILIZATION OF**  
**MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES**  
**FOR PROFESSIONAL SERVICES**

1. Policy Statement

- a. It is the policy of the Public Building Commission of Chicago ("PBC") to ensure competitive business opportunities for MBE and WBE firms in the performance of Contracts, to prohibit discrimination in the award of or participation in Contracts, and to abolish arbitrary barriers to full participation in Contracts by all persons, regardless of race, sex or ethnicity. Therefore, during the performance of this Contract, the Professional Service Provider must agree that it will not discriminate against any person or business on the basis of race, color, religion, ancestry, age, marital status, physical or mental handicap, unfavorable discharge from military service, parental status, sexual orientation, national origin or sex, in the solicitation or the purchase of goods and services or the subcontracting of work in the performance in this Contract.
- b. The Commission requires the Professional Service Provider also agree to take action to ensure that MBE and WBE firms have the maximum opportunity to compete for and perform subcontracts with respect to this Contract.
- c. The Commission requires the Professional Service Provider to notify MBE and WBE firms, utilized on this contract, about opportunities on contracts without goals.

2. Aspirational Goals

- a. Upon the effective date of these Special Conditions, the Consultant agrees to make a best efforts to attain the aspirational goals to award **25%** of the annual dollar value of all Commission Contracts to MBEs and **5%** of the annual dollar value of all Commission Contracts to WBEs.
- b. Further, the Professional Service Provider must agree to use its best efforts to include MBE and WBE firms in any Contract modification work that increases the Contract value. Where the proposed contract modification involves work which can be performed by MBEs and WBEs already performing work on the contract such MBEs and WBEs will participate in such work specified in the contract modification..
- c. Failure to carry out the commitments and policies set forth in this Program constitute a material breach of contract and may result in termination of the Professional Service Provider or such other remedy, as the Commission deems appropriate.

3. Definitions

- a. For purposes of this Special Condition, the following definitions applies:
  - (1) "Certified Minority Business Enterprise" means a person or entity granted certification by the City of Chicago or County of Cook.
  - (2) "Certified Women's Business Enterprise" means a person or entity granted certification by the City of Chicago or County of Cook.
  - (3) "Construction Contract" means a contract for the construction, repair, alteration, renovation or improvement of any building, facility or other structure.
  - (4) "Contract Specific Goals" means the subcontracting goals for MBE and WBE participation established for a particular contract based upon the availability of MBEs and WBEs to perform any anticipated scope of work of the contract and the Commission's progress towards meeting the aspirational goals.
  - (5) "Contractor" means any person or business entity that seeks to enter into a Construction Contract with the Commission and includes all partners, affiliates and joint ventures of such person or entity.
  - (6) "Established Business" means a person or entity granted certification by the City of Chicago.



- (7) "Executive Director" means the Executive Director of the Commission or his/her duly designated representative as appointed in writing.
- (8) "Good faith efforts" means actions undertaken by a Contractor to achieve a Contract Specific Goal that by their scope, intensity and appropriateness to the objective can reasonably be expected to fulfill the Program's requirements.
- (9) "Joint venture" means an association of two or more persons or entities or any combination of two or more business enterprises and persons numbering two or more, proposing to perform a single for-profit business enterprise, in which each joint venture partner contributes property, capital, efforts, skill and knowledge, and in which the MBE or WBE is responsible for a distinct, clearly-defined portion of the work of the contract and whose share in the capital contribution, control, management, risks and profits of the joint venture is equal to its ownership interest. Joint ventures must have an agreement in writing specifying the terms and conditions of the relationships between the parties and their relationship and responsibilities to the contract.
- (10) "Participating Established Business" means an established business which is eligible to participate in the minority- and women-owned business enterprise program set forth in Section 8 below.
- (11) "Professional Service Provider" means any person or business entity that seeks to enter into Professional Service Contract with the Commission and includes all partners affiliates, and joint ventures of such person or entity.
- (12) "Program" means the minority- and women-owned business enterprise construction procurement program established in this special condition.

#### 4. Determining MBE/WBE Utilization

The methodology for determining MBE and WBE utilization will be determined for purposes of analysis with respect to this contract as follows:

- a. The total dollar value of the contract awarded to the certified MBE or WBE firm will be credited to such participation. Only minority business participation may be counted toward MBE participation and only women business participation may be counted toward WBE participation.
- b. The total dollar value of a contract with a firm owned and controlled by minority women is counted toward either the MBE or WBE goal, but not both. The Professional Service Provider employing the firm may choose the goal to which the contract value is applied. Various work done by one and the same sub-consultant will be considered, for the purpose of this principle, as work effectively done under one subcontract only, which sub-consultant may be counted toward only one of the goals, not toward both.
- c. A Professional Service Provider may count toward its MBE or WBE goal the portion of the total dollar value of a contract with an eligible joint venture equal to the percentage of the ownership and control of the MBE or WBE partner in the joint venture. A joint venture seeking to be credited for MBE participation may be formed among certified MBE and WBE firms, or between certified MBE and WBE firms and a non-MBE/WBE firm. A joint venture satisfies the eligibility standards of this Program if the certified MBE or WBE participant of the joint venture:
  - (1) Shares in the ownership, control, management responsibilities, risks and profits of the joint venture; and
  - (2) Is responsible for a clearly defined portion of work to be performed in proportion to the MBE or WBE ownership percentage.
- d. A Professional Service Provider may count toward its MBE and WBE goals only expenditures to firms that perform a commercially useful function in the work of a contract. A firm is considered to perform a commercially-useful function when it is responsible for execution of a distinct element of the work of a contract and carries out its responsibilities by actually performing, managing, and supervising the work involved. To determine whether a firm is performing a commercially useful function, the Commission will evaluate the amount of work subcontracted, industry practices and other relevant factors.
- e. Consistent with normal industry practices, a MBE or WBE firm may enter into subcontracts. If a MBE or WBE Professional Service Provider subcontracts a significantly greater portion of the work of a contract than would be expected on the basis of normal industry practices, the MBE or WBE will be rebuttably presumed not to be performing a commercially-useful function.
- f. A Professional Service Provider may count toward its goals expenditures to MBE or WBE manufacturers (i.e., suppliers that produce goods from raw materials or substantially alters them before resale).
- g. A Professional Service Provider may count toward its goals expenditures to MBE or WBE suppliers provided that the supplier performs a commercially useful function in the supply process.

#### 5. Submission of Proposals

- a. The following schedules and documents constitute the Proposer's MBE/WBE compliance proposal and must be submitted at the time of the proposal.

- (1) Evidence of Certification: Affidavit of MBE/WBE. A copy of each proposed MBE and WBE firm's Letter of Certification from the City of Chicago, Department of Procurement Services or any other entity accepted by the Public Building Commission of Chicago must be submitted. The PBC accepts certification by the City of Chicago, and County of Cook.
- (2) Schedule B: Affidavit of MBE/Non-MBE or WBE/Non-WBE Joint Ventures. Where the Proposer's MBE/WBE compliance proposal includes participation of any MBE or WBE as a joint venture participant, the Proposer must submit a "Schedule B: Affidavit of MBE/Non-MBE or WBE/Non-WBE Joint Venture" with an attached copy of the joint venture agreement proposed among the parties. The Schedule B and the joint venture agreement must clearly evidence that the MBE or WBE participant will be responsible for a clearly defined portion of the work to be performed and that the MBE or WBE firm's responsibilities are in proportion with its ownership percentage.
- (3) Schedule C: Letter of Intent to Perform as a sub-consultant, Subconsultant, or Material Supplier, Schedule C, executed by the MBE/WBE firm (or Joint Venture sub-consultant) must be submitted by the Proposer for each MBE/WBE included on the Schedule D. Schedule C must accurately detail the work to be performed by the MBE or WBE firm and the agreed rates and prices to be paid.
- (4) Schedule D: Affidavit of Prime Professional Service Provider Regarding MBE or WBE Utilization. A completed Schedule D committing to the utilization of each listed MBE or WBE firm. Unless the Proposer has submitted a completed request for a waiver of participation by MBE/WBE firms (See Request for Waiver procedures in Section 7), the Proposer must include the specific dollar amount or percentage of participation of each MBE/WBE firm listed on its Schedule D. The total dollar commitment to proposed MBE firms must at least equal the MBE goal, and the total dollar commitment to proposed WBE firms must at least equal the WBE goal. Proposers are responsible for calculating the dollar equivalent of MBE or WBE utilization as percentages of their total proposal.

- b. The submittals must have all blank spaces on the Schedule pages applicable to the contract correctly filled in. Agreements between a Proposer and a MBE/WBE in which the MBE/WBE promises not to provide subcontracting quotations to other Proposers are prohibited.

#### 6. Evaluation of Compliance Proposals

- a. The Proposer's MBE/WBE compliance proposal will be evaluated by the Commission. The Proposer agrees to provide, upon request, earnest and prompt cooperation to the Executive Director or his / her designee in submitting to interviews that may be necessary, in allowing entry to places of business, in providing further documentation, or in soliciting the cooperation of a proposed MBE or WBE firm in providing such assistance. A proposal may be treated as non-responsive by reason of the determination that the Proposer's proposal did not contain a sufficient level of Certified MBE or WBE participation, that the Proposer was unresponsive or uncooperative when asked for further information relative to the proposal, or that false statements were made in the Schedules.
- b. If the Commission's review of a Proposer's proposal concludes that the MBE or WBE proposal was deficient, the Commission will promptly notify the Proposer of the apparent deficiency and instruct the Proposer to submit (within 3 business days of such notice given by the Commission) a modification of the MBE or WBE Proposal, in proper format, which remedies the deficiencies cited. Failure to correct all deficiencies cited by the Commission will be cause for rejection of the Proposer's proposal as non-responsive.
- c. Proposers will not be permitted to modify their MBE/WBE compliance proposal except insofar as directed to do so by the Commission. Therefore, all terms and conditions stipulated for prospective MBE and WBE consultants or suppliers should be satisfactorily negotiated prior to the submission to the Commission of the Proposer's MBE/WBE compliance proposal. If circumstances should arise, however, where a proposed MBE/WBE is no longer available, the process described in Section 12 should be followed.
- d. If the Compliance Proposal includes participation by material suppliers, the PBC will request copies of the offers from such suppliers. The offers must be furnished to the PBC within three (3) business days of the bidder's receipt of the request for such offers from the PBC. The PBC may make such request by electronic mail. The offers must specify: (i) the particular materials, equipment and/or supplies that will be furnished; (ii) the supplier's price for each of the items; (iii) the total price of the items to be furnished by the supplier, (iv) the supplier's source for the items (e.g., manufacturer, wholesaler) and (v) the subcontractor that the supplies will be purchased by.

#### 7. Request for Waiver

- a. If a Proposer is unable to identify qualified MBE and WBE firms to perform sufficient work to fulfill the MBE or WBE percentage goals for this Contract, the proposal must include a written request for waiver. A request for waiver must be sent to the Executive Director and must set forth the Proposer's inability to obtain sufficient MBE and WBE firms notwithstanding good faith attempts to achieve such participation.
- b. Good Faith efforts to achieve participation include but are not limited to:
  - (1) Attendance at the Pre-bid conference;
  - (2) Solicit certified MBE and WBE firms. Soliciting through reasonable and available means at least 50% of MBE and WBE firms certified in the anticipated scope(s) of work.



- (3) The Bidder's general policies regarding the utilization of MBE and WBE firms, plus a description of the methods used to carry out those policies;
  - (4) Advertise the contract opportunity in trade association newsletters, other media, and/or venues oriented toward and minority and woman-oriented;
  - (5) Timely notification (at least seven (7) days in advance of the bid due date) of specific sub-bid opportunities must be made to MBE and WBE firms and corresponding assistance agencies/associations;
  - (6) Provide interested MBE and WBE firms with adequate information regarding the plans, specifications, and contract requirements in a timely manner;
  - (7) Make efforts to assist interested MBE and WBE firms in obtaining bonding, lines of credit, or insurance;
  - (8) Make efforts to assist interested MBE and WBE firms in obtaining necessary equipment, supplies, materials, or related assistance/services;
  - (9) Effectively use the services of the City; minority or women community organizations/assistance groups, and other organizations to provide assistance in the recruitment and placement of MBE and WBE firms.
  - (10) Negotiate in good faith with interested MBE/WBE firms and provide a description of direct negotiations with MBE and WBE firms for specific sub-bids, including:
    - i. The name, address and telephone number of MBE and WBE firms contacted;
    - ii. A description of the information provided to MBE and WBE firms regarding the portions of the work to be performed; and
    - iii. The reasons why additional MBE and WBE firms were not obtained in spite of negotiations.
  - (11) A statement of the efforts made to select portions of the work proposed to be performed by MBE and WBE firms (such as sub-supplier, transport, engineering, distribution, or any other roles contributing to production and delivery as specified in the contract) in order to increase the likelihood of achieving sub participation;
  - (12) Decision to reject MBE and WBE firms deemed unqualified must be sound and based on a thorough investigation of firms capabilities. As to each MBE and WBE contacted which the Bidder considers to be not qualified, a detailed statement of the reasons for the Bidder's conclusion;
  - (13) Efforts made by the Bidder to expand its search for MBE and/or WBE firms beyond usual geographic boundaries.
  - (14) Must take appropriate, documented steps to follow up initial solicitations with interested MBE and WBE firms.
  - (15) General efforts made to assist MBE and WBE firms to overcome participation barriers.
- c. The Executive Director, after review and evaluation of the request provided by the Bidder, may grant a waiver request upon the determination that:
- (1) Sufficient qualified MBE and/or WBE firms capable of providing the goods or services required by the contract are unavailable despite the good faith efforts of the Bidder;
  - (2) The price(s) quoted by potential MBE and/or WBE firms for goods or services is above competitive levels to an extent unwarranted by any increased cost of doing business attributable to the present effects of disadvantage or discrimination.
8. Established Business Participation in the MBE and WBE Procurement Program
- a. A local business entity which meets all the requirements to be certified as an MBE or WBE under this article except that it has become an established business may participate in the minority- and women-owned business enterprise program as follows:
- (1) For a one-year period after the business entity has become an established business, only 75 percent of such business's participation in the Contract shall account for the MBE or WBE, as applicable, participation requirement set forth in Section 4;
  - (2) For a one-year period starting on the one-year anniversary of the date the business entity became an established business, only 50 percent of such business's participation in the Contract shall account for the MBE or WBE, as applicable, participation requirement set forth in Section 4.
  - (3) For a one-year period starting on the two-year anniversary of the date the business entity became an established business, only 25 percent of such business's participation in the Contract shall account for the MBE or WBE, as applicable, participation requirement set forth in Section 4.



- b. An Establish Business entity shall not be eligible to participate in the minority- and women-owned business enterprise procurement program starting on the three-year anniversary of the date the business entity became an established business.

#### 9. Failure To Achieve Goals

- a. If the Contractor cannot achieve the contract specific goals, as the Project proceeds, it must have documented its good faith efforts to do so. In determining whether the contractor has made such good faith efforts, the performance of other contractors in meeting the goals may be considered. The Executive Director shall consider, at a minimum, the Contractor's efforts to do the following:
  - (1) Soliciting through reasonable and available means the interest of MBEs or WBEs that provide interested MBEs or WBEs with adequate information about the plans, specifications and requirements of the contract, including addenda, in a timely manner to assist them in responding to the solicitation.
  - (2) Provide interested MBEs or WBEs with adequate information about the plans, specifications and requirements of the contract, including addenda, in a timely manner to assist them in responding to the solicitation.
  - (3) Negotiating in good faith with interested MBEs or WBEs that have submitted bids. Documentation of negotiation must include the names, addresses and telephone numbers of MBEs or WBEs that were solicited; the date of each such solicitation; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why agreements could not be reached with MBEs or WBEs to perform the work. That there may be some additional costs involved in solicitation and using MBEs and WBEs is not a sufficient reason for a contractor's failure to meet the goals, as long as such costs are reasonable.
  - (4) Not rejecting MBEs or WBEs as being unqualified without sound reasons based on the thorough investigation of their capabilities. The MBEs' or WBEs' standing within its industry, membership in specific groups, organizations, or associations and political or social affiliations are not legitimate cases for rejecting or not soliciting bids to meet the goals.
  - (5) Making a portion of the work available to MBE or WBE subcontractors and suppliers and to select those portions of the work or material consistent with the available MBE or WBE subcontractors and suppliers, so as to facilitate meeting the goals.
  - (6) Making good faith efforts despite the ability or desire of a Contractor to perform the work of a contract with its own organization. A Contractor that desires to self-perform the work of a contract must demonstrate good faith efforts unless the goals have been met.
  - (7) Selecting portions of the work to be performed by MBEs or WBEs in order to increase the likelihood that the goals will be met. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MBE or WBE participation even when the Contract might otherwise prefer to perform these items with its own forces.
  - (8) Making efforts to assist interested MBEs or WBEs in obtaining bonding lines of credit or insurance as required by the Commission or Contractor.
  - (9) Making efforts to assist interested MBEs or WBEs in obtaining necessary equipment, supplies, materials or related assistance or services, including participation in a mentor-protégée program; and
  - (10) Effectively using the services of the Commission; minority or women community organizations; minority or women contractors' groups; local, state and federal minority or women business assistance offices; and other organizations to provide assistance in the recruitment and placement of MBEs or WBEs.
- b. In the event the Public Building Commission Procurement Officer determines that the Contractor did not make a good faith effort to achieve the goals, the Contractor may file a Dispute to the Executive Director as provided in the Section on Disputes.

#### 10. Reporting and Record-Keeping Requirements

- a. The Professional Service Provider, within 5 working days of contract award, must execute a formal subcontract or purchase order in compliance with the terms of the Professional Service Provider's proposal and MBE/WBE assurances. Upon request by the PBC, the Professional Service Provider must provide copies of the contracts or purchase orders executed between it and the MBE and WBE firms. During the performance of the contract, the Professional Service Provider will submit partial and final waivers of lien from MBE and WBE sub-consultant and suppliers indicating the current payment amount and the cumulative dollar amount of payments made to date.
- b. The Professional Service Provider must maintain records of all relevant data with respect to the utilization of MBE and WBE firms, including without limitation payroll records, tax returns and records, and books of account in such detail as the Commission requires, and retain such records for a period of at least 3 years after final acceptance of the work. Full access to such records will be granted to the Commission and/or its designees, on 5 business days' notice in order for the Commission to determine the Professional Service Provider's compliance with its MBE and WBE commitments and the status of any MBE or WBE firm performing any portion of the contract.

- c. The Professional Service Provider will file regular MBE and WBE utilization reports on the form entitled "Status Report of MBE and WBE Sub-Contract Payments", at the time of submitting each monthly invoice. The report should indicate the current and cumulative payments to the MBE and WBE sub-contractors.

#### 11. Disqualification of MBE or WBE

- a. The Contract may be terminated by the Executive Director upon the disqualification of the Professional Service Provider as an MBE or WBE if the sub-consultants status as an MBE or WBE was a factor in the award and such status was misrepresented by the Professional Service Provider.
- b. The Contract may be terminated by the Executive Director upon the disqualification of any MBE or WBE if the sub-consultants or supplier's status as an MBE or WBE was a factor in the award of the contract and the status of the sub-consultant or supplier was misrepresented by the Professional Service Provider. If the Professional Service Provider is determined not to have been involved in any misrepresentation of the status of the disqualified sub-consultant or supplier, the Professional Service Provider shall make good faith efforts to engage a qualified MBE or WBE replacement.

#### 12. Prohibition On Changes To MBE/WBE Commitments

- a. The Professional Service Provider must not make changes to its contractual MBE and WBE commitments or substitute such MBE or WBE sub-consultants without the prior written approval of the Executive Director. Unauthorized changes or substitutions, including performing the work designated for a sub-consultant with the Professional Service Provider's own forces, is a violation of this section and a breach of the contract with the Commission, and may cause termination of the contract for breach, and/or subject the Professional Service Provider to contract remedies or other sanctions. The facts supporting the request must not have been known nor reasonably should have been known by the parties prior to entering into the subcontract.

#### 13. MBE/WBE Substitution Requirements and Procedures

- a. Arbitrary changes by the Contractor of the commitments earlier certified in the Schedule D are prohibited. Further, after once entering into each approved MBE and WBE sub-contract agreement, the Contractor shall thereafter neither terminate the subcontract, nor reduce the scope of the work to be performed by the MBE or WBE, nor decrease the price to the MBE or WBE, without in each instance receiving the prior written approval of the Executive Director. In some cases, however, it may become necessary to substitute a new MBE or WBE in order to actually fulfill the MBE or WBE requirements. In such cases, the Executive Director must be given reasons justifying the release by the Contractor of prior specific MBE or WBE commitments established in the contract, and will need to review the eligibility of the MBE or WBE presented as a substitute. The substitution procedure will be as follows:
  - (1) If needed and in order to sustain the fulfillment of the MBE/WBE contract requirements, the Contractor must notify the Executive Director immediately in writing of an apparent necessity to reduce or terminate a MBE or WBE subcontract and to propose a substitute firm for some phase of work.
  - (2) The Contractor's notification should include the specific reasons for the proposed substitution. Stated reasons which would be acceptable include any of the following reasons: a) unavailability after receipt of reasonable Notice to Proceed; b) failure of performance; c) financial incapacity; d) refusal by the subcontractor to honor the bid or proposal price or scope; e) mistake of fact or law about the elements of the scope of work of a solicitation where a reasonable price cannot be agreed; f) failure of the subcontractor to meet insurance, licensing or bonding requirements; g) the subcontractor's withdrawal of its bid or proposal; or h) decertification of the subcontractor as MBE or WBE.
  - (3) The Contractor's position must be fully explained and supported with adequate documentation. Stated reasons which will not be acceptable include: replacement firm has been recruited to perform the same work under terms more advantageous to the Contractor; issues about performance by the committed MBE or WBE were disputed (unless every reasonable effort has already been taken to have the issues resolved or mediated satisfactorily); an MBE or WBE has requested reasonable price escalation which may be justified due to unforeseen circumstances.
  - (4) The Contractor's notification should include the names, address and principal official of any proposed substitute MBE or WBE and the dollar value and scope of work of the proposed subcontract. Attached should be all the same MBE/WBE affidavits, documents and Letters of Intent which are required of the proposed MBE or WBE firms, as enumerated above in Section on Submission of Bid Proposals.
  - (5) The Executive Director will evaluate the submitted documentation, and respond within fifteen (15) business days to the request for approval of a substitution. The response may be in the form of requesting more information, or requesting an interview to clarify or mediate the problem. In the case of an expressed emergency need to receive the necessary decision for the sake of job progress, the Executive Director will instead respond as soon as practicable.

- (6) Actual substitution of a replacement MBE or WBE to fulfill contract requirements must not be made before the Executive Director's approval is given of the acceptability of the substitute MBE or WBE. This subcontract must be executed within five (5) business days, and a copy of the MBE WBE subcontract with signatures of both parties to the agreement should be submitted immediately to the Executive Director.
- i. The Executive Director will not approve extra payment for escalated costs incurred by the Contractor when a substitution of subcontractors becomes necessary for the Contractor in order to comply with MBE/WBE contract requirements.
  - ii. No relief of the MBE/WBE requirements will be granted by the Executive Director except in exceptional circumstances. Requests for complete or partial waiver of the MBE/WBE requirements of this contract must be made in writing, stating all details of the request, the circumstances, and any additional relevant information. The request must be accompanied by a record of all efforts taken by the Contractor to locate specific firms, solicit MBE and WBE bids, seek assistance from technical assistance agencies, and other good faith efforts undertaken to achieve compliance with the MBE/WBE goals.

14. Non-Compliance

- a. The Executive Director has the authority to apply suitable sanctions to the Professional Service Provider if the Professional Service Provider is found to be in non-compliance with the MBE and WBE requirements. Failure to comply with the MBE or WBE terms of this contract or failure to use MBE or WBE firms as stated in the Professional Service Provider's assurances constitutes a material breach of the contract, and may lead to the suspension or termination of the contract in part or in whole. In some cases, monthly progress payments may be withheld until corrective action is taken.
- b. When the contract is completed, if the Executive Director has determined that the Professional Service Provider did not comply in the fulfillment of the required MBE and/or WBE goals, and a grant of relief of the requirements was not obtained, the Commission will be damaged in the failure to provide the benefit of participation to minority or women business to the degree set forth in this Special Condition. In that case, the Commission may disqualify the Professional Service Provider from entering into future contracts with the Commission.

15. Severability

- a. If any section, subsection, paragraph, clause, provision or application of these Special Conditions is held invalid by any court, the invalidity of such section, paragraph, clause or provision will not affect any of the remaining provisions hereof.

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**SCHEDULE B**

**Joint Venture Affidavit (1 of 3)**

***This form is not required if all joint venturers are MBE/Non-MBE or WBE/Non-WBE firms. In such case, however, a written joint venture agreement among the MBE/Non-MBE or WBE/Non-WBE firms should be submitted. Each MBE/WBE joint venturer must also attach a copy of their current certification letter.***

A. Name of joint venture \_\_\_\_\_

B. Address of joint venture \_\_\_\_\_  
\_\_\_\_\_

C. Phone number of joint venture \_\_\_\_\_

D. Identify the firms that comprise the joint venture  
\_\_\_\_\_  
\_\_\_\_\_

1. Describe the role(s) of the MBE/WBE firm(s) in the joint venture. (Note that a "clearly defined portion of work" must here be shown as under the responsibility of the MBE/WBE firm.)  
\_\_\_\_\_  
\_\_\_\_\_

2. Describe very briefly the experience and business qualifications of each non-MBE/WBE joint venturer.  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

E. Nature of joint venture's business  
\_\_\_\_\_  
\_\_\_\_\_

F. Provide a copy of the joint venture agreement.

G. Ownership: What percentage of the joint venture is claimed to be owned by MBE/WBE? \_\_\_\_\_%

H. Specify as to:

1. Profit and loss sharing \_\_\_\_\_%

2. Capital contributions, including equipment \_\_\_\_\_%

3. Other applicable ownership interests, including ownership options or other agreements which restrict ownership or control.  
\_\_\_\_\_  
\_\_\_\_\_

**SCHEDULE B**  
**Joint Venture Affidavit (2 of 3)**

4. Describe any loan agreements between joint venturers, and identify the terms thereof.

\_\_\_\_\_

I. Control of and participation in this Contract: Identify by name, race, sex, and "firm" those individuals (and their titles) who are responsible for day-to-day management and policy decision making, including, but not limited to, those with prime responsibility for:

1. Financial decisions \_\_\_\_\_

2. Management decisions such as:

a. Estimating \_\_\_\_\_

b. Marketing and Sales \_\_\_\_\_

c. Hiring and firing of management personnel \_\_\_\_\_

d. Other \_\_\_\_\_

3. Purchasing of major items or supplies \_\_\_\_\_

4. Supervision of field operations \_\_\_\_\_

5. Supervision of office personnel \_\_\_\_\_

6. Describe the financial controls of the joint venture, e.g., will a separate cost center be established; which venturer will be responsible for keeping the books; how will the expense therefor be reimbursed; the authority of each joint venturer to commit or obligate the other. Describe the estimated contract cash flow for each joint venturer.

\_\_\_\_\_

7. State approximate number of operational personnel, their craft and positions, and whether they will be employees of the majority firm or the joint venture.

\_\_\_\_\_

J. Please state any material facts of additional information pertinent to the control and structure of this joint venture.

\_\_\_\_\_

\_\_\_\_\_

**SCHEDULE B**

**Joint Venture Affidavit (3 of 3)**

THE UNDERSIGNED SWEAR THAT THE FOREGOING STATEMENTS ARE CORRECT AND INCLUDE ALL MATERIAL INFORMATION NECESSARY TO IDENTIFY AND EXPLAIN THE TERMS AND OPERATIONS OF OUR JOINT VENTURE AND THE INTENDED PARTICIPATION BY EACH JOINT VENTURER IN THE UNDERTAKING. FURTHER, THE UNDERSIGNED COVENANT AND AGREE TO PROVIDE TO THE PUBLIC BUILDING COMMISSION OF CHICAGO CURRENT, COMPLETE AND ACCURATE INFORMATION REGARDING ACTUAL JOINT VENTURE WORK AND THE PAYMENT THEREFOR AND ANY PROPOSED CHANGES IN ANY OF THE JOINT VENTURE AGREEMENTS AND TO PERMIT THE AUDIT AND EXAMINATION OF THE BOOKS, RECORDS, AND FILES OF THE JOINT VENTURE, OR THOSE OF EACH JOINT VENTURER RELEVANT TO THE JOINT VENTURE, BY AUTHORIZED REPRESENTATIVES OF THE COMMISSION. ANY MATERIAL MISREPRESENTATION WILL BE GROUNDS FOR TERMINATING ANY CONTRACT WHICH MAY BE AWARDED AND FOR INITIATING ACTION UNDER FEDERAL OR STATE LAWS CONCERNING FALSE STATEMENTS.

Note: If, after filing this Schedule B and before the completion of the joint venture's work on this Contract, there is any significant change in the information submitted, the joint venture must inform the Public Building Commission of Chicago, either directly or through the Prime if the joint venture is a subcontractor.

\_\_\_\_\_  
Name of Joint Venturer

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

State of \_\_\_\_\_ County of \_\_\_\_\_

On this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_  
before me appeared (Name)

\_\_\_\_\_,  
to me personally known, who, being duly sworn,  
did execute the foregoing affidavit, and did state  
that he or she was properly authorized by  
(Name of Joint Venture)

\_\_\_\_\_  
to execute the affidavit and did so as his or her  
free act and deed.

\_\_\_\_\_  
Notary Public

Commission expires:  
(SEAL)

\_\_\_\_\_  
Name of Joint Venturer

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

State of \_\_\_\_\_ County of \_\_\_\_\_

On this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_  
before me appeared (Name)

\_\_\_\_\_,  
to me personally known, who, being duly sworn,  
did execute the foregoing affidavit, and did state  
that he or she was properly authorized by  
(Name of Joint Venture)

\_\_\_\_\_  
to execute the affidavit and did so as his or her  
free act and deed.

\_\_\_\_\_  
Notary Public

Commission expires:  
(SEAL)

# ATTACHMENT D - SPECIAL CONDITIONS REGARDING THE UTILIZATION OF MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES FOR PROFESSIONAL SERVICES

## SCHEDULE D

### Affidavit of Professional Service Provider Regarding MBE/WBE Participation (1 of 2)

Name of Project: Request for Proposals for Insurance Brokerage Services PS3097

STATE OF ILLINOIS     }  
                                      } SS  
COUNTY OF COOK     }

In connection with the above-captioned contract, I HEREBY DECLARE AND AFFIRM that I am the

Executive Vice President

Title and duly authorized representative of

Alliant Insurance Services, Inc.

Name of Professional Service Provider whose address is

353 North Clark Street

in the City of Chicago, State of Illinois

and that I have personally reviewed the material and facts submitted with the attached Schedules of MBE/WBE participation in the above-referenced Contract, including Schedule C and Schedule B (if applicable), and the following is a statement of the extent to which MBE/WBE firms will participate in this Contract if awarded to this firm as the Contractor for the Project.

Name of MBE/WBE Contractor	Type of Work to be Done in Accordance with Schedule C	Dollar Credit Toward MBE/WBE Goals	
		MBE	WBE
A. Lavelle Consulting Services dba Cynasure Insurance	• Risk Management oversight. • Certificate monitoring/tracking. • Maintenance of exposure schedules.	\$ TBD	\$
		\$	\$
		\$	\$
J.A. Watts, Inc.	• Project safety and loss control inspections.	\$	\$ TBD
		\$	\$
		\$	\$
		\$	\$
		\$	\$
		\$	\$
Total Net MBE/WBE Credit		\$ TBD	\$ TBD
Percent of Total Base Bid		<div>25</div> %	<div>5</div> %

The Prime may count toward its MBE/WBE goal a portion of the total dollar value of a contract with a joint venture equal to the percentage of the ownership and control of the MBE/WBE partner.



# ATTACHMENT D - SPECIAL CONDITIONS REGARDING THE UTILIZATION OF MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES FOR PROFESSIONAL SERVICES

## SCHEDULE D

### Affidavit of Professional Service Provider Regarding MBE/WBE Participation (2 of 2)

The Undersigned will enter into a formal agreement for the above work with the above-referenced MBE/WBE firms, conditioned upon performance as Contractor of a Contract with the Commission, and will do so within five (5) business days of receipt of a notice of Contract award from the Commission.

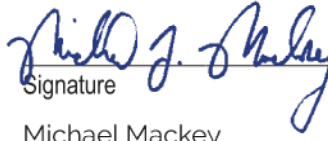
Additionally, the Undersigned certifies to the best of its knowledge and belief that it, its principals and any subcontractors used in the performance of this contract, meet the Agency requirements and have not violated any City or Sister Agency policy, codes, state, federal or local laws, rules or regulations and have not been subject to any debarment, suspension or other disciplinary action by any government agency. Additionally, if at any time the Contractor becomes aware of such information, it must immediately disclose it to the Commission.

BY:

Alliant Insurance Services, Inc.  
Name of Contractor (Print)

August 12, 2025  
Date

(312) 595-7900  
Phone

  
Signature  
Michael Mackey  
Name (Print)

IF APPLICABLE:

BY:

Joint Venture Partner (Print)

Date

Signature

Name (Print)

MBE \_\_\_\_ WBE \_\_\_\_ Non-MBE/WBE \_\_\_\_

**ATTACHMENT D - SPECIAL CONDITIONS REGARDING THE UTILIZATION OF MINORITY  
AND WOMEN OWNED BUSINESS ENTERPRISES FOR PROFESSIONAL SERVICES**

**SCHEDULE C**  
**Letter of Intent from MBE/WBE (1 of 2)**  
**To Perform As**  
**Subcontractor, Subconsultant, and/or Material Supplier**

Name of Project: Request for Proposals for Insurance Brokerage Services

Project Number: PS3097

FROM:  
A. Lavelle Consulting Services  
dba Cynasure Insurance \_\_\_\_\_ MBE ☒ WBE \_\_\_\_\_  
(Name of MBE or WBE)

TO:  
Alliant Insurance Services, Inc. and Public Building Commission of Chicago  
(Name of Bidder)

The undersigned intends to perform work in connection with the above-referenced project as (check one):

\_\_\_\_\_ a Sole Proprietor                      ☒ a Corporation  
\_\_\_\_\_ a Partnership                      \_\_\_\_\_ a Joint Venture

The MBE/WBE status of the undersigned is confirmed by the attached Letter of Certification, dated February 2, 2024. In addition, in the case where the undersigned is a Joint Venture with a non-MBE/WBE firm, a Schedule B, Joint Venture Affidavit, is provided.

The undersigned is prepared to provide the following described services or supply the following described goods in connection with the above-named project.

- Risk Management oversight.
- Certificate monitoring/tracking.
- Maintenance of exposure schedules.

The above-described services or goods are offered for the following price, with terms of payment as stipulated in the Contract Documents.

25% of the final broker compensation.  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**ATTACHMENT D - SPECIAL CONDITIONS REGARDING THE UTILIZATION OF MINORITY  
AND WOMEN OWNED BUSINESS ENTERPRISES FOR PROFESSIONAL SERVICES**

**SCHEDULE C**  
**Letter of Intent from MBE/WBE (2 of 2)**  
**To Perform As**  
**Subcontractor, Subconsultant, and/or Material Supplier**

**PARTIAL PAY ITEMS**

For any of the above items that are partial pay items, specifically describe the work and subcontract dollar amount:

N/A

If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheet(s).

**SUB-SUBCONTRACTING LEVELS**

<input type="radio"/>
<input type="radio"/>

% of the dollar value of the MBE/WBE subcontract will be sublet to non-MBE/WBE contractors.

% of the dollar value of the MBE/WBE subcontract will be sublet to MBE/WBE contractors.

If MBE/WBE subcontractor will not be sub-subcontracting any of the work described in this Schedule, a zero (0) must be filled in each blank above. If more than 10% percent of the value of the MBE/WBE subcontractor's scope of work will be sublet, a brief explanation and description of the work to be sublet must be provided.

The Undersigned (Contractor) will enter into a formal agreement for the above work with the Bidder, conditioned upon its execution of a contract with the Public Building Commission of Chicago, and will do so within five (5) working days of receipt of a notice of Contract award from the Commission.

Additionally, the Undersigned certifies to the best of its knowledge and belief that it, its principals and any subcontractors used in the performance of this contract, meet the Agency requirements and have not violated any City or Sister Agency policy, codes, state, federal or local laws, rules or regulations and have not been subject to any debarment, suspension or other disciplinary action by any government agency. Additionally, if at any time the Contractor becomes aware of such information, it must immediately disclose it to the Commission.

BY:

A. Lavelle Consulting Services

dba Cynasure Insurance

Name of MBE/WBE Firm (Print)

August 12, 2025

Date

(312) 402-2171

Phone



Signature

Avis LaVelle Sampson

Name (Print)

IF APPLICABLE: N/A

BY:

Joint Venture Partner (Print)

Date

Phone

Signature

Name (Print)

MBE \_\_\_ WBE \_\_\_ Non-MBE/WBE \_\_\_



CITY OF CHICAGO



DEPARTMENT OF PROCUREMENT SERVICES

**FEB - 2 2024**

Avis LaVelle Sampson  
A. Lavelle Consulting Services, LLC d/b/a Cynasure Insurance  
203 N. LaSalle Street, Suite 2100  
Chicago, IL 60601

Re: Change in NAICS code(s)

Dear Ms. Sampson:

We are pleased to inform you that we have updated your certification to reflect your firm's change in NAICS code(s). **A. Lavelle Consulting Services, LLC d/b/a Cynasure Insurance** continues to be certified as a **Minority-Owned Business Enterprise ("MBE")** and **Women-Owned Business Enterprise ("WBE")** by the City of Chicago ("City"). This certification will remain effective for as long as your firm continues to meet all certification eligibility requirements and is contingent upon the firm affirming its eligibility by filing an **annual No-Change Affidavit** each year. In the past, the City has provided you with an annual letter confirming your certification; such letters will no longer be issued. Therefore, we require you to be even more diligent in filing your **annual No-Change Affidavit 60 days before your annual anniversary date of May 1<sup>st</sup>**.

It is now your responsibility to check the City's certification directory and verify your certification status. As a condition of continued certification, you must **file an annual No-Change Affidavit by your anniversary date of May 1<sup>st</sup>**. Please remember, you have an affirmative duty to file your No-Change Affidavit 60 days prior to the anniversary date for timely processing. Failure to file your annual No-Change Affidavit may result in the suspension or rescission of your certification.

It is important to note that you also have an ongoing affirmative duty to notify the City of any changes in ownership or control of your firm, or any other fact affecting your firm's eligibility for certification **within 10 days** of such change. These changes may include but are not limited to a change of address, change of business structure, change in ownership or ownership structure, change of business operations, gross receipts and or personal net worth that exceed the program threshold. Failure to provide the City with timely notice of such changes may result in the suspension or rescission of your certification. In addition, you may be liable for civil penalties under Chapter 1-22, "False Claims", of the Municipal Code of Chicago.

Please note – you shall be deemed to have had your certification lapse and will be ineligible to participate as an **MBE/WBE** if you fail to:

- File your annual No-Change Affidavit within the required time period;

**ATTACHMENT D - SPECIAL CONDITIONS REGARDING THE UTILIZATION OF MINORITY  
AND WOMEN OWNED BUSINESS ENTERPRISES FOR PROFESSIONAL SERVICES**

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**SCHEDULE C  
Letter of Intent from MBE/WBE (1 of 2)  
To Perform As  
Subcontractor, Subconsultant, and/or Material Supplier**

Name of Project: Request for Proposals for Insurance Brokerage Services

Project Number: PS3097

FROM:

J.A Watts, Inc. MBE \_\_\_\_\_ WBE X  
(Name of MBE or WBE)

TO:

Alliant Insurance Services, Inc. and Public Building Commission of Chicago  
(Name of Bidder)

The undersigned intends to perform work in connection with the above-referenced project as (check one):

\_\_\_\_\_ a Sole Proprietor  
\_\_\_\_\_ a Partnership

X \_\_\_\_\_ a Corporation  
\_\_\_\_\_ a Joint Venture

The MBE/WBE status of the undersigned is confirmed by the attached Letter of Certification, dated October 21, 2022. In addition, in the case where the undersigned is a Joint Venture with a non-MBE/WBE firm, a Schedule B, Joint Venture Affidavit, is provided.

The undersigned is prepared to provide the following described services or supply the following described goods in connection with the above-named project.

Project safety and loss control inspections.  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

The above-described services or goods are offered for the following price, with terms of payment as stipulated in the Contract Documents.

5% of the final broker compensation.  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



**SCHEDULE C**  
**Letter of Intent from MBE/WBE (2 of 2)**  
**To Perform As**  
**Subcontractor, Subconsultant, and/or Material Supplier**



CITY OF CHICAGO

DEPARTMENT OF PROCUREMENT SERVICES

OCT 21 2022

Julie Watts  
J.A. Watts, Inc.  
940 W. Adams, Suite 400  
Chicago, Illinois 60607

RE: CONTINUATION OF CERTIFICATION

Dear Ms. Watts:

We are pleased to inform you that **J.A. Watts, Inc.**, continues to be certified as a **Woman-Owned Business Enterprise ("WBE")** by the City of Chicago ("City"). This **WBE** recertification is a continuation of your previous certification which expires **October 15, 2022** and will remain effective for as long as your firm continues to meet all certification eligibility requirements and is contingent upon the firm affirming its eligibility by filing an **annual No-Change Affidavit** each year. In the past the City has provided you with an annual letter confirming your certification; such letters will no longer be issued. Therefore, we require you to be even more diligent in filing your **annual No-Change Affidavit 60 calendar days before your annual anniversary date of October 15<sup>th</sup>**.

It is now your responsibility to check the City's certification directory and verify your certification status. As a condition of continued certification, you must **file an annual No-Change Affidavit by your anniversary date of October 15<sup>th</sup>**. Please remember, you have an affirmative duty to file your No-Change Affidavit 60 days prior to the anniversary date for timely processing. Failure to file your annual No-Change Affidavit may result in the suspension or rescission of your certification.

It is important to note that you also have an ongoing affirmative duty to notify the City of any changes in ownership or control of your firm, or any other fact affecting your firm's eligibility for certification **within 10 days** of such change. These changes may include but are not limited to a change of address, change of business structure, change in ownership or ownership structure, change of business operations, gross receipts and or personal net worth that exceed the program threshold. Failure to provide the City with timely notice of such changes may result in the suspension or rescission of your certification. In addition, you may be liable for civil penalties under Chapter 1-22, "False Claims", of the Municipal Code of Chicago.

Please note – you shall be deemed to have had your certification lapse and will be ineligible to participate as a **WBE** if you fail to:

- File your annual No-Change Affidavit within the required time period;
- Provide financial or other records requested pursuant to an audit within the required time period;



- Notify the City of any changes affecting your firm's certification **within 10 days** of such change; or
- File your recertification within the required time period.

Please be reminded of your contractual obligation to cooperate with the City with respect to any reviews, audits or investigation of its contracts and affirmative action programs. We strongly encourage you to assist us in maintaining the integrity of our programs by reporting instances or suspicions of fraud or abuse to the **City's Inspector General at [chicagoinspectorgeneral.org](http://chicagoinspectorgeneral.org), or 866-IG-TIPLINE (866-448-4754).**

Be advised that if you or your firm is found to be involved in certification, bidding and/or contractual fraud or abuse, the City will pursue decertification and debarment. In addition to any other penalty imposed by law, any person who knowingly obtains, or knowingly assists another in obtaining a contract with the City by falsely representing the individual or entity, or the individual or entity assisted is guilty of a misdemeanor, punishable by incarceration in the county jail for a period not to exceed six months, or a fine of not less than \$5,000 and not more than \$10,000 or both.

Your firm's name will be listed in the City's Directory of Minority and Women-Owned Business Enterprises in the specialty area(s) of:

**NAICS Code(s):**

**236210 - Industrial Building (except warehouses) Construction**

**236220 - Commercial Building Construction**

**237310 - Highway, Road, Street and Bridge Construction**

**237990 - Other Heavy and Civil Engineering Construction**

**238130 - Carpentry, Framing**

**238350 - Finish Carpentry Contractors**

**238910 - Site Preparation Contractors**

**541330 - Engineering Services**

**541611 - Administrative and General Management Consulting Services**

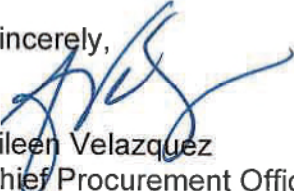
**541990 - Scientific and Technical Services**

**561720 - Janitorial Services**

Your firm's participation on City contracts will be credited only toward **WBE** goals in your area(s) specialty. While your participation on City contracts is not limited to your area of specialty, credit toward goals will be given only for work that is self-performed and providing a commercially useful function that is done in the approved specialty category.

Thank you for your interest in the City's Minority, Women-Owned Business Enterprise, Veteran-Owned Business Enterprise and Business Enterprise Owned or Operated by People with Disabilities (MBE/WBE/VBE/BEPD) Program.

Sincerely,

  
Aileen Velazquez  
Chief Procurement Officer  
AV/cm



**EXHIBIT E – INSURANCE REQUIREMENTS**  
Insurance Brokerage Services

**(ATTACHED HERETO AND INCORPORATED HEREIN)**

**ATTACHMENT E**  
**INSURANCE REQUIREMENTS**  
**INSURANCE BROKERAGE SERVICES—PS3097**

The Respondent must provide and maintain at Respondent's own expense, until expiration or termination of the Agreement and during the time period following expiration if Respondent is required to return and perform any additional work, the minimum insurance coverage and requirements specified below, insuring all operations related to the Agreement.

**A. INSURANCE TO BE PROVIDED**

**1. Workers' Compensation and Employers Liability**

Workers' Compensation Insurance, as prescribed by applicable law covering all employees who are to provide a service under the Agreement and Employers Liability coverage with limits of not less than \$1,000,000 each accident, illness or disease

**2. Commercial General Liability**

Commercial General Liability Insurance or equivalent with limits of not less than \$2,000,000 per occurrence for bodily injury, personal injury, and property damage liability. Coverage must include the following: All premises and operations, products/completed operations, separation of insureds, defense, and contractual liability (with no limitation endorsement). The Public Building Commission must be named as additional insured on a primary, non-contributory basis for any liability arising directly or indirectly from the work.

**3. Automobile Liability**

When any motor vehicles (owned, non-owned and hired) are used in connection with work to be performed, the Respondent must provide Automobile Liability Insurance, with limits of not less than \$1,000,000 per occurrence for bodily injury and property damage. The Public Building Commission must be named as additional insured on a primary, non-contributory basis.

**4. Professional Liability/Errors & Omissions**

Professional Liability/Errors & Omissions Insurance covering acts, errors, or omissions must be maintained with limits of not less than \$5,000,000. When policies are renewed or replaced, the policy retroactive date must coincide with, or precede, start of work on the Contract. A claims-made policy, which is not renewed or replaced, must have an extended reporting period of two (2) years.

**B. ADDITIONAL REQUIREMENTS**

The Respondent must furnish the Public Building Commission Procurement Department, Richard J. Daley Center, Room 200, Chicago, IL 60602, original Certificates of Insurance, or such similar evidence, to be in force on the date of this Agreement, and Renewal Certificates of Insurance, or such similar evidence, if any insurance coverage has an expiration or renewal date occurring during the term of this Agreement. The Respondent must submit evidence of insurance to the Commission before award of Agreement. The receipt of any certificate does not constitute agreement by the Commission that the insurance requirements in the Agreement have been fully met or that the insurance policies indicated on the certificate are in compliance with all Agreement requirements. The failure of the Commission to obtain certificates or other insurance evidence from Respondent is not a waiver by the Commission of any requirements for the Respondent to obtain and maintain the specified coverage. The Respondent will advise all insurers of the Agreement provisions regarding insurance. Non-conforming insurance does not relieve Respondent of the obligation to provide insurance as specified in this Agreement. Nonfulfillment of the insurance conditions may constitute a breach of the Agreement, and the Commission retains the right to stop work until proper evidence of insurance is provided, or the Agreement may be terminated.

The Commission reserves the right to obtain copies of insurance policies and records from the Respondent and/or its subcontractors at any time upon written request.

The insurance must provide for 30 days prior written notice to be given to the Commission if any policies are canceled, substantially changed, or non-renewed.

Any deductibles or self-insured retentions on referenced insurance must be borne by Respondent.

The Respondent hereby waives and agrees to require their insurers to waive their rights of subrogation against the Commission, its' their respective Board members, employees, elected and appointed officials, and representatives.

The insurance coverage and limits furnished by Respondent in no way limit the Respondent's liabilities and responsibilities specified within the Agreement or by law.

Any insurance or self-insurance programs maintained by the Commission do not contribute with insurance provided by the Respondent under the Agreement.

The required insurance to be carried is not limited by any limitations expressed in the indemnification language in this Agreement or any limitation placed on the indemnity in the Agreement given as a matter of law.

If Respondent is a joint venture or limited liability company, the insurance policies must name the joint venture or limited liability company as a named insured

The Respondent must require all its subcontractors to provide the insurance required in this Agreement, or Respondent may provide the coverage for its subcontractors. All subcontractors are subject to the same insurance requirements of Respondent unless otherwise specified in this Agreement.

If Respondent or its subcontractors desire additional coverage, the party desiring the additional coverage is responsible for the acquisition and cost.

Respondent must submit the following:

1. Standard ACORD form Certificate of Insurance issued to the Public Building Commission of Chicago as Certificate Holder including:
  - a. All required entities as Additional Insured
  - b. Evidence of waivers of subrogation
  - c. Evidence of primary and non-contributory status
2. All required endorsements including the CG2010

The Commission's Risk Management Office maintains the rights to modify, delete, alter or change these requirements.



## CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

7/18/2025

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an **ADDITIONAL INSURED**, the policy(ies) must have **ADDITIONAL INSURED** provisions or be endorsed. If **SUBROGATION IS WAIVED**, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> Alliant Insurance Services, Inc. 560 Mission St., 6th Floor San Francisco CA 94105	<b>CONTACT</b> NAME: Heather Shoemaker Williams PHONE (A/C, No. Ext): E-MAIL ADDRESS: AlliantCorporateCerts@alliant.com	<b>FAX</b> (A/C, No):
License#: 0C36861 ALLIHL-01	<b>INSURER(S) AFFORDING COVERAGE</b>	<b>NAIC #</b>
<b>INSURED</b> Alliant Holdings, L.P. Alliant Insurance Services, Inc. 18100 Von Karman Ave., 10th Floor Irvine CA 92612	<b>INSURER A:</b> Federal Insurance Company	20281
	<b>INSURER B:</b> ACE American Insurance Company	22667
	<b>INSURER C:</b> ACE Fire Underwriters Insuranc	20702
	<b>INSURER D:</b>	
	<b>INSURER E:</b>	
	<b>INSURER F:</b>	

## COVERAGES

CERTIFICATE NUMBER: 1235244455

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> <b>COMMERCIAL GENERAL LIABILITY</b> <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input checked="" type="checkbox"/> LOC OTHER:	Y	Y	36053943	3/1/2025	3/1/2026	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 1,000,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000 Deductible \$ 0
A	<input checked="" type="checkbox"/> <b>AUTOMOBILE LIABILITY</b> <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input checked="" type="checkbox"/> NON-OWNED AUTOS ONLY	Y	Y	73626536	3/1/2025	3/1/2026	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
A	<input checked="" type="checkbox"/> <b>UMBRELLA LIAB</b> <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input checked="" type="checkbox"/> RETENTION \$ 0			78186770	3/1/2025	3/1/2026	EACH OCCURRENCE \$ 25,000,000 AGGREGATE \$ 25,000,000 \$
B C	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input checked="" type="checkbox"/> N	Y N/A	71756712 71832959	3/1/2025 3/1/2025	3/1/2026 3/1/2026	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

## DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Workers Compensation & Employers Liability Coverage consisting of the following programs:  
71832959 (WC- OR, WI), ACE Fire Underwriters Insurance Company (NAIC#20702), 3/1/2025-3/1/2026  
71756712 (WC- All Other States, except monopolistic states of OH, WA, WY, ND - Stop Gap/Employers Liability coverage only.)

RE RFP PS3097

Public Building Commission of Chicago is included as Additional Insured as respects General Liability and Automobile on a Primary and Non-Contributory basis as required by written contract, per attached carrier endorsements. Waiver of Subrogation applies as respects General Liability, Automobile, and Workers' See Attached...

## CERTIFICATE HOLDER

## CANCELLATION

<b>APPROVED</b> JLB Public Building Commission of Chicago Richard J. Daly Center, Room 200 50 W. Washington Street Chicago IL 60602 1/13/2026	<b>SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.</b>  <b>AUTHORIZED REPRESENTATIVE</b> 
---	---

AGENCY CUSTOMER ID: ALLIHOL-01

LOC #: \_\_\_\_\_



## ADDITIONAL REMARKS SCHEDULE

Page 1 of 1

<b>AGENCY</b> Alliant Insurance Services, Inc.		<b>NAMED INSURED</b> Alliant Holdings, L.P. Alliant Insurance Services, Inc. 18100 Von Karman Ave., 10th Floor Irvine CA 92612	
<b>POLICY NUMBER</b>		<b>EFFECTIVE DATE:</b>	
<b>CARRIER</b>	<b>NAIC CODE</b>		

### ADDITIONAL REMARKS

**THIS ADDITIONAL REMARKS FORM IS A SCHEDULE TO ACORD FORM,**

**FORM NUMBER:** 25 **FORM TITLE:** CERTIFICATE OF LIABILITY INSURANCE

Compensation as required by written contract, per attached carrier endorsements. Umbrella policy is excess follow form to underlying General Liability, Automobile Liability, and Employers Liability coverages.





## CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

12/16/2025

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> License # 0C36861 New York-Alliant Ins Svc Inc 32 Old Slip 28th Fl New York, NY 10005	<b>CONTACT NAME:</b> michele.rodriguez@alliant.com <b>PHONE (A/C, No, Ext):</b> <b>E-MAIL ADDRESS:</b> <b>FAX (A/C, No):</b>
	<b>INSURER(S) AFFORDING COVERAGE</b> <b>INSURER A:</b> Beazley Excess and Surplus Insurance, Inc. <b>INSURER B:</b> <b>INSURER C:</b> <b>INSURER D:</b> <b>INSURER E:</b> <b>INSURER F:</b>
<b>INSURED</b> Alliant Holdings, LP c/o Alliant Insurance Services, Inc. 18100 Von Karman Ave, 10th Floor Irvine, CA 92612	<b>NAIC #</b> 17520

## COVERAGES

## CERTIFICATE NUMBER:

## REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	<b>COMMERCIAL GENERAL LIABILITY</b> <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC OTHER:						EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$
	<b>AUTOMOBILE LIABILITY</b> <input type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY						COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	<b>UMBRELLA LIAB</b> <input type="checkbox"/> OCCUR <b>EXCESS LIAB</b> <input type="checkbox"/> CLAIMS-MADE DED <input type="checkbox"/> RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$
	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> Y / N ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) <input type="checkbox"/> N / A If yes, describe under DESCRIPTION OF OPERATIONS below						PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$
A	<b>Cyber Liability</b>			D34F7C250301	05/30/2025	05/30/2026	Each Claim/Aggregate Limit \$10,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Evidence of insurance.

## CERTIFICATE HOLDER

## CANCELLATION

Public Building Commission of Chicago  
Richard J. Daly Center, Room 200  
50 W. Washington Street  
Chicago IL 60602

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

COI AlliantInsurance InsBrokerageSvcs PS3097\_JLB\_20260301

ACORD 25 (2016/03)

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## CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)  
12/4/2025

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> License # 0C36861 New York-Alliant Ins Svc Inc 32 Old Slip 28th Fl New York, NY 10005	<b>CONTACT NAME:</b> michele.rodriguez@alliant.com <b>PHONE (A/C, No, Ext):</b> <b>FAX (A/C, No):</b> <b>E-MAIL ADDRESS:</b>
	<b>INSURER(S) AFFORDING COVERAGE</b> <b>INSURER A : AIG Specialty Insurance Company</b> <b>INSURER B :</b> <b>INSURER C :</b> <b>INSURER D :</b> <b>INSURER E :</b> <b>INSURER F :</b>
<b>INSURED</b> <b>Alliant Holdings, LP</b> <b>c/o Alliant Insurance Services, Inc.</b> <b>18100 Von Karman Ave, 10th Floor</b> <b>Irvine, CA 92612</b>	<b>NAIC #</b> <b>26883</b>

## COVERAGES

## CERTIFICATE NUMBER:

## REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	<b>COMMERCIAL GENERAL LIABILITY</b> <input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC OTHER:						EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$ \$
	<b>AUTOMOBILE LIABILITY</b> <input type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY						COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	<b>UMBRELLA LIAB</b> <input type="checkbox"/> OCCUR <b>EXCESS LIAB</b> <input type="checkbox"/> CLAIMS-MADE DED <input type="checkbox"/> RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$
	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) <input type="checkbox"/> Y / N If yes, describe under DESCRIPTION OF OPERATIONS below		N / A				PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$
A	<b>Prof. Liability</b>			01-601-11-21	12/15/2025	12/15/2026	<b>Each Claim/Aggregate</b> <b>\$10,000,000</b>

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

This is a Claims Made Policy.

Evidence of insurance.

## CERTIFICATE HOLDER

## CANCELLATION

Public Building Commission of Chicago  
Richard J. Daly Center, Room 200  
50 W. Washington Street  
Chicago IL 60602

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

COI AlliantInsurance InsBrokerage Svcs PS3097\_JLB\_20260301

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**Workers' Compensation and Employers' Liability Policy**

Named Insured ALLIANT HOLDINGS, L.P. 18100 VON KARMAN AVE, 10TH FLOOR IRVINE CA 92612	Endorsement Number  Policy Number Symbol: SCF    Number: (24)7183-29-59
Policy Period 03-01-2023 <b>TO</b> 03-01-2024	Effective Date of Endorsement 03-01-2023
Issued By (Name of Insurance Company) ACE FIRE UNDERWRITERS INS CO	
Insert the policy number. The remainder of the information is to be completed only when this endorsement is issued subsequent to the preparation of the policy. This endorsement changes the policy to which it is attached and is effective on the date issued unless otherwise stated.	

**WAIVER OF OUR RIGHT TO RECOVER FROM OTHERS ENDORSEMENT**

We have the right to recover our payments from anyone liable for an injury covered by this policy. We will not enforce our right against the person or organization named in the Schedule. This agreement applies only to the extent that you perform work under a written contract that requires you to obtain this agreement from us.

This agreement shall not operate directly or indirectly to benefit any one not named in the Schedule.

**Schedule**

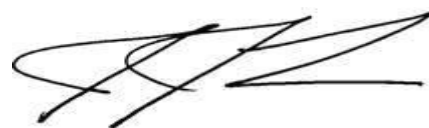
ANY PERSON OR ORGANIZATION AGAINST WHOM YOU HAVE AGREED TO WAIVE YOUR RIGHT OF RECOVERY IN A WRITTEN CONTRACT, PROVIDED SUCH CONTRACT WAS EXECUTED PRIOR TO THE DATE OF LOSS

For the states of CA, UT, TX, refer to state specific endorsements.

This endorsement is not applicable in KY, NH, and NJ.

The endorsement does not apply to policies in Missouri where the employer is in the construction group of code classifications. According to Section 287.150(6) of the Missouri statutes, a contractual provision purporting to waive subrogation rights against public policy and void where one party to the contract is an employer in the construction group of code classifications.

For Kansas, use of this endorsement is limited by the Kansas Fairness in Private Construction Contract Act(K.S.A.. 16-1801 through 16-1807 and any amendments thereto) and the Kansas Fairness in Public Construction Contract Act(K.S.A 16-1901 through 16-1908 and any amendments thereto). According to the Acts a provision in a contract for private or public construction purporting to waive subrogation rights for losses or claims covered or paid by liability or workers compensation insurance shall be against public policy and shall be void and unenforceable except that, subject to the Acts, a contract may require waiver of subrogation for losses or claims paid by a consolidated or wrap-up insurance program.



Authorized Agent

POLICY NUMBER: 7362-65-36

COMMERCIAL AUTO  
CA 20 48 10 13**THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.****DESIGNATED INSURED FOR  
COVERED AUTOS LIABILITY COVERAGE**

This endorsement modifies insurance provided under the following:

AUTO DEALERS COVERAGE FORM  
BUSINESS AUTO COVERAGE FORM  
MOTOR CARRIER COVERAGE FORM

With respect to coverage provided by this endorsement, the provisions of the Coverage Form apply unless modified by this endorsement.

This endorsement identifies person(s) or organization(s) who are "insureds" for Covered Autos Liability Coverage under the Who Is An Insured provision of the Coverage Form. This endorsement does not alter coverage provided in the Coverage Form.

This endorsement changes the policy effective on the inception date of the policy unless another date is indicated below.

**Named Insured:** ALLIANT HOLDINGS, L.P.**Endorsement Effective Date:** 03/01/2025**SCHEDULE****Name Of Person(s) Or Organization(s):**PERSONS OR ORGANIZATIONS THAT YOU ARE OBLIGATED, PURSUANT TO A  
CONTRACT OR AGREEMENT, TO PROVIDE WITH SUCH INSURANCE  
AS IS AFFORDED BY THIS POLICY

Information required to complete this Schedule, if not shown above, will be shown in the Declarations.

Each person or organization shown in the Schedule is an "insured" for Covered Autos Liability Coverage, but only to the extent that person or organization qualifies as an "insured" under the Who Is An Insured provision contained in Paragraph **A.1.** of Section **II** – Covered Autos Liability Coverage in the Business Auto and Motor Carrier Coverage Forms and Paragraph **D.2.** of Section **I** – Covered Autos Coverages of the Auto Dealers Coverage Form.

POLICY NUMBER 7362-65-36

COMMERCIAL AUTO  
16-02-0316 Ed. 10 14**THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.****PRIMARY AND NON-CONTRIBUTORY LIABILITY  
INSURANCE**

This endorsement modifies insurance provided under the following:

BUSINESS AUTO COVERAGE FORM

With respect to coverage provided by this endorsement, the provisions of the Coverage Form apply unless modified by the endorsement.

This endorsement changes the policy effective on the inception date of the policy unless another date is indicated below.

**Named Insured:** ALLIANT HOLDINGS, L.P.**Endorsement Effective Date:** 03/01/2025**SCHEDULE****Name(s) Of Person(s) Or Organization(s):**PERSONS OR ORGANIZATIONS THAT YOU ARE OBLIGATED, PURSUANT TO A  
CONTRACT OR AGREEMENT, TO PROVIDE WITH SUCH INSURANCE AS IS  
AFFORDED BY THIS POLICY

Information required to complete this Schedule, if not shown above, will be shown in the Declarations.

The following is added to Item 5. – “**Other Insurance**” of Item B. – “**General Conditions**” under Section IV – “**Business Auto Conditions**”:

e. Regardless of the provisions of Paragraph 5.a. through d. above, for any liability arising out of the ownership, maintenance, use, rental, lease, loan, hire or borrowing by an “insured” of a covered “auto” for which an “insured” is contractually obligated to provide primary insurance coverage to a client, this Coverage Form will be primary and non-contributory with respect to the Persons or Organizations in the schedule, regardless of the availability or existence of other collectible insurance under any other Coverage Form or policy that applies on a primary basis.

POLICY NUMBER: 7362-65-36

COMMERCIAL AUTO  
CA 04 44 10 13**THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.****WAIVER OF TRANSFER OF RIGHTS OF RECOVERY  
AGAINST OTHERS TO US (WAIVER OF SUBROGATION)**

This endorsement modifies insurance provided under the following:

AUTO DEALERS COVERAGE FORM  
BUSINESS AUTO COVERAGE FORM  
MOTOR CARRIER COVERAGE FORM

With respect to coverage provided by this endorsement, the provisions of the Coverage Form apply unless modified by the endorsement.

This endorsement changes the policy effective on the inception date of the policy unless another date is indicated below.

**Named Insured:** ALLIANT HOLDINGS, L.P.**Endorsement Effective Date:** 03/01/2025**SCHEDULE****Name(s) Of Person(s) Or Organization(s):**ANY PERSON(S) OR ORGANIZATION(S) WHERE REQUIRED BY WRITTEN  
CONTRACT

Information required to complete this Schedule, if not shown above, will be shown in the Declarations.

**The Transfer Of Rights Of Recovery Against Others  
To Us** condition does not apply to the person(s) or  
organization(s) shown in the Schedule, but only to  
the extent that subrogation is waived prior to the  
"accident" or the "loss" under a contract with that  
person or organization.



## Liability Insurance

### Endorsement

<i>Policy Period</i>	MARCH 1, 2025 To MARCH 1, 2026
<i>Effective Date</i>	MARCH 1, 2025
<i>Policy Number</i>	3605-39-43 NBO
<i>Insured</i>	Alliant Holdings, L.P.
<i>Name of Company</i>	FEDERAL INSURANCE COMPANY
<i>Date Issued</i>	MARCH 1, 2025

This Endorsement applies to the following forms:

GENERAL LIABILITY

Under Who Is An Insured, the following provision is added:

#### **Who Is An Insured**

##### *Designated Person Or Organization*

Persons or organizations designated in the Schedule below are **insureds** with respect to liability arising out of your operations, but only for your negligence with respect to your operations and only if you are contractually obligated to provide them with such insurance as is afforded by this policy.

However, no such person or organization is an **insured** with respect to any:

- damages arising out of their sole negligence; or
- **occurrence** that occurs after your contractual obligation to them ends.

If other insurance is available to the persons or organizations described in the Schedule below for damages insured under this policy, this insurance will apply on a primary basis and we will not seek contribution from the other insurance available to such persons or organizations.

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***SCHEDULE***

Designated Person or Organization:

PERSONS OR ORGANIZATIONS THAT YOU ARE OBLIGATED, PURSUANT TO A  
CONTRACT OR AGREEMENT, TO PROVIDE WITH SUCH INSURANCE AS IS AFFORDED BY  
THIS POLICY.

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All other terms and conditions remain unchanged.

*Authorized Representative*

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## ***Liability Insurance***

### ***Endorsement***

<i>Policy Period</i>	MARCH 1, 2025 TO MARCH 1, 2026
<i>Effective Date</i>	MARCH 1, 2025
<i>Policy Number</i>	3605-39-43 NBO
<i>Insured</i>	ALLIANT HOLDINGS, L.P.
<i>Name of Company</i>	FEDERAL INSURANCE COMPANY
<i>Date Issued</i>	MARCH 1, 2025

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This Endorsement applies to the following forms:

GENERAL LIABILITY  
EMPLOYEE BENEFITS ERRORS OR OMISSIONS

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Under Conditions, the following provision is added to the condition titled Other Insurance.

### ***Conditions***

#### ***Other Insurance - Primary, Noncontributory Insurance - Scheduled Person Or Organization***

If you are obligated, pursuant to a written contract or agreement, to provide the person or organization described in the Schedule (that is also included in the Who Is An Insured section of this contract) with primary insurance such as is afforded by this policy, then this insurance is primary and we will not seek contribution from insurance available to such person or organization.

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#### ***Schedule***

PERSONS OR ORGANIZATIONS THAT YOU ARE OBLIGATED PURSUANT TO A CONTRACT OR AGREEMENT, TO PROVIDE WITH SUCH INSURANCE AS IS AFFORDED BY THIS POLICY.

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**Liability Endorsement**  
(continued)

All other terms and conditions remain unchanged.

Authorized Representative

A handwritten signature in black ink, appearing to be "D. M. W.", written over a horizontal line.



## Liability Insurance

### Endorsement

<i>Policy Period</i>	MARCH 1, 2025 TO MARCH 1, 2026
<i>Effective Date</i>	MARCH 1, 2025
<i>Policy Number</i>	3605-39-43 NBO
<i>Insured</i>	ALLIANT HOLDINGS, L.P.
<i>Name of Company</i>	FEDERAL INSURANCE COMPANY
<i>Date Issued</i>	MARCH 1, 2025

This Endorsement applies to the following forms:

GENERAL LIABILITY

Under Conditions, Transfer Or Waiver Of Rights Of Recovery Against Others, the following provision is added:

### Conditions

#### *Transfer Or Waiver Of Rights Of Recovery Against Others*

However, we waive any right of recovery we may have against the designated person or organization shown below because of payments we make for injury or damage arising out of your ongoing operations or done under a contract with that person or organization and included in the **products-completed operations hazard**. This waiver applies to the designated person or organization.

Designated Person Or Organization

PERSONS OR ORGANIZATIONS THAT YOU ARE OBLIGATED, PURSUANT TO A CONTRACT OR AGREEMENT, TO PROVIDE WITH SUCH INSURANCE AS IS AFFORDED BY THIS POLICY.

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**Liability Endorsement**  
(continued)

All other terms and conditions remain unchanged.

Authorized Representative





**Workers' Compensation and Employers' Liability Policy**

Named Insured ALLIANT HOLDINGS, L.P. 18100 VON KARMAN AVE, 10TH FLOOR IRVINE, CA 92612	Endorsement Number
	Policy Number Symbol: WLR    Number: (26) 7175-67-12
Policy Period 03-01-2025 <b>TO</b> 03-01-2026	Effective Date of Endorsement 03-01-2025
Issued By (Name of Insurance Company) ACE AMERICAN INSURANCE COMPANY	
Insert the policy number. The remainder of the information is to be completed only when this endorsement is issued subsequent to the preparation of the policy.	

**WAIVER OF OUR RIGHT TO RECOVER FROM OTHERS ENDORSEMENT**

We have the right to recover our payments from anyone liable for an injury covered by this policy. We will not enforce our right against the person or organization named in the Schedule. This agreement applies only to the extent that you perform work under a written contract that requires you to obtain this agreement from us.

This agreement shall not operate directly or indirectly to benefit any one not named in the Schedule.

**Schedule**

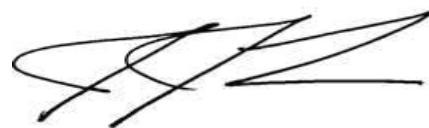
ANY PERSON OR ORGANIZATION AGAINST WHOM YOU HAVE AGREED TO WAIVE YOUR RIGHT OF RECOVERY IN A WRITTEN CONTRACT, PROVIDED SUCH CONTRACT WAS EXECUTED PRIOR TO THE DATE OF LOSS.

For the states of CA, UT, TX, refer to state specific endorsements.

This endorsement is not applicable in KY, NH, and NJ.

The endorsement does not apply to policies in Missouri where the employer is in the construction group of code classifications. According to Section 287.150(6) of the Missouri statutes, a contractual provision purporting to waive subrogation rights against public policy and void where one party to the contract is an employer in the construction group of code classifications.

For Kansas, use of this endorsement is limited by the Kansas Fairness in Private Construction Contract Act(K.S.A. 16-1801 through 16-1807 and any amendments thereto) and the Kansas Fairness in Public Construction Contract Act(K.S.A 16-1901 through 16-1908 and any amendments thereto). According to the Acts a provision in a contract for private or public construction purporting to waive subrogation rights for losses or claims covered or paid by liability or workers compensation insurance shall be against public policy and shall be void and unenforceable except that, subject to the Acts, a contract may require waiver of subrogation for losses or claims paid by a consolidated or wrap-up insurance program.



Authorized Agent